## [MS-PATCH]:

## LZX DELTA Compression and Decompression

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## Revision Summary

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## 1 Introduction

Delta compression is a technique in which one set of data can be compressed within the context of a reference set of data that is supplied both to the compressor and decompressor. Delta compression is commonly used to encode updates to similar existing data sets so that the size of compressed data can be significantly reduced relative to ordinary non-delta compression techniques. Expanding a delta-compressed set of data requires that the exact same reference data be provided during decompression.

### 1.1 Glossary

The following terms are defined in [MS-OXGLOS]:

```
header
Lempel-Ziv Extended (LZX)
Lempel-Ziv Extended Delta (LZXD)
little-endian
stream
```

The following terms are specific to this document:
MAY, SHOULD, MUST, SHOULD NOT, MUST NOT: These terms (in all caps) are used as described in [RFC2119]. All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

### 1.2 References

### 1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact dochelp@microsoft.com. We will assist you in finding the relevant information. Please check the archive site, http://msdn2.microsoft.com/en-us/library/E4BD6494-06AD-4aed-9823-445E921C9624, as an additional source.
[MS-CAB] Microsoft Corporation, "Cabinet File Format", April 2008.
[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, http://www.ietf.org/rfc/rfc2119.txt
[UASDC] Ziv, J., and Lempel, A., "A Universal Algorithm for Sequential Data Compression", IEEE Transactions On Information Theory, Vol. IT-23, No. 3, May 1977, http://ieeexplore.ieee.org/iel5/18/22696/01055714.pdf

### 1.2.2 Informative References

[MS-OXGLOS] Microsoft Corporation, "Exchange Server Protocols Master Glossary", April 2008.

### 1.3 Overview

LZXD (D for Delta) is an LZX variant that is modified to facilitate efficient delta-compression. LZXD provides a mechanism for both compressor and decompressor to refer to a common reference set of data, and relaxes the constraint that match offset be constrained to less than the current position in the output stream, allowing match offset to refer to the logically prepended reference data. This

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effectively enables the compressed data stream to encode "matches" both from the reference data and from the uncompressed data stream.

### 1.4 Relationship to Protocols and Other Structures

LZ77 refers to the well-known Lempel-Ziv 1977 sliding window data compression algorithm, as specified in [UASDC].

LZX is an LZ77-based compressor that uses static Huffman encoding and a sliding window of selectable size. LZX is most commonly known as part of the Microsoft Cabinet compression format, as specified in [MS-CAB]. Data symbols are encoded either as an uncompressed symbol, or as a logical (offset, length) pair indicating that length symbols shall be copied from a displacement of offset symbols from the current position in the output stream. The value of offset is constrained to be less than the current position in the output stream, up to the size of the sliding window.

### 1.5 Applicability Statement

None.

### 1.6 Versioning and Localization

None.

### 1.7 Vendor-Extensible Fields

None.

## 2 Structures

LZXD compressed data consists of a header that indicates the file translation size, followed by a sequence of compressed blocks. A stream of uncompressed input can be output as multiple compressed LZXD blocks to improve compression, because each compressed block contains its own statistical tree structures.

| Header | Block | Block | Block | $\ldots$ |
| :--- | :--- | :--- | :--- | :--- |

A block can be one of following types:

- Uncompressed
- Aligned offset
- Verbatim

The structure of these blocks is specified in sections 2.3.2.1, 2.3.2.2, and 2.3.2.3.

### 2.1 Concepts

### 2.1.1 Bitstream

An LZXD Bitstream is encoded as a sequence of aligned 16 -bit integers stored in the order least-significant-byte most-significant-byte, also known as byte-swapped or little-endian words. Given an input stream of bits named $a, b, c, \ldots, x, y, z, A, B, C, D, E, F$, the output byte stream (with byte boundaries highlighted) MUST be as follows:


### 2.1.2 Window Size

The sliding window size MUST be a power of 2 , from $2^{17}$ ( 128 KB ) up to $2^{25}$ ( 32 MB ). The window size is not stored in the compressed data stream, and MUST be specified to the decoder before decoding begins. The window size SHOULD be the smallest power of two between $2^{17}$ and $2^{25}$ that is greater than or equal to the sum of the size of the reference data rounded up to a multiple of 32,768 and the size of the subject data.

### 2.1.3 Reference Data

For delta compression, the reference data is a sequence of bytes given to the compressor prior to compressing the subject data. The exact same reference data sequence MUST be given to the decompressor prior to decompression. The reference data sequence is treated as logically prepended to the subject data sequence being compressed or decompressed. During decompression, match offsets are negative displacements from the "current position" in the output stream, up to the specified Window Size. When match offset values exceed the number of bytes already emitted in the uncompressed output stream, they are simply pointing into the reference data that is logically prepended to the subject data.

| Offset | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Value | A | B | C | D | E | F | G | H | I | J | a | b | c | D | E | F | a | b | c | e |
|  | Reference Data Sequence |  |  |  |  |  |  |  |  |  | Subject Data Sequence |  |  |  |  |  |  |  |  |  |

In this example, the reference data is 10 bytes long and consists of the sequence "ABCDEFGHIJ". The data to be compressed, or the subject data, is also 10 bytes long (although the data does not have to be the same length as the reference data) and consists of "abcDEFabce". A valid encoded sequence would consist of the following tokens:
'a', 'b', 'c', (match offset -10, length 3), (match offset -6, length 3), 'e'
The first match offset exceeds the amount of subject data already in the window, pointing instead into the reference data portion. The second match offset does not exceed the amount of subject data in the window and instead refers to a portion of the subject data previously compressed or decompressed.

