## [MS-XMLMC]:

## XML Schema for Media Control Extensions

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## Revision Summary

| Date | Revision <br> History | Revision <br> Class | Comments |
| :--- | :--- | :--- | :--- |
| $4 / 4 / 2008$ | 0.1 | New | Initial version |
| $4 / 25 / 2008$ | 0.2 | Minor | Updated based on feedback |
| $6 / 27 / 2008$ | 1.0 | Major | Updated and revised the technical content. |
| $8 / 15 / 2008$ | 1.01 | Minor | Revised and edited the technical content. |
| $12 / 12 / 2008$ | 2.0 | Major | Updated and revised the technical content |
| $2 / 13 / 2009$ | 2.01 | Minor | Updated with latest template bug fixes (redlined) |
| $3 / 13 / 2009$ | 2.02 | Major | Revised and edited the technical content. |
| $7 / 13 / 2009$ | 2.03 | 2.04 | None |


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## Table of Contents

1 Introduction ..... 6
1.1 Glossary ..... 6
1.2 References ..... 7
1.2.1 Normative References ..... 7
1.2.2 Informative References ..... 7
1.3 Overview ..... 7
1.4 Relationship to Other Protocols ..... 8
1.5 Prerequisites/Preconditions ..... 8
1.6 Applicability Statement ..... 8
1.7 Versioning and Capability Negotiation ..... 8
1.8 Vendor-Extensible Fields ..... 8
1.9 Standards Assignments ..... 9
2 Messages ..... 10
2.1 Transport ..... 10
2.2 Message Syntax ..... 10
2.2.1 picture_freeze ..... 10
3 Protocol Details ..... 11
3.1 Originating Video Source Details ..... 11
3.1.1 Abstract Data Model ..... 11
3.1.2 Timers ..... 11
3.1.3 Initialization ..... 11
3.1.4 Higher-Layer Triggered Events ..... 11
3.1.5 Message Processing Events and Sequencing Rules ..... 11
3.1.5.1 Processing a Received Picture_Freeze Message ..... 11
3.1.5.2 Error Cases ..... 12
3.1.6 Timer Events ..... 12
3.1.7 Other Local Events. ..... 12
3.2 Central Video Processor Details. ..... 12
3.2.1 Abstract Data Model ..... 12
3.2.1.1 Stream-Id-Specific Forms of Video Control Primitives ..... 13
3.2.2 Timers ..... 13
3.2.3 Initialization........ ..... 13
3.2.4 Higher-Layer Triggered Events ..... 13
3.2.5 Message Processing Events and Sequencing Rules ..... 14
3.2.6 Timer Events. ..... 14
3.2.7 Other Local Events ..... 14
4 Protocol Examples ..... 15
5 Security ..... 16
5.1 Security Considerations for Implementers ..... 16
5.2 Index of Security Parameters ..... 16
6 Appendix A: XML Schema for Media Control ..... 17
7 Appendix B: Product Behavior ..... 18
8 Change Tracking ..... 19
9 Index ..... 20

## 1 Introduction

This document specifies the XML Schema for Media Control Extensions Protocol. This protocol is a proprietary extension to an Internet-Draft Proposal entitled "XML Schema for Media Control," which is described in [IETFDRAFT-XMLSMC-12]. [IETFDRAFT-XMLSMC-12] describes media control messages for Session Initiation Protocol (SIP)-based systems that send or receive video using the Real-Time Transport Protocol (RTP).

This protocol extends [IETFDRAFT-XMLSMC-12] by adding one new media control command instructing a sender to stop or suspend transmission of real-time video streams during a multimedia session.

Sections 1.5, 1.8, 1.9, 2, and 3 of this specification are normative. All other sections and examples in this specification are informative.

### 1.1 Glossary

This document uses the following terms:
Central Video Processor (CVP): An entity that centrally processes multiple received video streams and distributes the resulting, processed streams to the parties in a multiparty video conference. An example of a CVP is a video Multipoint Control Unit (MCU).

Multipoint Control Unit (MCU): A server endpoint that offers mixing services for multiparty, multiuser conferencing. An MCU typically supports one or more media types, such as audio, video, and data.

