

# [MS-OXCRPC]: Wire Format Protocol Specification

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# Table of Contents

|          |  |           |
|----------|--|-----------|
| <b>1</b> | <b>Introduction</b> .....                        | <b>7</b>  |
| 1.1      | Glossary .....                                   | 7         |
| 1.2      | References .....                                 | 8         |
| 1.2.1    | Normative References .....                       | 8         |
| 1.2.2    | Informative References .....                     | 8         |
| 1.3      | Protocol Overview .....                          | 9         |
| 1.3.1    | Initiating Communication with the Server .....   | 9         |
| 1.3.2    | Issuing Remote Operations for Mailbox Data ..... | 9         |
| 1.3.3    | Terminating Communication with the Server .....  | 10        |
| 1.3.4    | Client/Server Communication Lifetime .....       | 10        |
| 1.4      | Relationship to Other Protocols.....             | 12        |
| 1.5      | Prerequisites/Preconditions.....                 | 12        |
| 1.6      | Applicability Statement.....                     | 12        |
| 1.7      | Versioning and Capability Negotiation.....       | 12        |
| 1.8      | Vendor-Extensible Fields .....                   | 12        |
| 1.9      | Standards Assignments .....                      | 13        |
| <b>2</b> | <b>Messages</b> .....                            | <b>13</b> |
| 2.1      | Transport.....                                   | 13        |
| 2.2      | Common Data Types .....                          | 14        |
| 2.2.1    | Simple Data Types .....                          | 14        |
| 2.2.1.1  | CXH .....  | 14        |
| 2.2.1.2  | ACXH .....                                       | 14        |
| 2.2.1.3  | BIG_RANGE_ULONG .....                            | 14        |
| 2.2.1.4  | SMALL_RANGE_ULONG .....                          | 14        |
| 2.2.2    | Structures .....                                 | 14        |
| 2.2.2.1  | RPC_HEADER_EXT.....                              | 14        |
| 2.2.2.2  | AUX_HEADER.....                                  | 15        |
| 2.2.2.3  | AUX_PERF_REQUESTID .....                         | 18        |
| 2.2.2.4  | AUX_PERF_SESSIONINFO .....                       | 18        |
| 2.2.2.5  | AUX_PERF_SESSIONINFO_V2.....                     | 18        |
| 2.2.2.6  | AUX_PERF_CLIENTINFO .....                        | 19        |
| 2.2.2.7  | AUX_PERF_SERVERINFO .....                        | 20        |
| 2.2.2.8  | AUX_PERF_PROCESSINFO.....                        | 21        |
| 2.2.2.9  | AUX_PERF_DEFMDB_SUCCESS .....                    | 22        |
| 2.2.2.10 | AUX_PERF_DEFGC_SUCCESS.....                      | 22        |
| 2.2.2.11 | AUX_PERF_MDB_SUCCESS.....                        | 23        |
| 2.2.2.12 | AUX_PERF_MDB_SUCCESS_V2.....                     | 23        |
| 2.2.2.13 | AUX_PERF_GC_SUCCESS.....                         | 24        |
| 2.2.2.14 | AUX_PERF_GC_SUCCESS_V2 .....                     | 24        |
| 2.2.2.15 | AUX_PERF_FAILURE .....                           | 25        |
| 2.2.2.16 | AUX_PERF_FAILURE_V2.....                         | 26        |

|           |   |           |
|-----------|---|-----------|
| 2.2.2.17  | AUX_CLIENT_CONTROL.....                             | 26        |
| 2.2.2.18  | AUX_OSVERSIONINFO.....                              | 27        |
| 2.2.2.19  | AUX_EXORGINFO.....                                  | 28        |
| <b>3</b>  | <b>Protocol Details.....</b>                        | <b>29</b> |
| 3.1       | EMSMDB Server Details.....                          | 30        |
| 3.1.1     | Abstract Data Model.....                            | 30        |
| 3.1.2     | Timers.....   | 30        |
| 3.1.3     | Initialization.....                                 | 30        |
| 3.1.4     | Message Processing Events and Sequencing Rules..... | 31        |
| 3.1.4.1   | Opnum0Reserved (opnum 0).....                       | 32        |
| 3.1.4.2   | EcDoDisconnect (opnum 1).....                       | 33        |
| 3.1.4.3   | Opnum2Reserved (opnum 2).....                       | 33        |
| 3.1.4.4   | Opnum3Reserved (opnum 3).....                       | 33        |
| 3.1.4.5   | EcRRegisterPushNotification (opnum 4).....          | 33        |
| 3.1.4.6   | Opnum5Reserved (opnum 5).....                       | 35        |
| 3.1.4.7   | EcDummyRpc (opnum 6).....                           | 35        |
| 3.1.4.8   | Opnum7Reserved (opnum 7).....                       | 36        |
| 3.1.4.9   | Opnum8Reserved (opnum 8).....                       | 36        |
| 3.1.4.10  | Opnum9Reserved (opnum 9).....                       | 36        |
| 3.1.4.11  | EcDoConnectEx (opnum 10).....                       | 36        |
| 3.1.4.12  | EcDoRpcExt2 (opnum 11).....                         | 43        |
| 3.1.4.13  | Opnum12Reserved (opnum 12).....                     | 46        |
| 3.1.4.14  | Opnum13Reserved (opnum 13).....                     | 46        |
| 3.1.4.15  | EcDoAsyncConnectEx (opnum 14).....                  | 46        |
| 3.1.5     | Timer Events.....                                   | 47        |
| 3.1.6     | Other Local Events.....                             | 47        |
| 3.1.7     | Extended Buffer Handling.....                       | 48        |
| 3.1.7.1   | Extended Buffer Format.....                         | 48        |
| 3.1.7.1.1 | EcDoConnectEx.....                                  | 48        |
| 3.1.7.1.2 | EcDoRpcExt2.....                                    | 49        |
| 3.1.7.2   | Compression Algorithm.....                          | 52        |
| 3.1.7.2.1 | LZ77 Compression Algorithm.....                     | 52        |
| 3.1.7.2.2 | DIRECT2 Encoding Algorithm.....                     | 54        |
| 3.1.7.3   | Obfuscation Algorithm.....                          | 59        |
| 3.1.7.4   | Extended Buffer Packing.....                        | 59        |
| 3.1.8     | Auxiliary Buffer.....                               | 60        |
| 3.1.8.1   | Client Performance Monitoring.....                  | 61        |
| 3.1.8.2   | Server Topology Information.....                    | 68        |
| 3.1.9     | Version Checking.....                               | 70        |
| 3.1.9.1   | Version Number Comparison.....                      | 70        |
| 3.1.9.2   | Server Versions.....                                | 72        |
| 3.1.9.3   | Client Versions.....                                | 72        |

|          |   |           |
|----------|---|-----------|
| 3.2      | EMSMB Client Details.....                               | 74        |
| 3.2.1    | Abstract Data Model .....                               | 74        |
| 3.2.2    | Timers .....  | 74        |
| 3.2.3    | Initialization.....                                     | 74        |
| 3.2.4    | Message Processing Events and Sequencing Rules .....    | 74        |
| 3.2.4.1  | Sending EcDoConnectEx .....                             | 75        |
| 3.2.4.2  | Sending EcDoRpcExt2 .....                               | 77        |
| 3.2.4.3  | Handling Server Too Busy.....                           | 77        |
| 3.2.4.4  | Handling Connection Failures .....                      | 77        |
| 3.2.5    | Timer Events.....                                       | 77        |
| 3.2.6    | Other Local Events.....                                 | 77        |
| 3.3      | AsyncEMSMB Server Details .....                         | 77        |
| 3.3.1    | Abstract Data Model .....                               | 78        |
| 3.3.2    | Timers .....  | 78        |
| 3.3.3    | Initialization.....                                     | 78        |
| 3.3.4    | Message Processing Events and Sequencing Rules .....    | 79        |
| 3.3.4.1  | EcDoAsyncWaitEx (opnum 0).....                          | 79        |
| 3.3.5    | Timer Events.....                                       | 80        |
| 3.3.6    | Other Local Events.....                                 | 80        |
| 3.4      | AsyncEMSMB Client Details .....                         | 80        |
| 3.4.1    | Abstract Data Model .....                               | 80        |
| 3.4.2    | Timers .....  | 81        |
| 3.4.3    | Initialization.....                                     | 81        |
| 3.4.4    | Message Processing Events and Sequencing Rules .....    | 81        |
| 3.4.5    | Timer Events.....                                       | 81        |
| 3.4.6    | Other Local Events.....                                 | 81        |
| <b>4</b> | <b>Protocol Examples.....</b>                           | <b>81</b> |
| 4.1      | Client Connecting to Server.....                        | 81        |
| 4.2      | Client Issuing ROP Commands to Server.....              | 84        |
| 4.3      | Client Receiving "Packed" ROP Response from Server..... | 85        |
| 4.4      | Client Disconnecting from Server .....                  | 87        |
| <b>5</b> | <b>Security.....</b>                                    | <b>88</b> |
| 5.1      | Security Considerations for Implementers.....           | 88        |
| 5.2      | Index of Security Parameters.....                       | 88        |
| <b>6</b> | <b>Appendix A: Full IDL/ACF.....</b>                    | <b>88</b> |
| 6.1      | IDL.....  | 89        |
| 6.2      | ACF.....  | 92        |
| <b>7</b> | <b>Appendix B: Office/Exchange Behavior.....</b>        | <b>93</b> |
| 7.1      | Protocol Sequences .....                                | 93        |
| 7.1.1    | Exchange Server Support.....                            | 93        |
| 7.1.2    | Office Client Support .....                             | 93        |
| 7.2      | Authentication Methods.....                             | 93        |

|         |                               |           |
|---------|-------------------------------|-----------|
| 7.3     | RPC Methods .....             | 94        |
| 7.3.1   | Exchange Server Support.....  | 94        |
| 7.3.2   | Office Client Support .....   | 95        |
| 7.3.2.1 | Accessing Exchange 2003 ..... | 95        |
| 7.3.2.2 | Accessing Exchange 2007 ..... | 95        |
| 7.4     | Client Access Licenses.....   | 96        |
|         | <b>Index.....</b>             | <b>97</b> |

# 1 Introduction

The Wire Format protocol is specific to the **EMSMDB** and **AsyncEMSMDB** protocol interface between a client and server. This interface has traditionally been used by an Outlook client to communicate with an Exchange messaging server. This protocol extends Remote Procedure Call [C706].

## 1.1 Glossary

The following terms are defined in [MS-OXGLOS]:

- code page**
- distinguished name (DN)**
- dynamic endpoint**
- endpoint**
- GUID**
- Incremental Change Synchronization (ICS)**
- Interface Definition Language (IDL)**
- messaging object**
- Network Data Representation (NDR)**
- opnum**
- remote procedure call (RPC)**
- RPC protocol sequence**
- remote operation (ROP)**
- ROP request buffer**
- ROP response buffer**
- Server object**
- Unicode**
- universal unique identifier (UUID)**

The following terms are specific to this document:

**Asynchronous Context Handle (ACXH):** An **RPC** context handle used by a client when issuing **RPC** calls against a server on **AsyncEMSMDB** interface methods. Represents a handle to a unique **Session Context** on the server.

**Client Access License (CAL):** A license that gives a user the right to access the services of the server. To legally access the server software, a **CAL** might be required. A **CAL** is not a software product.

**Session Context:** A server-side partitioning for client isolation. All client actions against a server are scoped to a specific **Session Context**. All **messaging objects** and data opened by a client are isolated to a **Session Context**.

**Session Context Handle (CXH):** An **RPC** context handle used by a client when issuing **RPC** calls against a server on **EMSMB** interface methods. Represents a handle to a unique **Session Context** on the server.

**well-known endpoint:** An **endpoint** that does not change. **Well-known endpoint** information is stored as part of the binding handle.

**MAY, SHOULD, MUST, SHOULD NOT, MUST NOT:** These terms (in all caps) are used as described in [RFC2119]. All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

## ***1.2 References***

### **1.2.1 Normative References**

[C706] The Open Group, "DCE 1.1: Remote Procedure Call", C706, August 1997, <http://www.opengroup.org/public/pubs/catalog/c706.htm>.

[MS-OXCFXICS] Microsoft Corporation, "Bulk Data Transfer Protocol Specification", June 2008.

[MS-OXCNOTIF] Microsoft Corporation, "Core Notifications Protocol Specification", June 2008.

[MS-OXCROPS] Microsoft Corporation, "Remote Operations (ROP) List and Encoding Protocol Specification", June 2008.

[MS-OXCSTOR] Microsoft Corporation, "Store Object Protocol Specification", June 2008.

[MS-OXGLOS] Microsoft Corporation, "Exchange Server Protocols Master Glossary", June 2008.

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, <http://www.ietf.org/rfc/rfc2119.txt>.