### 2.1.4 Repeated Offsets

LZXD extends the conventional LZ77 format in several ways, one of which is in the use of repeated offset codes. Three match offset codes, named the repeated offset codes, are reserved to indicate that the current match offset is the same as that of one of the three previous matches, which is not itself a repeated offset.

The three special offset codes are encoded as offset values 0,1 , and 2 (for example, encoding an offset of 0 means "use the most recent non-repeated match offset", an offset of 1 means "use the second most recent non-repeated match offset", and so on). All remaining Encoded offset values are displaced by Real offset +2 , as is shown in the following table, which prevents matches at offsets WINDOW_SIZE, WINDOW_SIZE-1, and WINDOW_SIZE-2.

| Encoded offset | Real offset |
| :--- | :--- |
| 0 | Most recent real match offset |
| 1 | Second most recent match offset |
| 2 | Third most recent match offset |
| 3 | 1 (closest allowable) |
| 4 | 2 |
| 5 | 3 |
| 6 | 4 |
| 7 | 5 |
| 8 | 6 |
| 500 | 498 |
| X+2 | X |
| WINDOW_SIZE-1 <br> (maximum possible) | WINDOW_SIZE-3 |

The three most recent real match offsets are kept in a list, the behavior of which is explained as follows:

Let RO be defined as the most recent real offset
Let R1 be defined as the second most recent offset

Let R2 be defined as the third most recent offset
The list is managed similarly to an LRU (least recently used) queue, with the exception of the cases when R1 or R2 is output. In these cases, R1 or R2 is simply swapped with R0, which requires fewer operations than an LRU queue would.

The initial state of R0, R1, R2 is (1, 1, 1).

| Match offset $X$ where... | Operation |
| :--- | :--- |
| $X \neq R 0$ and $X \neq R 1$ and $X \neq R 2$ | $R 2 \leftarrow R 1$ |
|  | $R 1 \leftarrow R 0$ |
|  | $R 0 \leftarrow X$ |
| $X=R 0$ | None |
| $X=R 1$ | swap $R 0 \leftrightarrow R 1$ |
| $X=R 2$ | swap $R 0 \leftrightarrow R 2$ |

### 2.1.5 Match Lengths

The minimum match length (number of bytes) encoded by LZXD is 2 bytes, and the maximum match length is 32,768 bytes. However, no match of any length can span a modulo-32 KB boundary in the uncompressed stream. Match length encoding is combined with match position encoding as described in section 2.6.

### 2.1.6 Position Slot

The window size determines the number of window subdivisions, or "position slots", as shown in the following table.

| Window size | Position slots required |
| :--- | :--- |
| 128 KB | 34 |
| 256 KB | 36 |
| 512 KB | 38 |
| 1 MB | 42 |
| 2 MB | 50 |
| 4 MB | 66 |
| 8 MB | 98 |
| 16 MB | 162 |
| 32 MB | 290 |

### 2.2 Header

### 2.2.1 Chunk Size

The LZXD compressor emits chunks of compressed data. A chunk represents exactly 32 KB of uncompressed data until the last chunk in the stream, which can represent less than 32 KB . In order to ensure that an exact number of input bytes represent an exact number of output bytes for each chunk, after each 32 KB of uncompressed data is represented in the output compressed bitstream, the output bitstream is padded with up to 15 bits of zeros to re-align the bitstream on a 16 -bit boundary (even byte boundary) for the next 32 KB of data. This results in a compressed chunk of a byte-aligned size. The compressed chunk could be smaller than 32 KB or larger than 32 KB if the data is incompressible when the chunk is not the last one.

The LZXD engine encodes a byte-aligned little-endian 16 -bit compressed chunk size prefix field preceding each compressed chunk in the compressed byte stream. The chunk prefix chain could be followed in the compressed stream without decompressing any data. The next chunk prefix is at a location computed by absolute byte offset location of this chunk prefix plus 2 (for the size of the chunk size prefix field) plus the current chunk size.

### 2.2.2 E8 Call Translation

E8 Call Translation is an optional feature that is sometimes used when the data to compress contains x86 instruction sequences. E8 Translation operates as a pre-processing stage prior to compressing each chunk, and the compressed stream header contains a bit that indicates whether the decoder shall reverse the translation as a post-processing step after decompressing each chunk.

The $x 86$ instruction beginning with a byte value of $0 x E 8$ is followed by a 32 -bit little-endian relative displacement to the call target. When E8 Call Translation is enabled, the following pre-processing step is performed on the uncompressed input prior to compression (assuming little-endian byte ordering):

Let chunk_offset refer to the total number of uncompressed bytes preceding this chunk.
Let E8_file_size refer to the caller-specified value given to the compressor or decoded from the header of the compressed stream during decompression.