Multipurpose Internet Mail Extensions (MIME): A set of extensions that redefines and expands support for various types of content in email messages, as described in [RFC2045], [RFC2046], and [RFC2047].
originating video source (OVS): An entity that locally produces a video stream and sends the video stream to another party or to a Multipoint Control Unit (MCU). For example, a protocol client that is configured with a video camera.
primitive: A basic or fundamental message-based operation that is defined by a communications protocol.

Real-Time Transport Control Protocol (RTCP): A network transport protocol that enables monitoring of Real-Time Transport Protocol (RTP) data delivery and provides minimal control and identification functionality, as described in [RFC3550].

Real-Time Transport Protocol (RTP): A network transport protocol that provides end-to-end transport functions that are suitable for applications that transmit real-time data, such as audio and video, as described in [RFC3550].

Session Description Protocol (SDP): A protocol that is used for session announcement, session invitation, and other forms of multimedia session initiation. For more information see [MS-SDP] and [RFC3264].

Session Initiation Protocol (SIP): An application-layer control (signaling) protocol for creating, modifying, and terminating sessions with one or more participants. SIP is defined in [RFC3261].

MAY, SHOULD, MUST, SHOULD NOT, MUST NOT: These terms (in all caps) are used as defined in [RFC2119]. All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

[^0]
### 1.2 References

Links to a document in the Microsoft Open Specifications library point to the correct section in the most recently published version of the referenced document. However, because individual documents in the library are not updated at the same time, the section numbers in the documents may not match. You can confirm the correct section numbering by checking the Errata.

### 1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact dochelp@microsoft.com. We will assist you in finding the relevant information.
[IETFDRAFT-XMLSMC-12] Levin, O., Even, R., and Hagendorf, P., "XML Schema for Media Control", draft-levin-mmusic-xml-media-control-12, November 2007, http://ietfreport.isoc.org/all-ids/draft-levin-mmusic-xml-media-control-12.txt
[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, http://www.rfc-editor.org/rfc/rfc2119.txt
[RFC2976] Donovan, S., "The SIP INFO Method", RFC 2976, October 2000, http://www.rfceditor.org/rfc/rfc2976.txt
[RFC3264] Rosenberg, J., and Schulzrinne, H., "An Offer/Answer Model with the Session Description Protocol (SDP)", RFC 3264, June 2002, http://www.rfc-editor.org/rfc/rfc3264.txt
[RFC4566] Handley, M., Jacobson, V., and Perkins, C., "SDP: Session Description Protocol", RFC 4566, July 2006, http://www.ietf.org/rfc/rfc4566.txt

### 1.2.2 Informative References

None.

### 1.3 Overview

The Session Initiation Protocol (SIP) and the Session Description Protocol (SDP) together describe the mechanisms and message syntax for establishing point-to-point multimedia sessions. Various media types are specified in SDP, including real-time video using the Real-Time Transport Protocol (RTP). Separately, [RFC2976] section 1 describes the SIP INFO method as an extensibility mechanism for SIP. The SIP INFO method provides a general-purpose message transport for application-level information to be transferred within an existing SIP communication context. The SIP INFO method allows various message types to be transferred along the SIP signaling path, but does not specify message content or semantics.
[IETFDRAFT-XMLSMC-12] sections 4 and 5 specify message semantics and a schema for specific video media control messages to be carried in a SIP INFO method. Only the proprietary extensions to [IETFDRAFT-XMLSMC-12] are specified in this document.

This protocol is intended for use in the specific circumstance when video control messages are sent from a Central Video Processor (CVP), such as a video Multipoint Control Unit (MCU), to an originating video source (OVS).
[IETFDRAFT-XMLSMC-12] section 9 describes a new Multipurpose Internet Mail Extensions (MIME) content type for Extensible Markup Language (XML)-encoded media control messages, and defines a schema for the message body. The message schema includes the hierarchical definition of a media control primitive type, a video control primitive type, and a video encoder control primitive type. Finally, the picture_fast_update element is defined as a video encoder control primitive type.

