# [MS-OXCRPC]: Wire Format Protocol Specification

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# **Revision Summary**

Date	Revision History	Revision Class	Comments	
04/04/2008	0.1		Initial Availability.	
04/25/2008	0.2		Revised and updated property names and other technical content.	
06/27/2008	1.0		Initial Release.	
08/06/2008	1.01		Revised and edited technical content.	
09/03/2008	1.02		Revised and edited technical content.	
10/01/2008	1.03		Revised and edited technical content.	
12/03/2008	1.04		Revised and edited technical content.	
03/04/2009	1.05		Revised and edited technical content.	
04/10/2009	2.0		Updated technical content and applicable product releases.	
07/15/2009	3.0	Major	Revised and edited for technical content.	

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#### 1 Introduction

The Wire Format protocol is specific to the **EMSMDB** and **AsyncEMSMDB** protocol interface between a client and server. This interface has traditionally been used by an Outlook client to communicate with an Exchange messaging server. This protocol extends **Remote Procedure Call** [C706].

#### 1.1 Glossary

The following terms are defined in <a>[MS-OXGLOS]</a>:

Asynchronous Context Handle (ACXH) code page distinguished name (DN) dynamic endpoint endpoint exception folder **GUID** handle **HTTP** Incremental Change Synchronization (ICS) Interface Definition Language (IDL) locale mailbox message messaging object **Network Data Representation (NDR) NTLM** opnum permissions public folder recipient remote procedure call (RPC) replica **RPC** protocol sequence remote operation (ROP) **ROP** request buffer **ROP** response buffer Server object Session Context Handle (CXH) stream store Unicode universal unique identifier (UUID)

The following terms are specific to this document:

**Session Context:** A server-side partitioning for client isolation. All client actions against a server are scoped to a specific Session Context. All messaging objects and data opened by a client are isolated to a Session Context.

**well-known endpoint:** An endpoint that does not change. Well-known endpoint information is stored as part of the binding handle.

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**Client Access License (CAL):** A license that gives a user the right to access the services of the server. To legally access the server software, a CAL might be required. A CAL is not a software product

**RPC dynamic endpoint:** A network-specific server address that is requested and assigned at run time. For more information, see [C706] part 4

MAY, SHOULD, MUST, SHOULD NOT, MUST NOT: These terms (in all caps) are used as described in <a href="May.color.org">[RFC2119]</a>. All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

#### 1.2 References

#### 1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact <a href="mailto:dochelp@microsoft.com">dochelp@microsoft.com</a>. We will assist you in finding the relevant information. Please check the archive site, <a href="http://msdn2.microsoft.com/en-us/library/E4BD6494-06AD-4aed-9823-445E921C9624">http://msdn2.microsoft.com/en-us/library/E4BD6494-06AD-4aed-9823-445E921C9624</a>, as an additional source.

[C706] The Open Group, "DCE 1.1: Remote Procedure Call", C706, August 1997, <a href="http://www.opengroup.org/public/pubs/catalog/c706.htm">http://www.opengroup.org/public/pubs/catalog/c706.htm</a>.

[MS-OXCFXICS] Microsoft Corporation, "Bulk Data Transfer Protocol Specification", June 2008.

[MS-OXCNOTIF] Microsoft Corporation, "Core Notifications Protocol Specification", June 2008.

[MS-OXCROPS] Microsoft Corporation, "Remote Operations (ROP) List and Encoding Protocol Specification", June 2008.

[MS-OXCSTOR] Microsoft Corporation, "Store Object Protocol Specification", June 2008.

[MS-OXGLOS] Microsoft Corporation, "Exchange Server Protocols Master Glossary", June 2008.

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, <a href="http://www.ietf.org/rfc/rfc2119.txt">http://www.ietf.org/rfc/rfc2119.txt</a>.

#### 1.2.2 Informative References

[MS-RPCE] Microsoft Corporation, "Remote Procedure Call Protocol Extensions", July 2006, <a href="http://go.microsoft.com/fwlink/?LinkId=112246">http://go.microsoft.com/fwlink/?LinkId=112246</a>.

[MSDN-SOCKADDR] Microsoft Corporation, "sockaddr", <a href="http://go.microsoft.com/fwlink/?LinkId=113717">http://go.microsoft.com/fwlink/?LinkId=113717</a>.

#### 1.3 Protocol Overview

This specification describes the RPC interfaces that are used by a messaging client to communicate with a messaging server to access personal messaging data over the Wire Format protocol. This protocol is comprised of the **EMSMDB** and **AsyncEMSMDB** RPC interfaces.

#### 1.3.1 Initiating Communication with the Server

Before a client can retrieve private **mailbox** or **public folder** data from a server on the **EMSMDB** interface, it first makes a call to **EcDoConnectEx** and establishes a **Session Context Handle** 

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(CXH). The Session Context Handle (CXH) is a RPC context **handle**. The client stores this Session Context Handle (CXH) and uses it on subsequent RPC calls on the **EMSMDB** interface. The server uses the Session Context Handle (CXH) to identify the client and user who is issuing requests and under which context to perform operations against messaging data.

The **EMSMDB** interface function **EcDoConnectEx** is used to create a CXH with the server. The server verifies that the authentication context used to make the RPC function call **EcDoConnectEx** has access rights to perform operations as, or on behalf of, the user whose **distinguished name** (**DN**) is provided on the RPC call. This is done to validate that the client has permission to perform operations as the user specified in the RPC call. If this access check fails, the server fails the RPC call with an access denied return code.

If the security check passes, the server creates a **Session Context**. A CXH that refers to the Session Context is returned to the client in the response to **EcDoConnectEx**. The returned CXH is used in subsequent calls to the server.

# 1.3.2 Issuing Remote Operations for Mailbox Data

The client retrieves private mailbox or public folder data through the interface function **EcDoRpcExt2**. There are no separate interface functions to perform different operations against mailbox data. A single interface function is used to submit a group of **remote operation (ROP)** commands to the server. See [MS-OXCROPS] for more information about ROP commands. The ROP request operations are tokenized into a request buffer and sent to the server as a byte array. The server parses the **ROP request buffer** and performs actions. The response to these actions is then serialized into a **ROP response buffer** and returned to the client as a byte array. At the **EMSMDB** interface level, the format of these ROP request and response buffers is not understood. See [MS-OXCROPS] for more information about how to interpret the ROP buffers. The **EMSMDB** interface function **EcDoRpcExt2** is just the mechanism in which to pass the ROP request buffer to the server.

In the call to **EcDoRpcExt2**, the client passes the CXH which was created in a successful call to the interface function **EcDoConnectEx**. The server uses the CXH to identify who is issuing the remote operation ROP commands and under which Session Context to perform them.

#### 1.3.3 Terminating Communication with the Server

When a client wants to terminate communication with a server, it calls **EcDoDisconnect**. In the call to **EcDoDisconnect**, the client passes the CXH, which was created in a successful call to the interface function **EcDoConnectEx**. It is suggested that the server clean up any Session Contextdata associated with this CXH.

# 1.3.4 Client/Server Communication Lifetime

Figure 1 shows a typical example of the client and server communication lifetime. This is a simplified overview of how the client connects, issues ROP commands, and disconnects from the server.

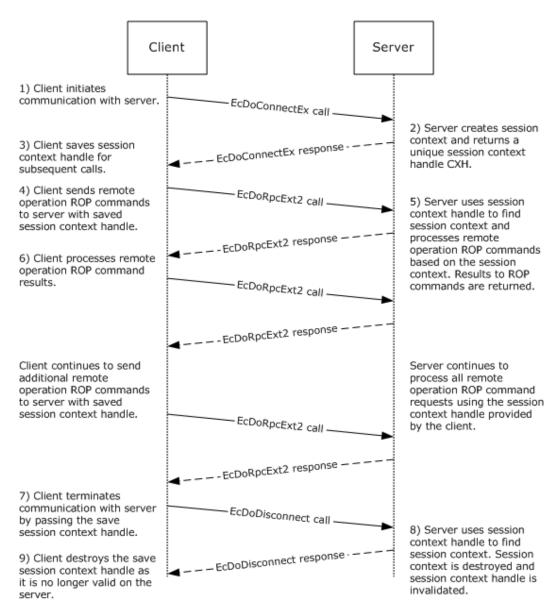


Figure 1: Client/server communications

#### 1.4 Relationship to Other Protocols

This protocol is dependent upon RPC as specified in [MS-RPCE] and various network protocol sequences for its transport.

#### 1.5 Prerequisites/Preconditions

The Wire Format protocol is a set of RPC interfaces and has the same prerequisites as specified in <a href="MS-RPCE">[MS-RPCE]</a>.

It is assumed that a messaging client has obtained the name of a remote computer that supports this protocol before these protocols are invoked. How a client does this is outside the scope of this specification.

#### 1.6 Applicability Statement

The protocol specified in this document is applicable to environments that require access to private mailbox and/or public folder messaging end-user data.

## 1.7 Versioning and Capability Negotiation

This specification covers versioning issues in the following areas:

- Supported Transports: This protocol uses multiple RPC protocol sequences as specified in section 2.1.
- **Protocol Versions:** The protocol RPC interface **EMSMDB** has a single version number of 0.81. The protocol RPC interface **AsyncEMSMDB** has a single version number of 0.01.
- **Protocol Versions:** The protocol RPC interface **EMSMDB** has a single interface version, but that interface has been extended by adding additional methods at the end. The use of these methods are specified in section 3.1.
- **Security and Authentication Methods:** This protocol supports the following authentication methods: **NTLM**, Kerberos, and Negotiate. These authentication methods are specified in sections 3.1.3 and 3.3.3.
- Capability Negotiation: None.

#### 1.8 Vendor-Extensible Fields

None.

#### 1.9 Standards Assignments

Parameter	Value	Reference
EMSMDB RPC Interface <b>UUID</b>	A4F1DB00-CA47-1067-B31F-00DD010662DA	3.1
AsyncEMSMDB RPC Interface UUID	5261574A-4572-206E-B268-6B199213B4E4	3.3
RPC/HTTP protocol sequence <b>endpoint</b>	6001	2.1
LRPC protocol sequence endpoint	MSExchangeIS_LPC	2.1

# 2 Messages

# 2.1 Transport

This protocol works over the following protocol sequences:

Protocol Sequence
ncalrpc
ncacn_ip_tcp
ncacn_http

This protocol uses **well-known endpoints** for network protocol sequences "ncalrpc" and "ncacn\_http". The following well-known endpoints are used:

Protocol Sequence	Endpoint	
ncalrpc	MSExchangeIS_LPC	
ncacn_http	6001	

For all other network protocol sequences, the protocol uses **RPC dynamic endpoints** as specified in [MS-OXGLOS].

This protocol MUST use the UUID specified in section 1.9. The RPC version number is 4.0.

This protocol allows any user to establish an authenticated connection to the RPC server using an authentication method as specified in [MS-RPCE]. The protocol uses the underlying RPC protocol to retrieve the identity of the caller that made the method call as specified in [MS-RPCE]. The server uses this identity to perform method-specific access checks.

#### 2.2 Common Data Types

Data types in addition to the RPC base types and definitions specified in <a>[C706]</a> and <a>[MS-RPCE]</a> are defined in the following sections.

# 2.2.1 Simple Data Types

#### 2.2.1.1 CXH

```
typedef [context handle] void * CXH;
```

#### 2.2.1.2 ACXH

```
typedef [context_handle] void * ACXH;
```

#### 2.2.1.3 BIG\_RANGE\_ULONG

typedef [range(0x0, 0x40000)] unsigned long BIG RANGE ULONG;

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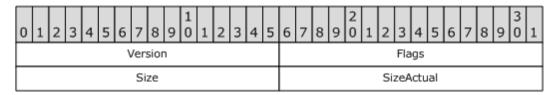
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# 2.2.1.4 SMALL\_RANGE\_ULONG

typedef [range(0x0, 0x1008)] unsigned long SMALL RANGE ULONG;

#### 2.2.2 Structures

# 2.2.2.1 RPC\_HEADER\_EXT



**Version (2 bytes):** Defines the version of the header. There is only one version of the header at this time so this value MUST be set to 0x0000.

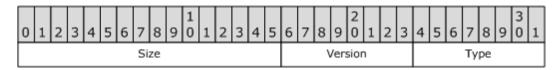
**Flags (2 bytes):** Flags that specify how data that follows this header MUST be interpreted. The following flags are valid:

Flag	Value	Description	
Compressed	0x0001	The data that follows the <b>RPC_HEADER_EXT</b> is compressed. The size of the data when uncompressed is in field <b>SizeActual</b> . If this flag is not set, the <b>Size</b> and <b>SizeActual</b> fields MUST be the same.	
XorMagic	0x0002	The data following the <b>RPC_HEADER_EXT</b> has been obfuscated. See section 3.1.7.3 for more information about the obfuscation algorithm.	
Last	0x0004	Indicates that no other RPC_HEADER_EXT follows the data of the current RPC_HEADER_EXT. This flag is used to indicate that there are multiple buffer each with its own RPC_HEADER_EXT, one after the other.	

**Size (2 bytes):** The total length of the payload data that follows the **RPC\_HEADER\_EXT** structure. This length does not include the length of the **RPC\_HEADER\_EXT** structure.

**SizeActual (2 bytes):** The length of the payload data after it has been uncompressed. This field is only useful if the Compressed flag is set in the flags field. If the Compressed flag is not set, this value MUST be equal to **Size**.

#### 2.2.2.2 AUX\_HEADER



Size (2 bytes): Size of the AUX\_HEADER structure plus any additional payload data that follows.

**Version (1 byte):** Version information of the payload data that follows the **AUX\_HEADER**. This value in conjunction with the **Type** field determines which structure to use to interpret the data that follows the header.

Version	Value
AUX_VERSION_1	0x01
AUX_VERSION_2	0x02

**Type (1 byte):** Type of payload data that follows the **AUX\_HEADER**. This value in conjunction with the **Version** field determines which structure to use to interpret the data that follows the header. When several of the types distinguish between foreground (FG), background (BG), and global catalog (GC).

The following is a list of block types and the corresponding structure that follows the **AUX\_HEADER** when the **Version** field is **AUX\_VERSION\_1**.

Туре	Value	Payload
AUX_TYPE_PERF_REQUESTID	0x01	AUX_PERF_REQUESTID
AUX_TYPE_PERF_CLIENTDINFO	0x02	AUX_PERF_CLIENTINFO
AUX_TYPE_PERF_SERVERINFO	0x03	AUX_PERF_SERVERINFO
AUX_TYPE_PERF_SESSIONINFO	0x04	AUX_PERF_SESSIONINFO
AUX_TYPE_PERF_DEFMDB_SUCCESS	0x05	AUX_PERF_DEFMDB_SUCCESS
AUX_TYPE_PERF_DEFGC_SUCCESS	0x06	AUX_PERF_DEFGC_SUCCESS
AUX_TYPE_PERF_MDB_SUCCESS	0x07	AUX_PERF_MDB_SUCCESS
AUX_TYPE_PERF_GC_SUCCESS	0x08	AUX_PERF_GC_SUCCESS
AUX_TYPE_PERF_FAILURE	0x09	AUX_PERF_FAILURE
AUX_TYPE_CLIENT_CONTROL	0x0A	AUX_CLIENT_CONTROL
AUX_TYPE_PERF_PROCESSINFO	0x0B	AUX_PERF_PROCESSINFO
AUX_TYPE_PERF_BG_DEFMDB_SUCCESS	0x0C	AUX_PERF_DEFMDB_SUCCESS
AUX_TYPE_PERF_BG_DEFGC_SUCCESS	0x0D	AUX_PERF_DEFGC_SUCCESS
AUX_TYPE_PERF_BG_MDB_SUCCESS	0x0E	AUX_PERF_MDB_SUCCESS
AUX_TYPE_PERF_BG_GC_SUCCESS	0x0F	AUX_PERF_GC_SUCCESS
AUX_TYPE_PERF_BG_FAILURE	0x10	AUX_PERF_FAILURE
AUX_TYPE_PERF_FG_DEFMDB_SUCCESS	0x11	AUX_PERF_DEFMDB_SUCCESS
AUX_TYPE_PERF_FG_DEFGC_SUCCESS	0x12	AUX_PERF_DEFGC_SUCCESS
AUX_TYPE_PERF_FG_MDB_SUCCESS	0x13	AUX_PERF_MDB_SUCCESS
AUX_TYPE_PERF_FG_GC_SUCCESS	0x14	AUX_PERF_GC_SUCCESS
AUX_TYPE_PERF_FG_FAILURE	0x15	AUX_PERF_FAILURE
AUX_TYPE_OSVERSIONINFO	0x16	AUX_OSVERSIONINFO

Туре	Value	Payload
AUX_TYPE_EXORGINO	0x17	AUX_EXORGINFO

The following is a list of block types and the corresponding structure that follows the **AUX\_HEADER** when the **Version** field is **AUX\_VERSION\_2**.

Туре	Value	Payload
AUX_TYPE_PERF_SESSIONINFO	0x04	AUX_PERF_SESSIONINFO_V2
AUX_TYPE_PERF_MDB_SUCCESS	0x07	AUX_PERF_MDB_SUCCESS_V2
AUX_TYPE_PERF_GC_SUCCESS	0x08	AUX_PERF_GC_SUCCESS_V2
AUX_TYPE_PERF_FAILURE	0x09	AUX_PERF_FAILURE_V2

Any other block type and version combination that is not understood MUST be ignored.

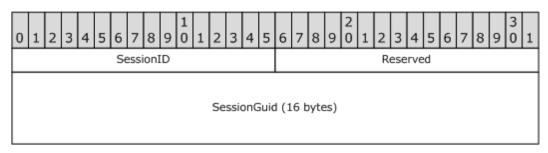
# 2.2.2.3 AUX\_PERF\_REQUESTID



**SessionID** (2 bytes): Session identification number.

RequestID (2 bytes): Request identification number.