For each 32 KB chunk of uncompressed data (or less than 32 KB if last chunk to compress):

```
if (( chunk_offset < 0x40000000 ) && ( chunk_size > 10 ))
    for ( i = 0; i < (chunk_size - 10); i++ )
if ( chunk_byte[ i ] == 0xE8 )
    long current_pointer = chunk_offset + i;
long displacement = chunk_byte[ i+1 ] |
chunk_byte[ i+2 ] << 8 |
chunk_byte[ i+3 ] << 16 |
chunk_byte[ i+4 ] << 24;
long target = current_pointer + displacement;
if (( target >= 0 ) &\overline{& ( target < E8_file_size+current_pointer))}
if ( target >= E8_file_size )
target = displacement - E8_file_size;
endif
chunk_byte[ i+1 ] = (byte) ( target );
chunk_byte[ i+2 ] = (byte)( target >> 8 );
chunk_byte[ i+3 ] = (byte)( target >> 16 );
chunk_byte[ i+4 ] = (byte)( target >> 24 );
endif
```


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```
    i += 4;
endif
endfor
endif
```

After decompression, the E8 scanning algorithm is the same, but the translation reversal is:

```
long value = chunk_byte[ i+1 ] |
chunk_byte[ i+2 ] << \overline{8}
chunk_byte[ i+3 ] << 16 |
chunk_byte[ i+4 ] << 24;
if (( value >= -current_pointer ) && ( value < E8_file_size ))
if (( value >= 0 )
displacement = value - current_pointer;
else
displacement = value + E8_file_size;
endif
chunk_byte[ i+1 ] = (byte)( displacement );
chunk_byte[ i+2 ] = (byte)( displacement >> 8 );
chunk_byte[ i+3 ] = (byte)( displacement >> 16 );
chunk_byte[ i+4 ] = (byte)( displacement >> 24 );
endif
```

The first bit in the first Chunk in the LZXD bitstream (following the 2-byte Chunk Size prefix described below) indicates the presence or absence of two 16-bit fields immediately following the single bit. If the bit is set, E8 translation is enabled using the 32-bit value derived from the two 16bit fields as the E8_file_size provided to the compressor when E8 translation was enabled. Note that E8_file_size is completely independent of the length of the uncompressed data. E8 call translation is always disabled after the 32,768 th chunk (after 1 GB of uncompressed data).

| Field | Comments | Size |
| :--- | :--- | :--- |
| E8 translation | 0-disabled, 1 -enabled | 1 bit |
| Translation size high word | Only present if enabled | 0 or 16 bits |
| Translation size low word | Only present if enabled | 0 or 16 bits |

### 2.3 Block

### 2.3.1 Block Header

An LZXD Block represents a sequence of compressed data that is encoded with the same set of Huffman trees, or a sequence of uncompressed data. There can be one or more LZXD Blocks in a compressed stream, each with its own set of Huffman trees. Blocks do not have to start or end on a chunk boundary; blocks can span multiple chunks, or a single chunk can contain multiple blocks. The number of chunks is related to the size of the data being compressed, while the number of blocks is related to how well the data is compressed. The Block Type field indicates which type of block follows, and the Block Size field indicates the number of uncompressed bytes represented by the block. Following the generic Block header, there is a type-specific header that describes the remainder of the block.

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| Field | Comments | Size |
| :--- | :--- | :--- |
| Block Type | See valid values in section 2.3.1.1 | 3 bits |
| Block Size MSB | Block size high 8 bits of 24 | 8 bits |
| Block Size byte 2 | Block size middle 8 bits of 24 | 8 bits |
| Block Size LSB | Block size low 8 bits of 24 | 8 bits |

### 2.3.1.1 Block Type

Each block of compressed data begins with a 3-bit field indicating the block type, followed by the Block Size and then type-specific Block Data. Of the eight possible values, only three are valid types.

| Bits | Value | Meaning |
| :--- | :--- | :--- |
| 001 | 1 | Verbatim block |
| 010 | 2 | Aligned offset block |
| 011 | 3 | Uncompressed block |
| other | $0,4-7$ | Invalid |

### 2.3.1.2 Block Size

The Block Size field indicates the number of uncompressed bytes that are represented by the block. The maximum Block Size is 224-1 (16MB-1 or 0x00FFFFFF). The Block Size is encoded in the bitstream as three 8 -bit fields comprising a 24-bit value, most significant to least significant, immediately following the Block Type encoding.

### 2.3.2 Block Data

### 2.3.2.1 Uncompressed Block

Following the generic Block header, an uncompressed block begins with 1 to 16 bits of zero padding to align the bit buffer on a 16-bit boundary. At this point, the bitstream ends, and a byte stream begins. Following the zero padding, new 32-bit values for R0, R1, and R2 are output in little-endian form, followed by the uncompressed data bytes themselves. Finally, if the uncompressed data length is odd, one extra byte of zero padding is encoded to re-align the following bitstream.

| Field | Comments | Size |
| :--- | :--- | :--- |
| Padding to align following field on 16-bit boundary | Bits have value of zero | Variable, |
|  |  | $1 . .16$ bits |

Then, the following fields are encoded directly in the byte stream, not the bitstream of byteswapped 16 -bit words:

| Field | Comments | Size |
| :--- | :--- | :--- |
| R0 | LSB to MSB (little-endian dword) | 4 bytes |

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| Field | Comments | Size |
| :--- | :--- | :--- |
| R1 | LSB to MSB (little-endian dword) | 4 bytes |
| R2 | LSB to MSB (little-endian dword) | 4 bytes |
| Uncompressed raw data bytes | Can use direct memcpy | $1 . .224-1$ bytes |
| Padding to re-align bitstream | Only if uncompressed size is odd | 0 or 1 byte |

Then the bitstream of byte-swapped 16 bit integers resumes for the next Block Type field (if there are subsequent blocks).

The decoded R0, R1, and R2 values are used as initial Repeated Offset values to decode the subsequent compressed block if present.

### 2.3.2.2 Verbatim Block

The following table lists the fields of a Verbatim block that follow the generic Block header.

| Entry | Comments | Size |
| :--- | :--- | :--- |
| Pre-tree for first 256 elements of main tree | 20 elements, 4 bits each | 80 bits |
| Path lengths of first 256 elements of main tree | Encoded using pre-tree | Variable |
| Pre-tree for remainder of main tree | 20 elements, 4 bits each | 80 bits |
| Path lengths of remaining elements of main tree | Encoded using pre-tree | Variable |
| Pre-tree for length tree | 20 elements, 4 bits each | 80 bits |
| Path lengths of elements in length tree | Encoded using pre-tree | Variable |
| Token sequence (matches and literals) | Described in section 2.6. | Variable |

### 2.3.2.3 Aligned Offset Block

An Aligned offset block is identical to the Verbatim block except for the presence of the Aligned Offset Tree preceding the other trees.

| Entry | Comments | Size |
| :--- | :--- | :--- |
| Aligned offset tree | 8 elements, 3 bits each | 24 bits |
| Pre-tree for first 256 elements of main tree | 20 elements, 4 bits each | 80 bits |
| Path lengths of first 256 elements of main tree | Encoded using pre-tree | Variable |