The picture_fast_update element is a media control message that requests the sender of an RTP video stream to send a full video frame update as soon as possible.

This protocol defines one new video encoder control primitive by adding the picture_freeze element to the XML schema. This element is a media control message that requests the sender of an RTP video stream to suspend, or stop, sending RTP video until further notice.

In multiparty video sessions where video is centrally switched by a CVP, there are typically many more video sources at any given moment than necessary. If all participants in a multiparty conference are sending video to the CVP and only a small number of these are actually distributed back to protocol clients, network bandwidth is unnecessarily consumed by the unused video streams. In addition to consuming network bandwidth, the unused streams also consume resources on the CVP, because the CVP continues to receive, and then discard, the unused video data.

A standard signaling mechanism for stopping and starting RTP streams, by changing directional attributes of SDP, is described in [RFC3264] section 8. Typical implementations of video-enabled applications using [RFC3264] assume application-specific behavior associated with changes in value of the directional attributes; for example, by closing local video preview windows and stopping video capture. This behavior is undesirable when the start/stop sequence is frequent and/or transient in nature.

This protocol provides the means for the receiver of video, or CVP, to request the sender to stop sending its video stream without changing any SIP session state. When the picture_freeze message defined in this protocol is used in conjunction with the existing picture_fast_update message, it provides a lightweight and low-latency signaling mechanism for pausing and re-starting real-time video streams.

### 1.4 Relationship to Other Protocols

This protocol depends on the Internet-Draft [IETFDRAFT-XMLSMC-12], which establishes the base schema for video control primitives in Session Initiation Protocol (SIP)-based systems.
[IETFDRAFT-XMLSMC-12] in turn depends on the SIP INFO Method extension, as described in [RFC2976]. This protocol relates specifically to Session Description Protocol (SDP) video media types, as described in [RFC4566].

### 1.5 Prerequisites/Preconditions

None.

### 1.6 Applicability Statement

This protocol is applicable only to protocol clients or servers participating in multiparty video sessions where video is centrally processed by a Central Video Processor (CVP). The picture_freeze message is sent only by CVPs during the course of centralized multiparty conferences, and received picture_freeze messages are processed only by an originating video source (OVS). This protocol is not applicable to generic point-to-point multimedia sessions and/or to media types other than RealTime Transport Protocol (RTP) video.

### 1.7 Versioning and Capability Negotiation

None.

### 1.8 Vendor-Extensible Fields

None.

[^1]
### 1.9 Standards Assignments

None.


## 2 Messages

### 2.1 Transport

The payload for this protocol is carried as the message payload of the SIP INFO method, as specified in [RFC2976] section 3.

### 2.2 Message Syntax

The message schema on which this extension is based is defined in [IETFDRAFT-XMLSMC-12] section 5. The relevant sections of that schema are included in section 2.2 .1 to show context. To view the full schema, see section $\underline{6}$.

This protocol adds one media control primitive to the media control primitives defined in [IETFDRAFT-XMLSMC-12] section 5, so one element representing this primitive is added to the schema defined in that same document.

### 2.2.1 picture_freeze

The picture_freeze message extends the schema with one element named "picture_freeze", as follows.
<xs:complexType name="to_encoder">
[xs:choice](xs:choice)
<xs:element name="picture_fast_update"/>
<xs:element name="picture_freeze"/>
</xs:choice>
</xs:complexType>

## 3 Protocol Details

### 3.1 Originating Video Source Details

This section describes the processing of received picture_freeze messages by an entity acting as an originating video source (OVS). An OVS SHOULD NOT send the picture_freeze message.

### 3.1.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

This protocol is stateless with respect to the sending of its messages. Because there is no synchronization of state between picture_freeze messages and the video media states established by the Session Description Protocol (SDP) offer/answer model, as specified in [RFC3264] section 4 and [RFC4566] section 4, an originating video source (OVS) MUST respond consistently to all SIP INFO messages that contain the picture_freeze message, regardless of what media control actions are taken, or not taken, at the time the individual messages are processed.

