**[MS-PICSRL]:**

**Internet Explorer PICSRules Standards Support Document**

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**Revision Summary**

| Date | Revision History | Revision Class | Comments |
| --- | --- | --- | --- |
| 3/17/2010 | 0.1 | New | Released new document. |
| 3/26/2010 | 1.0 | None | Introduced no new technical or language changes. |
| 5/26/2010 | 1.2 | None | Introduced no new technical or language changes. |
| 9/8/2010 | 1.3 | Major | Significantly changed the technical content. |
| 2/10/2011 | 2.0 | None | Introduced no new technical or language changes. |
| 2/22/2012 | 3.0 | Major | Significantly changed the technical content. |
| 7/25/2012 | 3.1 | Minor | Clarified the meaning of the technical content. |
| 6/26/2013 | 4.0 | Major | Significantly changed the technical content. |
| 3/31/2014 | 4.0 | None | No changes to the meaning, language, or formatting of the technical content. |
| 1/22/2015 | 5.0 | Major | Updated for new product version. |
| 7/7/2015 | 5.1 | Minor | Clarified the meaning of the technical content. |
| 11/2/2015 | 5.1 | None | No changes to the meaning, language, or formatting of the technical content. |
| 3/22/2016 | 5.1 | None | No changes to the meaning, language, or formatting of the technical content. |
| 11/2/2016 | 5.1 | None | No changes to the meaning, language, or formatting of the technical content. |

Table of Contents

[1 Introduction 4](#_Toc465841619)

[1.1 Glossary 4](#_Toc465841620)

[1.2 References 4](#_Toc465841621)

[1.2.1 Normative References 4](#_Toc465841622)

[1.2.2 Informative References 4](#_Toc465841623)

[1.3 Microsoft Implementations 4](#_Toc465841624)

[1.4 Standards Support Requirements 5](#_Toc465841625)

[1.5 Notation 6](#_Toc465841626)

[2 Standards Support Statements 7](#_Toc465841627)

[2.1 Normative Variations 7](#_Toc465841628)

[2.2 Clarifications 7](#_Toc465841629)

[2.2.1 [W3C-PICS-Rules] Full syntax 7](#_Toc465841630)

[2.3 Error Handling 12](#_Toc465841631)

[2.4 Security 12](#_Toc465841632)

[3 Change Tracking 13](#_Toc465841633)

[4 Index 14](#_Toc465841634)

# Introduction

This document describes the level of support provided by Microsoft web browsers for the PICSRules 1.1 [[W3C-PICS-Rules]](http://go.microsoft.com/fwlink/?LinkId=182736) W3C Recommendation 29 December 1997, revised on 24 November 2009.

The [W3C-PICS-Rules] specification may contain guidance for authors of webpages and browser users, in addition to user agents (browser applications). Statements found in this document apply only to normative requirements in the specification targeted to user agents, not those targeted to authors.

## Glossary

**MAY, SHOULD, MUST, SHOULD NOT, MUST NOT:** These terms (in all caps) are used as defined in [[RFC2119]](http://go.microsoft.com/fwlink/?LinkId=90317). All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

## References

Links to a document in the Microsoft Open Specifications library point to the correct section in the most recently published version of the referenced document. However, because individual documents in the library are not updated at the same time, the section numbers in the documents may not match. You can confirm the correct section numbering by checking the [Errata](http://msdn.microsoft.com/en-us/library/dn781092.aspx).

### Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact dochelp@microsoft.com. We will assist you in finding the relevant information.

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, [http://www.rfc-editor.org/rfc/rfc2119.txt](http://go.microsoft.com/fwlink/?LinkId=90317)

[W3C-PICS-Rules] Evans, C., Feather, Clive D. W., Hopmann, A., Presler-Marshall, M., and Resnick, P., "PICSRules 1.1", W3C Recommendation 29 Dec 1997 (revised 24-Nov-2009), [http://www.w3.org/TR/REC-PICSRules/](http://go.microsoft.com/fwlink/?LinkId=182736)

### Informative References

None.