### **1.2.2 Informative References**

[MS-RPCE] Microsoft Corporation, "Remote Procedure Call Protocol Extensions", July 2006, <http://go.microsoft.com/fwlink/?LinkId=112246>.

[MSDN-SOCKADDR] Microsoft Corporation, "sockaddr", <http://go.microsoft.com/fwlink/?LinkId=113717>.



## 1.3 Protocol Overview

This specification describes the **RPC** interfaces that are used by a messaging client to communicate with a messaging server to access personal messaging data over the Wire Format protocol. This protocol is comprised of the **EMSMDB** and **AsyncEMSMDB** RPC interfaces.

### 1.3.1 Initiating Communication with the Server

Before a client can retrieve private mailbox or public folder data from a server on the **EMSMDB** interface, it **MUST** first make a call to **EcDoConnectEx** and establish a **Session Context Handle (CXH)**. The session context handle is a **RPC** context handle. The client **MUST** store this Session Context Handle and use it on subsequent RPC calls on the **EMSMDB** interface. The server uses the Session Context Handle to identify the client and user who is issuing requests and under which context to perform operations against messaging data.

The **EMSMDB** interface function **EcDoConnectEx** is used to create a CXH with the server. The server **MUST** verify that the authentication context used to make the RPC function call **EcDoConnectEx** has access rights to perform operations as, or on behalf of, the user whose **distinguished name (DN)** is provided on the RPC call. This is done to validate that the client has permission to perform operations as the user specified in the RPC call. If this access check fails, the server **MUST** fail the RPC call with an access denied return code.

If the security check passes, the server **MUST** create a **Session Context**. A CXH that refers to the Session Context **MUST** be returned to the client in the response to **EcDoConnectEx**. The returned CXH **MUST** be used in subsequent calls to the server.

### 1.3.2 Issuing Remote Operations for Mailbox Data

The client retrieves private mailbox or public folder data through the interface function **EcDoRpcExt2**. There are no separate interface functions to perform different operations against mailbox data. A single interface function is used to submit a group of **remote operation (ROP)** commands to the server. See [MS-OXCROPS] for more information about ROP commands. The ROP request operations are tokenized into a request buffer and sent to the server as a byte array. The server **MUST** then parse the **ROP request buffer** and perform actions. The response to these actions is then serialized into a **ROP response buffer** and returned to the client as a byte array. At the **EMSMDB** interface level, the format of these ROP request and response buffers is not understood. See [MS-OXCROPS] for more information about how to interpret the ROP buffers. The **EMSMDB** interface function **EcDoRpcExt2** is just the mechanism in which to pass the ROP request buffer to the server.

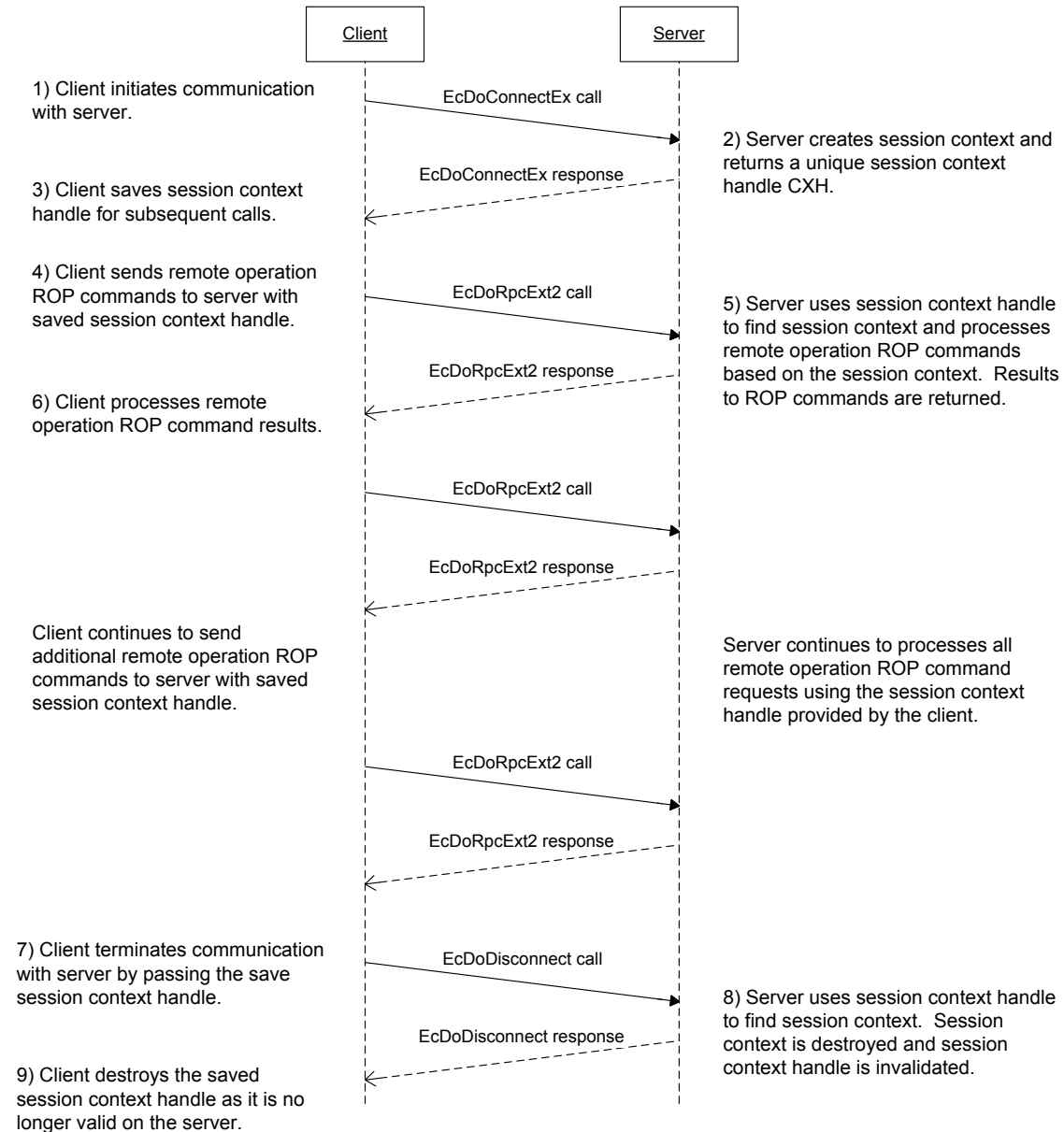
The client **MUST** pass in the call to **EcDoRpcExt2** the **CXH** which was created in a successful call to the interface function **EcDoConnectEx**. The server uses the **CXH** to identify who is issuing the remote operation ROP commands and under which **Session Context** to perform them.

### 1.3.3 Terminating Communication with the Server

When a client wants to terminate communication with a server, it **MUST** call **EcDoDisconnect**. The client **MUST** pass in the call to **EcDoDisconnect** the **CXH** that was created in a successful call to the interface function **EcDoConnectEx**. The server **SHOULD** clean up any **Session Context** data associated with this **CXH**.

### 1.3.4 Client/Server Communication Lifetime

Figure 1 shows a typical example of the client and server communication lifetime. This is a simplified overview of how the client connects, issues **ROP** commands, and disconnects from the server.



**Figure 1: Client/server communications**

## ***1.4 Relationship to Other Protocols***

This protocol is dependent upon **RPC** as specified in [MS-RPCE] and various network protocol sequences for its transport.

## ***1.5 Prerequisites/Preconditions***

The Wire Format protocol is a set of RPC interfaces and has the same prerequisites as specified in [MS-RPCE].

It is assumed that a messaging client has obtained the name of a remote computer that supports this protocol before these protocols are invoked. How a client does this is outside the scope of this specification.

## ***1.6 Applicability Statement***

The protocol specified in this document is applicable to environments that require access to private mailbox and/or public folder messaging end-user data.

## ***1.7 Versioning and Capability Negotiation***

This specification covers versioning issues in the following areas:

- **Supported Transports:** This protocol uses multiple **RPC protocol sequences** as specified in section 2.1.
- **Protocol Versions:** The protocol **RPC** interface **EMSMDB** has a single version number of 0.81. The protocol **RPC** interface **AsyncEMSMDB** has a single version number of 0.01.
- **Protocol Versions:** The protocol **RPC** interface **EMSMDB** has a single interface version, but that interface has been extended by adding additional methods at the end. The use of these methods are specified in section 3.1.
- **Security and Authentication Methods:** This protocol supports the following authentication methods: NTLM, Kerberos, and Negotiate. These authentication methods are specified in sections 3.1.3 and 3.3.3.
- **Capability Negotiation:** None.

## ***1.8 Vendor-Extensible Fields***

None.

## 1.9 Standards Assignments

| Parameter                              | Value                                    | Reference |
|--|--|-----------|
| EMSMDDB<br>RPC Interface UUID          | A4F1DB00-CA47-1067-B31F-<br>00DD010662DA | 3.1       |
| AsyncEMSMDDB<br>RPC Interface UUID     | 5261574A-4572-206E-B268-6B199213B4E4     | 3.3       |
| RPC/HTTP protocol<br>sequence endpoint | 6001                                     | 2.1       |
| LRPC protocol sequence<br>endpoint     | MSExchangeIS_LPC                         | 2.1       |

## 2 Messages

### 2.1 Transport

This protocol works over the following protocol sequences:

| Protocol Sequence |
|-------------------|
| ncalrpc           |
| ncacn_ip_tcp      |
| ncacn_http        |

This protocol uses **well-known endpoints** for network protocol sequences "ncalrpc" and "ncacn\_http". The following well-known endpoints are used:

| Protocol Sequence | Endpoint         |
|-------------------|------------------|
| ncalrpc           | MSExchangeIS_LPC |
| ncacn_http        | 6001             |

For all other network protocol sequences, the protocol uses **RPC dynamic endpoints** as specified in Part 4 of [C706].

This protocol **MUST** use the **UUID** specified in section 1.9. The RPC version number is 4.0.

This protocol allows any user to establish an authenticated connection to the RPC server using an authentication method as specified in [MS-RPCE]. The protocol uses the underlying RPC protocol to retrieve the identity of the caller that made the method call as specified in [MS-RPCE]. The server **SHOULD** use this identity to perform method-specific access checks.

## **2.2 Common Data Types**

Data types in addition to the **RPC** base types and definitions specified in [C706] and [MS-RPCE] are defined in the following sections.

### **2.2.1 Simple Data Types**

#### **2.2.1.1 CXH**

```
typedef [context_handle] void * CXH;
```

#### **2.2.1.2 ACXH**

```
typedef [context_handle] void * ACXH;
```

#### **2.2.1.3 BIG\_RANGE\_ULONG**

```
typedef [range(0x0, 0x40000)] unsigned long BIG_RANGE_ULONG;
```

#### **2.2.1.4 SMALL\_RANGE\_ULONG**

```
typedef [range(0x0, 0x1008)] unsigned long SMALL_RANGE_ULONG;
```

### **2.2.2 Structures**

#### **2.2.2.1 RPC\_HEADER\_EXT**

```
typedef struct _RPC_HEADER_EXT {
```

```

        unsigned short    Version;
        unsigned short    Flags;
        unsigned short    Size;
        unsigned short    SizeActual;
    } RPC_HEADER_EXT;

```

**Version (2 bytes):** Defines the version of the header. There is only one version of the header at this time so this value **MUST** be set to 0x0000.

**Flags (2 bytes):** Flags that specify how data that follows this header **MUST** be interpreted. The following flags are valid:

| Flag       | Value  | Description  |
|------------|--------|--|
| Compressed | 0x0001 | The data that follows the <b>RPC_HEADER_EXT</b> is compressed. The size of the data when uncompressed is in field <b>SizeActual</b> . If this flag is not set, the <b>Size</b> and <b>SizeActual</b> fields <b>MUST</b> be the same. |
| XorMagic   | 0x0002 | The data following the <b>RPC_HEADER_EXT</b> has been obfuscated. See section 3.1.7.3 for more information about the obfuscation algorithm.  |
| Last       | 0x0004 | Indicates that no other <b>RPC_HEADER_EXT</b> follows the data of the current <b>RPC_HEADER_EXT</b> . This flag is used to indicate that there are multiple buffers, each with its own <b>RPC_HEADER_EXT</b> , one after the other.  |

**Size (2 bytes):** The total length of the payload data that follows the **RPC\_HEADER\_EXT** structure. This length does not include the length of the **RPC\_HEADER\_EXT** structure.

**SizeActual (2 bytes):** The length of the payload data after it has been uncompressed. This field is only useful if the Compressed flag is set in the **Flags** field. If the Compressed flag is not set, this value **MUST** be equal to **Size**.