#### 2.2.2.4 AUX\_PERF\_SESSIONINFO

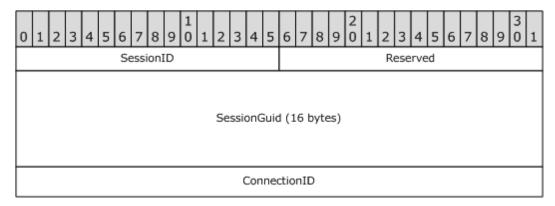


SessionID (2 bytes): Session identification number.

**Reserved (2 bytes):** Padding to enforce alignment of the data on a 4-byte field. The client can fill this field with any value when writing the **stream**. The server MUST ignore the value of this field when reading the stream.

**SessionGuid (16 bytes): GUID** representing the client session to associate with the session identification number in field **SessionID**.

# 2.2.2.5 AUX\_PERF\_SESSIONINFO\_V2



SessionID (2 bytes): Session identification number.

**Reserved (2 bytes):** Padding to enforce alignment of the data on a 4-byte field. The client can fill this field with any value when writing the stream. The server MUST ignore the value of this field when reading the stream.

**SessionGuid (16 bytes):** GUID representing the client session to associate with the session identification number in field **SessionID**.

**ConnectionID (4 bytes):** Connection identification number.

# 2.2.2.6 AUX\_PERF\_CLIENTINFO

0	1	2	3	4	5	6	7	8	9	1 0	1	2	3	4	5	6	7	8	9	2	1	2	3	4	5	6	7	8	9	3 0	1
L	Ada											pt	terSp	ee	d																
Г	ClientID											Т	MachineNameOffset																		
					Us	serl	Van	ne	Offs	et												Cli	ent	IPS	Size						
Г					(	Clie	ntII	PO	)ffse	t						Т					Cli	ient	tIPI	Mas	skS	ize					
Г					Clie	entI	PM	as	kOff	set						Т				,	Ada	pte	rN	am	eOf	fse	et.				П
Г					М	acA	ıddı	res	ssSiz	ze						T	MacAddressOffset										╗				
Г						Cli	ent	M	ode								Reserved									╗					
Г												Ma	chi	neN	la	me (	vai	riab	le	≘)											
Г												ι	Jsei	rNa	m	e (v	aria	ble	:)												
Г													Cli	ent:	ΙP	(va	riat	le)													
	ClientIPM									1a	ask (	var	iab	le	)											$\neg$					
	AdapterNa									la	me (	vai	iab	le	=)																
Г	MacAddre										re	ss (v	/ari	abl	e)	)											$\neg$				

AdapterSpeed (4 bytes): Speed of client computer's network adaptor (kbits/s).

ClientID (2 bytes): Client-assigned identification number.

MachineNameOffset (2 bytes): The offset from the beginning of the AUX\_HEADER structure to the MachineName field.

**UserNameOffset (2 bytes):** The offset from the beginning of the **AUX\_HEADER** structure to the **UserName** field.

**ClientIPSize (2 bytes):** Size of the client IP address referenced by the **ClientIPOffset** field. The client IP address is located in the **ClientIP** field.

**ClientIPOffset (2 bytes):** The offset from the beginning of the **AUX\_HEADER** structure to the **ClientIP** field.

**ClientIP MaskSize (2 bytes):** Size of the client IP subnet mask referenced by the **ClientIP MaskOffset** field. The client IP mask is located in the **ClientIPMask** field.

**ClientIPMaskOffset (2 bytes):** The offset from the beginning of the **AUX\_HEADER** structure to the **ClientIPMask** field. The size of the IP subnet mask is found in the **ClientIPMaskSize** field.

**AdapterNameOffset (2 bytes):** The offset from the beginning of the **AUX\_HEADER** structure to the **AdapterName** field.

**MacAddressSize (2 bytes):** Size of the network adapter MAC address referenced by the **MacAddressOffset** field. The network adapter MAC address is located in the **MacAddress** field.

MacAddressOffset (2 bytes): The offset from the beginning of the AUX\_HEADER structure to the MacAddress field.

**ClientMode (2 bytes):** Determines the mode in which the client is running. The following table specifies valid values.

Mode	Value	Description
CLIENTMODE_UNKNOWN	0x00	Client is not designating a mode of operation.
CLIENTMODE_CLASSIC	0x01	Client is running in classic online mode.
CLIENTMODE_CACHED	0x02	Client is running in cached mode.

**Reserved (2 bytes):** Padding to enforce alignment of the data on a 4-byte field. The client can fill this field with any value when writing the stream. The server MUST ignore the value of this field when reading the stream.

**MachineName (variable)**: A null-terminated **Unicode** string that contains the client computer name. This variable field is offset from the beginning of the **AUX\_HEADER** structure by the **MachineNameOffset** value.

**UserName (variable)**: A null-terminated Unicode string that contains the user's account name name. This variable field is offset from the beginning of the **AUX\_HEADER** structure by the **UserNameOffset** value.

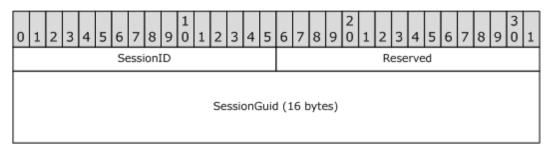
**ClientIP (variable)**: The client's IP address. This variable field is offset from the beginning of the **AUX\_HEADER** structure by the **ClientIPOffset** value. The size of the client IP address data is found in the **ClientIPSize** field.

**ClientIPMask (variable)**: The client's IP subnet mask. This variable field is offset from the beginning of the **AUX\_HEADER** structure by the **ClientIPMaskOffset** value. The size of the client IP mask data is found in the **ClientIPMaskSize** field.

**AdapterName (variable)**: A null-terminated Unicode string that contains the client network adapter name. This variable field is offset from the beginning of the **AUX\_HEADER** structure by the **AdapterNameOffset** value.

**MacAddress (variable)**: The client's network adapter MAC address. This variable field is offset from the beginning of the **AUX\_HEADER** structure by the **MacAddressOffset** value. The size of the network adapter **MAC** address data is found in the **MacAddressSize** field.

# 2.2.2.7 AUX\_PERF\_SERVERINFO



**ServerID** (2 bytes): Client assigned server identification number.

**ServerType (2 bytes):** Server type assigned by client. The following table specifies valid values.

Туре	Value	Description
SERVERTYPE_UNKNOWN	0x00	Unknown server type.
SERVERTYPE_PRIVATE	0x01	Client server connection servicing private mailbox data.
SERVERTYPE_PUBLIC	0x02	Client server connection servicing public folder data.
SERVERTYPE_DIRECTORY	0x03	Client server connection servicing directory data.
SERVERTYPE_REFERRAL	0x04	Client server connection servicing referrals.

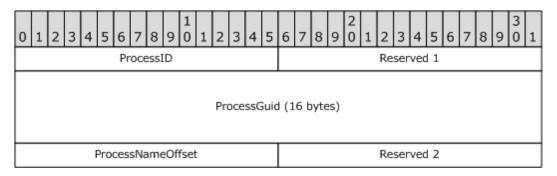
**ServerDNOffset (2 bytes):** The offset from the beginning of the **AUX\_HEADER** structure to the **ServerDN** field.

**ServerNameOffset (2 bytes):** The offset from the beginning of the **AUX\_HEADER** structure to the **ServerName** field.

**ServerDN** (variable): A null-terminated Unicode string that contains the distinguished name (DN) of the server. This variable field is offset from the beginning of the **AUX\_HEADER** structure by the **ServerDNOffset** value.

**ServerName (variable)**: A null-terminated Unicode string that contains the server name. This variable field is offset from the beginning of the **AUX\_HEADER** structure by the **ServerNameOffset** value.

### 2.2.2.8 AUX\_PERF\_PROCESSINFO



ProcessID (2 bytes): Client-assigned process identification number.

**Reserved 1 (2 bytes):** Padding to enforce alignment of the data on a 4-byte field. The client can fill this field with any value when writing the stream. The server MUST ignore the value of this field when reading the stream.

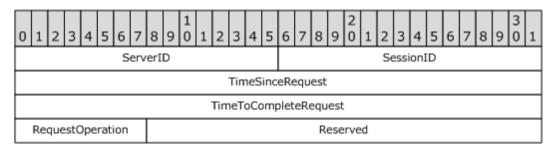
**ProcessGuid (16 bytes):** GUID representing the client process to associate with the process identification number in field **ProcessID**.

**ProcessNameOffset (2 bytes):** The offset from the beginning of the **AUX\_HEADER** structure to the **ProcessName** field.

**Reserved 2 (2 bytes):** Padding to enforce alignment of the data on a 4-byte field. The client can fill this field with any value when writing the stream. The server MUST ignore the value of this field when reading the stream.

**ProcessName (variable)**: A null-terminated Unicode string that contains the client process name. This variable field is offset from the beginning of the **AUX\_HEADER** structure by the **ProcessNameOffset** value.

#### 2.2.2.9 AUX PERF DEFMDB SUCCESS



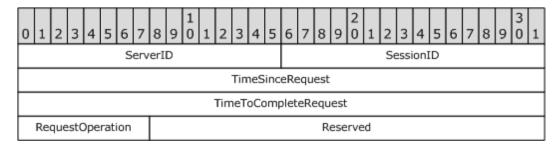
TimeSince Request (4 bytes): Number of milliseconds since successful request occurred.

**TimeToCompleteRequest (4 bytes):** Number of milliseconds the successful request took to complete.

RequestID (2 bytes): Request identification number.

**Reserved (2 bytes):** Padding to enforce alignment of the data on a 4-byte field. The client can fill this field with any value when writing the stream. The server MUST ignore the value of this field when reading the stream.

# 2.2.2.10 AUX\_PERF\_DEFGC\_SUCCESS



**ServerID (2 bytes)**: Server identification number.

SessionID (2 bytes): Session identification number.

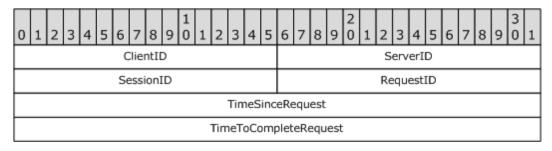
TimeSinceRequest (4 bytes): Number of milliseconds since successful request occurred.

**TimeToCompleteRequest (4 bytes):** Number of milliseconds the successful request took to complete.

RequestOperation (1 byte): Client-defined operation that was successful.

**Reserved (3 bytes):** Padding to enforce alignment of the data on a 4-byte field. The client can fill this field with any value when writing the stream. The server MUST ignore the value of this field when reading the stream.

# 2.2.2.11 AUX\_PERF\_MDB\_SUCCESS



ClientID (2 bytes): Client identification number.

ServerID (2 bytes): Server identification number.

**SessionID** (2 bytes): Session identification number.

RequestID (2 bytes): Request identification number.

TimeSinceRequest (4 bytes): Number of milliseconds since successful request occurred.

**TimeToCompleteRequest (4 bytes):** Number of milliseconds the successful request took to complete.

# 2.2.2.12 AUX\_PERF\_MDB\_SUCCESS\_V2

0	0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1										1																
	ProcessID										ClientID																
Г						S	erv	erI	D							SessionID											
Γ						Re	equ	est:	ΙD							Reserved											
	TimeSi								ino	eRe	equ	est															
	TimeToCompleteRequest																										

PrcoessID (2 bytes): Process identification number.

ClientID (2 bytes): Client identification number.

**ServerID** (2 bytes): Server identification number.

**SessionID** (2 bytes): Session identification number.

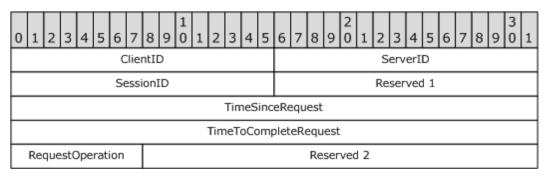
RequestID (2 bytes): Request identification number.

**Reserved (2 bytes):** Padding to enforce alignment of the data on a 4-byte field. The client can fill this field with any value when writing the stream. The client MUST ignore the value of this field when reading the stream.

TimeSince Request (4 bytes): Number of milliseconds since successful request occurred.

**TimeToCompleteRequest (4 bytes):** Number of milliseconds the successful request took to complete.

### 2.2.2.13 AUX\_PERF\_GC\_SUCCESS



ClientID (2 bytes): Client identification number.

**ServerID (2 bytes):** Server identification number.

SessionID (2 bytes): Session identification number.

**Reserved 1 (2 bytes):** Padding to enforce alignment of the data on a 4-byte field. The client can fill this field with any value when writing the stream. The server MUST ignore the value of this field when reading the stream.

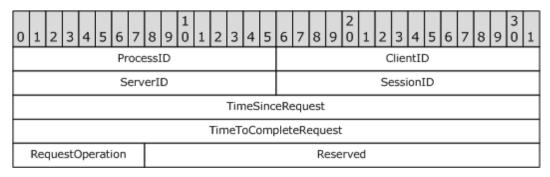
TimeSince Request (4 bytes): Number of milliseconds since successful request occurred.

**TimeToCompleteRequest (4 bytes):** Number of milliseconds the successful request took to complete.

**RequestOperation (1 byte):** Client-defined operation that was successful.

**Reserved 2 (3 bytes):** Padding to enforce alignment of the data on a 4-byte field. The client can fill this field with any value when writing the stream. The server MUST ignore the value of this field when reading the stream.

# 2.2.2.14 AUX\_PERF\_GC\_SUCCESS\_V2



ProcessID (2 bytes): Process identification number.

ClientID (2 bytes): Client identification number.

ServerID (2 bytes): Server identification number.

**SessionID** (2 bytes): Session identification number.

TimeSince Request (4 bytes): Number of milliseconds since successful request occurred.

**TimeToCompleteRequest (4 bytes):** Number of milliseconds the successful request took to complete.

RequestOperation (1 byte): Client-defined operation that was successful.

**Reserved (3 bytes):** Padding to enforce alignment of the data on a 4-byte field. The client can fill this field with any value when writing the stream. The server MUST ignore the value of this field when reading the stream.

# 2.2.2.15 AUX\_PERF\_FAILURE

0	1	2	3	4	5	6	7	8		1 0	1	2	3	4	5	6	7	8	9	2 0	1	2	3	4	5	6	7	8	9	3	1
	ClientID										ServerID																				
						Se	essi	onI	ΙD													Re	eque	est!	ΙD						
													Tin	neS	inc	eRe	equ	est													
													Tim	еT	oFa	ailRequest															
	Res								sul	tCo	de																				
	RequestOperation Reserved																														

**ClientID** (2 bytes): Client identification number.

**ServerID** (2 bytes): Server identification number.

**SessionID** (2 bytes): Session identification number.

RequestID (2 bytes): Request identification number.

TimeSince Request (4 bytes): Number of milliseconds since failure request occurred.

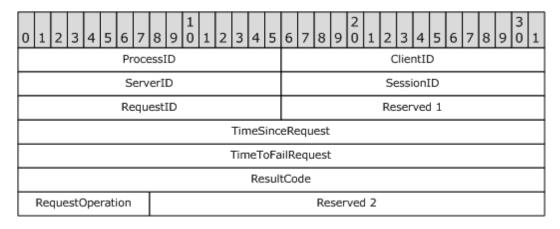
**TimeToFailRequest (4 bytes):** Number of milliseconds the failure request took to complete.

**ResultCode (4 bytes):** Error code return of failed request.

**RequestOperation (1 byte):** Client-defined operation that failed.

**Reserved (3 bytes):** Padding to enforce alignment of the data on a 4-byte field. The client can fill this field with any value when writing the stream. The server MUST ignore the value of this field when reading the stream.

#### 2.2.2.16 AUX\_PERF\_FAILURE\_V2



**ProcessID (2 bytes):** Process identification number.

ClientID (2 bytes): Client identification number.

**ServerID (2 bytes):** Server identification number.

SessionID (2 bytes): Session identification number.

**RequestID (2 bytes):** Request identification number.

**Reserved 1 (2 bytes):** Padding to enforce alignment of the data on a 4-byte field. The client can fill this field with any value when writing the stream. The server MUST ignore the value of this field when reading the stream.

TimeSince Request (4 bytes): Number of milliseconds since failure request occurred.

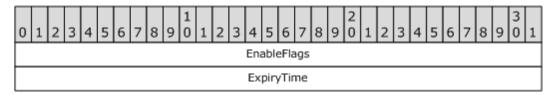
TimeToFailRequest (4 bytes): Number of milliseconds the failure request took to complete.

**ResultCode (4 bytes):** Error code return of failed request.

**RequestOperation (1 byte):** Client-defined operation that failed.

**Reserved 2 (3 bytes):** Padding to enforce alignment of the data on a 4-byte field. The client can fill this field with any value when writing the stream. The server MUST ignore the value of this field when reading the stream.

#### 2.2.2.17 AUX CLIENT CONTROL

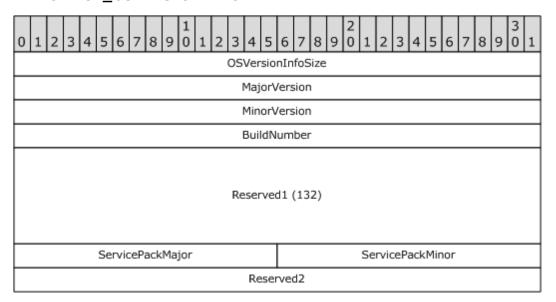


**EnableFlags (4 bytes):** The following table describes the flags that instruct the client to either enable or disable behavior. To disable behavior, do not set the flag to the specified value.

Flag	Value	Description
ENABLE_PERF_SENDTOSERVER	0x00000001	Client MUST start sending performance information to server.
ENABLE_PERF_SENDTOMAILBOX	0x00000002	Client MUST start sending performance information as logs to a special location in the user's mailbox.
ENABLE_COMPRESSION	0x00000004	Client MUST compress information up to the server. Compression MUST ordinarily be the default behavior, but this allows the server to 'disable' compression.
ENABLE_HTTP_TUNNELING	0x00000008	Client MUST utilize RPC/ <b>HTTP</b> if configured.
ENABLE_PERF_SENDGCDATA	0x0000010	Client MUST include performance data of the client that is communicating with the directory service.