## Microsoft Implementations

The following Microsoft web browser versions implement some portion of [[W3C-PICS-Rules]](http://go.microsoft.com/fwlink/?LinkId=182736):

* Windows Internet Explorer 7
* Windows Internet Explorer 8
* Windows Internet Explorer 9
* Windows Internet Explorer 10
* Internet Explorer 11
* Internet Explorer 11 for Windows 10

Each browser version may implement multiple document rendering modes. The modes vary from one another in support of the standard. The following table lists the document modes supported by each browser version.

| Browser Version | Document Modes Supported |
| --- | --- |
| Internet Explorer 8 | Quirks ModeIE7 ModeIE8 Mode |
| Internet Explorer 9 | Quirks ModeIE7 ModeIE8 ModeIE9 Mode |
| Internet Explorer 10 | Quirks ModeIE7 ModeIE8 ModeIE9 ModeIE10 Mode |
| Internet Explorer 11 | Quirks ModeIE7 ModeIE8 ModeIE9 ModeIE10 ModeIE11 Mode |
| Internet Explorer 11 for Windows 10 | Quirks ModeIE7 ModeIE8 ModeIE9 ModeIE10 ModeIE11 Mode |

For each variation presented in this document there is a list of the document modes and browser versions that exhibit the behavior described by the variation. All combinations of modes and versions that are not listed conform to the specification. For example, the following list for a variation indicates that the variation exists in three document modes in all browser versions that support these modes:

*Quirks Mode, IE7 Mode, and IE8 Mode (All Versions)*

**Note:** "Standards Mode" in Internet Explorer 7 and "IE7 Mode" in Internet Explorer 8 refer to the same document mode. "IE7 Mode" is the preferred way of referring to this document mode across all versions of the browser.

## Standards Support Requirements

To conform to [[W3C-PICS-Rules]](http://go.microsoft.com/fwlink/?LinkId=182736) a user agent must implement all required portions of the specification. Any optional portions that have been implemented must also be implemented as described by the specification. Normative language is usually used to define both required and optional portions. (For more information, see [[RFC2119]](http://go.microsoft.com/fwlink/?LinkId=90317).)

The following table lists the sections of [W3C-PICS-Rules] and whether they are considered normative or informative.

| Sections | Normative/Informative |
| --- | --- |
| Abstract | Informative |
| Introduction | Informative |
| Definitions | Informative |
| The PICSRules language: examples | Informative |
| Full syntax | Informative |
| General Semantics | Normative |
| Control Flow | Normative |
| Extension mechanism | Normative |

## Notation

The following notations are used in this document to differentiate between notes of clarification, variation from the specification, and extension points.

| Notation | Explanation |
| --- | --- |
| C#### | This identifies a clarification of ambiguity in the target specification. This includes imprecise statements, omitted information, discrepancies, and errata. This does not include data formatting clarifications. |
| V#### | This identifies an intended point of variability in the target specification such as the use of MAY, SHOULD, or RECOMMENDED. (See [[RFC2119]](http://go.microsoft.com/fwlink/?LinkId=90317).) This does not include extensibility points. |
| E#### | Because the use of extensibility points (such as optional implementation-specific data) can impair interoperability, this profile identifies such points in the target specification. |

For document mode and browser version notation, see also section [1.3](#Section_8983dadf477c499780b0231d84e073c2).

# Standards Support Statements

This section contains a full list of variations, clarifications, and extension points in the Microsoft implementation of [[W3C-PICS-Rules]](http://go.microsoft.com/fwlink/?LinkId=182736).

* Section [2.1](#Section_fc92de86bf4a4bdd937b4931e7a7bf23) includes only those variations that violate a MUST requirement in the target specification.
* Section [2.2](#Section_b30a65a1d819436ca1c6a4c39172e2f0) describes further variations from MAY and SHOULD requirements.
* Section [2.3](#Section_e70fed95c4c540db867a4225d9efabee) identifies variations in error handling.
* Section [2.4](#Section_753fc0203c5641ba8e97fd9df83f5cc0) identifies variations that impact security.

## Normative Variations

There are no variations from [[W3C-PICS-Rules]](http://go.microsoft.com/fwlink/?LinkId=182736).

## Clarifications

The following subsections identify clarifications to recommendations made by [[W3C-PICS-Rules]](http://go.microsoft.com/fwlink/?LinkId=182736).