### 2.2.2.2 AUX\_HEADER

```

typedef struct _AUX_HEADER {
    unsigned short Size;
    unsigned char Version;
}

```

```

        unsigned char Type;
    } AUX_HEADER;

```

**Size (2 bytes):** Size of the **AUX\_HEADER** structure plus any additional payload data that follows.

**Version (1 byte):** Version information of the payload data that follows the **AUX\_HEADER**. This value in conjunction with the **Type** field determines which structure to use to interpret the data that follows the header.

| Version       | Value |
|---------------|-------|
| AUX_VERSION_1 | 0x01  |
| AUX_VERSION_2 | 0x02  |

**Type (1 byte):** Type of payload data that follows the **AUX\_HEADER**. This value in conjunction with the **Version** field determines which structure to use to interpret the data that follows the header. When several of the types distinguish between foreground (FG), background (BG), and global catalog (GC).

The following is a list of block types and the corresponding structure that follows the **AUX\_HEADER** when the **Version** field is **AUX\_VERSION\_1**.

| Type                         | Value | Payload                 |
|------------------------------|-------|-------------------------|
| AUX_TYPE_PERF_REQUESTID      | 0x01  | AUX_PERF_REQUESTID      |
| AUX_TYPE_PERF_CLIENTDINFO    | 0x02  | AUX_PERF_CLIENTINFO     |
| AUX_TYPE_PERF_SERVERINFO     | 0x03  | AUX_PERF_SERVERINFO     |
| AUX_TYPE_PERF_SESSIONINFO    | 0x04  | AUX_PERF_SESSIONINFO    |
| AUX_TYPE_PERF_DEFMDB_SUCCESS | 0x05  | AUX_PERF_DEFMDB_SUCCESS |
| AUX_TYPE_PERF_DEFGC_SUCCESS  | 0x06  | AUX_PERF_DEFGC_SUCCESS  |
| AUX_TYPE_PERF_MDB_SUCCESS    | 0x07  | AUX_PERF_MDB_SUCCESS    |



| Type                            | Value | Payload                 |
|---------------------------------|-------|-------------------------|
| AUX_TYPE_PERF_GC_SUCCESS        | 0x08  | AUX_PERF_GC_SUCCESS     |
| AUX_TYPE_PERF_FAILURE           | 0x09  | AUX_PERF_FAILURE        |
| AUX_TYPE_CLIENT_CONTROL         | 0x0A  | AUX_CLIENT_CONTROL      |
| AUX_TYPE_PERF_PROCESSINFO       | 0x0B  | AUX_PERF_PROCESSINFO    |
| AUX_TYPE_PERF_BG_DEFMDB_SUCCESS | 0x0C  | AUX_PERF_DEFMDB_SUCCESS |
| AUX_TYPE_PERF_BG_DEFGC_SUCCESS  | 0x0D  | AUX_PERF_DEFGC_SUCCESS  |
| AUX_TYPE_PERF_BG_MDB_SUCCESS    | 0x0E  | AUX_PERF_MDB_SUCCESS    |
| AUX_TYPE_PERF_BG_GC_SUCCESS     | 0x0F  | AUX_PERF_GC_SUCCESS     |
| AUX_TYPE_PERF_BG_FAILURE        | 0x10  | AUX_PERF_FAILURE        |
| AUX_TYPE_PERF_FG_DEFMDB_SUCCESS | 0x11  | AUX_PERF_DEFMDB_SUCCESS |
| AUX_TYPE_PERF_FG_DEFGC_SUCCESS  | 0x12  | AUX_PERF_DEFGC_SUCCESS  |
| AUX_TYPE_PERF_FG_MDB_SUCCESS    | 0x13  | AUX_PERF_MDB_SUCCESS    |
| AUX_TYPE_PERF_FG_GC_SUCCESS     | 0x14  | AUX_PERF_GC_SUCCESS     |
| AUX_TYPE_PERF_FG_FAILURE        | 0x15  | AUX_PERF_FAILURE        |
| AUX_TYPE_OSINFO                 | 0x16  | AUX_OSINFO              |
| AUX_TYPE_EXORGINO               | 0x17  | AUX_EXORGINO            |

The following is a list of block types and the corresponding structure that follows the **AUX\_HEADER** when the **Version** field is **AUX\_VERSION\_2**.

| Type                      | Value | Payload                 |
|---------------------------|-------|-------------------------|
| AUX_TYPE_PERF_SESSIONINFO | 0x04  | AUX_PERF_SESSIONINFO_V2 |

| Type                      | Value | Payload                 |
|---------------------------|-------|-------------------------|
| AUX_TYPE_PERF_MDB_SUCCESS | 0x07  | AUX_PERF_MDB_SUCCESS_V2 |
| AUX_TYPE_PERF_GC_SUCCESS  | 0x08  | AUX_PERF_GC_SUCCESS_V2  |
| AUX_TYPE_PERF_FAILURE     | 0x09  | AUX_PERF_FAILURE_V2     |

Any other block type and version combination that is not understood MUST be ignored.

### 2.2.2.3 AUX\_PERF\_REQUESTID

```
typedef struct _AUX_PERF_REQUESTID {
    unsigned short SessionID;
    unsigned short RequestID;
} AUX_PERF_REQUESTID;
```

**SessionID (2 bytes):** Session identification number.

**RequestID (2 bytes):** Request identification number.

### 2.2.2.4 AUX\_PERF\_SESSIONINFO

```
typedef struct _AUX_PERF_SESSIONINFO {
    unsigned short SessionID;
    GUID SessionGuid;
} AUX_PERF_SESSIONINFO;
```

**SessionID (2 bytes):** Session identification number.

**SessionGuid (16 bytes):** GUID representing the client session to associate with the session identification number in field **SessionID**.

### 2.2.2.5 AUX\_PERF\_SESSIONINFO\_V2

```
typedef struct _AUX_PERF_SESSIONINFO_V2 {
    unsigned short SessionID;
```

```
        GUID SessionGuid;
        unsigned long ConnectionID;
} AUX_PERF_SESSIONINFO_V2;
```

**SessionID (2 bytes):** Session identification number.

**SessionGuid (2 bytes):** **GUID** representing the client session to associate with the session identification number in field **SessionID**.

**ConnectionID (4 bytes):** Connection identification number.

### 2.2.2.6 AUX\_PERF\_CLIENTINFO

```
typedef struct _AUX_PERF_CLIENTINFO {
    unsigned long AdapterSpeed;
    unsigned short ClientID;
    unsigned short MachineNameOffset;
    unsigned short UserNameOffset;
    unsigned short ClientIPSize;
    unsigned short ClientIPOffset;
    unsigned short ClientIPMaskSize;
    unsigned short ClientIPMaskOffset;
    unsigned short AdapterNameOffset;
    unsigned short MacAddressSize;
    unsigned short MacAddressOffset;
    unsigned short ClientMode;
} AUX_PERF_CLIENTINFO;
```

**AdapterSpeed (4 bytes):** Speed of client computer's network adaptor (kbits/s).

**ClientID (2 bytes):** Client-assigned identification number.

**MachineNameOffset (2 bytes):** Offset relative to the beginning of the **AUX\_HEADER** structure that **MUST** exist prior to this structure that points to a null-terminated **Unicode** string that contains the client computer name.

**UserNameOffset (2 bytes):** Offset relative to the beginning of the **AUX\_HEADER** structure that **MUST** exist prior to this structure that points to a null-terminated **Unicode** string that contains the user's account name.

**ClientIPSize (2 bytes):** Size of the client IP address referenced by field **ClientIPOffset**.

**ClientIPOffset (2 bytes):** Offset relative to the beginning of the **AUX\_HEADER** structure that MUST exist prior to this structure that points to the client's IP address. Size of the IP address data is found in field **ClientIPSize**.

**ClientIPMaskSize (2 bytes):** Size of the client IP subnet mask referenced by field **ClientIPMaskOffset**.

**ClientIPMaskOffset (2 bytes):** Offset relative to the beginning of the **AUX\_HEADER** structure that MUST exist prior to this structure that points to the clients IP subnet mask. Size of the IP subnet mask is found in field **ClientIPMaskSize**.

**AdapterNameOffset (2 bytes):** Offset relative to the beginning of the **AUX\_HEADER** structure that MUST exist prior to this structure that points to a null-terminated Unicode string that contains the client network adapter name.

**MacAddressSize (2 bytes):** Size of the network adapter MAC address referenced by field **MacAddressOffset**.

**MacAddressOffset (2 bytes):** Offset relative to the beginning of the **AUX\_HEADER** structure that MUST exist prior to this structure that points to the client network adapter MAC address. Size of the network adapter MAC address is found in field **MacAddressSize**.

**ClientMode (2 bytes):** Determines the mode in which the client is running.

| Mode               | Value | Description                                    |
|--------------------|-------|--|
| CLIENTMODE_UNKNOWN | 0x00  | Client is not designating a mode of operation. |
| CLIENTMODE_CLASSIC | 0x01  | Client is running in classic online mode.      |
| CLIENTMODE_CACHED  | 0x02  | Client is running in cached mode.              |

### 2.2.2.7 AUX\_PERF\_SERVERINFO

```
typedef struct _AUX_PERF_SERVERINFO {  
    unsigned short ServerID;  
    unsigned short ServerType;  
    unsigned short ServerDNOffset;
```

```

        unsigned short ServerNameOffset;
    } AUX_PERF_SERVERINFO;

```

**ServerID (2 bytes):** Client assigned server identification number.

**ServerType (2 bytes):** Server type assigned by client.

| Type                        | Value | Description  |
|-----------------------------|-------|--|
| <b>SERVERTYPE_UNKNOWN</b>   | 0x00  | Unknown server type.                                     |
| <b>SERVERTYPE_PRIVATE</b>   | 0x01  | Client server connection servicing private mailbox data. |
| <b>SERVERTYPE_PUBLIC</b>    | 0x02  | Client server connection servicing public folder data.   |
| <b>SERVERTYPE_DIRECTORY</b> | 0x03  | Client server connection servicing directory data.       |
| <b>SERVERTYPE_REFERRAL</b>  | 0x04  | Client server connection servicing referrals.            |

**ServerDNOffset (2 bytes):** Offset relative to the beginning of the **AUX\_HEADER** structure that **MUST** exist prior to this structure that points to a null-terminated **Unicode** string that contains the **distinguished name (DN)** of the server.

**ServerNameOffset (2 bytes):** Offset relative to the beginning of the **AUX\_HEADER** structure that **MUST** exist prior to this structure that points to a null-terminated Unicode string that contains the server name.

### 2.2.2.8 AUX\_PERF\_PROCESSINFO

```

typedef struct _AUX_PERF_PROCESSINFO {
    unsigned short ProcessID;
    GUID ProcessGuid;
    unsigned short ProcessNameOffset;
} AUX_PERF_PROCESSINFO;

```

**ProcessID (2 bytes):** Client-assigned process identification number.

**ProcessGuid (16 bytes):** GUID representing the client process to associate with the process identification number in field **ProcessID**.

**ProcessNameOffset (2 bytes):** Offset relative to the beginning of the **AUX\_HEADER** structure that **MUST** exist prior to this structure that points to a null-terminated **Unicode** string that contains the client process name.