**ExpiryTime (4 bytes):** The number of milliseconds the client SHOULD keep unsent performance data before the data is expired. Expired data is not transmitted to the server. This prevents the server from receiving stale performance information that is stored on the client.

# 2.2.2.18 AUX\_OSVERSIONINFO<>



OSVersionInfoSize (4 bytes): Size of the AUX\_OSVERSIONINFO structure.

MajorVersion (4 bytes): Major version number of the operating system of the server.

MinorVersion (4 bytes): Minor version number of the operating system of the server.

BuildNumber (4 bytes): Build number of the operating system of the server.

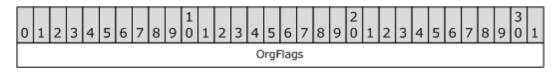
Reserved1 (132 bytes): Reserved. Content MUST be ignored by client.

**ServicePackMajor (2 bytes):** Major version number of the latest operating system service pack that is installed on server.

**ServicePackMinor (2 bytes):** Minor version number of the latest operating system service pack that is installed on server.

Reserved2 (4 bytes): Reserved. Content MUST be ignored by client.

# 2.2.2.19 AUX\_EXORGINFO



**OrgFlags (4 bytes):** Flags indicating the server organizational information. The following table specifies the valid values.

Flag	Value	Description
PUBLIC_FOLDERS_ENABLED	0x0000001	Organization has public folders.

#### 3 Protocol Details

The Wire Format protocol is comprised of two RPC interfaces: **EMSMDB** and **AsyncEMSMDB**. This section describes the details of each interface.

For some functionality through the **EMSMDB** interface, the client is required to call interface method **EcDoConnectEx** first to establish a Session Context Handle (CXH). The CXH is an RPC context handle. To establish a CXH, a call to **EcDoConnectEx** MUST be successful. The following table lists all method calls that require a valid CXH.

CXH Based Methods	Interface
EcDoDisconnect	EMSMDB
EcRRegister Push Notification	EMSMDB
EcDoConnectEx	EMSMDB
EcDoRpcExt2	EMSMDB
EcDoAsyncConnectEx	EMSMDB

For some functionality through the **AsyncEMSMDB** interface, the client is required to call specific interface methods first to establish an **Asynchronous Context Handle (ACXH)**. The ACXH is an RPC context handle. To establish an ACXH, a call to **EcDoAsyncConnectEx** on the EMSMDB interface MUST be successful. The following table lists all method calls that require a valid ACXH context handle.

ACXH Based Methods	Interface
EcDoAsyncWaitEx	AsyncEMSMDB

#### 3.1 EMSMDB Server Details

The server responds to **messages** it receives from the client.

#### 3.1.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

Some methods on this interface require CXH information to be stored on the server and used across multiple interface calls for a long duration of time. For these method calls, this protocol is stateful. The server MUST store this Session Context information and provide a CXH to the client to make subsequent interface calls by using this same Session Context information.

The server MUST keep a list of all active sessions and their associated Session Context information. Each Session Context MUST be identified by a CXH. After a Session Context has been established, a client can access messaging resources through this Session Context. The server MUST keep track of all open resources or any state information specific to the session on the Session Context. This can

include but is not limited to resources, such as folders, messages, tables, attachments, streams, associated Asynchronous Context Handles (ACXHs), and notification callbacks.

The server MUST isolate all resources associated with one Session Context from all other Session Contexts on the server. Access to resources on one Session Context MUST NOT be allowed using a CXH of another Session Context.

When the CXH is destroyed or the client connection is lost, the Session Contextand all Session Context information MUST be destroyed, all open resources MUST be closed, and all **Server objects** that are associated with the Session Context MUST be released.

#### 3.1.2 **Timers**

None.

#### 3.1.3 Initialization

The server MUST first register the different protocol sequences that will allow the server to communicate with the client. This is done by calling the RPC function **RpcServerUseProtseqEp**. For protocol sequences and details about this function, see [MS-RPCE]. The supported protocol sequences are specified in section 2.1. Note some protocol sequences use named endpoints, which are also specified in section 2.1.

The server MUST register the different authentication methods that are allowed on the **EMSMDB** interface. This is done by calling the RPC function **RpcServerRegisterAuthInfo**. For details about this function and the authentication methods, see [MS-RPCE].

The server MUST start listening for RPC calls by calling RPC function **RpcServerListen**. For details about this function, see [MS-RPCE].

The server MUST register the **EMSMDB** interface. This is done by calling the RPC function **RpcServerRegisterIfEx**. For details about this function, see [MS-RPCE].

The last step is to register the **EMSMDB** interface to all the registered binding handles created previously in calls to **RpcServerUseProtseq** or **RpcServerUseProtseqEp**. This is done by first acquiring all the binding handle information through RPC function **RpcServerInqBindings** and then calling RPC function **RpcEpRegister** with the binding information. For details about these functions, see [MS-RPCE].

#### 3.1.4 Message Processing Events and Sequencing Rules

This protocol MUST indicate to the RPC runtime that it is to perform a strict **Network Data Representation (NDR)** data consistency check at target level 5.0, as specified in [MS-RPCE] section 3.

The following table lists the methods that this interface includes. The term "Reserved" in the table means that it is recommended the client not send the **opnum**.

Method	opnum	Description
Opnum0Reserved	0	Reserved.
EcDoDisconnect	1	Closes a Session Context with the server. The Session Context is destroyed and all associated server state, objects, and resources that are associated with the Session Context are released. The method requires an active Session Context

Method	opnum	Description
		Handle (CXH) to be returned from <b>EcDoConnectEx</b> .
Opnum2Reserved	2	Reserved.
Opnum3Reserved	3	Reserved.
EcRRegisterPushNotification	4	Registers a callback address with the server for a Session Context. The callback address is used to notify the client of a pending event on the server. The method requires an active CXH to be returned from <b>EcDoConnectEx</b> .
Opnum5Reserved	5	Reserved.
EcDummy Rpc	6	This call does nothing. A client can use it to determine whether it can communicate with the server.
Opnum7Reserved	7	Reserved.
Opnum8Reserved	8	Reserved.
Opnum9Reserved	9	Reserved.
EcDoConnectEx	10	Creates a CXH on the server to be used in subsequent calls to <b>EcDoDisconnect</b> , <b>EcDoRpcExt2</b> , and <b>EcDoAsyncConnectEx</b> .
EcDoRpcExt2	11	Passes generic remote operation (ROP) commands to the server for processing within a Session Context. The method requires an active CXH to be returned from <b>EcDoConnectEx</b> .
Opnum12Reserved	12	Reserved.
Opnum13Reserved	13	Reserved.
EcDoAsy ncConnectEx	14	Binds a CXH that is returned in <b>EcDoConnectEx</b> to a new Asynchronous Context Handle (ACXH) which can be used in calls to <b>EcDoAsyncWaitEx</b> in interface <b>AsyncEMSMDB</b> . The method requires an active Session Context handle to be returned from <b>EcDoConnectEx</b> .

# 3.1.4.1 Opnum0Reserved (opnum 0)

The **OpnumOReserved** method is reserved. It is recommended that the method not be used.

# 3.1.4.2 EcDoDisconnect (opnum 1)

The method **EcDoDisconnect** closes a Session Context with the server. The Session Context is destroyed and all associated server state, objects, and resources that are associated with the Session Context are released. This call requires an active Session Context Handle (CXH) to be returned from method **EcDoConnectEx**.

```
long __stdcall EcDoDisconnect(
     [in, out, ref] CXH * pcxh
);
```

**pcxh:** On input, contains the CXH of the Session Context that the client wants to disconnect. On output, the server MUST clear the CXH to a zero value. Setting the value to zero instructs the RPC layer of the server to destroy the RPC context handle.

**Error Values:** If the method succeeds, the return value is 0. If the method fails, the return value is an implementation-specific error code.

**Exceptions Thrown:** No exceptions are thrown beyond those thrown by the underlying RPC protocol [MS-RPCE].

# 3.1.4.3 Opnum2Reserved (opnum 2)

The **Opnum2Reserved** method is reserved. It is recommended that the method not be used.

# 3.1.4.4 Opnum3Reserved (opnum 3)

The **Opnum3Reserved** method is reserved. It is recommended that the method not be used.

#### 3.1.4.5 EcRRegisterPushNotification (opnum 4)

The method **EcRRegisterPushNotification** registers a callback address with the server for a Session Context. The callback address is used to notify the client of pending events on the server. This call requires an active Session Context Handle (CXH) to be returned from method **EcDoConnectEx**.<1>

The server MUST store the callback address and the opaque context data in the Session Context. Whenever the server wants to notify the client of pending events, it sends a packet containing just the opaque context data to the callback address. The callback address specifies which network transport is to be used to send the data packet.

For more information about notification handling, see [MS-OXCNOTIF].

```
long __stdcall EcRRegisterPushNotification(
    [in, out, ref] CXH * pcxh,
    [in] unsigned long iRpc,
    [in, size_is(cbContext)]unsigned char rgbContext[],
    [in] unsigned short cbContext,
    [in] unsigned long grbitAdviseBits,
    [in, size_is(cbCallbackAddress)] unsigned char rgbCallbackAddress[],
    [in] unsigned short cbCallbackAddress,
    [out] unsigned long *hNotification
);
```

**pcxh:** On input, the client MUST pass a valid CXH that was created by calling **EcDoConnectEx**. The server uses the CXH to identify the Session Context to use for this call. On output, the server MUST return the same CXH on success.

The server can destroy the CXH by returning a zero CXH. The server might want to destroy the CXH for the following reasons:

The CXH that was passed in is invalid.

An attempt was made to access a mailbox that is in the process of being moved.

**iRpc:** The server MUST completely ignore this value. The client MUST pass a value of 0x00000000.

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**rgbContext:** This parameter contains opaque client-generated context data that is sent back to the client at the callback address, passed in parameter *rgbCallbackAddress*, when the server wants to notify the client of pending event information. The server MUST save this data within the Session Context and use it when sending a notification to the client.

**cbContext:** This parameter contains the size of the opaque client context data that is passed in parameter *rgbContext*. The server MUST fail this call with error code ecTooBig if this parameter is larger than 0x00000010.

grbitAdviseBits: This parameter MUST be 0xFFFFFFF.

**rgbCallbackAddress:** This parameter contains the callback address for the server to use to notify the client of a pending event. The size of this data is in the parameter *cbCallbackAddress*.

The data contained in this parameter follows the format of a **sockaddr** structure. For information about the **sockaddr** structure, see [MSDN-SOCKADDR].

The server supports the address families AF\_INET and AF\_INET6 for a callback address that corresponds to the protocol sequence types that are specified in section 2.1.

If an address family is requested that is not supported, the server MUST return error code ecInvalidParam. If the address family is supported, but the communications stack of the server does not support the address type, the server MUST return error code ecNotSupported.

**cbCallbackAddress:** This parameter contains the length of the callback address in parameter *rgbCallbackAddress*. The size of this parameter depends on the address family being used. If this size does not correspond to the **sockaddr** size based on address family, the server MUST return error code ecInvalidParam.

**hNotification:** If the call completes successfully, this output parameter will contain a handle to the notification callback on the server.

**Error Codes:** If the method succeeds, the return value is 0. If the method fails, the error codes listed in the following table are returned. Additional implementation-specific error codes might be returned.

Name	Value	Meaning
ecInvalidParam	0×80070057	A parameter passed was not valid for the call.
ecNotSupported	0x80040102	The callback address is not support on the server.
ecTooBig	0x80040305	Opaque context data is too large.

Exceptions **Thrown:** No exceptions are thrown beyond those thrown by the underlying RPC protocol [MS-RPCE].

# 3.1.4.6 Opnum5Reserved (opnum 5)

The **Opnum5Reserved** method is reserved. It is recommended that the method not be used.

#### 3.1.4.7 EcDummy Rpc (opnum 6)

The method **EcDummyRpc** does nothing. A client can use it to determine if it can communicate with the server.

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```
long __stdcall EcDummyRpc(
    [in] handle_t hBinding
);
```

hBinding: A valid RPC binding handle.

Error Codes: The function MUST always succeed and return 0.

Exceptions **Thrown:** No exceptions are thrown beyond those thrown by the underlying RPC protocol [MS-RPCE].

#### 3.1.4.8 Opnum7Reserved (opnum 7)

The Opnum7Reserved method is reserved. It is recommended that the method not be used.

### 3.1.4.9 Opnum8Reserved (opnum 8)

The **Opnum8Reserved** method is reserved. It is recommended that the method not be used.

# 3.1.4.10 Opnum9Reserved (opnum 9)

The Opnum9Reserved method is reserved. It is recommended that the method not be used.

# 3.1.4.11 EcDoConnectEx (opnum 10)

The **EcDoConnectEx** method establishes a new Session Context with the server. The Session Context is persisted on the server until the client disconnects by using **EcDoDisconnect**. This method returns a Session Context Handle (CXH) to be used by a client in subsequent calls.