### [W3C-PICS-Rules] Full syntax

V0001:

The specification states:

1. Basic structure
2. PICSRules rules are based on a limited form of an S-expression, namely a
3. parenthesized attribute-value pair. A value is either a quoted string or a
4. parenthesized list of additional attribute-value pairs, thus allowing nesting. When
5. a value for an attribute is a list of further pairs, there is a concept known as a
6. "primary attribute". The name of the primary attribute may be omitted, for the sake
7. of readability, so that only the value of the primary attribute is specified. A
8. parser can syntactically distinguish values from attributes (values begin with
9. either a quote or left parenthesis); any values that are not paired with attribute
10. names automatically belong to the primary attribute. When a value for an attribute
11. is a list of pairs, the list MUST include the primary attribute-value pair (with or
12. without the primary attribute name specified); it MAY contain additional attribute-
13. value pairs. The general grammar for these limited S-expressions is:
14. attrvalpair:: attribute whitespace value | value
15. attribute:: alphanumstr
16. value:: quotedstring |'(' attrvalpair+ ')'
17. quotedstring:: '"'notdoublequotechar\*'"' | "'"notsinglequotechar\*"'"
18. alphanumstr:: (alphanum | '.')+
19. whitespace:: ' ' | '\t' | '\r' | '\n'
20. alphanum:: '0' - '9' | 'A' - 'Z' | 'a' - 'z'
21. notdoublequotechar :: any Unicode character except "
22. notsinglequotechar :: any Unicode character except '

*All Document Modes (All Versions)*

Attribute-value pairs that do not have white spaces to separate the attribute and value are supported.

V0002:

The specification states:

1. The other quoting character may appear within a string. In order to accommodate the
2. use of both single and double quotes inside strings, the following escaping
3. conventions apply:
4. " may be encoded as %22
5. ' may be encoded as %27
6. % may be encoded as %25
7. % followed by anything other than 22, 27, or 25 is syntactically invalid
8. Note that, although ", ', and % are encoded using the % hex hex encoding rule used
9. for special characters in URLs, other % hex hex combinations are not valid and are
10. not considered encodings of other characters.

*All Document Modes (All Versions)*

All escape characters (percent sign (%) followed by any character) are valid, including % followed by 22, 27, or 25.

V0003:

The specification states:

1. Comments
2. The PICSRules syntax, which will be presented below, has a facility for descriptive
3. text which can be shown to a user, in addition to various statements which
4. influence the behavior of user-agents. However, it is frequently useful to have
5. "source-level" comments - comments which are intended to individuals writing and/or
6. editing rules, but which are not intended for display to end users. This is
7. analogous to placing comments in source code; in an effort to encourage rule
8. authors to write clear rules, we provide a facility for placing comments into
9. PICSRules rules.
10. The syntax of a comment is:
11. comment:: '{' comment-text\* '}'
12. comment-text:: any characters except '}'
13. Note that a result of the above syntax is that comments may not be nested.
14. Comments may appear anywhere in PICSRules rules. A user-agent MAY remove the
15. comments during lexical analysis of the rule; text within comments MUST NOT
16. influence the interpretation of the rule in any manner. Note also that user-agents
17. which generate or export PICSRules rules MAY choose to strip out comments before
18. generating, exporting, or transmitting them.

*All Document Modes (All Versions)*

Comments in PICSRules rules are not supported.

V0004:

The specification states:

1. An application program will invoke a rule evaluator, providing a rule and a URL,
2. and perhaps labels that were embedded in the document associated with the URL or
3. passed in the HTTP headers along with the document associated with the URL. A yes
4. (accept) or no (reject) answer is returned. The rule evaluator SHOULD also return
5. the explanation string associated with the policy clause that determines the final
6. answer, if such an explanation string is provided.

*All Document Modes (All Versions)*

The rule evaluator does not return an explanation string associated with the policy clause that determines the final answer, if such an explanation string is provided.