### 2.2.2.9 AUX\_PERF\_DEFMDB\_SUCCESS

```
typedef struct _AUX_PERF_DEFMDB_SUCCESS {
    unsigned long TimeSinceRequest;
    unsigned long TimeToCompleteRequest;
    unsigned short RequestID;
} AUX_PERF_DEFMDB_SUCCESS;
```

**TimeSinceRequest (4 bytes):** Number of milliseconds since successful request occurred.

**TimeToCompleteRequest (4 bytes):** Number of milliseconds the successful request took to complete.

**RequestID (2 bytes):** Request identification number.

### 2.2.2.10 AUX\_PERF\_DEFGC\_SUCCESS

```
typedef struct _AUX_PERF_DEFGC_SUCCESS {
    unsigned short ServerID;
    unsigned short SessionID;
    unsigned long TimeSinceRequest;
    unsigned long TimeToCompleteRequest;
    unsigned char RequestOperation;
} AUX_PERF_DEFGC_SUCCESS;
```

**ServerID (2 bytes):** Server identification number.

**SessionID (2 bytes):** Session identification number.

**TimeSinceRequest (4 bytes):** Number of milliseconds since successful request occurred.

**TimeToCompleteRequest (4 bytes):** Number of milliseconds the successful request took to complete.

**RequestOperation (1 byte):** Client-defined operation that was successful.

### 2.2.2.11 AUX\_PERF\_MDB\_SUCCESS

```
typedef struct _AUX_PERF_MDB_SUCCESS {
    unsigned short ClientID;
    unsigned short ServerID;
    unsigned short SessionID;
    unsigned short RequestID;
    unsigned long TimeSinceRequest;
    unsigned long TimeToCompleteRequest;
} AUX_PERF_MDB_SUCCESS;
```

**ClientID (2 bytes):** Client identification number.

**ServerID (2 bytes):** Server identification number.

**SessionID (2 bytes):** Session identification number.

**RequestID (2 bytes):** Request identification number.

**TimeSinceRequest (4 bytes):** Number of milliseconds since successful request occurred.

**TimeToCompleteRequest (4 bytes):** Number of milliseconds the successful request took to complete.

### 2.2.2.12 AUX\_PERF\_MDB\_SUCCESS\_V2

```
typedef struct _AUX_PERF_MDB_SUCCESS_V2 {
    unsigned short ProcessID;
    unsigned short ClientID;
    unsigned short ServerID;
    unsigned short SessionID;
    unsigned short RequestID;
    unsigned long TimeSinceRequest;
    unsigned long TimeToCompleteRequest;
} AUX_PERF_MDB_SUCCESS_V2;
```

**ProcessID (2 bytes):** Process identification number.

**ClientID (2 bytes):** Client identification number.

**ServerID (2 bytes):** Server identification number.

**SessionID (2 bytes):** Session identification number.

**RequestID (2 bytes):** Request identification number.

**TimeSinceRequest (4 bytes):** Number of milliseconds since successful request occurred.

**TimeToCompleteRequest (4 bytes):** Number of milliseconds the successful request took to complete.

### 2.2.2.13 AUX\_PERF\_GC\_SUCCESS

```
typedef struct _AUX_PERF_GC_SUCCESS {  
    unsigned short ClientID;  
    unsigned short ServerID;  
    unsigned short SessionID;  
    unsigned long TimeSinceRequest;  
    unsigned long TimeToCompleteRequest;  
    unsigned char RequestOperation;  
} AUX_PERF_GC_SUCCESS;
```

**ClientID (2 bytes):** Client identification number.

**ServerID (2 bytes):** Server identification number.

**SessionID (2 bytes):** Session identification number.

**TimeSinceRequest (2 bytes):** Number of milliseconds since successful request occurred.

**TimeToCompleteRequest (2 bytes):** Number of milliseconds the successful request took to complete.

**RequestOperation (1 byte):** Client-defined operation that was successful.

### 2.2.2.14 AUX\_PERF\_GC\_SUCCESS\_V2

```
typedef struct _AUX_PERF_GC_SUCCESS_V2 {  
    unsigned short ProcessID;  
    unsigned short ClientID;  
    unsigned short ServerID;
```



```
    unsigned short SessionID;
    unsigned long TimeSinceRequest;
    unsigned long TimeToCompleteRequest;
    unsigned char RequestOperation;
} AUX_PERF_GC_SUCCESS_V2;
```

**ProcessID (2 bytes):** Process identification number.

**ClientID (2 bytes):** Client identification number.

**ServerID (2 bytes):** Server identification number.

**SessionID (2 bytes):** Session identification number.

**TimeSinceRequest (4 bytes):** Number of milliseconds since successful request occurred.

**TimeToCompleteRequest (4 bytes):** Number of milliseconds the successful request took to complete.

**RequestOperation (1 byte):** Client-defined operation that was successful.

### 2.2.2.15 AUX\_PERF\_FAILURE

```
typedef struct _AUX_PERF_FAILURE {
    unsigned short ClientID;
    unsigned short ServerID;
    unsigned short SessionID;
    unsigned short RequestID;
    unsigned long TimeSinceRequest;
    unsigned long TimeToFailRequest;
    unsigned long ResultCode;
    unsigned char RequestOperation;
} AUX_PERF_FAILURE;
```

**ClientID (2 bytes):** Client identification number.

**ServerID (2 bytes):** Server identification number.

**SessionID (2 bytes):** Session identification number.

**RequestID (2 bytes):** Request identification number.

**TimeSinceRequest (4 bytes):** Number of milliseconds since failure request occurred.

**TimeToFailRequest (4 bytes):** Number of milliseconds the failure request took to complete.

**ResultCode (4 bytes):** Error code return of failed request.

**RequestOperation (1 byte):** Client-defined operation that failed.

### 2.2.2.16 AUX\_PERF\_FAILURE\_V2

```
typedef struct _AUX_PERF_FAILURE_V2 {  
    unsigned short ProcessID;  
    unsigned short ClientID;  
    unsigned short ServerID;  
    unsigned short SessionID;  
    unsigned short RequestID;  
    unsigned long TimeSinceRequest;  
    unsigned long TimeToFailRequest;  
    unsigned long ResultCode;  
    unsigned char RequestOperation;  
} AUX_PERF_FAILURE_V2;
```

**ProcessID (2 bytes):** Process identification number.

**ClientID (2 bytes):** Client identification number.

**ServerID (2 bytes):** Server identification number.

**SessionID (2 bytes):** Session identification number.

**RequestID (2 bytes):** Request identification number.

**TimeSinceRequest (4 bytes):** Number of milliseconds since failure request occurred.

**TimeToFailRequest (4 bytes):** Number of milliseconds the failure request took to complete.

**ResultCode (4 bytes):** Error code return of failed request.

**RequestOperation (1 byte):** Client-defined operation that failed.

### 2.2.2.17 AUX\_CLIENT\_CONTROL

```
typedef struct _AUX_CLIENT_CONTROL {  
    unsigned long EnableFlags;
```

```

        unsigned long ExpiryTime;
    } AUX_Client_CONTROL;

```

**EnableFlags (4 bytes):** The following table describes the flags that instruct the client to either enable or disable behavior. To disable behavior, do not set the flag to the specified value.

| Flag                     | Value      | Description  |
|--------------------------|------------|--|
| ENABLE_PERF_SENDSERVER   | 0x00000001 | Client MUST start sending performance information to server.   |
| ENABLE_PERF_SENDSMAILBOX | 0x00000002 | Client MUST start sending performance information as logs to a special location in the user's mailbox.   |
| ENABLE_COMPRESSION       | 0x00000004 | Client MUST compress information up to the server. Compression MUST ordinarily be the default behavior, but this allows the server to 'disable' compression. |
| ENABLE_HTTP_TUNNELING    | 0x00000008 | Client MUST utilize RPC/HTTP if configured.  |
| ENABLE_PERF_SENDDGCDATA  | 0x00000010 | Client MUST include performance data of the client that is communicating with the directory service.   |

**ExpiryTime (4 bytes):** The number of milliseconds the client SHOULD keep unspent performance data before the data is expired. Expired data is not transmitted to the server. This prevents the server from receiving stale performance information that is stored on the client.

### 2.2.2.18 AUX\_OSVERSIONINFO

```

typedef struct _AUX_OSVERSIONINFO {
    unsigned long OSVersionInfoSize;
    unsigned long MajorVersion;

```

```

    unsigned long MinorVersion;
    unsigned long BuildNumber;
    unsigned long Reserved1;
    unsigned char Reserved2[128];
    unsigned short ServicePackMajor;
    unsigned short ServicePackMinor;
    unsigned short Reserved3;
    unsigned short Reserved4;
    unsigned char Reserved5;
} AUX_OSVERSIONINFO;

```

**OSVersionInfoSize (4 bytes):** Size of the **AUX\_OSVERSIONINFO** structure.

**MajorVersion (4 bytes):** Major version number of the operating system of the server.

**MinorVersion (4 bytes):** Minor version number of the operating system of the server.

**BuildNumber (4 bytes):** Build number of the operating system of the server.

**Reserved1 (4 bytes):** Reserved. Content **MUST** be ignored by client.

**Reserved2 (128 bytes):** Reserved. Content **MUST** be ignored by client.

**ServicePackMajor (2 bytes):** Major version number of the latest operating system service pack that is installed on server.

**ServicePackMinor (2 bytes):** Minor version number of the latest operating system service pack that is installed on server.

**Reserved3 (2 bytes):** Reserved. Content **MUST** be ignored by client.

**Reserved4 (2 bytes):** Reserved. Content **MUST** be ignored by client.

**Reserved5 (1 byte):** Reserved. Content **MUST** be ignored by client.

### 2.2.2.19 **AUX\_EXORGINFO**

```

typedef struct _AUX_EXORGINFO {
    unsigned long OrgFlags;
} AUX_EXORGINFO;

```

**OrgFlags (4 bytes):** Flags indicating the server organizational information.

| Flag                   | Value      | Description                      |
|------------------------|------------|----------------------------------|
| PUBLIC_FOLDERS_ENABLED | 0x00000001 | Organization has public folders. |

### 3 Protocol Details

The Wire Format protocol is comprised of two **RPC** interfaces: **EMSMDB** and **AsyncEMSMDB**. This section describes the details of each interface.

For some functionality through the **EMSMDB** interface, the client is required to call interface method **EcDoConnectEx** first to establish a **Session Context Handle (CXH)**. The CXH is an RPC context handle. To establish a CXH, a call to **EcDoConnectEx** **MUST** be successful. The following table lists all method calls that require a valid CXH.

| CXH Based Methods                  | Interface |
|------------------------------------|-----------|
| <b>EcDoDisconnect</b>              | EMSMDB    |
| <b>EcRRegisterPushNotification</b> | EMSMDB    |
| <b>EcDoConnectEx</b>               | EMSMDB    |
| <b>EcDoRpcExt2</b>                 | EMSMDB    |
| <b>EcDoAsyncConnectEx</b>          | EMSMDB    |

For some functionality through the **AsyncEMSMDB** interface, the client is required to call specific interface methods first to establish an **Asynchronous Context Handle (ACXH)**. The ACXH is an RPC context handle. To establish an ACXH, a call to **EcDoAsyncConnectEx** on the EMSMDB interface **MUST** be successful. The following table lists all method calls that require a valid ACXH context handle.

| ACXH Based Methods     | Interface   |
|------------------------|-------------|
| <b>EcDoAsyncWaitEx</b> | AsyncEMSMDB |

### 3.1 EMSMDB Server Details

The server responds to messages it receives from the client.

#### 3.1.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

Some methods on this interface require **CXH** information to be stored on the server and used across multiple interface calls for a long duration of time. For these method calls, this protocol is stateful. The server **MUST** store this **Session Context** information and provide a CXH to the client to make subsequent interface calls by using this same Session Context information.

The server **MUST** keep a list of all active sessions and their associated Session Context information. Each Session Context **MUST** be identified by a CXH. After a Session Context has been established, a client can access messaging resources through this Session Context. The server **MUST** keep track of all open resources or any state information specific to the session on the Session Context. This can include but is not limited to resources, such as folders, messages, tables, attachments, streams, associated **Asynchronous Context Handles (ACXHs)**, and notification callbacks.

The server **MUST** isolate all resources associated with one Session Context from all other Session Contexts on the server. Access to resources on one Session Context **MUST NOT** be allowed using a CXH of another Session Context.

When the CXH is destroyed or the client connection is lost, the Session Context and all Session Context information **MUST** be destroyed, all open resources **MUST** be closed, and all **Server objects** that are associated with the Session Context **MUST** be released.

#### 3.1.2 Timers

None.

#### 3.1.3 Initialization

The server **MUST** first register the different protocol sequences that will allow the server to communicate with the client. This is done by calling the **RPC** function **RpcServerUseProtseqEp**. For protocol sequences and details about this function, see [MS-RPCE]. The supported protocol sequences are specified in section 2.1. Note some protocol sequences use named **endpoints**, which are also specified in section 2.1.

The server then MUST register the different authentication methods that are allowed on the **EMSMDDB** interface. This is done by calling the RPC function **RpcServerRegisterAuthInfo**. For details about this function and the authentication methods, see [MS-RPCE].

The server then MUST start listening for RPC calls by calling RPC function **RpcServerListen**. For details about this function, see [MS-RPCE].

The server then MUST register the **EMSMDDB** interface. This is done by calling the RPC function **RpcServerRegisterIfEx**. For details about this function, see [MS-RPCE].

The last step is to register the **EMSMDDB** interface to all the registered binding handles created previously in calls to **RpcServerUseProtseq** or **RpcServerUseProtseqEp**. This is done by first acquiring all the binding handle information through RPC function **RpcServerInqBindings** and then calling RPC function **RpcEpRegister** with the binding information. For details about these functions, see [MS-RPCE].

### 3.1.4 Message Processing Events and Sequencing Rules

This protocol MUST indicate to the **RPC** runtime that it is to perform a strict **Network Data Representation (NDR)** data consistency check at target level 5.0, as specified in [MS-RPCE] section 3.