Section 3.1.5 describes the relationships between OVS behaviors and the receipt of the picture_freeze message.

### 3.1.2 Timers

None.

### 3.1.3 Initialization

No initialization is required, other than the constraints specified in [RFC2976] section 2, regarding establishment of a Session Initiation Protocol (SIP) session before a SIP INFO message can be transferred.

### 3.1.4 Higher-Layer Triggered Events

None.

### 3.1.5 Message Processing Events and Sequencing Rules

### 3.1.5.1 Processing a Received Picture_Freeze Message

An originating video source (OVS) that implements this protocol has only two possible courses of action to take when a picture_freeze message is received. It either suspends sending Real-Time Transport Protocol (RTP) frames containing video payloads, or it takes no action because the OVS is already in a state where no RTP video frames are being sent. This protocol extension is stateless, and thus subsequent to processing the received picture_freeze message according to the rules specified in this section, an OVS SHOULD NOT retain or persist any state related to the received picture_freeze message.

An OVS is not required to implement this protocol. However, an OVS that implements this protocol MUST also implement processing of the picture_fast_update message, as specified in [IETFDRAFT-XMLSMC-12] section 4. This is because the picture_freeze message is used to temporarily suspend
sending RTP video packets, and the picture_fast_update message is used to resume sending RTP video packets.

When an OVS processes a received picture_freeze message, it SHOULD suspend sending RTP video packets if it is currently sending them.

If an OVS does suspend sending RTP video packets in response to processing a received picture_freeze message, it MUST continue to send Real-Time Transport Control Protocol (RTCP) packets.

Note: The same behavior is recommended, but not mandated, by [RFC4566] section 6, specifically regarding the a=recvonly and a=inactive attributes for RTP-based systems.

- Recvonly applies to the media only, not to any associated control protocol. An RTP-based system in recvonly mode SHOULD still send RTCP packets.
- An RTP-based system SHOULD still send RTCP, even if it started inactive.


### 3.1.5.2 Error Cases

This protocol does not introduce any new potential error conditions in addition to those specified in [IETFDRAFT-XMLSMC-12] section 7.2, which defines the format for reporting a parsing error.

Recall that there is no expectation of a synchronization state between picture_freeze messages and any other messages, including the negotiated Session Description Protocol (SDP) media state that is carried in offer/answer messages, as specified in [RFC3264] section 6. For that reason, an originating video source (OVS) MUST NOT return any error messages in response to a well-formed picture_freeze message, regardless of the relevance of the picture_freeze message to the current state of the OVS application.

### 3.1.6 Timer Events

None.

### 3.1.7 Other Local Events

None.

### 3.2 Central Video Processor Details

Central Video Processor (CVP) entities can send picture_freeze messages.

### 3.2.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

This protocol is stateless with respect to the flow of messages on the wire.
The implementation of the Central Video Processor (CVP) determines what internal state logic best represents its video-processing features. A CVP implementation that centrally processes Real-Time Transport Protocol (RTP) video media might or might not operate in modes where only a subset of received RTP video media streams are actually processed at any given moment. This protocol is intended to reduce network bandwidth in cases where the CVP implementation intentionally stops
processing a received RTP video media stream for a finite period of time. The decision to stop processing a received RTP stream is entirely in the domain of the CVP implementation.

Regardless of the implementation and how many received streams are processed at any one time, a typical implementation might arrive at a decision to begin processing one received stream at the expense of another. Although implementations can take other forms, the following example illustrates how a typical CVP implementation uses this protocol.

Consider a multipoint conference in progress, with the CVP processing received RTP video from multiple endpoints. A Session Initiation Protocol (SIP) session has been established between the CVP and each of the protocol client endpoints. At the point in time where this example begins, the CVP is processing received RTP video from only a subset of all endpoints, one of which is named "endpoint A." The CVP is not processing received RTP video from the remaining endpoints, regardless of whether or not those endpoints are sending RTP video packets. One of the remaining endpoints is named "endpoint B."

When the CVP implementation logic determines that it needs to begin, or resume, processing RTP video from endpoint $B$ instead of endpoint $A$, the CVP sends the following two messages:

- A picture_fast_update message to endpoint B.
- A picture_freeze message to endpoint A.