```
long stdcall EcDoConnectEx(
    [in] handle t hBinding,
    [out, ref] CXH * pcxh,
     [in, string] unsigned char * szUserDN,
     [in] unsigned long ulFlags,
     [in] unsigned long ulConMod,
     [in] unsigned long cbLimit,
     [in] unsigned long ulCpid,
     [in] unsigned long ulLcidString,
     [in] unsigned long ulLcidSort,
     [in] unsigned long ulIcxrLink,
     [in] unsigned short usFCanConvertCodePages,
     [out] unsigned long * pcmsPollsMax,
     [out] unsigned long * pcRetry,
     [out] unsigned long * pcmsRetryDelay,
     [out] unsigned short * picxr,
     [out, string] unsigned char **szDNPrefix,
     [out, string] unsigned char **szDisplayName,
     [in] unsigned short rgwClientVersion[3],
     [out] unsigned short rgwServerVersion[3],
     [out] unsigned short rgwBestVersion[3],
     [in, out] unsigned long * pulTimeStamp,
     [in, size is(cbAuxIn)] unsigned char rgbAuxIn[],
     [in] unsigned long cbAuxIn,
     [out, length is(*pcbAuxOut), size is(*pcbAuxOut)] unsigned char rgbAuxOut[],
     [in, out] SMALL RANGE ULONG *pcbAuxOut
```

hBinding: A valid RPC binding handle.

**pcxh:** On success, the server MUST return a unique value to be used as a CXH. This unique value serves as the CXH for the client.

On failure, the server MUST return a zero value as the CXH.

**szUserDN:** User's distinguished name (DN). String containing the DN of the user who is making the **EcDoConnectEx** call in a directory service. Value: "/o=Microsoft/ou=First Administrative Group/cn=recipients/cn=janedow".

ulFlags: For ordinary client calls this value MUST be 0x00000000.

Value	Meaning
0×00000000	Ordinary client connection.
0×00000001	Administrator privilege requested for connection.

**ulConMod:** The connection modulus is a client-derived 32-bit hash value of the DN passed in field **szUserDN** and can be used by the server to decide which public folder **replica** to use when accessing public folder information when more than one replica of a **folder** exists. The hash can be used to distribute client access across replicas in a deterministic way for load balancing.

cbLimit: This field is reserved. A client MUST pass a value of 0x00000000.

**ulCpid:** The **code page** in which text data is sent if Unicode format is not requested by the client on subsequent calls using this Session Context.

**ulLcidString:** The local ID for everything other than sorting.

ulLcidSort: The local ID for sorting.

**ulIcxrLink:** This value is used to link the Session Context created by this call with an existing Session Context on the server. If no session linking is requested, this value will be 0xFFFFFFF. To link to an existing Session Context, this value is the session index value returned in field **piCxr** from a previous **EcDoConnectEx** call. In addition to passing the session index, the value in **pulTimeStamp** will be returned in the **pulTimeStamp** field from the previous **EcDoConnectEx** call. These two values MUST be used by the server to identify an active session with the same session index and session creation time stamp. If a session is found, the server MUST link the Session Context created by this call with the one found.<2>

A server allows Session Context linking for the following reasons:

- 1. To consume a single **Client Access License (CAL)** for all the connections made from a single client computer. This gives a client the ability to open multiple independent connections using more than one Session Context on the server, but be seen to the server as only consuming a single CAL.
- 2. To get pending notification information for other sessions on the same client computer. For details, see <a href="RopPending">RopPending</a> in <a href="MS-OXCNOTIF">[MS-OXCNOTIF]</a>.

Note that the *ulIcxrLink* parameter is defined as a 32-bit value. Other than passing 0xFFFFFFF for no Session Context linking, the server only uses the low-order 16 bits as the session index. This

value is the value returned in **piCxr** from a previous **EcDoConnectEx** call, which is the session index and defined as a 16-bit value.

usFCanConvertCodePages: This field is reserved. The client MUST pass a value of 0x01.

**pcmsPolIsMax:** The server returns the number of milliseconds that a client waits between polling the server for event information. If the client or server does not support making asynchronous RPC calls for notifications (see **EcDoAsyncWaitEx**), or the client is unable to receive notifications via UDP datagrams (see **EcRRegisterPushNotifications**), the client can poll the server to determine whether any events are pending for the client. For details about notifications, see <a href="MS-OXNOTIF">[MS-OXNOTIF]</a>.

**pcRetry:** The server returns the number of times a client retries future RPC calls using the CXH returned in this call. This is for client RPC calls that fail with RPC status code RPC\_S\_SERVER\_TOO\_BUSY. This is a suggested retry count for the client and is not to be enforced by the server.

**pcms Retry Delay:** The server returns the number of milliseconds a client waits before retrying a failed RPC call. If any future RPC call to the server using the CXH returned in this call fails with RPC status code RPC\_S\_SERVER\_TOO\_BUSY, it waits the number of milliseconds specified in this output parameter before retrying the call. The number of times a client retries is returned in parameter *pcRetry*. This is a suggested delay for the client and is not to be enforced by the server.

**piCxr:** The server returns a session index value that is associated with the CXH returned from this call. This value in conjunction with the session creation time stamp value returned in **pulTimeStamp** will be passed to a subsequent **EcDoConnectEx** call, if the client wants to link two Session Contexts. The server MUST NOT assign two active Session Contexts the same session index value. The server is free to return any 16-bit value for the session index.

The server MUST also use the session index when returning a <u>RopPending</u> response command on calls to **EcDoRpcExt2** to tell the client which Session Context has pending notifications. If Session Contexts are linked, a <u>RopPending</u> can be returned for any linked Session Context. For details about <u>RopPending</u>, see <u>[MS-OXCROPS]</u> and <u>[MS-OXCNOTIF]</u>.

**szDNPrefix:** The server returns the distinguished name (DN) of the server.

**szDisplayName:** The server returns the **display name** of the user associated with the *szUserDN* parameter.

**rgwClientVersion:** The client passes the client protocol version the server uses to determine what protocol functionality the client supports. For more information about how version numbers are interpreted from the wire data, see section 3.1.9.

**rgwServerVersion:** The server returns the server protocol version the client uses to determine what protocol functionality the server supports. For details about how version numbers are interpreted from the wire data, see section 3.1.9.

**rgwBestVersion:** The server returns the minimum client protocol version the server supports. This information is useful if the **EcDoConnectEx** call fails with return code ecVersionMismatch. On success, the server returns the value passed in **rgwClientVersion** by the client. The server cannot perform any client protocol version negotiation. The server can either return the minimum client protocol version required to access the server and fail the call with **ecVersionMismatch**, or the server can allow the client and return the value passed by the client in **rgwClientVersion**. It is up to the server implementation to set the minimum client protocol version that is supported by the server. For details about how version numbers are interpreted from the wire data, see section 3.1.9.

**pulTimeStamp:** On input, this parameter and parameter *ulIcxrLink* are used for linking the Session Context created by this call with an existing Session Context. If the *ulIcxrLink* parameter is not

OxFFFFFFF, the client MUST pass in the **pulTimeStamp** value returned from the server on a previous call to **EcDoConnectEx** (see the *ulIcxrLink* and *piCxr* parameters for more details). If the server supports Session Context linking, the server verifies that there is a Session Context state with the unique **identifier ulIcxrLink** and it has a creation time stamp equal to the value passed in this parameter. If so, the server MUST link the Session Context created by this call with the one found. If no such Session Context state is found, the server does not fail the **EcDoConnectEx** call, but simply not do linking.<3>

On output, the server has to return a time stamp in which the new Session Context was created. The server saves the Session Context creation time stamp within the Session Context state for later use if a client attempts to do Session Context linking.

**rgbAuxIn:** This parameter contains an auxiliary payload buffer. The auxiliary payload buffer is prefixed by an **RPC\_HEADER\_EXT** structure. Information stored in this header determines how to interpret the data following the header. The length of the auxiliary payload buffer that includes the **RPC\_HEADER\_EXT** header is contained in parameter cbAuxIn.

See section 3.1.7 for details about how to access the embedded auxiliary payload buffer. See section 3.1.8 for details about how to interpret the auxiliary payload data.

**cbAuxIn:** On input, this parameter contains the length of the auxiliary payload buffer passed in the *rgbAuxIn* parameter. The server MUST fail with the RPC status code RPC\_X\_BAD\_STUB\_DATA (0x000006F7), if this value on input is larger than 0x00001008 bytes in size. For more information, see [C706].<4>

**rgbAuxOut:** On output, the server can return auxiliary payload data to the client. The server MUST include an **RPC\_HEADER\_EXT** header before the auxiliary payload data.

See section 3.1.7 for details about how to access the embedded auxiliary payload buffer. See section 3.1.8 for details about how to interpret the auxiliary payload data.

**pcbAuxOut:** On input, this parameter contains the maximum length of the rgbAuxOut buffer. The server MUST fail with the RPC status code RPC\_X\_BAD\_STUB\_DATA (0x000006F7) if this value on input is larger than 0x00001008. For more information, see [C706].

On output, this parameter contains the size of the data to be returned in the rgbAuxOut buffer.

**Error Values:** If the method succeeds, the return value is 0. If the method fails, the return value is an implementation-specific error code or one of the protocol-defined error codes listed in the following table.

Name	Value	Meaning
ecRpcAuthentication	0x000004B6	The szUserDN parameter does not reference a user or references a guest user or a built-in user.
ecNotEncrypted	0x00000970	The server is configured to require encryption and the binding handle, hBinding, authentication is not set with RPC_C_AUTHN_LEVEL_PKT_PRIVACY. For more information about setting the authentication and authorization, see <a href="RpcBindingSetAuthInfoEx">RpcBindingSetAuthInfoEx</a> . The client attempts the call again with new binding handle that is encrypted.
ecClientVerDisallowed	0x000004DF	The server requires encryption, but the client is not encrypted and the client does not support receiving error code ecNotEncrypted being returned by the server. See section 3.1.9 for details about which client versions do not support receiving

Name	Value	Meaning
		error code ecNotEncrypted.  2. The client version has been blocked by the administrator.
ecLoginFailure	0x80040111	<ol> <li>The user does not have any access to a private mailbox or public folder messaging data.</li> <li>There are no private mailboxes or public folders on the server.</li> <li>The server is exiting or is about to exit.</li> </ol>
ecUnknownUser	0x000003EB	The server does not recognize the <i>szUserDN</i> as a valid enabled mailbox. For more details, see <a href="MS-OXCSTOR">[MS-OXCSTOR]</a> .
ecLoginPerm	0x000003F2	The connection is requested for administrative access, but the authentication context associated with the binding handle does not have enough privilege.
ecVersionMismatch	0x80040110	The client and server versions are not compatible. The client protocol version is older than that required by the server.
ecCachedModeRequired	0x000004E1	The server requires the client to be running in cache mode. See section $3.1.9$ for details about which client versions understand this error code.
ecRpcHttpDisallowed	0x000004E0	The server requires the client to not be connected via RPC/HTTP. See section $3.1.9$ for details about which client versions understand this error code.
ecProtocolDisabled	0x000007D8	The server disallows the user to access the server via this protocol interface. This could be done if the user is only capable of accessing their mailbox information through a different means (for example, Webmail, POP, IMAP, and so on). See section 3.1.9 for details about which client versions understand this error code.

Exceptions **Thrown:** No exceptions are thrown beyond those thrown by the underlying RPC protocol [MS-RPCE].

#### 3.1.4.12 EcDoRpcExt2 (opnum 11)

The method **EcDoRpcExt2** passes generic remote operation (ROP) commands to the server for processing within a Session Context. Each call can contain multiple ROP commands. The server returns the results of each ROP command to the client. This call requires an active Session Context Handle (CXH) returned from method **EcDoConnectEx**.

```
long __stdcall EcDoRpcExt2(
    [in, out, ref] CXH * pcxh,
    [in, out] unsigned long *pulFlags,
    [in, size_is(cbIn)] unsigned char rgbIn[],
    [in] unsigned long cbIn,
    [out, length_is(*pcbOut), size_is(*pcbOut)] unsigned char rgbOut[],
    [in, out] BIG_RANGE_ULONG *pcbOut,
    [in, size_is(cbAuxIn)] unsigned char rgbAuxIn[],
    [in] unsigned long cbAuxIn,
    [out, length_is(*pcbAuxOut), size_is(*pcbAuxOut)] unsigned char rgbAuxOut[],
    [in, out] SMALL RANGE ULONG *pcbAuxOut,
```

```
[out] unsigned long *pulTransTime
);
```

**pcxh:** On input, the client MUST pass a valid Session Context Handle (CXH)that was created by calling **EcDoConnectEx**. The server uses the CXH to identify the Session Context to use for this call. On output, the server MUST return the same CXH on success.

The server can destroy the CXH by returning a zero CXH. The server might destroy the Session CXH for the following reasons:

- 1. It determines that the **ROP request** payload in the rgbIn buffer is malformed or length parameters are invalid.
- 2. The CXH passed in is invalid.
- 3. It is trying to access a Mailbox that is in the process of being moved.
- 4. If an administrator blocks a client that has an active connection.

**pulFlags:** On input, this parameter contains flags that tell the server how to build the *rgbOut* parameter.

Name	Value	Meaning
NoCompression	0x00000001	The server MUST NOT compress ROP response payload ( $rgbOut$ ) or auxiliary payload ( $rgbAuxOut$ ). If flag is absent, server MUST compress.
NoXorMagic	0×00000002	The server MUST NOT obfuscate the ROP response payload ( $rgbOut$ ) or auxiliary payload ( $rgbAuxOut$ ). If flag is absent, server SHOULD obfuscate.
Chain	0x00000004	The server allows chaining of ROP response payloads. <5>

See section 3.1.7.1.2 for details about how to use these flags.

On output, the server MUST return 0x00000000. The meaning of the output **flags** are reserved for future use.

**rgbIn:** This buffer contains the ROPrequest payload. The ROPrequest payload is prefixed with an **RPC\_HEADER\_EXT header.** Information stored in this header determines how to interpret the data following the header. See 3.1.7 for details about how to access the embedded ROP request payload. The length of the ROPrequest payload including the **RPC\_HEADER\_EXT** header is contained in parameter *cbIn*.

For more information about ROP buffers, see [MS-OXCROPS].

**cbIn:** On input, this parameter contains the length of the ROP request payload passed in the *rgbIn* parameter. The server MUST fail with error code ecRpcFormat if the request buffer is larger than 0x00008007 bytes in size or is smaller than the size of the **RPC\_HEADER\_EXT** (0x00000008 bytes).<a href="mailto:separameter"><6><a href="mailto:The ROP"><a href="mailto:separameter"><a href="mai

**rgbOut:** On success, this buffer contains the ROP response payload. Like the ROP request payload, the ROP response payload is also prefixed by a **RPC\_HEADER\_EXT** header. For details about how

to format the ROP response payload, see section 3.1.7. The size of the ROP response payload plus the RPC HEADER EXT header is returned in *pcbOut*.

For more information about ROP buffers, see [MS-OXCROPS].

**pcbOut:** On input, this parameter contains the maximum size of the rgbOut buffer. The server MUST fail with error code ecRpcFormat if the value in pcbOut on input is less than 0x00008007. < 7 > The server MUST fail with the RPC status code of RPC\_X\_BAD\_STUB\_DATA (0x000006F7) if the value in pcbOut on input is larger than 0x00040000. For more information, see [C706].

On output, this parameter contains the size of the ROP response payload, including the size of the **RPC\_HEADER\_EXT** header in the *rgbOut* parameter. The server returns 0x00000000 on failure as there is no ROP response payload. The client ignores any data returned on failure.

**rgbAuxIn:** This parameter contains an auxiliary payload buffer. The auxiliary payload buffer is prefixed by an **RPC\_HEADER\_EXT** structure. Information stored in this header determines how to interpret the data following the header. The length of the auxiliary payload buffer including the **RPC\_HEADER\_EXT** header is contained in parameter *cbAuxIn*.

See section 3.1.7 for details about how to access the embedded auxiliary payload buffer. See section 3.1.8 for details about how to interpret the auxiliary payload data.

**cbAuxIn:** On input, this parameter contains the length of the auxiliary payload buffer passed in the rgbAuxIn parameter. The server MUST fail with the RPC status code RPC\_X\_BAD\_STUB\_DATA (0x000006F7) if the request buffer is larger than 0x00001008 bytes in size. For more information, see [C706].<8>

**rgbAuxOut:** On output, the server can return auxiliary payload data to the client. The server MUST include a **RPC\_HEADER\_EXT** header before the auxiliary payload data.

See section 3.1.7 for details about how to access the embedded auxiliary payload buffer. See section 3.1.8 for details about how to interpret the auxiliary payload data.

**pcbAuxOut:** On input, this parameter contains the maximum length of the rgbAuxOut buffer. The server MUST fail with the RPC status code RPC\_X\_BAD\_STUB\_DATA (0x000006F7) if this value on input is larger than 0x00001008. For more information, see [C706].

On output, this parameter contains the size of the data to be returned in the *rgbAuxOut* buffer.

**pulTransTime:** On output, the server stores the number of milliseconds the call took to execute. This is the total elapsed time from when the call is dispatched on the server to the point in which the server returns the call. This is diagnostic information the client can use to determine the cause of a slow response time from the server. The client can monitor the total elapsed time across the RPC function call and, using this output parameter, can determine whether time was spent transmitting the request/response on the network on processing time on the server.

**Error Values:** If the method succeeds, the return value is 0. If the method fails, the error codes listed in the following table are returned. Additional implementation-specific error codes could be returned.

Name	Value	Meaning
ecRpcFormat	0x000004B6	The format of the request was found to be invalid. This is a generic error that means the length was found to be invalid or the content was found to be invalid.

Exceptions **Thrown:** No exceptions are thrown beyond those thrown by the underlying RPC protocol [MS-RPCE].

# 3.1.4.13 Opnum12Reserved (opnum 12)

The **Opnum12Reserved** method is reserved. It is recommended that the method not be used.

# 3.1.4.14 Opnum 13 Reserved (opnum 13)

The **Opnum13Reserved** method is reserved. It is recommended that the method not be used.

# 3.1.4.15 EcDoAsyncConnectEx (opnum 14)

The method **EcDoAsyncConnectEx** binds a Session Context Handle (CXH) returned from method **EcDoConnectEx** to a new Asynchronous Context Handle (ACXH) that can be used in calls to **EcDoAsyncWaitEx** in interface **AsyncEMSMDB**. This call requires an active CXH to be returned from method **EcDoConnectEx**.

This method is part of Notification handling. For more information about notifications, see <a href="MS-OXCNOTIF1">[MS-OXCNOTIF1</a>.