The following table lists the methods that this interface includes. The term "Reserved" in the table means that the client SHOULD NOT send the **opnum**.

| Method                             | Opnum | Description   |
|------------------------------------|-------|---|
| <b>Opnum0Reserved</b>              | 0     | Reserved.   |
| <b>EcDoDisconnect</b>              | 1     | Closes a <b>Session Context</b> with the server. The Session Context is destroyed and all associated server state, objects, and resources that are associated with the Session Context are released. The method requires an active <b>Session Context Handle (CXH)</b> to be returned from <b>EcDoConnectEx</b> . |
| <b>Opnum2Reserved</b>              | 2     | Reserved.   |
| <b>Opnum3Reserved</b>              | 3     | Reserved.   |
| <b>EcRRegisterPushNotification</b> | 4     | Registers a callback address with the server for a Session Context. The callback address is used to notify the client of a pending event on the server. The method requires an active CXH to be returned from <b>EcDoConnectEx</b> .  |

| Method                    | Opnum | Description  |
|---------------------------|-------|--|
| <b>Opnum5Reserved</b>     | 5     | Reserved.  |
| <b>EcDummyRpc</b>         | 6     | This call does nothing. A client can use it to determine whether it can communicate with the server.   |
| <b>Opnum7Reserved</b>     | 7     | Reserved.  |
| <b>Opnum8Reserved</b>     | 8     | Reserved.  |
| <b>Opnum9Reserved</b>     | 9     | Reserved.  |
| <b>EcDoConnectEx</b>      | 10    | Creates a CXH on the server to be used in subsequent calls to <b>EcDoDisconnect</b> , <b>EcDoRpcExt2</b> , and <b>EcDoAsyncConnectEx</b> .   |
| <b>EcDoRpcExt2</b>        | 11    | Passes generic <b>remote operation (ROP)</b> commands to the server for processing within a Session Context. The method requires an active CXH to be returned from <b>EcDoConnectEx</b> .  |
| <b>Opnum12Reserved</b>    | 12    | Reserved.  |
| <b>Opnum13Reserved</b>    | 13    | Reserved.  |
| <b>EcDoAsyncConnectEx</b> | 14    | Binds a CXH that is returned in <b>EcDoConnectEx</b> to a new <b>Asynchronous Context Handle (ACXH)</b> which can be used in calls to <b>EcDoAsyncWaitEx</b> in interface <b>AsyncEMSMDB</b> . The method requires an active Session Context Handle to be returned from <b>EcDoConnectEx</b> . |

### 3.1.4.1 Opnum0Reserved (opnum 0)

The **Opnum0Reserved** method is reserved and SHOULD NOT be used.



### 3.1.4.2 EcDoDisconnect (opnum 1)

The method **EcDoDisconnect** closes a **Session Context** with the server. The Session Context is destroyed and all associated server state, objects, and resources that are associated with the Session Context are released. This call requires an active **Session Context Handle (CXH)** to be returned from method **EcDoConnectEx**.

```
long __stdcall EcDoDisconnect(  
    [in, out, ref] CXH * pcxh  
);
```

**pcxh:** On input, contains the CXH of the Session Context that the client wants to disconnect. On output, the server **MUST** clear the CXH to a zero value. Setting the value to zero instructs the **RPC** layer of the server to destroy the RPC context handle.

**Error Values:** If the method succeeds, the return value is 0. If the method fails, the return value is an implementation-specific error code.

**Exceptions Thrown:** No exceptions are thrown beyond those thrown by the underlying RPC protocol [MS-RPCE].

### 3.1.4.3 Opnum2Reserved (opnum 2)

The **Opnum2Reserved** method is reserved and **SHOULD NOT** be used.

### 3.1.4.4 Opnum3Reserved (opnum 3)

The **Opnum3Reserved** method is reserved and **SHOULD NOT** be used.

### 3.1.4.5 EcRRegisterPushNotification (opnum 4)

The method **EcRRegisterPushNotification** registers a callback address with the server for a **Session Context**. The callback address is used to notify the client of pending events on the server. This call requires an active **Session Context Handle (CXH)** to be returned from method **EcDoConnectEx**.

The server **MUST** store the callback address and the opaque context data in the Session Context. Whenever the server wants to notify the client of pending events, it **SHOULD** send a packet containing just the opaque context data to the callback address. The callback address specifies which network transport **SHOULD** be used to send the data packet.

For more information about notification handling, see [MS-OXCNOTIF].

```

long __stdcall EcRRegisterPushNotification(
    [in, out, ref] CXH * pcxh,
    [in] unsigned long iRpc,
    [in, size_is(cbContext)] unsigned char rgbContext[],
    [in] unsigned short cbContext,
    [in] unsigned long grbitAdviseBits,
    [in, size_is(cbCallbackAddress)] unsigned char rgbCallbackAddress[],
    [in] unsigned short cbCallbackAddress,
    [out] unsigned long *hNotification
);

```

**pcxh:** On input, the client MUST pass a valid CXH that was created by calling **EcDoConnectEx**. The server uses the CXH to identify the Session Context to use for this call. On output, the server MUST return the same CXH on success.

The server can destroy the CXH by returning a zero CXH. The server might want to destroy the CXH for the following reasons:

1. The CXH that was passed in is invalid.
2. An attempt was made to access a mailbox that is in the process of being moved.

**iRpc:** The server MUST completely ignore this value. The client MUST pass a value of 0x00000000.

**rgbContext:** This parameter contains opaque client-generated context data that is sent back to the client at the callback address, passed in parameter *rgbCallbackAddress*, when the server wants to notify the client of pending event information. The server MUST save this data within the Session Context and use it when sending a notification to the client.

**cbContext:** This parameter contains the size of the opaque client context data that is passed in parameter *rgbContext*. The server MUST fail this call with error code *ecTooBig* if this parameter is larger than 0x00000010.

**grbitAdviseBits:** This parameter MUST be 0xFFFFFFFF.

**rgbCallbackAddress:** This parameter contains the callback address for the server to use to notify the client of a pending event. The size of this data is in the parameter *cbCallbackAddress*.

The data contained in this parameter follows the format of a **sockaddr** structure. For information about the **sockaddr** structure, see [MSDN-SOCKADDR].

The server SHOULD support the address families AF\_INET and AF\_INET6 for a callback address that corresponds to the protocol sequence types that are specified in section 2.1.

If an address family is requested that is not supported, the server MUST return error code ecInvalidParam. If the address family is supported, but the communications stack of the server does not support the address type, the server MUST return error code ecNotSupported.

**cbCallbackAddress:** This parameter contains the length of the callback address in parameter *rgbCallbackAddress*. The size of this parameter depends on the address family being used. If this size does not correspond to the **sockaddr** size based on address family, the server MUST return error code ecInvalidParam.

**hNotification:** If the call completes successfully, this output parameter will contain a handle to the notification callback on the server.

**Error Codes:** If the method succeeds, the return value is 0. If the method fails, the error codes listed in the following table are returned. Additional implementation-specific error codes might be returned.

| Name           | Value      | Meaning  |
|----------------|------------|--|
| ecInvalidParam | 0x80070057 | A parameter passed was not valid for the call.     |
| ecNotSupported | 0x80040102 | The callback address is not support on the server. |
| ecTooBig       | 0x80040305 | Opaque context data is too large.                  |

**Exceptions Thrown:** No exceptions are thrown beyond those thrown by the underlying RPC protocol [MS-RPCE].

### 3.1.4.6 Opnum5Reserved (opnum 5)

The **Opnum5Reserved** method is reserved and SHOULD NOT be used.

### 3.1.4.7 EcDummyRpc (opnum 6)

The method **EcDummyRpc** does nothing. A client can use it to determine if it can communicate with the server.

```
long __stdcall EcDummyRpc (
    [in] handle_t hBinding
);
```

**hBinding:** A valid RPC binding handle.

**Error Codes:** The function MUST always succeed and return 0.

**Exceptions Thrown:** No exceptions are thrown beyond those thrown by the underlying RPC protocol [MS-RPCE].

#### 3.1.4.8 Opnum7Reserved (opnum 7)

The **Opnum7Reserved** method is reserved and SHOULD NOT be used.

#### 3.1.4.9 Opnum8Reserved (opnum 8)

The **Opnum8Reserved** method is reserved and SHOULD NOT be used.

#### 3.1.4.10 Opnum9Reserved (opnum 9)

The **Opnum9Reserved** method is reserved and SHOULD NOT be used.

#### 3.1.4.11 EcDoConnectEx (opnum 10)

The **EcDoConnectEx** method establishes a new **Session Context** with the server. The Session Context is persisted on the server until the client disconnects by using **EcDoDisconnect**. This method returns a **Session Context Handle (CXH)** to be used by a client in subsequent calls.

```
long __stdcall EcDoConnectEx (
    [in] handle_t hBinding,
    [out, ref] CXH * pcxh,
    [in, string] unsigned char * szUserDN,
    [in] unsigned long ulFlags,
    [in] unsigned long ulConMod,
    [in] unsigned long cbLimit,
    [in] unsigned long ulCpid,
    [in] unsigned long ulLcidString,
    [in] unsigned long ulLcidSort,
```

```

[in] unsigned long ulIcxrLink,
[in] unsigned short usFCanConvertCodePages,
[out] unsigned long * pcmsPollsMax,
[out] unsigned long * pcRetry,
[out] unsigned long * pcmsRetryDelay,
[out] unsigned short * picxr,
[out, string] unsigned char **szDNPrefix,
[out, string] unsigned char **szDisplayName,
[in] unsigned short rgwClientVersion[3],
[out] unsigned short rgwServerVersion[3],
[out] unsigned short rgwBestVersion[3],
[in, out] unsigned long * pulTimeStamp,
[in, size_is(cbAuxIn)] unsigned char rgbAuxIn[],
[in] unsigned long cbAuxIn,
[out, length_is(*pcbAuxOut), size_is(*pcbAuxOut)] unsigned char
rgbAuxOut[],
[in, out] SMALL_RANGE_ULONG *pcbAuxOut
);

```

**hBinding:** A valid RPC binding handle.

**pcxh:** On success, the server MUST return a unique value to be used as a CXH. This unique value serves as the CXH for the client.

On failure, the server MUST return a zero value as the CXH.

**szUserDN:** User's **distinguished name (DN)**. String containing the DN of the user who is making the **EcDoConnectEx** call in a directory service. Value: "/o=Microsoft/ou=First Administrative Group/cn=Recipients/cn=janedow".

**ulFlags:** For ordinary client calls this value MUST be 0x00000000.

| Value      | Meaning   |
|------------|---|
| 0x00000000 | Ordinary client connection.                       |
| 0x00000001 | Administrator privilege requested for connection. |

**ulConMod:** The connection modulus is a client derived 32-bit hash value of the DN passed in field **szUserDN** and can be used by the server to decide which public folder replica to use when accessing public folder information when more than one replica of a folder exists. The hash can be used to distribute client access across replicas in a deterministic way for load balancing.

**cbLimit:** This field is reserved. A client **MUST** pass a value of 0x00000000.

**ulCpid:** The **code page** in which text data **SHOULD** be sent if **Unicode** format is not requested by the client on subsequent calls using this Session Context.

**ulLcidString:** The local ID for everything other than sorting.

**ulLcidSort:** The local ID for sorting.

**ulCxrLink:** This value is used to link the Session Context created by this call with an existing Session Context on the server. If no session linking is requested, this value will be 0xFFFFFFFF. To link to an existing Session Context, this value **SHOULD** be the session index value returned in field **piCxr** from a previous **EcDoConnectEx** call. In addition to passing the session index, the value in **pulTimeStamp** will be returned in the **pulTimeStamp** field from the previous **EcDoConnectEx** call. These two values **MUST** be used by the server to identify an active session with the same session index and session creation time stamp. If a session is found, the server **MUST** link the Session Context created by this call with the one found.

A server allows Session Context linking for the following reasons:

1. To consume a single **Client Access License (CAL)** for all the connections made from a single client computer. This gives a client the ability to open multiple independent connections using more than one Session Context on the server, but be seen to the server as only consuming a single CAL.
2. To get pending notification information for other sessions on the same client computer. For details, see **RopPending** in [MS-OXCNOTIF].

Note that the *ulIcxrLink* parameter is defined as a 32-bit value. Other than passing 0xFFFFFFFF for no Session Context linking, the server SHOULD only use the low-order 16 bits as the session index. This value SHOULD be the value returned in **piCxr** from a previous **EcDoConnectEx** call, which is the session index and defined as a 16-bit value.

**usFCanConvertCodePages:** The client MUST pass a value of 0x01.

**pcmsPollsMax:** The server returns the number of milliseconds that a client SHOULD wait between polling the server for event information. If the client or server does not support making asynchronous RPC calls for notifications (see **EcDoAsyncWaitEx**), or the client is unable to receive notifications via UDP datagrams (see **EcRRegisterPushNotifications**), the client can poll the server to determine whether any events are pending for the client. For details about notifications, see [MS-OXNOTIF].

**pcRetry:** The server returns the number of times a client SHOULD retry future RPC calls using the CXH returned in this call. This is for client RPC calls that fail with RPC status code `RPC_S_SERVER_TOO_BUSY`. This is a suggested retry count for the client and SHOULD NOT be enforced by the server.