The CVP can send the messages in any order, although a prudent implementation ensures that RTP video was, in fact, being received from endpoint $B$ before sending the picture_freeze to endpoint $A$.

### 3.2.1.1 Stream-Id-Specific Forms of Video Control Primitives

The XML schema specified in [IETFDRAFT-XMLSMC-12] section 5 defines an optional stream_id element within the vc_primitive element. The text of [IETFDRAFT-XMLSMC-12] does not specify the semantics of the stream_id element.

This specification assumes an environment where the video is centrally processed (see section 1.6, Applicability Statement earlier) and that the video control messages are sent only by CVPs. In that environment, it is assumed that if the Central Video Processor (CVP) is receiving multiple video streams per protocol client, all of a protocol client's video streams will be started and/or stopped in unison.

Therefore, CVP implementations SHOULD NOT include the optional stream_id element when sending either the picture_fast_update or the picture_freeze message.

### 3.2.2 Timers

None.

### 3.2.3 Initialization

This protocol does not require any initialization, other than the constraints specified in [RFC2976] section 2, regarding establishment of a Session Initiation Protocol (SIP) session before a SIP INFO message can be transferred.

### 3.2.4 Higher-Layer Triggered Events

There are no prescribed triggered events required for implementation of this protocol.
The server can send a picture_freeze message when its internal video source selection logic determines that an input video stream is not being routed to any of the other clients and is therefore
unnecessary. An implementation can use any implementation-specific state changes or inputs as a factor in determining when to send the picture_freeze message.

If picture_freeze and picture_fast_update messages are not sent, clients continue to send video packets to the server, even when the server is discarding all video packets from that client.

### 3.2.5 Message Processing Events and Sequencing Rules

None.

### 3.2.6 Timer Events

None.

### 3.2.7 Other Local Events

None.

## 4 Protocol Examples

A typical message body containing the picture_freeze message is shown in the following example. This message body can be carried in any valid SIP INFO message according to [RFC2976] section 2.

```
<?xml version="1.0" encoding="utf-8" ?>
<media_control>
    <vc_primitive>
            <to_encoder>
                <picture_freeze/>
            </to_encoder>
        </vc_primitive>
</media_control>
```


## 5 Security

### 5.1 Security Considerations for Implementers

None.

### 5.2 Index of Security Parameters

None.

## 6 Appendix A: XML Schema for Media Control

The schema described in [IETFDRAFT-XMLSMC-12] section 5 is included here for reference. Note that the schema described in [IETFDRAFT-XMLSMC-12] section 5 contains a typographical error in the line that defines the picture_fast_update element.

```
<?xml version="1.0" encoding="utf-8" ?>
<xs:schema id="TightMediaControl"
    elementFormDefault="qualified"
    xmlns:xsd="http://www.w3.org/2001/XMLSchema">
        <xs:element name="media_control">
            <xs:complexType>
                <xs:sequence>
                        <xs:element name="vc primitive"
                        type="vc_primitive"
                            minOccurs="0"
                            maxOccurs="unbounded" />
                                <xs:element name="general error"
                            type="xs:string"
                            minOccurs="0"
                            maxOccurs="unbounded" />
                </xs:sequence>
            </xs:complexType>
        </xs:element>
    <!-- Video control primitive. -->
            <xs:complexType name="vc_primitive">
            <xs:sequence>
                <xs:element name="to_encoder" type="to_encoder" />
                <xs:element name="stream id"
                            type="xsd:string"
                        minOccurs="0"
                            maxOccurs="unbounded" />
            </xs:sequence>
            </xs:complexType>
    <!-- Encoder Command:
        Picture Fast Update
    -->
            <xs:complexType name="to_encoder">
            <xs:choice>
                <xsd:element name="picture_fast_update"/>
            </xs:choice>
            </xs:complexType>
</xs:schema>
```


## 7 Appendix B: Product Behavior

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include updates to those products.