| Pre-tree for remainder of main tree | 20 elements, 4 bits each | 80 bits |
| Path lengths of remaining elements of main tree | 20 elements, 4 bits each | 80 bits |
| Pre-tree for length tree | Encoded using pre-tree | Variable |
| Path lengths of elements in length tree |  | Variable |


| Entry | Comments | Size |
| :--- | :--- | :--- |
| Token sequence (matches and literals) | Described in section 2.6 | Variable |

### 2.4 Huffman Trees

LZXD uses canonical Huffman tree structures to represent elements. Huffman trees are well known in data compression and are not described here. Because an LZXD decoder uses only the path lengths of the Huffman tree to reconstruct the identical tree, the following constraints are made on the tree structure.

For any two elements with the same path length, the lower-numbered element MUST be further left on the tree than the higher-numbered element. An alternative way of stating this constraint is that lower-numbered elements MUST have lower path traversal values; for example, 0010 (left-left-right-left) is lower than 0011 (left-left-right-right).

For each level, starting at the deepest level of the tree and then moving upward, leaf nodes MUST start as far left as possible. An alternative way of stating this constraint is that if any tree node has children, then all tree nodes to the right of it with the same path length MUST also have children.

A non-empty Huffman tree MUST contain at least two elements. In the case where all but one tree element has zero frequency, the resulting tree MUST minimally consist of two Huffman codes, "0" and " 1 ".

LZXD uses several Huffman tree structures. The Main Tree comprises 256 elements that correspond to all possible 8 -bit characters, plus $8 *$ NUM_POSITION_SLOTS elements that correspond to matches. NUM_POSITIONS_SLOTS refers to the position slots required, as specified in section 2.1.6. The value of NUM_POSITION_SLOTS depends on the specified window size as described in section 2.1.6. The Length Tree comprises 249 elements. Other trees, such as the Aligned Offset Tree (comprising 8 elements), and the Pre-Trees (comprising 20 elements each), have a smaller role.

### 2.5 Encoding the Trees and Pre-Trees

Because all trees used in LZXD are created in the form of a canonical Huffman tree, the path length of each element in the tree is sufficient to reconstruct the original tree. The main tree and the length tree are each encoded using the method described here. However, the main tree is encoded in two components as if it were two separate trees, the first tree corresponding to the first 256 tree elements (uncompressed symbols), and the second tree corresponding to the remaining elements (matches).

Because trees are output several times during compression of large amounts of data (multiple blocks), LZX optimizes compression by encoding only the delta path lengths between the current and previous trees. In the case of the very first such tree, the delta is calculated against a tree in which all elements have a zero path length.

Each tree element can have a path length from 0 to 16 (inclusive) where a zero path length indicates that the element has a zero frequency and is not present in the tree. Tree elements are output in sequential order starting with the first element. Elements can be encoded in one of two ways: If several consecutive elements have the same path length, then run-length encoding is employed; otherwise, the element is output by encoding the difference between the current path length and the previous path length of the tree, mod 17. To represent a canonical Huffman tree, specify the path lengths of each of the elements in the tree. The following table specifies how to interpret a code.
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| Code | Operation |
| :---: | :---: |
| 0-16 | Len[x] = (prev_len[x] - code + 17) mod 17 |
| 17 | Zeroes $=$ getbits(4) <br> Len $[\mathrm{x}]=0$ for next ( $4+$ Zeroes) elements |
| 18 | Zeroes $=$ getbits(5) <br> Len[x] = 0 for next ( $20+$ Zeroes ) elements |
| 19 | Same $=$ getbits(1) <br> Decode new code <br> Value $=($ prev_len[x] - code +17$) \bmod 17$ <br> Len $[\mathrm{x}]=$ Value for next ( $4+$ Same) elements |

Codes 17,18 , and 19 are used to represent consecutive elements that have the same path length. Zeroes, Same, and Value are variables created for the purpose of this sample code and getbits(n) is a function that fetches the next $n$ bits from the bitstream. "Decode new Code" is used to parse the next Code from the bitstream, which will have a value of 0-16.

Each of the 17 possible values of (len[x]-prev_len[x]) mod 17, plus three additional codes used for run-length encoding, are not output directly as 5-bit numbers, but are instead encoded via a Huffman tree called the pre-tree. The pre-tree is generated dynamically according to the frequencies of the 20 allowable tree codes. The structure of the pre-tree is encoded in a total of 80 bits by using 4 bits to output the path length of each of the 20 pre-tree elements. Once again, a zero path length indicates a zero frequency element.

| Code | Operation |
| :--- | :--- |
| Length of tree code 0 | 4 bits |
| Length of tree code 1 | 4 bits |
| Length of tree code 2 | 4 bits |
| $\ldots$ | $\ldots$ |
| Length of tree code 18 | 4 bits |
| Length of tree code 19 | 4 bits |

The "real" tree is then encoded using the pre-tree Huffman codes.

### 2.6 Compressed Token Sequence

The compressed token sequence (bitstream) contains the Huffman-encoded matches and literals using the Huffman trees specified in the Block header. Decompression continues until the number of decompressed bytes corresponds exactly to the number of uncompressed bytes indicated in the Block header.

The representation of an unmatched literal character in the output is simply the appropriate element index 0.. 255 from the Main Huffman Tree.

The representation of a match in the output involves several transformations, as shown in the following diagram. At the top of the diagram are the match length (2..257) and the match offset

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(0..WINDOW_SIZE-4). The match offset and match length are split into subcomponents and encoded separately. For matches of length $257 . .32768$, the token indicates match length 257 and then there is an additional Extra Length value encoded in the bitstream following the other Match subcomponent fields.

Figure 1 shows the match subcomponents.


Figure 1: Diagram of match encoding subcomponents

### 2.6.1 Converting Match Offset into Formatted Offset Values

The match offset, range $1 .$. (WINDOW_SIZE-4), is converted into a formatted offset by determining whether the offset can be encoded as a repeated offset, as shown in the following pseudocode. It is acceptable to not encode a match as a repeated offset even if it is possible to do so.