V0005:

The specification states:

1. source
2. This clause gives information about where the rule came from. There are 4
3. attributes defined for source: sourceURL, creationTool, author, and lastModified.
4. The primary attribute is sourceURL.
5. The sourceURL attribute gives the "rule's URL". It provides a location where a
6. human user of this rule can go to get more information about the rule and/or its
7. creator. The value of this attribute should be a URL here a user can find a human-
8. readable description of this rule.
9. The creationTool attribute gives the ability to identify the tool, if any, that was
10. used to create this rule. This is analogous to the User-Agent string in HTTP. The
11. value of the creationTool is a quoted string. The string should be in the format
12. toolname/version, as in "Cool-PICS-Rule-Editor/1.04".
13. The author attribute gives the e-mail address of the individual or organization who
14. produced this rule. The value associated with this attribute MUST be a quoted e-
15. mail address.
16. The lastModified attribute gives the date and time that this rule was last
17. modified. The value MUST be a quoted-ISO-date, as defined in the PICS-1.1 Label
18. Syntax and Communication Protocols.

*All Document Modes (All Versions)*

**creationTool** values that do not conform to the toolname/version format and **author** values that do not conform to the quoted e-mail format are acceptable.

V0006:

The specification states:

1. serviceinfo
2. The bureauUnavailable attribute specifies what to do when none of the label
3. bureau(s) listed in bureauURL attributes can be contacted. The defined values for
4. this attribute are "PASS" and "FAIL", which cause the rule to return the
5. corresponding value, regardless of what other labels are found.
6. The ratfile attribute presents the machine-readable rating system description (also
7. know as "RAT file") that is used by this rating service. This may be specified in
8. one of two ways: the value may be a quoted string which contains the entire
9. machine-readable service description, or it may be of the syntax "[RATFile-URL]",
10. where RATFile-URL is the URL of the rating system description; a user-agent SHOULD
11. assume that dereferencing this URL will produce a document of type
12. application/pics-service. There is no default value for the ratfile attribute. If
13. the quoted string contains the machine-readable service description, then it MUST
14. be escaped as mentioned above.

*All Document Modes (All Versions)*

Neither the **bureauUnavailable** attribute nor the **ratfile** attribute are supported.

V0007:

The specification states:

1. opt-extension-clause
2. opt-extension-clause and req-extension-clause are the extension mechanisms in
3. PICSRules; they are modeled after the extension mechanism in the PICS label format.
4. More information on the extension mechanism is given below.
5. The opt-extension-clause has two defined attributes: extension-name and shortname.
6. The value of the extension-name attribute specifies the name of an extension that
7. will be used by this rule. The name of the extension is the quotedURL; this URL
8. should point to a human-readable description of this extension. URLs are used for
9. extension names to ensure uniqueness without requiring a central naming body. The
10. value of the shortname attribute is a quoted string, but MUST use only valid
11. attribute name characters (a-z, A-Z, 0-9). The shortname is used as a prefix of
12. attribute names, to identify attributes defined by this extension.
13. If a user-agent receives a rule which contains an optextension which it does not
14. recognize, the user-agent should process the rule, ignoring any clauses it does not
15. recognize. This means that any optional extensions MUST use the attribute-value
16. syntax given above, so as to not break existing parsers. Note that declaring the
17. use of an optional extension may appear to be redundant, as unrecognized attribute-
18. value pairs are discarded by user-agents. The purpose of the optextension construct
19. is for use as a documentation mechanism. User-agents MAY also display the names of
20. optional extensions used by a rule, asking the user for confirmation, before making
21. use of a rule.

*All Document Modes (All Versions)*

The following variations apply:

* The **shortname** attribute can use any characters, not just (a–z, A–Z, 0–9). Therefore, the exact attribute-value syntax for optional extensions is not used.
* The names of any optional extensions used by a rule are not displayed.

V0008:

The specification states:

1. req-extension-clause
2. This clause has two defined attributes: extension-name and shortname. The value of
3. the extension-name attribute specifies the name of an extension that will be used
4. by this rule. The name of the extension is the quotedURL; this URL should point to
5. a human-readable description of this extension. URLs are used for extension names
6. to insure uniqueness without requiring a central naming body. The value of the
7. shortname attribute is a quoted string, but MUST use only valid attribute name
8. characters (a-z, A-Z, 0-9). The shortname is used as a prefix of attribute names,
9. to identify attributes defined by this extension.
10. If a user-agent is asked to process a request about the acceptability of a URL,
11. using a rule which contains a req-extension-clause which the user agent does not
12. recognize, the user agent should signal an error.

*All Document Modes (All Versions)*

The **shortname** attribute can use any characters, not just (a–z, A–Z, 0–9). Therefore, the exact attribute-value syntax for required extensions is not used.