```
long __stdcall EcDoAsyncConnectEx(
    [in] CXH cxh,
    [out, ref] ACXH * pacxh
);
```

CXH: Client MUST pass a valid CXH that was created by calling **EcDoConnectEx**. The server uses the CXH to identify the **Session Context** to use for this call.

**pacxh:** On success, the server returns an ACXH that is associated with the Session Context passed in parameter CXH. This ACXH can be used to make a call to **EcDoAsyncWaitEx** on interface **AsyncEMSMDB**.

**Error Values:** If the method succeeds, the return value is 0. If the method fails, the error codes listed in the following table are returned. Additional implementation-specific error codes could be returned.

Name	Value	Meaning
ecRejected	0×000007EE	Server has asynchronous RPC notifications disabled. Client either polls for notifications or calls <b>EcRRegisterPushNotifications</b> .

Exceptions **Thrown:** No exceptions are thrown beyond those thrown by the underlying RPC protocol [MS-RPCE].

#### 3.1.5 Timer Events

None.

#### 3.1.6 Other Local Events

None.

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### 3.1.7 Extended Buffer Handling

Interface methods **EcDoConnectEx** and **EcDoRpcExt2** contain request and response buffers that use an extended buffer mechanism where the payload is preceded by a header. The header contains flags that determine whether or not the payload has been compressed, obfuscated, or another extended buffer and payload exists after the current payload. A single payload MUST NOT exceed 32 KB in size.

An extended buffer is used in fields rgbAuxIn and rgbAuxOut on the **EcDoConnectEx** method and in the fields rgbIn, rgbOut, rgbAuxIn, and rgbAuxOut on the **EcDoRpcExt2** method.

The following sections detail the extended buffer format, compression algorithm, obfuscation algorithm, and extended buffer packing.

#### 3.1.7.1 Extended Buffer Format

See section 2.2.2.1 for details about the structure and individual fields.

The client or server can choose not to compress the payload if the payload is small. The client or server can choose to not obfuscate the payload if the payload has already been compressed. The client or server can choose to not obfuscate the payload if the client is connected using RPC layer encryption.

The extended buffer is used in both the **EcDoConnectEx** and **EcDoRpcExt2** for a variety of different fields. The information in the following sections describes how the extended buffer is used for the different fields on each method.

#### 3.1.7.1.1 EcDoConnectEx

# 3.1.7.1.1.1 rgbAuxIn

The input buffer *rgbAuxIn* has the following format:

RPC_HEADER_EXT	Payload
----------------	---------

The header MUST contain the Last flag in the flags field.

If the Compressed flag is present in the flags field, the content of the payload MUST be compressed by the client and MUST be uncompressed by the server before it can be interpreted. See section 3.1.7.2 for details about how to compress and uncompress payload data.

If the XorMagic flag is present in the flags field, the content of the payload MUST be obfuscated by the client and MUST be reverted by the server before it can be interpreted. See section 3.1.7.3 for details about how to obfuscate and revert obfuscated payload data.

The payload is auxiliary information that can be passed from the client to the server. See section 3.1.8 for details about how to interpret this data.

# 3.1.7.1.1.2 rgbAuxOut

The output buffer *rgbAuxOut* has the following format:

RPC_HEADER_EXT	Payloa d
----------------	----------

The header MUST contain the Last flag in the flags field.

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If the Compressed flag is present in the flags field, the content of the payload MUST be compressed by the server and MUST be uncompressed by the client before it can be interpreted. See section 3.1.7.2 details about how to compress and uncompress payload data.

If the XorMagic flag is present in the flags field, the content of the payload MUST be obfuscated by the server and MUST be reverted by the client before it can be interpreted. See section 3.1.7.3 for details about how to obfuscate and revert obfuscated payload data.

The payload is auxiliary information that can be passed from the server to the client. See section 3.1.8 for details about how to interpret this data.

## 3.1.7.1.2 EcDoRpcExt2

The flags passed to the server in field **pulFlags** by the client request that the server compress or obfuscate the response data returned in field **rgbOut** and **rgbAuxOut**. If the client requests no compression or no obfuscation through the flags NoCompression or NoXorMagic, the server MUST honor the client request. If the client requests compression or obfuscation through the absence of either flags NoCompression or NoXorMagic, the server honors the client request. The client MUST NOT assume a response will compressed or obfuscated if requested and has the ability to handle data which is not compressed or not obfuscated.

### 3.1.7.1.2.1 rgbIn

The input buffer *rgbIn* has the following format:

RPC_HEADER_EXT	Payload
----------------	---------

The header MUST contain the Last flag in the flags field.

If the Compressed flag is present in the flags field, the content of the payload MUST be compressed by the client and MUST be uncompressed by the server before it can be interpreted. See section 3.1.7.2 for details about how to compress and uncompress payload data.

If the XorMagic flag is present in the flags field, the content of the payload MUST be obfuscated by the client and MUST be reverted by the server before it can be interpreted. See section 3.1.7.3 for details about how to obfuscate and revert obfuscated payload data.

The payload is remote operation (ROP) request information that can be passed from the client to the server. See [MS-OXCROPS] for details about how to interpret this data.

# 3.1.7.1.2.2 rgbOut

The output buffer rgbOut has the following format:

RPC_HEADER_EXT Payload	RPC_HEADER_EXT	Payload		RPC_HEADER_EXT	Payload
------------------------	----------------	---------	--	----------------	---------

There might be multiple extended buffers contained in the single output buffer. <9>They will each have an RPC HEADER EXT header followed by a Payload.

All headers except for the last MUST NOT contain the Last flag in the flags field. The last header MUST contain the Last flag in the flags field.

If the Compressed flag is present in the flags field, the content of the payload following the header MUST be compressed by the server and MUST be uncompressed by the client before it can be interpreted. See section 3.1.7.2 for details about how to compress and uncompress payload data.

If the XorMagic flag is present in the flags field, the content of the payload following the header MUST be obfuscated by the server and MUST be reverted by the client before it can be interpreted. See section 3.1.7.3 for details about how to obfuscate and revert obfuscated payload data.

Compression or obfuscation can be done differently for each header and payload section. The client MUST be able to treat each header and payload independently and interpret the contents solely on the flags specified in the header.

Each payload contains remote operation (ROP) response information that is returned from the server to the client. See [MS-OXCROPS] for details about how to interpret this data.

## 3.1.7.1.2.3 rgbAuxIn

The input buffer rgbAuxIn has the following format:

RPC_HEADER_EXT	Payloa d
----------------	----------

The header MUST contain the Last flag in the flags field.

If the Compressed flag is present in the flags field, the content of the payload MUST be compressed by the client and MUST be uncompressed by the server before it can be interpreted. See section 3.1.7.2 for details about how to compress and uncompress payload data.

If the XorMagic flag is present in the flags field, the content of the payload MUST be obfuscated by the client and MUST be reverted by the server before it can be interpreted. See section 3.1.7.3 for details about how to obfuscate and revert obfuscated payload data.

The payload is auxiliary information that can be passed from the client to the server. See section 3.1.8 for details about how to interpret this data.

### 3.1.7.1.2.4 rgbAuxOut

The output buffer rgbAuxOut has the following format:

RPC_HEADER_EXT	Payload
----------------	---------

The header MUST contain the Last flag in the flags field.

If the Compressed flag is present in the flags field, the content of the payload MUST be compressed by the server and MUST be uncompressed by the client before it can be interpreted. See section 3.1.7.2 for details about how to compress and uncompress payload data.

If the XorMagic flag is present in the flags field, the content of the payload MUST be obfuscated by the server and MUST be reverted by the client before it can be interpreted. See section 3.1.7.3 for details about how to obfuscate and revert obfuscated payload data.

The payload is auxiliary information that can be passed from the server to the client. See section 3.1.8 for details about how to interpret this data.

## 3.1.7.2 Compression Algorithm

Based on flags that are passed in **RPC\_HEADER\_EXT** header of the extended buffer, the payload is compressed or decompressed by the server and client by using the LZ77 compression algorithm and the DIRECT2 encoding algorithm.

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This section describes the compression algorithm LZ77 and the basic encoding algorithm DIRECT2 that are used by the Wire Format protocol.

# 3.1.7.2.1 LZ77 Compression Algorithm

The compression algorithm is used to analyze input data and determine how to reduce the size of that input data by replacing redundant information with metadata. Sections of the data that are identical to sections of the data that have been encoded are replaced by small metadata that indicates how to expand those sections again. The encoding algorithm is used to take that combination of data and metadata and serialize it into a stream of bytes that can later be decoded and decompressed.

## 3.1.7.2.1.1 Compression Algorithm Terminology

The following terms are associated with the compression algorithm.

input stream: The sequence of bytes to be compressed.

byte: The basic data element in the input stream.

**coding position:** The position of the byte in the input stream that is currently being coded (the beginning of the **lookahead buffer**).

lookahead buffer: The byte sequence from the coding position to the end of the input stream.

**window:** A buffer that indicates the number of bytes from the **coding position** backward. A **window** of size W contains the last W processed bytes.

**pointer:** Information about the beginning of the **match** in the window (referred to as "B" in the example later in this section) and also specifies its length (referred to as "L" in the example later in this section).

match: The string that is used to find a match of the byte sequence between the lookahead buffer and the window.

# 3.1.7.2.1.2 Using the Compression Algorithm

To use the LZ77 compression algorithm:

- 1. Set the **coding position** to the beginning of the **input stream**.
- 2. Find the longest match in the window for the lookahead buffer.
- 3. Output the P,C pair, where P is the **pointer** to the match in the window, and C is the first byte in the lookahead buffer that does not match.
- 4. If the lookahead buffer is not empty, move the coding position (and the window) L+1 bytes forward.
- 5. Return to step 2.

#### 3.1.7.2.1.3 Compression Process

The compression algorithm searches the window for the longest **match** with the beginning of the **lookahead buffer** and then outputs a **pointer** to that match. Because even a 1-**byte** match might not be found, the output cannot contain only pointers. The compression algorithm solves this

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problem by outputting after the pointer the first byte in the lookahead buffer after the match. If no match is found, the algorithm outputs a null-pointer and the byte at the **coding position**.

# 3.1.7.2.1.4 Compression Process Example

The following table shows the **input stream** that is used for this compression example. The bytes in the input, "AABCBBABC," occupy the first nine positions of the stream.

#### Input stream

Pos	1	2	3	4	5	6	7	8	9
Byte	Α	Α	В	С	В	В	Α	В	С

The following table shows the output from the compression process. The table includes the following columns:

**Step:** Indicates the number of the encoding step. A step in the table finishes every time that the encoding algorithm makes an output. With the compression algorithm, this process happens in each pass through step 3.

Pos: Indicates the coding position. The first byte in the input stream has the coding position 1.

Match: Shows the longest match found in the window.

Byte: Shows the first byte in the lookahead buffer after the match.

**Output:** Presents the output in the format (B,L)C, where (B,L) is the pointer (P) to the match. This gives the following instructions to the decoder: Go back B bytes in the window and copy L bytes to the output. C is the explicit byte.

**Note** One or more pointers might be included before the explicit byte that is shown in the Byte column.

# **Compression process output**

Step	Pos	Match	Byte	Output
1.	1		А	(0,0)A
2.	2	А	В	(1,1)B
3.	4		С	(0,0)C
4.	5	В	В	(2,1)B
5.	7	АВ	С	(5,2)C

The result of compression, conceptually, is the output column – that is, a series of bytes and optional metadata that indicates whether that byte is preceded by some sequence of bytes that is already in the output.

Because representing the metadata itself requires bytes in the output stream, it is inefficient to represent a single byte that has previously been encoded by two bytes of metadata (offset and length). The overhead of the metadata bytes equals or exceeds the cost of outputting the bytes directly. Therefore, the Exchange Server Protocol only considers sequences of bytes to be a match if the sequences have three or more bytes in common.

### 3.1.7.2.2 DIRECT2 Encoding Algorithm

The basic notion of the DIRECT2 encoding algorithm is that data appears unchanged in the compressed representation (it is not recommended to try to further compress the data by, for example, applying Huffman compression to that payload), and metadata is encoded in the same output stream, and in line with, the data.

The key to decoding the compressed data is recognizing what **bytes** are metadata and what bytes are data. The decoder MUST be able to identify the presence of metadata in the compressed and encoded data stream. Bitmasks are inserted periodically in the byte stream to provide this information to the decoder.

This section describes the bitmasks that enable the decoder to distinguish data from metadata. It also describes the process of encoding the metadata.

# 3.1.7.2.2.1 Bitmask

To distinguish data from metadata in the compressed byte stream, the data stream begins with a 4-byte bitmask that indicates to the decoder whether the next byte to be processed is data ("0" value in the bit), or if the next byte (or series of bytes) is metadata ("1" value in the bit). If a "0" bit is encountered, the next byte in the input stream is the next byte in the output stream. If a "1" bit is encountered, the next byte or series of bytes is metadata that MUST be interpreted further.

For example, a bitmask of 0x01000000 indicates that the first seven bytes are actual data, followed by encoded metadata that starts at the eighth byte. The metadata is followed by 24 additional bytes of data.

When the bitmask has been consumed, the next four bytes in the input stream are another bitmask.

# 3.1.7.2.2.2 Encoding Metadata

In the output stream, actual data **bytes** are stored unchanged. Bitmasks are stored periodically to indicate whether the next byte or bytes are data or metadata. If the next bit in the bitmask is "1," the next set of bytes in the input data stream is metadata. This metadata contains an offset back to the start of the data to be copied to the output stream, and the length of the data to be copied.

To represent the metadata as efficiently as possible, the encoding of that metadata is not fixed in length. The encoding algorithm supports the largest possible floating compression window to increase the probability of finding a large match; the larger the window, the greater the number of bytes that are needed for the offset. The encoding algorithm also supports the longest possible **match**; the longer the match length, the greater the number of bytes that are needed to encode the length.

## 3.1.7.2.2.3 Metadata Offset

This protocol assumes the metadata is two **bytes** in length, where the high-order 13 bits are a first complement of the offset, and the low-order three bits are the length. The offset is only encoded with those 13 bits; this value cannot be extended and defines the maximum size of the compression floating window. For example, the metadata 0x0018 is converted into the offset b'00000000011', and the length b'000'. In integers, the offset is '-4', computed by inverting the offset bits, treating the result as a 2s complement, and converting to integer.

### 3.1.7.2.2.4 Match Length

Unlike the metadata offset, the **match** length is extensible. If the length is less than 10 **bytes**, it is encoded in the three low-order bits of the 2-byte metadata. Although three bits seems to allow for a maximum length of six (the value b'111' is reserved), because the minimum match is three bytes, these three bits actually allow for the expression of lengths from three to nine. The match length goes from L = b'000' + 3 bytes, to L = b'110' + 3 bytes. Because smaller lengths are much more common than the larger lengths, the algorithm tries to optimize for smaller lengths. To encode a length between three and nine, we use the three bits that are "in-line" in the 2-byte metadata.

If the length of the match is greater than nine bytes, an initial bit pattern of b'111' is put in the three bits. This does not signify a length of 10 bytes, but instead a length that is greater than or equal to 10, which is included in the low-order nibble of the following byte.

Every other time that the length is greater than nine, an additional byte follows the initial 2-byte metadata. The first time that the additional byte is included, the low-order nibble is used as the additive length. The next nibble is "reserved" for the next metadata instance when the length is greater than nine. Therefore, the first time that the decoder encounters a length that is greater than nine, it reads the next byte from the data stream and the low-order nibble is extracted and used to compute length for this metadata instance. The high-order nibble is remembered and used the next time that the decoder encounters a metadata length that is greater than nine. The third time that a length that is greater than nine is encountered, another extra byte is added after the 2-byte metadata, with the low-order nibble used for this length and the high-order nibble reserved for the fourth length that is greater than nine, and so on.

If the nibble from this "shared" byte is all 1s (for example, b'1111'), another byte is added after the shared byte to hold more length. In this manner, a length of 24 is encoded as follows:

b'111' (in the three bits in the original two bytes of metadata), plus

b'1110' (in the nibble of the 'shared' byte of extended length)

b'111' means 10 bytes plus b'1110', which is 14, which results in a total of 24.

If the length is more than 24, the next byte is also used in the length calculation. In this manner, a length of 25 is encoded as follows:

b'111' (in the three bits in the original two bytes of metadata), plus

b'1111' (in the nibble of the 'shared' byte of extended length), plus

b'00000000' (in the next byte)

This scheme is good for lengths of up to 278 (a length of 10 in the three bits in the original two bytes of metadata, plus a length of 15 in the nibble of the 'shared' byte of extended length, plus a length of up to 254 in the extra byte).

A "full" (all b'1') bit pattern (b'111', b'1111', and b'11111111') means that there is more length in the following two bytes.

The final two bytes of length differ from the length information that comes earlier in the metadata. For lengths that are equal to 280 or greater, the length is calculated only from these last two bytes, and is not added to the previous length bits. The value in the last two bytes, a 16-bit integer, is three less than the metadata length. These last two bytes allow for a match length of up to 32,768 bytes + 3 bytes (the minimum match length).

The following table summarizes the length representation in metadata.

**Note** Length is computed from the bits that are included in the metadata plus the minimum match length of three.

# Length representation in metadata

Match Length	Length Bits in the Metadata
24	b'111' (three bits in the original two bytes of metadata) + b'1110' (in the high or lower-order nibble, as appropriate, of the shared byte)
25	b'111' (three bits in the original two bytes of metadata) + b'1111' (in the high or lower-order nibble, as appropriate, of the shared byte) + b'00000000' (in the next byte)