- Microsoft Office Communications Server 2007
- Microsoft Office Communicator 2007
- Microsoft Office Communications Server 2007 R2
- Microsoft Office Communicator 2007 R2
- Microsoft Lync Server 2010
- Microsoft Lync 2010
- Microsoft Lync Server 2013
- Microsoft Lync Client 2013/Skype for Business
- Microsoft Skype for Business 2016
- Microsoft Skype for Business Server 2015
- Microsoft Skype for Business 2019 Preview

Exceptions, if any, are noted in this section. If an update version, service pack or Knowledge Base (KB) number appears with a product name, the behavior changed in that update. The new behavior also applies to subsequent updates unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms "SHOULD" or "SHOULD NOT" implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term "MAY" implies that the product does not follow the prescription.

## 8 Change Tracking

This section identifies changes that were made to this document since the last release. Changes are classified as Major, Minor, or None.

The revision class Major means that the technical content in the document was significantly revised. Major changes affect protocol interoperability or implementation. Examples of major changes are:

- A document revision that incorporates changes to interoperability requirements.
- A document revision that captures changes to protocol functionality.

The revision class Minor means that the meaning of the technical content was clarified. Minor changes do not affect protocol interoperability or implementation. Examples of minor changes are updates to clarify ambiguity at the sentence, paragraph, or table level.

The revision class None means that no new technical changes were introduced. Minor editorial and formatting changes may have been made, but the relevant technical content is identical to the last released version.

The changes made to this document are listed in the following table. For more information, please contact dochelp@microsoft.com.

| Section | Description | Revision class |
| :--- | :--- | :--- |
| $\square$ Appendix B: Product Behavior | Updated list of supported products. | major |

## 9 Index

A
Abstract data model
Central Video Processor 12
originating video source 11
Abstract data model - Central Video Processor video control primitives 13
Applicability 8

## C

Capability negotiation 8
Central Video Processor overview 12
Central Video Processor - abstract data model 12 video control primitives 13
Central Video Processor - higher-layer triggered events 13
Central Video Processor - initialization 13
Central Video Processor - local events 14
Central Video Processor - message processing 14
Central Video Processor - sequencing rules 14
Central Video Processor - timer events 14
Central Video Processor - timers 13
Change tracking 19

## D

Data model - abstract
Central Video Processor 12 video control primitives 13
Data model - abstract originating video source 11

## E

Examples 15

## F

Fields - vendor-extensible 8

## G

Glossary 6

## H

Higher-layer triggered events - Central Video
Processor 13
Higher-layer triggered events - originating video source 11

## I

Implementer - security considerations 16
Index of security parameters 16
Informative references 7
Initialization - Central Video Processor 13
Initialization - originating video source 11
Introduction 6

## L

Local events - Central Video Processor 14
Local events - originating video source 12

## M

Media control schema 17
Message processing - Central Video Processor 14
Message processing - originating video source
error cases 12
picture freeze message received 11
Messages
picture freeze 10
transport 10

## N

Normative references 7
0
Originating video source
overview 11
Originating video source - abstract data model 11
Originating video source - higher-layer triggered events 11
Originating video source - initialization 11
Originating video source - local events 12
Originating video source - message processing error cases 12 picture freeze message received 11
Originating video source - sequencing rules error cases 12 picture freeze message received 11
Originating video source - timer events 12
Originating video source - timers 11
Overview (synopsis) 7

## P

Parameters - security index 16
picture freeze message 10
Preconditions 8
Prerequisites 8
Product behavior 18

## R

References 7
informative 7
normative 7
Relationship to other protocols 8

## $\mathbf{S}$

Schema 17

## Security

implementer considerations 16
parameter index 16

Sequencing rules - Central Video Processor 14
Sequencing rules - originating video source error cases 12
picture freeze message received 11
Standards assignments 9

## T

Timer events - Central Video Processor 14
Timer events - originating video source 12
Timers - Central Video Processor 13
Timers - originating video source 11
Tracking changes 19
Transport 10
Triggered events - Central Video Processor 13
Triggered events - originating video source 11

## v

Vendor-extensible fields 8
Versioning 8

## x

XML schema for media control 17


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