**pcmsRetryDelay:** The server returns the number of milliseconds a client SHOULD wait before retrying a failed RPC call. If any future RPC call to the server using the CXH returned in this call fails with RPC status code `RPC_S_SERVER_TOO_BUSY`, it SHOULD wait the number of milliseconds specified in this output parameter before retrying the call. The number of times a client SHOULD retry is returned in parameter *pcRetry*. This is a suggested delay for the client and SHOULD NOT be enforced by the server.

**piCxr:** The server returns a session index value that is associated with the CXH returned from this call. This value in conjunction with the session creation time stamp value returned in **pulTimeStamp** will be passed to a subsequent **EcDoConnectEx** call, if the client wants to link two Session Contexts. The server MUST NOT assign two active Session Contexts the same session index value. The server is free to return any 16-bit value for the session index.

The server MUST also use the session index when returning a **RopPending** response command on calls to **EcDoRpcExt2** to tell the client which Session Context has pending notifications. If Session Contexts are linked, a **RopPending** can be returned for any linked Session Context. For details about RopPending, see [MS-OXCROPS] and [MS-OXCNOTIF].

**szDNPrefix:** The server returns the **distinguished name (DN)** of the server.

**szDisplayName:** The server returns the display name of the user associated with the *szUserDN* parameter.

**rgwClientVersion:** The client passes the client protocol version the server SHOULD use to determine what protocol functionality the client supports. For more information about how version numbers are interpreted from the wire data, see section 3.1.9.

**rgwServerVersion:** The server returns the server protocol version the client SHOULD use to determine what protocol functionality the server supports. For details about how version numbers are interpreted from the wire data, see section 3.1.9.

**rgwBestVersion:** The server returns the minimum client protocol version the server supports. This information is useful if the **EcDoConnectEx** call fails with return code **ecVersionMismatch**. On success, the server SHOULD return the value passed in **rgwClientVersion** by the client. The server cannot perform any client protocol version negotiation. The server can either return the minimum client protocol version required to access the server and fail the call with **ecVersionMismatch**, or the server can allow the client and return the value passed by the client in **rgwClientVersion**. It is up to the server implementation to set the minimum client protocol version that is supported by the server. For details about how version numbers are interpreted from the wire data, see section 3.1.9.

**pulTimeStamp:** On input, this parameter and parameter *ullcxrLink* are used for linking the Session Context created by this call with an existing Session Context. If the *ullcxrLink* parameter is not 0xFFFFFFFF, the client MUST pass in the **pulTimeStamp** value returned from the server on a previous call to **EcDoConnectEx** (see the *ullcxrLink* and *piCxr* parameters for more details). If the server supports Session Context linking, the server SHOULD verify that there is a Session Context state with the unique identifier **ullcxrLink** and it has a creation time stamp equal to the value passed in this parameter. If so, the server MUST link the Session Context created by this call with the one found. If no such Session Context state is found, the server SHOULD NOT fail the **EcDoConnectEx** call, but simply not do linking.

On output, the server has to return a time stamp in which the new Session Context was created. The server SHOULD save the Session Context creation time stamp within the Session Context state for later use if a client attempts to do Session Context linking.

**rgbAuxIn:** This parameter contains an auxiliary payload buffer. The auxiliary payload buffer is prefixed by an **RPC\_HEADER\_EXT** structure. Information stored in this header determines how to interpret the data following the header. The length of the auxiliary payload buffer that includes the **RPC\_HEADER\_EXT** header is contained in parameter *cbAuxIn*.

See section 3.1.7 for details about how to access the embedded auxiliary payload buffer. See section 3.1.8 for details about how to interpret the auxiliary payload data.

**cbAuxIn:** On input, this parameter contains the length of the auxiliary payload buffer passed in the *rgbAuxIn* parameter. The server MUST fail with error code **ecRpcFormat** if the request buffer is larger than 0x00001008 bytes in size.



**rgbAuxOut:** On output, the server can return auxiliary payload data to the client. The server MUST include an **RPC\_HEADER\_EXT** header before the auxiliary payload data.

See section 3.1.7 for details about how to access the embedded auxiliary payload buffer. See section 3.1.8 for details about how to interpret the auxiliary payload data.

**pcbAuxOut:** On input, this parameter contains the maximum length of the rgbAuxOut buffer. The server MUST fail with error code ecRpcFormat if this value is larger than 0x00001008.

On output, this parameter contains the size of the data to be returned in the rgbAuxOut buffer.

**Error Values:** If the method succeeds, the return value is 0. If the method fails, the return value is an implementation-specific error code or one of the protocol-defined error codes listed in the following table.

| Name                  | Value      | Meaning  |
|-----------------------|------------|--|
| ecRpcAuthentication   | 0x000004B6 | The <i>szUserDN</i> parameter does not reference a user or references a guest user or a built-in user.   |
| ecNotEncrypted        | 0x00000970 | The server is configured to require encryption and the binding handle, <i>hBinding</i> , authentication is not set with <code>RPC_C_AUTHN_LEVEL_PKT_PRIVACY</code> . For more information about setting the authentication and authorization, see <code>RpcBindingSetAuthInfoEx</code> . The client SHOULD attempt the call again with new binding handle that is encrypted. |
| ecClientVerDisallowed | 0x000004DF | The server requires encryption, but the client is not encrypted and the client does not support receiving error code <code>ecNotEncrypted</code> being returned by the server. See section 3.1.9 for details about which client versions do not support receiving error code <code>ecNotEncrypted</code> .   |
| ecLoginFailure        | 0x80040111 | <ol style="list-style-type: none"> <li>1. The user does not have any access to a private mailbox or public folder messaging data.</li> <li>2. There are no private mailboxes or public folders on the server.</li> <li>3. The server is exiting or is about to exit.</li> </ol>  |
| ecLoginPerm           | 0x000003F2 | The connection is requested for administrative access, but the authentication context associated with the binding handle does not have enough privilege.   |
| ecVersionMismatch     | 0x80040110 | The client and server versions are not compatible. The client protocol version is older than that required by the server.  |
| ecCachedModeRequired  | 0x000004E1 | The server requires the client to be running in cache mode. See section 3.1.9 for details about which client versions understand this error code.  |
| ecRpcHttpDisallowed   | 0x000004E0 | The server requires the client to not be connected via RPC/HTTP. See section 3.1.9 for details about which client versions understand  |

| Name               | Value      | Meaning   |
|--------------------|------------|---|
|                    |            | this error code.  |
| ecProtocolDisabled | 0x000007D8 | The server disallows the user to access the server via this protocol interface. This could be done if the user is only capable of accessing their mailbox information through a different means (for example, Webmail, POP, IMAP, and so on). See section 3.1.9 for details about which client versions understand this error code. |

**Exceptions Thrown:** No exceptions are thrown beyond those thrown by the underlying RPC protocol [MS-RPCE].

### 3.1.4.12 EcDoRpcExt2 (opnum 11)

The method **EcDoRpcExt2** passes generic **remote operation (ROP)** commands to the server for processing within a **Session Context**. Each call can contain multiple ROP commands. The server returns the results of each ROP command to the client. This call requires an active **Session Context Handle (CXH)** returned from method **EcDoConnectEx**.

```

long __stdcall EcDoRpcExt2(
    [in, out, ref] CXH * pcxh,
    [in, out] unsigned long *pulFlags,
    [in, size_is(cbIn)] unsigned char rgbIn[],
    [in] unsigned long cbIn,
    [out, length_is(*pcbOut), size_is(*pcbOut)] unsigned char rgbOut[],
    [in, out] BIG_RANGE_ULONG *pcbOut,
    [in, size_is(cbAuxIn)] unsigned char rgbAuxIn[],
    [in] unsigned long cbAuxIn,
    [out, length_is(*pcbAuxOut), size_is(*pcbAuxOut)] unsigned char
    rgbAuxOut[],
    [in, out] SMALL_RANGE_ULONG *pcbAuxOut,
    [out] unsigned long *pulTransTime
);

```

**pcxh:** On input, the client MUST pass a valid Session Context Handle that was created by calling **EcDoConnectEx**. The server uses the CXH to identify the Session Context to use for this call. On output, the server MUST return the same CXH on success.

The server can destroy the CXH by returning a zero CXH. The server might want to destroy the Session CXH for the following reasons:

1. It determines that the ROP request payload in the *rgbIn* buffer is malformed or length parameters are invalid.
2. The CXH passed in is invalid.
3. It is trying to access a mailbox that is in the process of being moved.

**pulFlags:** On input, this parameter contains flags that tell the server how to build the *rgbOut* parameter.

| Name          | Value      | Meaning   |
|---------------|------------|---|
| NoCompression | 0x00000001 | The server MUST NOT compress ROP response payload ( <i>rgbOut</i> ) or auxiliary payload ( <i>rgbAuxOut</i> ). If flag is absent, server MUST compress.         |
| NoXorMagic    | 0x00000002 | The server MUST NOT obfuscate the ROP response payload ( <i>rgbOut</i> ) or auxiliary payload ( <i>rgbAuxOut</i> ). If flag is absent, server SHOULD obfuscate. |
| Chain         | 0x00000004 | The server SHOULD allow chaining of ROP response payloads.  |

See section 3.1.7 for details about how to use these flags.

On output, the server MUST return 0x00000000. The meaning of the output flags are reserved for future use.

**rgbIn:** This buffer contains the ROP request payload. The ROP request payload is prefixed with an **RPC\_HEADER\_EXT** header. Information stored in this header determines how to interpret the data following the header. See section 3.1.7 for details about how to access the embedded ROP request payload. The length of the ROP request payload including the **RPC\_HEADER\_EXT** header is contained in parameter *cbIn*.

For more information about ROP buffers, see [MS-OXCROPS].

**cbIn:** On input, this parameter contains the length of the ROP request payload passed in the *rgbIn* parameter. The server MUST fail with error code *ecRpcFormat* if the request buffer is larger than 0x00008008 bytes in size. The server MUST fail with error code *ecRpcFormat* if the request buffer is smaller than the size of the **RPC\_HEADER\_EXT** (0x00000008 bytes). The ROP request payload includes the size of the ROPs plus the size of **RPC\_HEADER\_EXT**. For more details, see [MS-OXCROPS].

**rgbOut:** On success, this buffer contains the ROP response payload. Like the ROP request payload, the ROP response payload is also prefixed by a **RPC\_HEADER\_EXT** header. For details about how to format the ROP response payload, see section 3.1.7. The size of the ROP response payload plus the **RPC\_HEADER\_EXT** header is returned in *pcbOut*.

For more information about ROP buffers, see [MS-OXCROPS].

**pcbOut:** On input, this parameter contains the maximum size of the *rgbOut* buffer. The server MUST fail with error code *ecRpcFormat* if the value in *pcbOut* on input is less than 0x00008008. The server MUST fail with error code *ecRpcFormat* if the value in *pcbOut* on input is larger than 0x00040000.

On output, this parameter contains the size of the ROP response payload, including the size of the **RPC\_HEADER\_EXT** header in the *rgbOut* parameter. The server SHOULD return 0x00000000 on failure as there is no ROP response payload. The client SHOULD ignore any data returned on failure.

**rgbAuxIn:** This parameter contains an auxiliary payload buffer. The auxiliary payload buffer is prefixed by an **RPC\_HEADER\_EXT** structure. Information stored in this header determines how to interpret the data following the header. The length of the auxiliary payload buffer including the **RPC\_HEADER\_EXT** header is contained in parameter *cbAuxIn*.

See section 3.1.7 for details about how to access the embedded auxiliary payload buffer. See section 3.1.8 for details about how to interpret the auxiliary payload data.

**cbAuxIn:** On input, this parameter contains the length of the auxiliary payload buffer passed in the *rgbAuxIn* parameter. The server MUST fail with error code *ecRpcFormat* if the request buffer is larger than 0x00001008 bytes in size.

**rgbAuxOut:** On output, the server can return auxiliary payload data to the client. The server MUST include a **RPC\_HEADER\_EXT** header before the auxiliary payload data.

See section 3.1.7 for details about how to access the embedded auxiliary payload buffer. See section 3.1.8 for details about how to interpret the auxiliary payload data.

**pcbAuxOut:** On input, this parameter contains the maximum length of the *rgbAuxOut* buffer. The server MUST fail with error code *ecRpcFormat* if this value is larger than 0x00001008.

On output, this parameter contains the size of the data to be returned in the *rgbAuxOut* buffer.

**pulTransTime:** On output, the server SHOULD store the number of milliseconds the call took to execute. This is the total elapsed time from when the call is dispatched on the server to the point in which the server returns the call. This is diagnostic information the client can use to determine the cause of a slow response time from the server. The client can monitor the total elapsed time across the RPC function call and, using this output parameter, can determine whether time was spent transmitting the request/response on the network on processing time on the server.