26	b'111' (three bits in the original two bytes of metadata) + b'1111' (in the high or lower-order nibble, as appropriate, of the shared byte) + b'00000001' (in the next byte)
279	b'111' (three bits in the original two bytes of metadata) + b'1111' (in the high or lower-order nibble, as appropriate, of the shared byte) + b'11111110' (in the next byte)
280	b'111' (three bits in the original two bytes of metadata) b'1111' (in the high or lower-order nibble, as appropriate, of the shared byte) b'11111111' (in the next byte) 0x0115 (in the next two bytes). These two bytes represent a length of 277 + 3 (minimum match length).  Note All the length is included in the final two bytes and is not additive, as were the previous length calculations for lengths that are smaller than 280 bytes.
281	b'111' (three bits in the original two bytes of metadata) b'1111' (in the high or lower-order nibble, as appropriate, of the shared byte) b'11111111' (in the next byte) 0x0116 (in the next two bytes). This is 278 + 3 (minimum match length).  Note All the length is included in the final two bytes and is not additive, as were the previous length calculations for lengths that are smaller than 280 bytes.

A "full" bit pattern in that last half word does not mean that more metadata is coming after the last bytes.

The LZ77 compression algorithm produces a well-compressed encoding for small valued lengths, but as the length increases, the encoding becomes less well compressed. A match length of greater than 278 bytes requires a relatively large number of bits: 3+4+8+16. This includes three bits in the

original two bytes of metadata, four bits in the nibble in the 'shared' byte, eight bits in the next byte, and 16 bits in the final two bytes of metadata.

# 3.1.7.3 Obfuscation Algorithm

Obfuscation is used to obscure any easily readable messaging data being transmitted between the client and server across the network. This is not intended as a security feature. If a client wants to have secure communications with the server, it MUST use RPC-level packet encryption.

The algorithm used to obscure data is straightforward and simple. Every **byte** of the data to be obfuscated has XOR applied with the value 0xA5.

# 3.1.7.4 Extended Buffer Packing

As mentioned in section 3.1.7.1.2.2, the <code>rgbOut</code> field of method <code>EcDoRpcExt2</code> can contain more than one extended buffer, each with an <code>RPC\_HEADER\_EXT</code> header. This concept is called "packing". The server has the ability to "pack" additional response data into the <code>rgbOut</code> field based on whether the client has requested this functionality through passing flag Chain in the <code>pulFlags</code> field and whether the remote operation (ROP) in the <code>rgbIn</code> request buffer on the <code>EcDoRpcExt2</code> method support "packing". The ROP commands that support "packing" are <code>RopQueryRows</code>, <code>RopReadStream</code>, and <code>RopFastTransferSourceGetBuffer</code>. See <code>[MS-OXCROPS]</code> for details about these ROP commands. <10>

When processing ROP requests, the server MUST NOT produce more than 32 KB worth of response data for all ROP requests. However, when the server finishes processing a RopQueryRows, RopReadStream, and RopFastTransferSourceGetBuffer from the rgbIn request buffer and it was the last ROP command in the request buffer and the client has requested "packing" through the Chain flag and there is residual room in the rgbOut response buffer, the server can add additional data to the rgbOut response buffer with its own RPC\_HEADER\_EXT header.

For the server to produce additional response data, it MUST build a response "as if" the client sent another request with only a RopQueryRows, RopReadStream, or RopFastTransferSourceGetBuffer. The additional response data is also limited to 32 KB in size. The additional ROP response is placed into the rgbOut buffer following the previous header and payload with its own RPC\_HEADER\_EXT header. The server can then compress and/or obfuscate this payload if the client requests and set the appropriate flags in the header indicating how the payload has been altered. If there is still more residual room in the rgbOut buffer, the server can continue to produce more response data until there is not enough room in the rgbOut buffer to hold another response.

The server MUST stop adding additional "packed" buffers to the *rgbOut* response buffer if the residual size of the *rgbOut* response buffer is less than 8 KB for <u>RopReadStream</u> and <u>RopFastTransferSourceGetBuffer</u> and 32 KBfor <u>RopQueryRows</u>. The server MUST NOT place more than 96 individual payloads into a single *rgbOut* response buffer.

When it adds additional response data, the server MUST alter the request to reflect what has already been done. For example, if the client requests to read 1,000 rows in RopQueryRows and the first payload contains 100 rows, the additional response data MUST be processed "as if" the client only request 900 rows. The server MUST NOT return more data to the client than the client originally requested.

For <u>RopQueryRows</u>, the server MUST adjust the row count when adding additional response data. For <u>RopReadStream</u>, the server MUST adjust the number of bytes to read when adding additional response data. There is no specific limit for <u>RopFastTransferSourceGetBuffer</u>, but the server MUST stop if no more data is indicated for the fast transfer stream. For <u>RopFastTransferSourceGetBuffer</u>, the client requests that the server return "as much" data as possible. See [MS-OXCROPS] for details about how to properly format <u>RopFastTransferSourceGetBuffer</u> in this way.

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### 3.1.8 Auxiliary Buffer

Methods **EcDoConnectEx** and **EcDoRpcExt2** allow for additional data to travel between the client and server. This additional data is transferred in the auxiliary buffers of both methods. The *rgbAuxIn* is for auxiliary data being sent from the client to the server and *rgbAuxOut* is for auxiliary data being sent from the server to the client.

Unlike the ROP request and response payloads *rgbIn* and *rgbOut*, there is no request and response nature to the auxiliary buffers. The data sent to the server from the client in the auxiliary input buffer is purely informational and the server is not required to respond in the auxiliary output buffer. The data sent from the server to the client is also informational data that the client might use to alter its behavior against the server.

The data being transferred in the auxiliary buffers is divided into two different categories. The first is client-side performance information, which is statistical information the client can keep regarding its communication with the messaging server or the directory service. Part of this information is for when the client fails to communicate with the messaging server or the directory service. The client can then report this information to the server the next time it communicates. The server is free to analyze this information and provide feedback to help diagnose any potential networking or communications issues with the client/server messaging network infrastructure.

The second category of auxiliary information is server-to-client oriented and enables the server to tell the client about topology characteristics of the messaging system. The client can use this information to change how it interacts with the server.

All information in the auxiliary buffer MUST be added with an **AUX\_HEADER** preceding the actual auxiliary information. See section <u>2.2.2.2</u> for details about the **AUX\_HEADER** and how it is formatted. Within the **AUX\_HEADER** header the fields **Version** and **Type** combined determine which auxiliary block follows the header. Section <u>2.2.2.2</u> provides details about how to format the **AUX\_HEADER** header to indicate which auxiliary block follows.

If the client or server receives an auxiliary **AUX\_HEADER** block with a version and type it does not identify, it MUST skip over the entire block. The **AUX\_HEADER** contains the length of the **AUX\_HEADER** plus the following auxiliary block in the field **Size**, and so skipping the information can be done. The client or server does not throw an error if there is an auxiliary block that it does not identify. This will allow for future expansion to the auxiliary blocks without affecting legacy clients or servers.

# 3.1.8.1 Client Performance Monitoring

The following are sent from the client to the server in the *rgbAuxIn* auxiliary buffer on method **EcDoConnectEx**. Each of these auxiliary blocks MUST be preceded by a properly formatted **AUX HEADER** header.

#### Sent by client to server in EcDoConnectEx

Block	Description
AUX_PERF_CLIENTINFO (see section 2.2.2.6)	Sent to the server as diagnostic information about the client for more robust reporting of networking issues. The client MUST assign a unique ClientID parameter for each <b>AUX_PERF_CLIENTINFO</b> block sent to the server. The ClientID is also used in other performance blocks to identify which client to associate the performance data with.
AUX_PERF_PROCESSINFO (see section 2.2.2.8)	Sent to the server as diagnostic information about the client process for more robust reporting of networking issues. The client MUST assign

Block	Description
	a unique ProcessID for each <b>AUX_PERF_PROCESSINFO</b> block sent to the server. The ProcessID is also used in other performance blocks to identify which client process to associate the performance data with.
AUX_PERF_SESSIONINFO (see section 2.2.2.4)	Sent to the server as diagnostic information about the client session for more robust reporting of networking issues. The client MUST assign a unique SessionID for each AUX_PERF_SESSIONINFO/AUX_PERF_SESSIONINFO_V2 block sent to the server. The SessionID is also used in other performance blocks to identify which client session to associate the performance data with.  If writing a client, it is recommended that AUX_PERF_SESSIONINFO_V2 be used instead. A server still supports this older session information auxiliary block.  This block can also be passed in the EcDoRpcExt2 auxiliary input buffer.
AUX_PERF_SESSIONINFO_V2 (see section 2.2.2.5)	Sent to the server as diagnostic information about the client session for more robust reporting of networking issues. The client MUST assign a unique SessionID for each AUX_PERF_SESSIONINFO_V2/AUX_PERF_SESSIONINFO block sent to the server. The SessionID is also used in other performance blocks to identify which client session to associate the performance data with.  This block can also be passed in the EcDoRpcExt2 auxiliary input buffer.

The following are sent from the client to the server in the rgbAuxIn auxiliary buffer on method **EcDoRpcExt2**. Each of these auxiliary blocks MUST be preceded by a properly formatted **AUX\_HEADER** header (see section 2.2.2.2).

# Sent by client to server in EcDoRpcExt2

Block	Description			
AUX_PERF_SESSIONINFO (see section 2.2.2.4)	Sent to the server as diagnostic information about the client session for more robust reporting of networking issues. The client MUST assign a unique SessionID for each AUX_PERF_SESSIONINFO/AUX_PERF_SESSIONINFO_V2 block sent to the server. The SessionID is also used in other performance blocks to identify which client session to associate the performance data with.			
	If writing a client, it is recommended that <b>AUX_PERF_SESSIONINFO_V2</b> be used instead. A server still supports this older session information auxiliary block.			
	This block can also be passed in the <b>EcDoConnectEx</b> auxiliary input buffer.			
AUX_PERF_SESSIONINFO_V2 (see section 2.2.2.5)	Sent to the server as diagnostic information about the client session for more robust reporting of networking issues. The client MUST assign a unique SessionID for each AUX_PERF_SESSIONINFO_V2/AUX_PERF_SESSIONINFO block sent to the server. The SessionID is also used in other performance blocks to identify which client session to associate the performance data with.			
	This block can also be passed in the <b>EcDoConnectEx</b> auxiliary input buffer.			

Block	Description					
AUX_PERF_SERVERINFO (see section 2.2.2.7)	Sent to the server as diagnostic information about the server that the client is communicating with for more robust reporting of networking issues. The client MUST assign a unique ServerID for each <b>AUX_PERF_SERVERINFO</b> block sent to the server. The ServerID is also used in other performance blocks to identify which server a client is communicating with to associate the performance data.					
AUX_PERF_REQUESTID (see section 2.2.2.3)	Sent to the server as diagnostic information about a particular request for more robust reporting of networking issues. The client MUST assign a unique RequestID for each AUX_PERF_REQUESTINFO block sent to the server. The RequestII is also used in other performance blocks to identify which request to associate the performance data with.  This block requires an AUX_PERF_SESSIONINFO or AUX_PERF_SESSIONINFO_V2 to have been previously sent to the server for the SessionID field within this block.					
AUX_PERF_DEFMDB_SUCCESS (see section 2.2.2.9)	Sent to the server as diagnostic information to report a previously successful RPC call to the messaging server.  This block requires an <b>AUX_PERF_REQUESTID</b> to have been previously sent to the server for the <b>RequestID</b> field within this block.					
AUX_PERF_DEFGC_SUCCESS (see section 2.2.2.10)	Sent to the server as diagnostic information to report a previously successful call to the <b>Active Directory</b> directory service.  This block requires an <b>AUX_PERF_SERVERINFO</b> and <b>AUX_PERF_SESSIONINFO_V2</b> to have been previously sent to the server for the <b>ServerID</b> and <b>SessionID</b> fields within this block.					
AUX_PERF_MDB_SUCCESS (see section 2.2.2.11)	Sent to the server as diagnostic information to report a previously successful RPC call to the messaging server.  This block requires an AUX_PERF_REQUESTID, AUX_PERF_CLIENTINFO, AUX_PERF_SERVERINFO, and AUX_PERF_SESSIONINFO/ AUX_PERF_SESSIONINFO_V2 to have been previously sent to the server for the RequestID, ClientID, ServerID, and SessionID fields within this block.  If writing a client, it is recommended that AUX_PERF_MDB_SUCCESS_V2 be used instead. A server still supports this older session information auxiliary block.					
AUX_PERF_MDB_SUCCESS_V2 (see section 2.2.2.12)	Sent to the server as diagnostic information to report a previously successful RPC call to the messaging server.  This block requires an AUX_PERF_REQUESTID, AUX_PERF_PROCESSINFO, AUX_PERF_CLIENTINFO, AUX_PERF_SERVERINFO, and AUX_PERF_SESSIONINFO/AUX_PERF_SESSIONINFO_V2 to have been previously sent to the server for the RequestID, ProcessID, ClientID, ServerID, and SessionID fields within this block.					
AUX_PERF_GC_SUCCESS (see section 2.2.2.13)	Sent to the server as diagnostic information to report a previously successful call to the directory service.  This block requires an AUX_PERF_CLIENTINFO, AUX_PERF_SERVERINFO, and AUX_PERF_SESSIONINFO/AUX_PERF_SESSIONINFO_V2 to have been previously sent to the					

Block	Description
	server for the <b>ClientID</b> , <b>ServerID</b> , and <b>SessionID</b> fields within this block.  If writing a client, it is recommended that <b>AUX_PERF_GC_SUCCESS_V2</b> be used instead. A server still supports this older session information auxiliary block.
AUX_PERF_GC_SUCCESS_V2 (see section 2.2.2.14)	Sent to the server as diagnostic information to report a previously successful call to the directory service.  This block requires an AUX_PERF_PROCESSINFO, AUX_PERF_CLIENTINFO, AUX_PERF_SERVERINFO, and AUX_PERF_SESSIONINFO/ AUX_PERF_SESSIONINFO_V2 to have been previously sent to the server for the ProcessID, ClientID, ServerID, and SessionID fields within this block.
AUX_PERF_FAILURE (see section 2.2.2.15)	Sent to the server as diagnostic information to report a previously FAILED call to the messaging server or the directory service.  This block requires an AUX_PERF_REQUESTID, AUX_PERF_CLIENTINFO, AUX_PERF_SERVERINFO, and AUX_PERF_SESSIONINFO/ AUX_PERF_SESSIONINFO_V2 to have been previously sent to the server for the RequestID, ClientID, ServerID, and SessionID fields within this block.  If writing a client, it is recommended that AUX_PERF_FAILURE_V2 be used instead. A server still supports this older session information auxiliary block.
AUX_PERF_FAILURE_V2 (see section 2.2.2.16)	Sent to the server as diagnostic information to report a previously FAILED call to the messaging server or the directory service.  This block requires an AUX_PERF_REQUESTID, AUX_PERF_PROCESSINFO, AUX_PERF_CLIENTINFO, AUX_PERF_SERVERINFO, and AUX_PERF_SESSIONINFO/AUX_PERF_SESSIONINFO_V2 to have been previously sent to the server for the RequestID, ProcessID, ClientID, ServerID, and SessionID fields within this block.

# 3.1.8.2 Server Topology Information

The following are sent from the server to the client in the *rgbAuxOut* auxiliary buffer on method **EcDoConnectEx**. Each of these auxiliary blocks MUST be preceded by a properly formatted **AUX\_HEADER** header (see section 2.2.2.2).

# Sent by server to client in EcDoConnectEx

Block	Description
AUX_CLIENT_CONTROL (see section 2.2.2.17)	Sent to the client to request a change in client behavior. This is a means for the server to dynamically change client behavior. See section 2.2.2.17 for details about what client behavior the server can adjust.  The client alters its behavior based on this request.
AUX_OSVERSIONINFO (see section 2.2.2.18)	Sent to the client as informational data to help the client decide whether it needs to alter its behavior against the server. The data provided to the client is the servers operating system version and operating system service pack information. $<11>$

Block	Description
AUX_EXORGINFO (see section 2.2.2.19)	Sent to the client as informational data to help the client decide whether it needs to alter its behavior against the server. The data provided informs the client of the presence of public folders within the organization.
	A client MUST NOT try to open a public <b>store</b> if the server informs the client that it is not present or disabled. If this block is not returned to the client, the client assumes that public folders are available within the organization.

The following are sent from the server to the client in the rgbAuxOut auxiliary buffer on method **EcDoRpcExt2**. Each of these auxiliary blocks MUST be preceded by a properly formatted **AUX\_HEADER** header (see section 2.2.2.2).

#### Sent by server to client in EcDoRpcExt2

Block	Description
AUX_CLIENT_CONTROL (see section 2.2.2.17)	Sent to the client to request a change in client behavior. This is a means for the server to dynamically change client behavior. See section 2.2.2.17 for details about what client behavior the server can adjust.  The client alters its behavior based on this request. <12>

# 3.1.9 Version Checking

In the method **EcDoConnectEx**, the client passes the client version to the server. In response, the server returns its version to the client. The server version information indicates to the client what functionality is supported on the server. The client version information indicates to the server what functionality the client supports.

Sometimes the functionality represents a change in the protocol wire format. This section describes the following:

- How version numbers are compared.
- Specific server versions and their associated functionality.
- Specific client versions and their associated functionality.

# 3.1.9.1 Version Number Comparison

On the wire, client and server versions numbers are passed as three WORD values. See section 3.1.4.11 for details about the **EcDoConnectEx** method. In this method, the fields **rgwClientVersion**, **rgwServerVersion**, and **rgwBestVersion** are all passed as three WORD values. However, manipulation MUST be performed before the numbers can be compared.

Because versions that are passed on the wire were historically represented as only three numbers, the version number was expressed as "XX.XXXX." The first number represented the product major version. The second number was the build major number. The third number was the build minor number. However, this representation prevented the inclusion of a required fourth number, the product minor number, which is used when shipping service packs.

Microsoft changed the versioning to be represented as "XX.XX.XXXX.XXXX." For example, "08.01.0215.000" represents a specific build of Exchange 2007 with Service Pack 1 applied. The first number is the product major version. The second number is the product minor version. The third number is the build major number. The fourth number is the build minor number.

However, the version size on the wire did not change: it is still represented as three WORD values. A scheme was devised that converts from the three WORD on-the-wire-format of the version into a four-number version. This is referred to as version number normalization.

All versions are converted into four-number versions before any version checks are performed. The following pseudo-code example describes a function that converts the three WORD value wire version format into a four-number format that can then be used for version comparisons.