```
if offset == R0 then
    formatted offset \leftarrow 0
else if offset == R1 then
    formatted offset \leftarrow 1
else if offset == R2 then
    formatted offset \leftarrow 2
else
    formatted offset \leftarrow offset + 2
endif
```


### 2.6.2 Converting Formatted Offset into Position Slot and Position Footer Values

The formatted offset is subdivided into a position slot and position footer. The position slot defines the most significant bits of the formatted offset in the form of a base position as shown in the table on the following page. The position footer defines the remaining least significant bits of the formatted offset. As the following table shows, the number of bits dedicated to the position footer grows as the formatted offset becomes larger, meaning that each position slot addresses a larger and larger range.

The number of position slots available depends on the window size. The number of bits of position footer for each position slot is fixed and is shown in the following table.

| Position slot number | Base position | Footer bits | Base position plus footer range |
| :---: | :---: | :---: | :---: |
| 0 (RO) | 0 | 0 | 0 |
| 1 (R1) | 1 | 0 | 1 |
| 2 (R2) | 2 | 0 | 2 |
| 3 (offset 1) | 3 | 0 | 3 |
| 4 (offset 2..3) | 4 | 1 | 4-5 |
| 5 (offset 4..5) | 6 | 1 | 6-7 |
| 6 (offset 6..9) | 8 | 2 | 8-11 |
| 7 (..etc..) | 12 | 2 | 12-15 |
| 8 | 16 | 3 | 16-23 |
| 9 | 24 | 3 | 24-31 |
| 10 | 32 | 4 | 32-47 |
| 11 | 48 | 4 | 48-63 |
| 12 | 64 | 5 | 64-95 |
| 13 | 96 | 5 | 96-127 |
| 14 | 128 | 6 | 128-191 |
| 15 | 192 | 6 | 192-255 |
| 16 | 256 | 7 | 256-383 |
| 17 | 384 | 7 | 384-511 |
| 18 | 512 | 8 | 512-767 |
| 19 | 768 | 8 | 768-1023 |
| 20 | 1024 | 9 | 1024-1535 |
| 21 | 1536 | 9 | 1536-2047 |
| 22 | 2048 | 10 | 2048-3071 |


| Position slot number | Base position | Footer bits | Base position plus footer range |
| :---: | :---: | :---: | :---: |
| 23 | 3072 | 10 | 3072-4095 |
| 24 | 4096 | 11 | 4096-6143 |
| 25 | 6144 | 11 | 6144-8191 |
| 26 | 8192 | 12 | 8192-12287 |
| 27 | 12288 | 12 | 12288-16383 |
| 28 | 16384 | 13 | 16384-24575 |
| 29 | 24576 | 13 | 24576-32767 |
| 30 | 32768 | 14 | 32768-49151 |
| 31 | 49152 | 14 | 49152-65535 |
| 32 | 65536 | 15 | 65536-98303 |
| 33 | 98304 | 15 | 98304-131071 |
| 34 | 131072 | 16 | 131072-196607 |
| 35 | 196608 | 16 | 196608-262143 |
| 36 | 262144 | 17 | 262144-393215 |
| 37 | 393216 | 17 | 393216-524287 |
| 38 | 524288 | 17 | 524288-655359 |
| 39 | 655360 | 17 | 655360-786431 |
| 40 | 786432 | 17 | 786432-917503 |
| 41 | 917504 | 17 | 917504-1048575 |
| 42 | 1048576 | 17 | 1048576-1179647 |
| ..etc.. | ..etc.. | 17 (all) | ..etc.. |
| 288 | 33292288 | 17 | 33292288-33423359 |
| 289 | 33423360 | 17 | 33423360-33554431 |

### 2.6.3 Converting Position Footer into Verbatim Bits or Aligned Offset Bits

The position footer can be further subdivided into verbatim bits and aligned offset bits if the current block type is "aligned offset". If the current block is not an aligned offset block, there are no aligned offset bits, and the verbatim bits are the position footer.

If aligned offsets are used, the lower 3 bits of the position footer are the aligned offset bits, while the remaining portion of the position footer is the verbatim bits. In the case where there are less than 3 bits in the position footer (for example, formatted offset is $<=15$ ), it is not possible to take the "lower 3 bits of the position footer" and therefore there are no aligned offset bits, and the verbatim bits and the position footer are the same.

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In situations where it is determined that there are a relatively larger number of Position Footers with identical lower 3 bits, Aligned Offset Block could be used to reduce the number of bits required to represent the Position Footer component in the match encoding.

Verbatim block could be used when the lower 3 bits of the Position Footer are relatively evenly distributed.

The following is pseudocode for splitting the position footer into verbatim bits and aligned offset.