**Error Values:** If the method succeeds, the return value is 0. If the method fails, the error codes listed in the following table are returned. Additional implementation-specific error codes could be returned.

| Name               | Value      | Meaning  |
|--------------------|------------|--|
| <i>ecRpcFormat</i> | 0x000004B6 | The format of the request was found to be invalid. This is a generic error that means the length was found to be invalid or the content was found to be invalid. |

**Exceptions Thrown:** No exceptions are thrown beyond those thrown by the underlying RPC protocol [MS-RPCE].

### 3.1.4.13 **Opnum12Reserved (opnum 12)**

The **Opnum12Reserved** method is reserved and SHOULD NOT be used.

### 3.1.4.14 **Opnum13Reserved (opnum 13)**

The **Opnum13Reserved** method is reserved and SHOULD NOT be used.

### 3.1.4.15 **EcDoAsyncConnectEx (opnum 14)**

The method **EcDoAsyncConnectEx** binds a **Session Context Handle (CXH)** returned from method **EcDoConnectEx** to a new **Asynchronous Context Handle (ACXH)** that can be used in calls to **EcDoAsyncWaitEx** in interface **AsyncEMSMDB**. This call requires an active CXH to be returned from method **EcDoConnectEx**.

This method is part of Notification handling. For more information about notifications, see [MS-OXCNOTIF].

```
long __stdcall EcDoAsyncConnectEx(  
    [in] CXH cxh,  
    [out, ref] ACXH * pacxh  
);
```

**cxh:** Client MUST pass a valid CXH that was created by calling **EcDoConnectEx**. The server uses the CXH to identify the **Session Context** to use for this call.

**pacxh:** On success, the server returns an ACXH that is associated with the Session Context passed in parameter *cxh*. This ACXH can be used to make a call to **EcDoAsyncWaitEx** on interface **AsyncEMSMDB**.

**Error Values:** If the method succeeds, the return value is 0. If the method fails, the error codes listed in the following table are returned. Additional implementation-specific error codes could be returned.

| Name       | Value      | Meaning   |
|------------|------------|---|
| ecRejected | 0x000007EE | Server has asynchronous RPC notifications disabled. Client SHOULD either poll for notifications or call <b>EcRRegisterPushNotifications</b> . |

**Exceptions Thrown:** No exceptions are thrown beyond those thrown by the underlying RPC protocol [MS-RPCE].

### 3.1.5 Timer Events

None.

### 3.1.6 Other Local Events

None.

### 3.1.7 Extended Buffer Handling

Interface methods **EcDoConnectEx** and **EcDoRpcExt2** contain request and response buffers that use an extended buffer mechanism where the payload is preceded by a header. The header contains flags that determine whether or not the payload has been compressed, obfuscated, or another extended buffer and payload exists after the current payload. A single payload **MUST NOT** exceed 32 KB in size.

An extended buffer is used in fields *rgbAuxIn* and *rgbAuxOut* on the **EcDoConnectEx** method and in the fields *rgbIn*, *rgbOut*, *rgbAuxIn*, and *rgbAuxOut* on the **EcDoRpcExt2** method.

The following sections detail the extended buffer format, compression algorithm, obfuscation algorithm, and extended buffer packing.

#### 3.1.7.1 Extended Buffer Format

See section 2.2.2.1 for details about the structure and individual fields.

The client or server **MAY** choose not to compress the payload if the payload is small. The client or server **MAY** choose to not obfuscate the payload if the payload has already been compressed. The client or server **MAY** choose to not obfuscate the payload if the client is connected using **RPC** layer encryption.

The extended buffer is used in both the **EcDoConnectEx** and **EcDoRpcExt2** for a variety of different fields. The information in the following sections describes how the extended buffer is used for the different fields on each method.

##### 3.1.7.1.1 *EcDoConnectEx*

###### 3.1.7.1.1.1 *rgbAuxIn*

The input buffer *rgbAuxIn* has the following format:



The header **MUST** contain the Last flag in the **Flags** field.

If the Compressed flag is present in the **Flags** field, the content of the payload **MUST** be compressed by the client and **MUST** be uncompressed by the server before it can be interpreted. See section 3.1.7.2 for details about how to compress and uncompress payload data.



If the XorMagic flag is present in the **Flags** field, the content of the payload MUST be obfuscated by the client and MUST be reverted by the server before it can be interpreted. See section 3.1.7.3 for details about how to obfuscate and revert obfuscated payload data.

The payload is auxiliary information that can be passed from the client to the server. See section 3.1.8 for details about how to interpret this data.

#### 3.1.7.1.1.2 **rgbAuxOut**

The output buffer *rgbAuxOut* has the following format:

|                       |         |
|-----------------------|---------|
| <b>RPC_HEADER_EXT</b> | Payload |
|-----------------------|---------|

The header MUST contain the Last flag in the **Flags** field.

If the Compressed flag is present in the **Flags** field, the content of the payload MUST be compressed by the server and MUST be uncompressed by the client before it can be interpreted. See section 3.1.7.2 details about how to compress and uncompress payload data.

If the XorMagic flag is present in the **Flags** field, the content of the payload MUST be obfuscated by the server and MUST be reverted by the client before it can be interpreted. See section 3.1.7.3 for details about how to obfuscate and revert obfuscated payload data.

The payload is auxiliary information that can be passed from the server to the client. See section 3.1.8 for details about how to interpret this data.

#### 3.1.7.1.2 **EcDoRpcExt2**

The flags passed to the server in field **pulFlags** by the client request that the server compress or obfuscate the response data returned in field **rgbOut** and **rgbAuxOut**. If the client requests no compression or no obfuscation through the flags NoCompression or NoXorMagic, the server MUST honor the client request. If the client requests compression or obfuscation through the absence of either flags NoCompression or NoXorMagic, the server SHOULD honor the client request. The client MUST NOT assume a response will compressed or obfuscated if requested and SHOULD have the ability to handle data which is not compressed or not obfuscated.

##### 3.1.7.1.2.1 **rgbIn**

The input buffer *rgbIn* has the following format:

|                |         |
|----------------|---------|
| RPC_HEADER_EXT | Payload |
|----------------|---------|

The header MUST contain the Last flag in the **Flags** field.

If the Compressed flag is present in the **Flags** field, the content of the payload MUST be compressed by the client and MUST be uncompressed by the server before it can be interpreted. See section 3.1.7.2 for details about how to compress and uncompress payload data.

If the XorMagic flag is present in the **Flags** field, the content of the payload MUST be obfuscated by the client and MUST be reverted by the server before it can be interpreted. See section 3.1.7.3 for details about how to obfuscate and revert obfuscated payload data.

The payload is **remote operation (ROP)** request information that can be passed from the client to the server. See [MS-OXCROPS] for details about how to interpret this data.

#### 3.1.7.1.2.2 **rgbOut**

The output buffer *rgbOut* has the following format:

|                |         |                |         |     |                |         |
|----------------|---------|----------------|---------|-----|----------------|---------|
| RPC_HEADER_EXT | Payload | RPC_HEADER_EXT | Payload | ... | RPC_HEADER_EXT | Payload |
|----------------|---------|----------------|---------|-----|----------------|---------|

There might be multiple extended buffers contained in the single output buffer. They will each have an RPC\_HEADER\_EXT header followed by a Payload.

All headers except for the last MUST NOT contain the Last flag in the **Flags** field. The last header MUST contain the Last flag in the **Flags** field.

If the Compressed flag is present in the **Flags** field, the content of the payload following the header MUST be compressed by the server and MUST be uncompressed by the client before it can be interpreted. See section 3.1.7.2 for details about how to compress and uncompress payload data.

If the XorMagic flag is present in the **Flags** field, the content of the payload following the header MUST be obfuscated by the server and MUST be reverted by the client before it can be interpreted. See section 3.1.7.3 for details about how to obfuscate and revert obfuscated payload data.

Compression or obfuscation can be done differently for each header and payload section. The client **MUST** be able to treat each header and payload independently and interpret the contents solely on the flags specified in the header.

Each payload contains **remote operation (ROP)** response information that is returned from the server to the client. See [MS-OXCROPS] for details about how to interpret this data.

#### 3.1.7.1.2.3 **rgbAuxIn**

The input buffer *rgbAuxIn* has the following format:

|                |         |
|----------------|---------|
| RPC_HEADER_EXT | Payload |
|----------------|---------|

The header **MUST** contain the Last flag in the **Flags** field.

If the Compressed flag is present in the **Flags** field, the content of the payload **MUST** be compressed by the client and **MUST** be uncompressed by the server before it can be interpreted. See section 3.1.7.2 for details about how to compress and uncompress payload data.

If the XorMagic flag is present in the **Flags** field, the content of the payload **MUST** be obfuscated by the client and **MUST** be reverted by the server before it can be interpreted. See section 3.1.7.3 for details about how to obfuscate and revert obfuscated payload data.

The payload is auxiliary information that can be passed from the client to the server. See section 3.1.8 for details about how to interpret this data.

#### 3.1.7.1.2.4 **rgbAuxOut**

The output buffer *rgbAuxOut* has the following format:

|                |         |
|----------------|---------|
| RPC_HEADER_EXT | Payload |
|----------------|---------|

The header **MUST** contain the Last flag in the **Flags** field.

If the Compressed flag is present in the **Flags** field, the content of the payload **MUST** be compressed by the server and **MUST** be uncompressed by the client before it can be interpreted. See section 3.1.7.2 for details about how to compress and uncompress payload data.

If the XorMagic flag is present in the **Flags** field, the content of the payload **MUST** be obfuscated by the server and **MUST** be reverted by the client before it can be interpreted. See section 3.1.7.3 for details about how to obfuscate and revert obfuscated payload data.

The payload is auxiliary information that can be passed from the server to the client. See section 3.1.8 for details about how to interpret this data.

### 3.1.7.2 Compression Algorithm

Based on flags that are passed in **RPC\_HEADER\_EXT** header of the extended buffer, the payload is compressed or decompressed by the server and client by using the LZ77 compression algorithm and the DIRECT2 encoding algorithm.

This section describes the compression algorithm LZ77 and the basic encoding algorithm DIRECT2 that are used by the Wire Format protocol.

#### 3.1.7.2.1 LZ77 Compression Algorithm

The compression algorithm is used to analyze input data and determine how to reduce the size of that input data by replacing redundant information with metadata. Sections of the data that are identical to sections of the data that have been encoded are replaced by small metadata that indicates how to expand those sections again. The encoding algorithm is used to take that combination of data and metadata and serialize it into a stream of bytes that can later be decoded and decompressed.

##### 3.1.7.2.1.1 Compression Algorithm Terminology

The following terms are associated with the compression algorithm.

**input stream:** The sequence of bytes to be compressed.

**byte:** The basic data element in the input stream.

**coding position:** The position of the byte in the input stream that is currently being coded (the beginning of the **lookahead buffer**).

**lookahead buffer:** The byte sequence from the coding position to the end of the **input stream**.

**window:** A buffer that indicates the number of bytes from the **coding position** backward. A **window** of size *W* contains the last *W* processed bytes.

**pointer:** Information about the beginning of the **match** in the window (referred to as "B" in the example later in this section) and also specifies its length (referred to as "L" in the example later in this section).

**match:** The string that is used to find a match of the byte sequence between the **lookahead buffer** and the **window**.

### 3.1.7.2.1.2 Using the Compression Algorithm

To use the LZ77 compression algorithm:

1. Set the **coding position** to the beginning of the **input stream**.
2. Find the longest **match** in the **window** for the **lookahead buffer**.
3. Output the P,C pair, where P is the **pointer** to the match in the window, and C is the first byte in the lookahead buffer that does not match.
4. If the lookahead buffer is not empty, move the coding position (and the window) L+1 bytes forward.
5. Return to step 2.

### 3.1.7.2.1.3 Compression Process

The compression algorithm searches the window for the longest **match** with the beginning of the **lookahead buffer** and then outputs a **pointer** to that match. Because even a 1-byte match might not be found, the output cannot contain only pointers. The compression algorithm solves this problem by outputting after the pointer the first byte in the lookahead buffer after the match. If no match is found, the algorithm outputs a null-pointer and the byte at the **coding position**.

### 3.1.7.2.1.4 Compression Process Example

The following table shows the **input stream** that is used for this compression example. The bytes in the input, "AABCBBABC," occupy the first nine positions of the stream.

#### Input stream

| Pos  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|------|---|---|---|---|---|---|---|---|---|
| Byte | A | A | B | C | B | B | A | B | C |

The following table shows the output from the compression process. The table includes the following columns:

**Step:** Indicates the number of the encoding step. A step in the table finishes every time that the encoding algorithm makes an output. With the compression algorithm, this process happens in each pass through step 3.