```
// This routine converts a three WORD version value into a normalized
// four WORD version value.
//
// Version[] is an array of 3 WORD values on the wire.
// NormalizedVersion[] is an array of 4 WORD values for comparison.
//

IF high-bit of Version[1] is set THEN
        SET NormalizedVersion[0] to high-byte of Version[0]
        SET NormalizedVersion[1] to low-byte of Version[0]
        SET NormalizedVersion[2] to Version[1] with high-bit cleared
        SET NormalizedVersion[3] to Version[2]

ELSE
        SET NormalizedVersion[0] to Version[0]
        SET NormalizedVersion[1] to 0
        SET NormalizedVersion[2] to Version[1]
        SET NormalizedVersion[3] to Version[2]

ENDIF
```

The first WORD is divided into two BYTE values, one being the product major version and the other being the product minor version. On the wire, the client and server need to know whether the version that is being passed is in the old scheme or the new scheme. If the highest bit of the second WORD value on the wire is set, the version on the wire is in the new scheme. Otherwise, it is interpreted as the old scheme where the product minor version is not sent.

#### 3.1.9.2 Server Versions

The following table shows server version values that are returned to the client on the **EcDoConnectEx** method call. The client can assume that the described functionality exists if the version number that is passed in the RPC buffer is equal to or greater than the server version number in which the functionality was added, as shown in the table.

Server version	Description
6.0.6755.0	The server supports passing the sentinel value 0xBABE in the <b>BufferSize</b> field of a <a href="RopFastTransferSourceGetBuffer">RopFastTransferSourceGetBuffer</a> request. For details, see <a href="MS-OXCROPS">[MS-OXCROPS]</a> .
8.0.295.0	The server supports passing the sentinel value 0xBABE in the <b>ByteCount</b> field of a <a href="RopReadStream">RopReadStream</a> request. For details, see [MS-OXCROPS].
8.0.324.0	The server supports the flag CLI_WITH_PER_MDB_FIX in the <b>OpenFlags</b> field of a <a href="RopLogon">RopLogon</a> request. For details, see [MS-OXCROPS] and [MS-OXCSTOR].
8.0.358.0	The server supports the <b>EcDoAsyncConnectEx</b> and <b>EcDoAsyncWaitEx</b> RPC function calls.

A server implementation needs to determine which level of support it will offer clients. Based on this level of support, it MUST return a server version that corresponds to that support. A server cannot mix and match functionality. To support functionality at one server version level, the server MUST support all functionality from previous server version levels.

#### 3.1.9.3 Client Versions

The following table shows client versions that are passed to the server on the **EcDoConnectEx** method call, where the client can expect the server behavior to change if the version that is transferred on the wire is equal to or greater than client version numbers as listed in the table.

Client version	Description	
11.0.0.0	The client supports receiving Unicode strings for all string properties on Recipient row data that is returned from the server on <a href="RopOpenEmbeddedMessage">RopOpenEmbeddedMessage</a> . For details, see <a href="IMS-OXCROPS">[MS-OXCROPS]</a> .	
11.00.0000.4920	The client supports receiving ecServerBusy in the <b>ReturnValue</b> field of the <u>RopFastTransferSourceGetBuffer</u> response. The client also assumes that the <b>BackoffTime</b> field will be present when the ReturnValue is ecServerBusy. If ReturnValue is not ecServerBusy, the <b>BackoffTime</b> field is not present. For details, see [MS-OXCROPS] and [MS-OXCFXICS].	
12.00.0000.000	The client supports receiving the errors ecCachedModeRequired, ecRpcHttpDisallowed, and ecProtocolDisabled on the <b>EcDoConnectEx</b> call; otherwise, the client will get back ecClientVerDisallowed instead.	
12.00.3118.000	The client supports receiving an <b>AUX_EXORGINFO</b> block in the <i>rgbAuxOut</i> buffer on the <b>EcDoConnectEx</b> call.	
12.00.3619.000	The client supports receiving the errors ecNotEncrypted on the <b>EcDoConnectEx</b> call; otherwise, the client will get back ecClientVerDisallowed. This error is returned when the server is configured to only allow encrypted connections and the client is trying to connect on a nonencrypted connection.	
12.00.3730.000	The client supports send optimization for Incremental Change Synchronization (ICS) using <a href="PidTagTargetEntryId">PidTagTargetEntryId</a> . See [MS-OXCFXICS] for more details.	
12.00.4207.000	The client supports "packing" of <a href="RopReadStream">RopReadStream</a> in the ROP response buffer of the <a href="EcDoRpcExt2">EcDoRpcExt2</a> RPC call. The <a href="RopReadStream">RopReadStream</a> MUST be the last ROP in the request buffer on the <a href="EcDoRpcExt2">EcDoRpcExt2</a> call. See section <a href="3.1.7.4">3.1.7.4</a> for details about extended buffer "packing".	
12.00.4228.0000	The client supports receiving RopBackoff in the ROP response buffer of the <b>EcDoRpcExt2</b> call. For details, see [MS-OXCROPS].	

A client implementation needs to determine which level of support it will offer servers. Based on this level of support, it MUST pass a client version that corresponds to that support. A client cannot mix and match functionality. To support functionality at one client version level, it MUST support all functionality from previous client version levels.

#### 3.2 EMSMDB Client Details

#### 3.2.1 Abstract Data Model

For some functionality on the **EMSMDB** interface, it is required that the client store a Session Context Handle (CXH) and use it on subsequent interface calls that require a CXH context handle.

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#### **3.2.2 Timers**

No protocol timers are required beyond the internal timers that are used in RPC to implement resiliency to network outages. For details, see [MS-RPCE].

#### 3.2.3 Initialization

The client creates an RPC connection to the remote server using the details described in section 2.1.

Establishing a connection with the server requires authentication. The RPC binding handle MUST have an authentication method defined.

### 3.2.4 Message Processing Events and Sequencing Rules

This protocol MUST indicate to the RPC runtime that it is to perform a strict NDR data consistency check at target level 5.0, as specified in section 3 of [MS-RPCE].

Upon the completion of the RPC method, the client returns the result unmodified to the higher layer. Some method calls require an RPC context handle, which is created in another method call. For details about method dependencies, see section 3.

### 3.2.4.1 Sending EcDoConnectEx

When issuing the interface call **EcDoConnectEx**, some parameters need additional client-side consideration beyond what is stated in section 3.1.4.11. The following is a list of parameters for which the client has specific handling:

**hBinding:** A valid RPC binding handle that MUST have a server name, protocol sequence, and authentication method defined. Some protocol sequences have named endpoints that MUST be used. See section 2.1 for details about how to create a binding handle.

**pcxh:** On success, this field will contain the Session Context Handle (CXH). The CXH MUST be stored on the client and used in subsequent calls on the **EMSMDB** interface that require a valid CXH.

**ulConMod:** The connection modulus hash is determined by the client for a connection. How the client determines the hash value is not important. The client ensures that for a particular distinguished name passed in field **szUserDN**, the hash value is always be the same. It is acceptable to have the same hash value for different distinguished names. The client is free to send any 32-bit value.

**cbLimit:** A client MUST pass a value of 0x00000000.

**ulIcxrLink:** This value is used to link the Session Context that is created by this call with an existing Session Context on the server that was created by a previous call to **EcDoConnectEx**.

A client can link two Session Contexts for the following reasons:

- To consume a single Client Access License (CAL) for all the connections made from a single client computer. This gives a client the ability to open multiple independent connections using more than one Session Context on the server, but be seen to the server as only consuming a single CAL.
- 2. To get pending notification information for other sessions on the same client computer. See <a href="RopPending">RopPending</a> in <a href="MS-OXCNOTIF">[MS-OXCNOTIF</a>] for details.

If a client does not want to link two Session Contexts or if this is the first call to **EcDoConnectEx**, the client MUST pass a value of 0xFFFFFFFF.

Note that the *ulIcxrLink* parameter is defined as a 32-bit value. Other than passing 0xFFFFFFF for no Session Context link, the client passes a value with the high-order 16-bits set to zero and the low-order 16-bits MUST be the value returned in field *piCxr* from a previous **EcDoConnectEx** call.

**usFCanConvertCodePages:** The client MUST pass a value of value 0x01.

**pcmsPolIsMax:** On success, this value is the number of milliseconds the client waits before polling the server for notification information. Other more dynamic options are available to the client for receiving notifications from the server. See [MS-OXCNOTIF] for details about working with Notifications. The client saves this value and associate it with the CXH.

**pcRetry:** On success, this value is the number of times the client retries a subsequent **EMSMDB** method call that uses the CXH that is returned in field *pcxh*. See section 3.2.4.3 for details about retrying RPC calls. The client saves this value and associates it with the CXH.

**pcmsRetryDelay:** On success, this value is the number of milliseconds a client waits before retrying a subsequent **EMSMDB** method call that uses the CXH that is returned in field pcxh. See section 3.2.4.3 for details about retrying RPC calls. The client saves this value and associates it with the CXH.

**piCxr:** On success, this value is a 16-bit session index that can be used in conjunction with the value returned in pulTimeStamp to link two Session Contexts on the server. See field *ulIcxrLink* for details about how to link Session Contexts and the reason why a client might want to do so.

The client saves this value and associates it with the CXH. It is the session index returned in a RopPending response command on calls to **EcDoRpcExt2**. The RopPending response command tells the client that a Session Context on the server has pending notifications. If a client links Session Contexts, a RopPending can be returned for any linked Session Context. See [MS-OXCROPS] and [MS-OXCNOTIF] for details about RopPending.

**rgwClientVersion:** The client MUST pass the version number of the highest client protocol version it supports. This value will provide information to the server about the protocol functionality that the client supports. For details about how version numbers are interpreted from the wire data and the expected client behavior, see section 3.1.9.

**rgwServerVersion:** On success, this value is the server protocol version that the client uses to determine what protocol functionality the server supports. For details about how version numbers are interpreted from the wire data and the expected server behavior, see section 3.1.9. The client saves this value and associates it with the CXH.

**pulTimeStamp:** If a client wants to link the Session Context that is created by this call to a previously created Session Context, the client MUST pass on input the session creation time stamp returned in *pulTimeStamp* on a previous **EcDoConnectEx** call. If the client does not want to link Session Contexts, the client passes value 0x00000000.

On success, this value is the Session Context creation time stamp. The server saves the Session Context creation time stamp and associate it with the CXH.

# 3.2.4.2 Sending EcDoRpcExt2

When issuing the interface call **EcDoRpcExt2** some parameters need additional client-side consideration beyond what is stated in section 3.1.4.12. The following is a parameter for which the client has specific handling:

**pcxh:** The client MUST pass a valid Session Context Handle (CXH) that was created by calling **EcDoConnectEx**. On output, the server might have prematurely closed the client's session by clearing the CXH to zero. If the value on output is zero, the Session Context on the server has been destroyed.

# 3.2.4.3 Handling Server Too Busy

All method calls that require a valid Session Context Handle (CXH) are to be retried if the call fails with RPC status RPC\_S\_SERVER\_TOO\_BUSY. The number of times the client retries and the amount of time the client waits before retrying is based on fields *pcRetry* and *pcmsRetryDelay* returned on **EcDoConnectEx**. **EcDoConnectEx** is the only method that creates a CXH, so it is a prerequisite for any method that requires a CXH.

# 3.2.4.4 Handling Connection Failures

If the client's connection to the server fails or if the server prematurely disconnects a client by clearing the Session Context Handle (CXH) in the response to an **EMSMDB** method call, the client cleans up any saved session state information and close the CXH if it is not already set to zero. The binding handle of the session is to be closed.

A client might chose to reconnect to the server automatically by creating a new binding handle and calling **EcDoConnectEx**. This will create a new Session Context on the server. Note that all Server objects previously opened on the server will no longer exist and the client MUST issue ROP commands if the client wants to recreate or reopen the Server objects.

#### 3.2.5 Timer Events

None.

#### 3.2.6 Other Local Events

None.

#### 3.3 AsyncEMSMDB Server Details

The server responds to messages it receives from the client.

# 3.3.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

The abstract data model for this interface is the same as that for the **EMSMDB** interface. See section 3.1.1 for details about Session Context and Session Context Handles (CXHs).

Some methods on this interface require Session Context information to be stored on the server and used across multiple interface calls for a long duration of time. For these method calls, this protocol is stateful. The server MUST store this Session Context information and provide a CXH to the client to make subsequent interface calls using this same Session Context information.

The **AsyncEMSMDB** uses Asynchronous Context Handles (ACXH), which are RPC context handles. Every ACXHMUST map to the Session Context that is associated with a CXH. There is only one ACXH for a Session Context.

All methods on the **AsyncEMSMDB** interface that use an ACXH MUST be performed against the Session Context that is associated with the ACXH.

The server MUST keep a mapping between the ACXHand an active Session Context on the server. Session Context can be created and destroyed through the **EMSMDB** interface.

When the Session Context is destroyed or the client connection is lost, the ACXH MUST also be destroyed.

#### **3.3.2 Timers**

None.

#### 3.3.3 Initialization

The server first MUST register the different protocol sequences that will allow clients to communicate with the server. This is done by calling RPC function **RpcServerUseProtseqEp**. See <a href="MS-RPCE">[MS-RPCE</a>] for details about this function and protocol sequences. The supported protocol sequences are specified in section <a href="2.1">2.1</a>. Note that some protocol sequences use named endpoints, which are also specified in section <a href="2.1">2.1</a>.

The server MUST register the different authentication methods that are allowed on the **AsyncEMSMDB** interface. This is done by calling RPC function **RpcServerRegisterAuthInfo**. See [MS-RPCE] for details about this function and authentication methods.

The server MUST start listening for RPC calls by calling RPC function **RpcServerListen**. See [MS-RPCE] for details about this function.

The server MUST register the **AsyncEMSMDB** interface. This is done by calling RPC function **RpcServerRegisterIfEx**. See [MS-RPCE] for details about this function.

The last step is to register the **AsyncEMSMDB** interface to all the registered binding handles created previously in calls to **RpcServerUseProtseq** or **RpcServerUseProtseqEp**. This is done by first acquiring all the binding handle information through RPC function **RpcServerInqBindings**, and then calling RPC function **RpcEpRegister** with the binding information. See [MS-RPCE] for details about these functions.

# 3.3.4 Message Processing Events and Sequencing Rules

This protocol MUST indicate to the RPC runtime that it is to perform a strict NDR data consistency check at target level 5.0, as specified in [MS-RPCE] Section 3.

This interface includes the following method:

Method	opnum	Description			
EcDoAsy nc WaitEx	0	Asynchronous call that the server will not complete until there are pending events on the Session Context. The method requires an active Asynchronous Context Handle (ACXH) returned from <b>EcDoAsyncConnectEx</b> on interface <b>EMSMDB</b> .			

# 3.3.4.1 EcDoAsyncWaitEx (opnum 0)

The method **EcDoAsyncWaitEx** is an asynchronous call that the server will not complete until there are pending events on the Session Context up to a five minute duration. If no events are available within five minutes, the server will return the call and will not set the NotificationPending flag in the *pulFlagsOut* field. If an event is pending, the server will complete the call immediately and return the NotificationPending flag in the *pulFlagsOut* field. This call requires an active Asynchronous Context Handle (ACXH) returned from **EcDoAsyncConnectEx** on interface **EMSMDB**. The ACXH is associated with the Session Context.

This method is part of Notification handling. See [MS-OXCNOTIF] for details about notifications.