V0009:

The specification states:

1. Restrictions
2. The following semantic restrictions are imposed on rules:
3. The name, and source clauses MUST NOT appear more than once each in a PICSRules
4. rule.
5. The optextension, reqextension, and serviceinfo clauses MAY appear more than once
6. in a PICSRules rule.
7. Each Policy clause MUST have exactly one attribute from the set of {AcceptIf,
8. RejectIf, AcceptUnless, RejectUnless, AcceptByURL, RejectByURL}.
9. A rule MAY contain any number of Policy clauses.
10. A Policy clause MUST NOT contain more than one explanation attribute.
11. The shortname attribute of an extension clause or a service clause takes a quoted
12. string as a value, but that string MUST include only characters that are acceptable
13. for use in attribute names.
14. A PICSRules parser MUST maintain the order of the values (or value-lists) given for
15. the attributes in a rule.

*All Document Modes (All Versions)*

The following variations apply:

* Policy clauses that contain multiple attributes are acceptable.
* The **shortname** attribute can use any characters, not just (a–z, A–Z, 0–9).

V0010:

The specification states:

1. ipwild :: ipcomponent '.' ipcomponent '.' ipcomponent '.' ipcomponent
2. ipcomponent :: integer between '0' and '255' inclusive
3. bitlength :: integer between '0' and '32' inclusive

*All Document Modes (All Versions)*

The following variations apply:

* Values greater than 255 and less than 0 are valid for **ipcomponent**.
* Values greater than 32 and less than 0 are valid for **bitlength**.

V0011:

The specification states:

1. When comparing a URLpattern to a URL, the rule interpreter MUST NOT unencode the
2. URL (e.g., do not convert %2F to /). If the pattern can be interpreted as an
3. internet-pattern, then the pattern is divided into its component parts and the URL
4. matches the pattern if a match occurs on every component that is included in the
5. pattern.

*All Document Modes (All Versions)*

When comparing a **URLpattern** to a URL, the rule interpreter decodes the URL (for example, it converts %2F to /).

V0012:

The specification states:

1. user
2. '\*' at the beginning or end of the pattern matches any number of characters in the
3. URL string. '%\*' at the beginning or end of the pattern matches the single
4. character '\*' in the URL string. Characters in the middle of the pattern must match
5. exactly the characters in the URL string; this comparison is case-sensitive. A user
6. component of "\*" in the pattern also matches URLs that omit the user component. If
7. the user component is omitted from the pattern, there is a match only if the user
8. component is also omitted from the URL.

*All Document Modes (All Versions)*

User components in the URL are not supported.

V0013:

The specification states:

1. host
2. '\*' at the beginning of the pattern matches any number of characters in the URL
3. string. '%\*' at the beginning of the pattern matches the single character '\*' in
4. the URL string. Subsequent characters in the pattern must exactly match the
5. remaining characters in the URL string; this comparison is case-insensitive. Note
6. that if the pattern specifies a host name (or a host name with wildcards), it does
7. not match a URL that specifies an IP address, even if the host name in the pattern
8. would resolve to the IP address in the URL. This avoids the need to perform
9. expensive reverse DNS lookups based on IP addresses in URLs. Either a host or an
10. ipwild component MUST be specified in the URL pattern.

*All Document Modes (All Versions)*

Host or ipwild components are not required to be specified in the URL pattern.

## Error Handling

There are no additional considerations for error handling.

## Security

There are no additional security considerations.

# Change Tracking

No table of changes is available. The document is either new or has had no changes since its last release.

# Index

C

[Change tracking](#section_04ebac76a7c8459f954206edcf289e9c) 13

G

[Glossary](#section_e276dedca73242fa92d00a9a1091f8de) 4

I

[Informative references](#section_d6a0e2c6aee241bfbd82109768a9caaf) 4

[Introduction](#section_67a82042c74343ab8e5c1260dee95955) 4

N

[Normative references](#section_93e9abbbc8594b49b70b1f51c7d4c1bf) 4

R

References

 [informative](#section_d6a0e2c6aee241bfbd82109768a9caaf) 4

 [normative](#section_93e9abbbc8594b49b70b1f51c7d4c1bf) 4

T

[Tracking changes](#section_04ebac76a7c8459f954206edcf289e9c) 13