```
if block_type is aligned_offset_block then
    if formatted_offset <= 15 then
        verbatim_bits \leftarrow position_footer
        aligned_offset \leftarrow null
    else
        aligned_offset \leftarrow position_footer
        verbatim__bits \leftarrow position__्footer >> 3
    endif
else
    verbatim_bits \leftarrow position_footer
    aligned_offset \leftarrow null
endif
```


### 2.6.4 Converting Match Length into Length Header and Length Footer Values

The match length is converted into a length header and a length footer. The length header can have one of eight possible values, from $0 . .7$ (inclusive), indicating a match of length $2,3,4,5,6,7,8$, or a length greater than 8 . If the match length is 8 or less, there is no length footer. Otherwise, the value of the length footer is equal to the match length minus 9 . The following is pseudocode for obtaining the length header and footer.

```
if match_length <= 8
    length_header }\leftarrow\mathrm{ match_length-2
    length_footer \leftarrow null
else
    length_header \leftarrow7
    length_footer }\leftarrow\mathrm{ match_length-9
endif
```

| Match length | Length header | Length footer value |
| :--- | :--- | :--- |
| 2 | 0 | None |
| 3 | 1 | None |
| 4 | 2 | None |
| 5 | 3 | None |
| 6 | 4 | None |
| 7 | 5 | None |
| 8 | 6 | None |
| 9 | 7 | 0 |

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| Match length | Length header | Length footer value |
| :--- | :--- | :--- |
| 10 | 7 | 1 |
| $\ldots$ | $\ldots$ | $\ldots$ |
| 256 | 7 | 247 |
| 257 or larger | 7 | 248 |

### 2.6.5 Converting Length Header and Position Slot into Length/Position Header Values

The Length/Position header is the stage that correlates the match position with the match length (using only the most significant bits), and is created by combining the length header and the position slot, as follows:

```
len_pos_header \leftarrow(position_slot << 3) + length_header
```

This operation creates a unique value for every combination of match length 2, 3, 4, 5, 6, 7, 8 with every possible position slot. The remaining match lengths greater than 8 are all lumped together, and as a group are correlated with every possible position slot.

### 2.6.6 Extra Length

If the match length is 257 or larger, the encoded match length token (or match length, as specified in section 2.6) value is 257 , and an encoded Extra Length field follows the other match encoding components, as specified in section 2.6.7, in the bitstream.

| Prefix (in binary) | Number of Bits to Decode | Base Value to Add to Decoded Value |
| :--- | :--- | :--- |
| 0 | 8 | 257 |
| 10 | 10 | $257+256$ |
| 110 | 12 | $257+256+1024$ |
| 111 | 15 | 257 |

If the encoded match length token is equal to 257 , it indicates length of the match is $>=257$. If this is the case, look for the Extra Length field after the other match encoding components in the bitstream. Then look at the prefix of the Extra Length field. If the prefix is 0 , decode the next 8 bits and add 257 to get the match length. If the prefix is 10 , decode the next 10 bits and add $257+256$ to the decoded value to get the match length. If the prefix is 110 , decode the next 12 bits and add $257+256+1024$ to the decoded value to get the match length. If the prefix is 111 , decode the next 15 bits and add 257 to the decoded value to get the match length.

### 2.6.7 Encoding a Match

The match is finally output as part of the compressed bitstream in up to five components, in the following order:

1. Main Tree element at index (len_pos_header +256 ).

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2. If length_footer != null, then output Length Tree element length_footer.
3. If verbatim_bits != null, then output verbatim_bits.
4. If aligned_offset_bits != null, then output element aligned_offset from the aligned offset tree.
5. If match length 257 or larger, output appropriate Extra Length prefix and value.

### 2.6.8 Encoding a Literal

A literal byte that is not part of a match is encoded simply as a Main Tree element index $0 . .255$ corresponding to the value of the literal byte.

### 2.7 Decoding Matches and Literals (Aligned and Verbatim Blocks)

Decoding is performed by first decoding an element from the Main Tree and then, if the item is a match, determining which additional components are required to decode to reconstruct the match. The following is pseudocode for decoding a match or an uncompressed character.

```
main_element = main_tree.decode_element()
if (main_element < 256 ) /* is a literal character */
window[ curpos ] \leftarrow (byte) main_element
curpos \leftarrow curpos + 1
else /* is a match */
length_header \leftarrow (main_element - 256) & 7
if (length header == 7)
match_length \leftarrow length_tree.decode_element() + 7 + 2
else
match_length \leftarrow length_header + 2 /* no length footer */
endif
position_slot \leftarrow (main_element - 256) >> 3
/* check for repeated offsets (positions 0,1,2) */
if (position_slot == 0)
match_offset \leftarrow R0
else if (position_slot == 1)
match_offset \leftarrow R1
swap(R0 ↔ R1)
else if (position_slot == 2)
match_offset \leftarrow R2
swap(R0 ↔ R2)
else /* not a repeated offset */
offset_bits \leftarrow footer_bits[ position_slot ]
if (block_type == aligned_offset_block)
if (offset bits >= 3) /* this means there are some aligned bits */
verbatim_bits \leftarrow (readbits(offset_bits-3)) << 3
aligned b̄its \leftarrowaligned offset tree.decode element();
else /* 0, 1, or 2 verbātim bits */
verbatim_bits \leftarrow readbits(offset_bits)
aligned_bits }\leftarrow
endif
```