```
long __stdcall EcDoAsyncWaitEx(
    [in] ACXH acxh,
    [in] unsigned long ulFlagsIn,
    [out] unsigned long *pulFlagsOut
);
```

**acxh:** On input, the client MUST pass a valid ACXH that was created by calling **EcDoAsyncConnectEx** on interface **EMSMDB**. The server uses the ACXH to identify the Session Context to use for this call.

ulFlagsIn: Unused. Reserved for future use. Client MUST pass a value of 0x000000000.

pulFlagsOut: Output flags for the client.

Flag	Value	Description
NotificationPending	0x00000001	Signals that events are pending for the client on the Session Context on the server. The client calls <b>EcDoRpcExt2</b> with an empty remote operation (ROP) request buffer. The server will return the event details in the ROP response buffer.

## 3.3.5 Timer Events

None.

#### 3.3.6 Other Local Events

None.

# 3.4 AsyncEMSMDB Client Details

#### 3.4.1 Abstract Data Model

For some functionality on the **AsyncEMSMDB** interface, it is required that the client store an Asynchronous Context Handle (ACXH) and use it on subsequent interface calls that require an ACXH.

# **3.4.2 Timers**

No protocol timers are required beyond those internal timers used in RPC to implement resiliency to network outages. For details, see [MS-RPCE].

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# 3.4.3 Initialization

This interface can only be used after first obtaining an Asynchronous Context Handle (ACXH) from the method **EcDoAsyncConnectEx** from interface **EMSMDB**.

# 3.4.4 Message Processing Events and Sequencing Rules

This protocol MUST indicate to the RPC runtime that it is to perform a strict NDR data consistency check at target level 5.0, as specified in [MS-RPCE] section 3.

Upon the completion of the RPC method, the client returns the result unmodified to the higher layer. Some method calls require an RPC context handle, which is created in another method call. For details about method dependencies, see section  $\underline{3}$ .

#### 3.4.5 Timer Events

None.

# 3.4.6 Other Local Events

None.

# 4 Protocol Examples

The following are examples of how a client and server use this protocol connection, submit ROP commands, and disconnect.

## 4.1 Client Connecting to Server

- 1. Client creates an RPC binding handle to the server with the "ncacn\_ip\_top" protocol sequence and the RPC C AUTHN WINNT authentication method.
- 2. Client makes **EMSMDB** interface method call **EcDoConnectEx** with the following parameters to establish a Session Context with the server:

hBinding: Binding handle created in step 1.

pcxh: Pointer to CXH to hold output value. In this example the client initializes CXH to zero.

**szUserDN:** User's distinguished name. String that contains the distinguished named of the user who is making the **EcDoConnectEx** call in a directory service. Value: "/o=Microsoft/ou=First Administrative Group/**CN**=recipients/CN=janedow".

ulFlags: Value 0x00000000. Regular user access.

ulConMod: Value 0x00340567. Client computed hash on szUserDN value.

cbLimit: Value 0x00000000.

ulCpid: Value 0x000004E4. code page 1252.

ulLcidString: Value 0x00000409. locale 1033 "en-us".

ulLcidSort: Value 0x00000409. locale 1033 "en-us".

ulIcxrLink: Value 0xFFFFFFF. No session link.

usFCanConvertCodePages: Value 0x01.

**rgwClientVersion:** Pointer to unsigned short array containing values: 0x000C, 0x183E, and 0x03E8. Client supports protocol client version 12.6206.1000.

pulTimeStamp: Pointer to unsigned long value 0x00000000.

rgbAuxIn: Null pointer value.
cbAuxIn: Value 0x000000000.

rgbAuxOut: Pointer to buffer of size 0x1008.

pcbAuxOut: Pointer to unsigned long value 0x00001008.

3. Server processes **EcDoConnectEx** request. Verifies that authentication context associated with *hBinding* handle has ownership privileges to a directory service object that contains a distinguished name in field *szUserDN*. Server creates Session Context and assigns a CXH (using 0x00001234 for this example). Server returns the following output values:

**pcxh:** Value at CXH pointer is 0x00001234. Note that the actual RPC context handle returned to the client in this field might not be what the server returned. The RPC layer on the server and client might map the context handle. The context handle returned to the client is guaranteed to

be unique and will map back to the server assigned context handle if used on subsequent calls to the server.

**pcmsPollsMax:** Value at unsigned long pointer is 0x0000EA60. In this example the client is instructed to poll for events every 60 seconds.

**pcRetry:** Value at unsigned long pointer is 0x00000006. In this example the client is instructed to retry six time before failing.

**pcmsRetryDelay:** Value at unsigned long pointer is 0x00001770. In this example the client is instructed to wait 10 seconds between each retry.

picxr: Value at unsigned short pointer is a server assigned session index with value 0x0304.

**szDNPrefix:** Value at unsigned char pointer is a pointer to a null-terminated **ANSI** string with value "/o=Microsoft/ou=First Administrative Group/CN=Configuration/CN=Servers/CN=MBX-SRV-02"..

**szDisplayName:** Value at unsigned char pointer is a pointer to a null-terminated ANSI string with value "MBX-SRV-02".

**rgwServerVersion:** Value at unsigned short array contains values: 0x0008, 0x82B4, 0x0003. Server supports protocol server version 8.0.692.3.

rgwBestVersion: Value at unsigned short array contains values: 0x000C, 0x183E and 0x03E8.

**pulTimeStamp:** Value at unsigned long pointer is a 32-bit value that represents the internal server time when the Session Context was created.

**rgbAuxOut:** Server returns the following extended buffer and payload containing auxiliary information.

RPC_HEADER_EX T	Payloa d			_			
AUX_HEADER			AUX_EXORGINF O				
Version	flags	Size	SizeActual	Size	Versio n	Typ e	OrgFlags
0x0000	0x0004	0x000 8	0x0008	0x000 8	0x01	0x1 7	0x0000000 1

Payload is not compressed and not obfuscated.

**pcbAuxOut:** Value at unsigned long pointer is 0x00000010. Field *rgbAuxOut* is 16 bytes in length.

Return Value: Value is 0x00000000.

# 4.2 Client Issuing ROP Commands to Server

1. Client has already established a Session Context with the server and has a valid Session Context Handle (CXH). For more information, see steps 1 through 3 of section 4.1

2. Client sends ROP commands to server by calling **EcDoRpcExt2** using the CXH returned from the **EcDoConnectEx** call.

pcxh: Pointer to CXH value which is 0x00001234.

**pulFlags:** Pointer to unsigned long containing value 0x00000003. Client requests server to not compress or XOR payload of *rgbOut* and *rgbAuxOut*.

**rgbIn:** Client passes extended buffer and payload containing ROP commands to be processed by server. See [MS-OXCROPS] for details about ROP commands.

RPC_HEADER_EXT	Payload					
ROP request Comman	ıds					
Version	flags	Size	SizeActual	RopSize	ROPs	ServerObjectHandleTable
0x0000	0x0004	0x0152	0x0152	0x0142	320 bytes	16 bytes

Payload is not compressed and not obfuscated.

cbIn: Value of 0x0000015A.

rgbAuxIn: Null pointer value.

cbAuxIn: Value of 0x00000000.

rgbOut: Pointer to buffer of size 0x00018008.

pcbOut: Pointer to unsigned long value 0x00018008.

rgbAuxOut: Pointer to buffer of size 0x1008.

pcbAuxOut: Pointer to unsigned long value 0x00001008.

3. Server processes **EcDoRpcExt2** request. Server verifies that CXH is for a valid Session Context for this user. Server processes ROP request commands and returns ROP response results to client. Server returns the following output values:

pcxh: Value at CXH pointer is 0x00001234.

**pulFlags:** Value at unsigned long is 0x00000000.

**rgbOut:** Server returns the following extended buffer and payload containing ROP response commands:

RPC_HEADER_EXT	Payload					
ROP response Comma	inds					
Version	flags	Size	SizeActual	RopSize	ROPs	ServerObjectHandleTable
0×0000	0x0004	0x0052	0x0052	0x0042	64 bytes	16 bytes

Payload is not compressed and not obfuscated.

pcbOut: Value is 0x0000005A.

**rgbAuxOut:** Server returns nothing in the auxiliary output buffer.

pcbAuxOut: Value is 0x00000000.

**pulTransTime:** Value at unsigned long pointer is 0x00000010. Contains the number of

milliseconds it took the server to process the **EcDoRpcExt2** call.

Return Value: Value is 0x00000000.

# 4.3 Client Receiving "Packed" ROP Response from Server

- 1. Client has already established a Session Context with the server and has a valid Session Context Handle (CXH). For more information, see steps 1 through 3 of section 4.1.<13>
- 2. Client sends ROP commands to server by calling **EcDoRpcExt2** using the CXH that is returned from the **EcDoConnectEx** call. The last ROP request contains <u>RopReadStream</u>, and so client requests response chaining (for example, "packing").

pcxh: Pointer to CXH value, which is 0x00001234.

**pulFlags:** Pointer to unsigned long containing value 0x00000007. Client requests server to not compress or XOR payload of *rgbOut* and *rgbAuxOut*. Client requests response chaining.

**rgbIn:** Client passes extended buffer and payload containing ROP commands to be processed by server. See [MS-OXCROPS] for details about ROP commands.

RPC_HEADER_EXT		Payloa d				
ROP requ	iest Comma	ands				
Version	flags	Size	SizeActual	RopSize	ROPs	SOHT
0x0000	0x0004	0x0152	0x0152	0x0142	320 bytes (last ROP command is RopReadStream)	16 bytes

Payload is not compressed and not obfuscated.

**cbIn:** Value of 0x0000015A.

rgbAuxIn: Null pointer value.

**cbAuxIn:** Value of 0x00000000.

**rgbOut:** Pointer to buffer of size 0x00018008.

pcbOut: Pointer to unsigned long value 0x00018008.

rgbAuxOut: Pointer to buffer of size 0x1008.

pcbAuxOut: Pointer to unsigned long value 0x00001008.

3. Server processes **EcDoRpcExt2** request. Server verifies that CXH is for a valid Session Context for this user. Server processes ROP request commands and returns ROP response results to client. The last ROP was <u>RopReadStream</u>, and the client has requested chaining; there is more

data to return in the stream being read, there is more room in the *rgbOut* output buffer and the server adds another extended buffer and payload. The server returns the following output values:

pcxh: Value at CXH pointer is 0x00001234.

pulFlags: Value at unsigned long is 0x00000000.

**rgbOut:** Server returns two extended buffer header and payload pairs containing ROP response commands. The last payload contains only the RopReadStream command.

RPC_HEADER_EXT	Payload			RPC_HEADER_EXT	Payload		
flags: 0x0000 Size: 0x7FFE	ROP resp	onse Con	nmands	flags: 0x0004 Size: 0x2008	ROP resp	onse Co	mmand
	RopSize 0x7FEE	ROPs	SOHT 16 bytes		RopSize 0x1FF8	ROP	SOHT 16 bytes

Payloads are not compressed and not obfuscated.

pcbOut: Value is 0x0000A016.

rgbAuxOut: Server returns nothing in the auxiliary output buffer.

pcbAuxOut: Value is 0x00000000.

**pulTransTime:** Value at unsigned long pointer is 0x00000010. Contains the number of

milliseconds it took the server to process the **EcDoRpcExt2** call.

Return Value: Value is 0x00000000.

# 4.4 Client Disconnecting from Server

- 1. Client has already established a Session Context with the server and has a valid Session Context Handle (CXH). For more information, see steps 1 through 3 of section 4.1.
- 2. Client is exiting and wants to destroy the Session Context on the server. Client issues **EcDoDisconnect** using the CXH that was returned from the **EcDoConnectEx** call.

**pcxh:** Pointer to CXH value, which is 0x00001234.

3. Server processes **EcDoDisconnect** request. Server verifies that CXH is for a valid Session Context for this user. Server destroys Session Context and invalidates CXH. Server returns the following output values:

pcxh: Value at CXH pointer is 0x00000000.

Return Value: Value is 0x00000000.

# 5 Security

### 5.1 Security Considerations for Implementers

To reduce exploits of server code, it is recommended that anonymous access to the server not be granted. To make method calls on the **EMSMDB** and **AsyncEMSMDB** interfaces, only properly authenticated RPC binding handles are allowed.

Most of the **EMSMDB** and **AsyncEMSMDB** interface methods require a Session Context Handle (CXH), which can only be created from a successful call to **EcDoConnectEx**. The server verifies that the authentication context on the RPC binding handle has sufficient **permissions** to access the server and create a Session Context. These method calls are used by the client to create a Session Context with the server. They are also used to declare to the server who is attempting to access messaging data on the server through the distinguished named passed in the *szUserDN* field. It is recommended that the server verify that the authentication context on the RPC binding handle has ownership permissions to the directory service object that is associated with the distinguished name. If the authentication context does not have adequate permissions, then the server fails the call and does not create a Session Context.

Although the protocol allows for data compression and data obfuscation on method call **EcDoRpcExt2**, it is recommended that data compression and data obfuscation not be used in place of proper encryption. It is recommended that RPC-level encryption be used by the client when establishing a connection with the server. This will properly encrypt all fields of all method calls on the **EMSMDB** and **AsyncEMSMDB** interfaces.

# **5.2 Index of Security Parameters**

None.

# 6 Appendix A: Full IDL/ACF

For ease of implementation, the full **IDL** and ACF is provided in the following sections, where "ms-rpce.IDL" refers to the IDL found in [MS-RPCE] Appendix A. The syntax uses the IDL syntax extensions as specified in [MS-RPCE] section 2.2.4 and [MS-RPCE] section 3.1.5.1. For example, as specified in [MS-RPCE] section 2.2.4.8, a pointer\_default declaration is not required and pointer\_default(unique) is assumed.

#### 6.1 IDL

```
import "ms-rpce.idl";
typedef [context handle] void * CXH;
typedef [context_handle] void * ACXH;
\ensuremath{//} Special restricted types to prevent allocation of big buffers.
typedef [range(0x0, 0x40000)] unsigned long BIG RANGE ULONG;
typedef [range(0x0, 0x1008)] unsigned long SMALL RANGE ULONG;
 [ uuid (A4F1DB00-CA47-1067-B31F-00DD010662DA),
 version(0.81),
 pointer default(unique) ]
interface emsmdb
long __stdcall Opnum0Reserved(
long __stdcall EcDoDisconnect(
[in, out, ref] CXH * pcxh
);
long stdcall Opnum2Reserved(
long stdcall Opnum3Reserved(
long __stdcall EcRRegisterPushNotification(
[in, out, ref] CXH * pcxh,
[in] unsigned long iRpc,
[in, size is(cbContext)]unsigned char rgbContext[],
[in] unsigned short cbContext,
[in] unsigned long grbitAdviseBits,
[in, size is(cbCallbackAddress)] unsigned char rgbCallbackAddress[],
[in] unsigned short cbCallbackAddress,
[out] unsigned long *hNotification
);
long stdcall Opnum5Reserved(
long stdcall EcDummyRpc(
[in] handle t hBinding
long stdcall Opnum7Reserved(
long __stdcall Opnum8Reserved(
```

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```
);
long stdcall Opnum9Reserved(
long stdcall EcDoConnectEx(
[in] handle t hBinding,
[out, ref] CXH * pcxh,
[in, string] unsigned char * szUserDN,
[in] unsigned long ulFlags,
[in] unsigned long ulConMod,
[in] unsigned long cbLimit,
[in] unsigned long ulCpid,
[in] unsigned long ulLcidString,
[in] unsigned long ulLcidSort,
[in] unsigned long ulIcxrLink,
[in] unsigned short usFCanConvertCodePages,
[out] unsigned long * pcmsPollsMax,
[out] unsigned long * pcRetry,
[out] unsigned long * pcmsRetryDelay,
[out] unsigned short * picxr,
[out, string] unsigned char **szDNPrefix,
[out, string] unsigned char **szDisplayName,
[in] unsigned short rgwClientVersion[3],
[out] unsigned short rgwServerVersion[3],
[out] unsigned short rgwBestVersion[3],
[in, out] unsigned long * pulTimeStamp,
[in, size is(cbAuxIn)] unsigned char rgbAuxIn[],
[in] unsigned long cbAuxIn,
[out, length_is(*pcbAuxOut), size_is(*pcbAuxOut)] unsigned char rgbAuxOut[],
[in, out] SMALL RANGE ULONG *pcbAuxOut
);
long __stdcall EcDoRpcExt2(
[in, out, ref] CXH * pcxh,
[in, out] unsigned long *pulFlags,
[in, size_is(cbIn)] unsigned char rgbIn[],
[in] unsigned long cbIn,
[out, length is(*pcbOut), size is(*pcbOut)] unsigned char rgbOut[],
[in, out] BIG RANGE ULONG *pcbOut,
[in, size is(cbAuxIn)] unsigned char rgbAuxIn[],
[in] unsigned long cbAuxIn,
[out, length is(*pcbAuxOut), size is(*pcbAuxOut)] unsigned char rgbAuxOut[],
[in, out] SMALL RANGE ULONG *pcbAuxOut,
[out] unsigned long *pulTransTime
);
long stdcall Opnum12Reserved(
long stdcall Opnum13Reserved(
long stdcall EcDoAsyncConnectEx(
[in] CXH cxh,
[out, ref] ACXH * pacxh
);
}
```

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```
[ uuid (5261574A-4572-206E-B268-6B199213B4E4),
  version(0.01),
  pointer_default(unique)]
interface asyncemsmdb
{
long __stdcall EcDoAsyncWaitEx(
[in] ACXH acxh,
[in] unsigned long ulFlagsIn,
[out] unsigned long *pulFlagsOut
);
}
```

# 6.2 ACF

The ACF specifies attributes that affect only local performance rather than the network contract.

```
typedef [context_handle_noserialize] ACXH;
interface asyncemsmdb
{
  [async] EcDoAsyncWaitEx();
}
```

# 7 Appendix B: Product Behavior

The information in this specification is applicable to the following product versions:

- Microsoft Office Outlook 2003
- Microsoft Exchange Server 2003
- Microsoft Office Outlook 2007
- Microsoft Exchange Server 2007
- Microsoft Office Outlook 2010
- Microsoft Exchange Server 2010

Exceptions, if any, are noted below. If a service pack number appears with the product version, behavior changed in that service pack. The new behavior also applies to subsequent service packs of the product unless otherwise specified.

Unless otherwise specified, any statement of optional behavior in this specification prescribed using the terms SHOULD or SHOULD NOT implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term MAY implies that the product does not follow the prescription.

<1> Section 3.1.4.5: Exchange Server 2010 Beta does not support EcRRegisterPushNotification when client connection services are deployed on an Exchange server that does not also have a mailbox store installed. The returned value will always be ecNotSupported.

<2> Section 3.1.4.11: Exchange Server 2010 Beta does not support Session Context linking when client connection services are deployed on an Exchange server that does not also have a mailbox store installed. If ulIcxrLink is not 0xFFFFFFFF, then the server will not attempt to search for a session with the same Session Context and link to them. It will then return the same value in the pulTimeStamp that was passed in.

<3> Section 3.1.4.11: Exchange Server 2010 Beta does not support Session Context linking when client connection services are deployed on an Exchange server that does not also have a mailbox store installed. The call will fail with ecInvalidParam (which is 0x80070057) if cbAuxIn is greater than 0x00000000 and less than 0x00000008.

<5> Section 3.1.4.12: Exchange Server 2010 Beta does not support Chaining when client connection services are deployed on an Exchange server that does not also have a mailbox store installed. The Chain flag is ignored.

<6> Section 3.1.4.12: Exchange Server 2010 Beta does not require that the server fail based upon the size of the request buffer size when client connection services are deployed on an Exchange server that does not also have a mailbox store installed.

<7> Section 3.1.4.12: Exchange Server 2010 Beta does not require that the server fail if the output buffer is less than 0x00008007 bytes when client connection services are deployed on an Exchange

server that does not also have a mailbox store installed. It will fail with ecRpcFormat if the output buffer is not more than 0x00000008 bytes in size.

<8> Section 3.1.4.12: Exchange Server 2010 Beta does not require that the server fail if the output buffer is larger than 0x00001008 bytes when client connection services are deployed on an Exchange server that does not also have a mailbox store installed. It will fail with ecRpcFormat if the cbAuxIn parameter is greater than 0x00000000 and less than 0x000000008

<9> Section 3.1.7.1.2.2: Exchange Server 2010 Beta does not support Chaining of extended buffers when client connection services are deployed on an Exchange server that does not also have a mailbox store installed.

<10> Section 3.1.7.4: Exchange Server 2010 Beta does not support Extended Buffer Packing when client connection services are deployed on an Exchange server that does not also have a mailboxstore installed.

<11> Section 3.1.8.2: Exchange Server 2010 Beta does not support sending the AUX\_OSVERSIONINFO block when client connection services are deployed on an Exchange server that does not also have a mailbox store installed.

<12> Section 3.1.8.2: Exchange Server 2010 Beta does not support sending AUX\_CLIENT\_CONTROL in the EcDoRpcExt2 method.

<13> Section 4.3: Exchange Server 2010 Beta does not support "Packed" ROP response from the server when client connection services are deployed on an Exchange server that does not also have a mailbox store installed. The client must make multiple calls with <a href="RopReadStream">RopReadStream</a> to retrieve all data in the chain

### 7.1 Protocol Sequences

# 7.1.1 Exchange Server Support

Exchange 2003 SP2 allows all RPC protocol sequences listed in section 2.1.

Exchange 2007 SP1 allows only the following RPC protocol sequences: ncalrpc, ncacn\_ip\_tcp and ncacn\_http.

#### 7.1.2 Office Client Support

Office 2003 SP3 uses only the following RPC protocol sequences: ncacn ip tcp and ncacn http.

Office 2007 SP1 uses only the following RPC protocol sequences: ncacn\_ip\_tcp and ncacn\_http.

#### 7.2 Authentication Methods

The following **table** lists the authentication methods supported by Exchange 2003 SP2 and Exchange 2007 SP1. A client authenticates using one of these authentication methods.

Authentication Method
RPC_C_AUTHN_WINNT
RPC_C_AUTHN_GSS_KERBEROS
RPC_C_AUTHN_GSS_NEGOTIATE

# 7.3 RPC Methods

# 7.3.1 Exchange Server Support

The following table indicates which RPC methods are supported in which versions of Exchange.

#### **EMSMDB** Interface:

Method	Exchange 2003 SP2	Exchange 2007 SP1
EcDoDisconnect		
EcRRegisterPushNotification		
EcDummy Rpc		
EcDoConnectEx		
EcDoRpcExt2		
EcDoAsyncConnectEx		

#### **AsyncEMSMDB** Interface:

Method	Exchange 2003 SP2	Exchange 2007 SP1
EcDoAsy nc WaitEx		

# 7.3.2 Office Client Support

An Office client will use different RPC methods based on the version of Exchange that it is accessing.

# 7.3.2.1 Accessing Exchange 2003

The following table indicates which RPC methods are used by an Office client when accessing a computer that is running Exchange 2003.

#### EMSMDB Interface:

Method	Office 2003 SP2	Office 2007 SP1
EcDoDisconnect		
EcRRegister Push Notification		
EcDummy Rpc		
EcDoConnectEx		
EcDoRpcExt2		
EcDoAsyncConnectEx		

# **AsyncEMSMDB** Interface:

EcDoAsyncWaitEx	2003 SP2	2007 SP1
Method	Office	Office

# 7.3.2.2 Accessing Exchange 2007

The following table indicates which RPC methods are used by an Office client when it is accessing a computer that is running Exchange 2007.

#### EMSMDB Interface:

Method	Office 2003 SP2	Office 2007 SP1
EcDoDisconnect		
EcRRegisterPushNotification		
EcDummy Rpc		
EcDoConnectEx		
EcDoRpcExt2		
EcDoAsy ncConnectEx		

# **AsyncEMSMDB** Interface:

Method	Office 2003 SP2	Office 2007 SP1
EcDoAsy nc WaitEx		

#### 7.4 Client Access Licenses

As of Exchange 2007 SP1, the server no longer counts individual connections for **Client Access License** accounting, so **Session Context** linking is not required in method call **EcDoConnectEx** on the **EMSMDB** interface.

# 8 Change Tracking This section will report content and/or editorial changes, beginning with the next release.

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