```
formatted_offset \leftarrow base_position[ position_slot ]
+ verbatim_bits + aligned_bits
else /* block_type == verbatim_block */
verbatim_bits \leftarrow readbits(offset_bits)
formatted offset \leftarrow base position[ position slot ] + verbatim bits
endif
match_offset \leftarrow formatted_offset - 2
/* update repeated offset LRU queue */
R2 \leftarrow R1
R1 }\leftarrow\textrm{R0
R0}\leftarrow\mathrm{ match_offset
endif
/* check for extra length */
if (match_length == 257)
if (readbits( 1 ) != 0)
if (readbits( 1 ) != 0)
if (readbits( 1 ) != 0)
extra_len = readbits( 15 )
else
extra len = readbits( 12 ) + 1024 + 256
endif
else
extra_len = readbits( 10 ) + 256
endif
else
extra_len = readbits( 8 )
endif
match_length \leftarrow 257 + extra_len
endif
/* copy match data */
for (i = 0; i < match_length; i++)
window[curpos + i] \leftarrow window[curpos + i - match_offset]
curpos \leftarrow curpos + match_length
endif
```


## 3 Structure Examples

The LZXD bitstream is to be interpreted as a sequence of aligned 16 -bit integers stored in the order least-significant-byte most-significant-byte (little-endian words).

The only exception is the uncompressed data bytes stored in the uncompressed block interpreted as a sequence of bytes. The following is an example of a sample encoding sequence of a simple 3-byte text input "abc" encoded as uncompressed block type.

| Bits to Decode | Value of Decoded Bits | Interpretation |
| :--- | :--- | :--- |
| 16 | $0 \times 0014$ | Chunk Size: 20 bytes |
| 1 | 0 | E8 Translation:disabled |
| 3 | 3 (binary 011) | Block Type: uncompressed |
| 24 | $0 x 000003$ | Block Size: 3 bytes |
| 4 | binary 0000 | Padding to word-align following |
| 4 bytes | $0 \times 00000001$ (little-endian dword) | R0: 1 |
| 4 bytes | $0 \times 00000001$ (little-endian dword) | R1: 1 |
| 4 bytes | $0 \times 00000001$ (little-endian dword) | R2: 1 |
| 3 bytes | $0 \times 61,0 \times 62,0 \times 63$ | Uncompressed bytes: "abc" |
| 1 byte | $0 \times 00$ | Padding to restore word-alignment |

This is the raw hexadecimal compressed byte sequence of the encoded fields:

## 4 Security Considerations

None.

## 5 Appendix A: Product Behavior

The information in this specification is applicable to the following Microsoft products:

- Microsoft® Office Outlook® 2003
- Microsoft® Exchange Server 2003
- Microsoft® Office Outlook® 2007
- Microsoft® Exchange Server 2007
- Microsoft® Outlook® 2010
- Microsoft® Exchange Server 2010

Exceptions, if any, are noted below. If a service pack number appears with the product version, behavior changed in that service pack. The new behavior also applies to subsequent service packs of the product unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification prescribed using the terms SHOULD or SHOULD NOT implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term MAY implies that product does not follow the prescription.

## 6 Change Tracking

This section identifies changes that were made to the [MS-PATCH] protocol document between the May 2010 and August 2010 releases. Changes are classified as New, Major, Minor, Editorial, or No change.

The revision class New means that a new document is being released.
The revision class Major means that the technical content in the document was significantly revised. Major changes affect protocol interoperability or implementation. Examples of major changes are:

- A document revision that incorporates changes to interoperability requirements or functionality.
- An extensive rewrite, addition, or deletion of major portions of content.
- The removal of a document from the documentation set.
- Changes made for template compliance.

The revision class Minor means that the meaning of the technical content was clarified. Minor changes do not affect protocol interoperability or implementation. Examples of minor changes are updates to clarify ambiguity at the sentence, paragraph, or table level.

The revision class Editorial means that the language and formatting in the technical content was changed. Editorial changes apply to grammatical, formatting, and style issues.

The revision class No change means that no new technical or language changes were introduced. The technical content of the document is identical to the last released version, but minor editorial and formatting changes, as well as updates to the header and footer information, and to the revision summary, may have been made.

Major and minor changes can be described further using the following change types:

- New content added.
- Content updated.
- Content removed.
- New product behavior note added.
- Product behavior note updated.
- Product behavior note removed.
- New protocol syntax added.
- Protocol syntax updated.
- Protocol syntax removed.
- New content added due to protocol revision.
- Content updated due to protocol revision.
- Content removed due to protocol revision.
- New protocol syntax added due to protocol revision.
- Protocol syntax updated due to protocol revision.
- Protocol syntax removed due to protocol revision.
- New content added for template compliance.
- Content updated for template compliance.
- Content removed for template compliance.
- Obsolete document removed.

Editorial changes are always classified with the change type "Editorially updated."
Some important terms used in the change type descriptions are defined as follows:

- Protocol syntax refers to data elements (such as packets, structures, enumerations, and methods) as well as interfaces.
- Protocol revision refers to changes made to a protocol that affect the bits that are sent over the wire.

The changes made to this document are listed in the following table. For more information, please contact protocol@microsoft.com.

| Section | Tracking number (if applicable) and description | Major change (Y or N) | Change type |
| :---: | :---: | :---: | :---: |
| 1.1 <br> Glossary | 57206 <br> Added the following to the list of terms that are defined in [MS-OXGLOS]: "header", and "stream". | N | Content update. |
| 1.2.1 <br> Normative References | $55751$ <br> Moved [MS-OXGLOS] from Normative <br> References section to Informative <br> References section. | N | Content update. |
| 1.2.1 <br> Normative References | $55925$ <br> Removed [MS-MCI] from the list of references. | N | Content removed. |
| $\begin{aligned} & \frac{1.2 .2}{\text { Informative References }} \end{aligned}$ | Removed the reference [MSDNCABFMT]. | $N$ | Content update. |
| $\frac{1.3}{\text { Overview }}$ | Removed the reference to [MSDNCABFMT]. | N | Content update. |
| $\underline{2.1 .1}$ <br> Bitstream | 55925 <br> Updated the text to include normative language. | $N$ | Content update. |
| 2.1.4 <br> Repeated Offsets | $52509$ <br> Added operational characters. | N | Content update. |
| 2.2.1 <br> Chunk Size | 55667 <br> Updated the description of | N | Content update. |

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| Section | Tracking number (if applicable) and description | Major change (Y or N) | Change type |
| :---: | :---: | :---: | :---: |
|  | uncompressed chunk sizes. |  |  |
| 2.3.2.2 <br> Verbatim Block | 55741 <br> Added a section reference for token sequences. | $N$ | Content update. |
| $\frac{2 \cdot 3 \cdot 2 \cdot 3}{\text { Aligned Offset Block }}$ | 55741 <br> Added a section reference for token sequences. | N | Content update. |
| 2.6 .3 <br> Converting Position Footer into Verbatim Bits or Aligned Offset Bits | $52509$ <br> Added operational characters. | N | Content update. |
| 2.6 .4 <br> Converting Match Length into Length Header and Length Footer Values | $52509$ <br> Added operational characters. | Y | Content update. |
| 2.6 .5 <br> Converting Length Header and Position Slot into Length/Position Header Values | $52509$ <br> Added operational characters. | N | Content update. |
| Global | 55921 <br> Changed reference name from "[LZ77]" to "[UASDC]". | N | Content update. |

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