**Pos:** Indicates the **coding position**. The first byte in the input stream has the coding position 1.

**Match:** Shows the longest **match** found in the **window**.

**Byte:** Shows the first **byte** in the **lookahead buffer** after the match.

**Output:** Presents the output in the format (B,L)C, where (B,L) is the pointer (P) to the match. This gives the following instructions to the decoder: Go back B bytes in the window and copy L bytes to the output. C is the explicit byte.

Note: One or more pointers might be included before the explicit byte that is shown in the Byte column.

### Compression process output

| Step | Pos | Match | Byte | Output |
|------|-----|-------|------|--------|
| 1.   | 1   | --    | A    | (0,0)A |
| 2.   | 2   | A     | B    | (1,1)B |
| 3.   | 4   | --    | C    | (0,0)C |
| 4.   | 5   | B     | B    | (2,1)B |
| 5.   | 7   | A B   | C    | (5,2)C |

The result of compression, conceptually, is the output column – that is, a series of bytes and optional metadata that indicates whether that byte is preceded by some sequence of bytes that is already in the output.

Because representing the metadata itself requires bytes in the output stream, it is inefficient to represent a single byte that has previously been encoded by two bytes of metadata (offset and length). The overhead of the metadata bytes equals or exceeds the cost of outputting the bytes directly. Therefore, the Office Exchange Protocol only considers sequences of bytes to be a match if the sequences have three or more bytes in common.

#### 3.1.7.2.2 *DIRECT2 Encoding Algorithm*

The basic notion of the DIRECT2 encoding algorithm is that data appears unchanged in the compressed representation (it is not recommended to try to further compress the data by, for example, applying Huffman compression to that payload), and metadata is encoded in the same output stream, and in line with, the data.

The key to decoding the compressed data is recognizing what **bytes** are metadata and what bytes are data. The decoder **MUST** be able to identify the presence of metadata in the compressed and encoded data stream. Bitmasks are inserted periodically in the byte stream to provide this information to the decoder.

This section describes the bitmasks that enable the decoder to distinguish data from metadata. It also describes the process of encoding the metadata.

#### 3.1.7.2.2.1 Bitmask

To distinguish data from metadata in the compressed byte stream, the data stream begins with a 4-**byte** bitmask that indicates to the decoder whether the next byte to be processed is data ("0" value in the bit), or if the next byte (or series of bytes) is metadata ("1" value in the bit). If a "0" bit is encountered, the next byte in the **input stream** is the next byte in the output stream. If a "1" bit is encountered, the next byte or series of bytes is metadata that **MUST** be interpreted further.

For example, a bitmask of 0x01000000 indicates that the first seven bytes are actual data, followed by encoded metadata that starts at the eighth byte. The metadata is followed by 24 additional bytes of data.

When the bitmask has been consumed, the next four bytes in the input stream are another bitmask.

#### 3.1.7.2.2.2 Encoding Metadata

In the output stream, actual data **bytes** are stored unchanged. Bitmasks are stored periodically to indicate whether the next byte or bytes are data or metadata. If the next bit in the bitmask is "1," the next set of bytes in the input data stream is metadata. This metadata contains an offset back to the start of the data to be copied to the output stream, and the length of the data to be copied.

To represent the metadata as efficiently as possible, the encoding of that metadata is not fixed in length. The encoding algorithm supports the largest possible floating compression window to increase the probability of finding a large match; the larger the window, the greater the number of bytes that are needed for the offset. The encoding algorithm also supports the longest possible **match**; the longer the match length, the greater the number of bytes that are needed to encode the length.

#### 3.1.7.2.2.3 Metadata Offset

This protocol assumes the metadata is two **bytes** in length, where the high-order 13 bits are a first complement of the offset, and the low-order three bits are the length. The offset is only encoded with those 13 bits; this value cannot be extended and defines the maximum size of the compression floating window. For example, the metadata 0x0018 is converted into the offset b'000000000011', and the length b'000'. In integers, the offset is '-4', computed by inverting the offset bits, treating the result as a 2s complement, and converting to integer.

#### 3.1.7.2.2.4 Match Length

Unlike the metadata offset, the **match** length is extensible. If the length is less than 10 **bytes**, it is encoded in the three low-order bits of the 2-byte metadata. Although three bits seems to

allow for a maximum length of six (the value b'111' is reserved), because the minimum match is three bytes, these three bits actually allow for the expression of lengths from three to nine. The match length goes from  $L = b'000' + 3$  bytes, to  $L = b'110' + 3$  bytes. Because smaller lengths are much more common than the larger lengths, the algorithm tries to optimize for smaller lengths. To encode a length between three and nine, we use the three bits that are "in-line" in the 2-byte metadata.

If the length of the match is greater than nine bytes, an initial bit pattern of b'111' is put in the three bits. This does not signify a length of 10 bytes, but instead a length that is greater than 10, which is included in the high-order nibble of the following byte.

Every other time that the length is greater than nine, an additional byte follows the initial 2-byte metadata. The first time that the additional byte is included, the high-order nibble is used as the additive length. The next nibble is "reserved" for the next metadata instance when the length is greater than nine. Therefore, the first time that the decoder encounters a length that is greater than nine, it reads the next byte from the data stream and the high-order nibble is extracted and used to compute length for this metadata instance. The low-order nibble is remembered and used the next time that the decoder encounters a metadata length that is greater than nine. The third time that a length that is greater than nine is encountered, another extra byte is added after the 2-byte metadata, with the high-order nibble used for this length and the low-order nibble reserved for the fourth length that is greater than nine, and so on.

If the nibble from this "shared" byte is all 1s (for example, b'1111'), another byte is added after the shared byte to hold more length. In this manner, a length of 24 is encoded as follows:

b'111' (in the three bits in the original two bytes of metadata), plus

b'1110' (in the nibble of the 'shared' byte of extended length)

b'111' means 10 bytes plus b'1110', which is 14, which results in a total of 24.

If the length is more than 24, the next byte is also used in the length calculation. In this manner, a length of 25 is encoded as follows:

b'111' (in the three bits in the original two bytes of metadata), plus

b'1111' (in the nibble of the 'shared' byte of extended length), plus

b'00000000' (in the next byte)

This scheme is good for lengths of up to 278 (a length of 10 in the three bits in the original two bytes of metadata, plus a length of 15 in the nibble of the 'shared' byte of extended length, plus a length of up to 254 in the extra byte).



A "full" (all b'1') bit pattern (b'111', b'1111', and b'11111111') means that there is more length in the following two bytes.

The final two bytes of length differ from the length information that comes earlier in the metadata. For lengths that are equal to 280 or greater, the length is calculated only from these last two bytes, and is not added to the previous length bits. The value in the last two bytes, a 16-bit integer, is three less than the metadata length. These last two bytes allow for a **match** length of up to 32,768 bytes + 3 bytes (the minimum match length).

The following table summarizes the length representation in metadata.

Note: Length is computed from the bits that are included in the metadata plus the minimum match length of three.

### **Length representation in metadata**

| Match Length | Length Bits in the Metadata   |
|--------------|---|
| 24           | b'111' (three bits in the original two bytes of metadata)<br>+<br>b'1110' (in the high-order nibble of the shared byte)   |
| 25           | b'111' (three bits in the original two bytes of metadata)<br>+<br>b'1111' (in the high-order nibble of the shared byte)<br>+<br>b'00000000' (in the next byte)  |
| 26           | b'111' (three bits in the original two bytes of metadata)<br>+<br>b'1111' (in the high-order nibble of the shared byte)<br>+<br>b'00000001' (in the next byte)  |
| 279          | b'111' (three bits in the original two bytes of metadata)<br>+<br>b'1111' (in the high-order nibble of the shared byte)<br>+<br>b'11111110' (in the next byte)  |
| 280          | b'111' (three bits in the original two bytes of metadata)<br>b'1111' (in the high-order nibble of the shared byte)<br>b'11111111' (in the next byte)<br>0x0115 (in the next two bytes). These two bytes represent a length of 277 + 3 (minimum match length).<br><br>Note: All the length is included in the final two bytes and is not additive, as were the previous length calculations for lengths that are smaller than 280 bytes. |
| 281          | b'111' (three bits in the original two bytes of metadata)<br>b'1111' (in the high-order nibble of the shared byte)<br>b'11111111' (in the next byte)<br>0x0116 (in the next two bytes). This is 278 + 3 (minimum match length).<br><br>Note: All the length is included in the final two bytes and is not additive, as were the previous length calculations for lengths that are smaller than 280 bytes.                               |

A "full" bit pattern in that last half word does not mean that more metadata is coming after the last bytes.

The LZ77 compression algorithm produces a well-compressed encoding for small valued lengths, but as the length increases, the encoding becomes less well compressed. A match length of greater than 278 bytes requires a relatively large number of bits: 3+4+8+16. This includes three bits in the original two bytes of metadata, four bits in the nibble in the 'shared' byte, eight bits in the next byte, and 16 bits in the final two bytes of metadata.

### 3.1.7.3 Obfuscation Algorithm

Obfuscation is used to obscure any easily readable messaging data being transmitted between the client and server across the network. This is not intended as a security feature. If a client wants to have secure communications with the server, it **MUST** use **RPC**-level packet encryption.

The algorithm used to obscure data is straightforward and simple. Every **byte** of the data to be obfuscated **SHOULD** have XOR applied with the value 0xA5.

### 3.1.7.4 Extended Buffer Packing

As mentioned in section 3.1.7.1.2.2, the *rgbOut* field of method **EcDoRpcExt2** can contain more than one extended buffer, each with an **RPC\_HEADER\_EXT** header. This concept is called "packing". The server has the ability to "pack" additional response data into the *rgbOut* field based on whether the client has requested this functionality through passing flag Chain in the *pulFlags* field and whether the **remote operation (ROP)** in the *rgbIn* request buffer on the **EcDoRpcExt2** method support "packing". The ROP commands that support "packing" are **RopQueryRows**, **RopReadStream**, and **RopFastTransferSourceGetBuffer**. See [MS-OXCROPS] for details about these ROP commands.

When processing ROP requests, the server **MUST NOT** produce more than 32 KB worth of response data for all ROP requests. However, when the server finishes processing a **RopQueryRows**, **RopReadStream**, and **RopFastTransferSourceGetBuffer** from the *rgbIn* request buffer and it was the last ROP command in the request buffer and the client has requested "packing" through the Chain flag and there is residual room in the *rgbOut* response buffer, the server can add additional data to the *rgbOut* response buffer with its own **RPC\_HEADER\_EXT** header.

For the server to produce additional response data, it **MUST** build a response "as if" the client sent another request with only a **RopQueryRows**, **RopReadStream**, or **RopFastTransferSourceGetBuffer**. The additional response data is also limited to 32 KB in size. The additional ROP response is placed into the *rgbOut* buffer following the previous header and payload with its own **RPC\_HEADER\_EXT** header. The server can then compress and/or obfuscate this payload if the client requests and set the appropriate flags in the header indicating how the payload has been altered. If there is still more residual room in the *rgbOut*

buffer, the server can continue to produce more response data until there is not enough room in the *rgbOut* buffer to hold another response.

The server MUST stop adding additional "packed" buffers to the *rgbOut* response buffer if the residual size of the *rgbOut* response buffer is less than 8 KB for **RopReadStream** and **RopFastTransferSourceGetBuffer** and 32 KB for **RopQueryRows**. The server MUST NOT place more than 96 individual payloads into a single *rgbOut* response buffer.

When it adds additional response data, the server MUST alter the request to reflect what has already been done. For example, if the client requests to read 1,000 rows in **RopQueryRows** and the first payload contains 100 rows, the additional response data MUST be processed "as if" the client only request 900 rows. The server MUST NOT return more data to the client than the client originally requested.

For **RopQueryRows**, the server MUST adjust the row count when adding additional response data. For **RopReadStream**, the server MUST adjust the number of bytes to read when adding additional response data. There is no specific limit for **RopFastTransferSourceGetBuffer**, but the server MUST stop if no more data is indicated for the fast transfer stream. For **RopFastTransferSourceGetBuffer**, the client SHOULD request that the server return "as much" data as possible. See [MS-OXCROPS] for details about how to properly format **RopFastTransferSourceGetBuffer** in this way.

### 3.1.8 Auxiliary Buffer

Methods **EcDoConnectEx** and **EcDoRpcExt2** allow for additional data to travel between the client and server. This additional data is transferred in the auxiliary buffers of both methods. The *rgbAuxIn* is for auxiliary data being sent from the client to the server and *rgbAuxOut* is for auxiliary data being sent from the server to the client.

Unlike the **ROP** request and response payloads *rgbIn* and *rgbOut*, there is no request and response nature to the auxiliary buffers. The data sent to the server from the client in the auxiliary input buffer is purely informational and the server is not required to respond in the auxiliary output buffer. The data sent from the server to the client is also informational data that the client might use to alter its behavior against the server.

The data being transferred in the auxiliary buffers is divided into two different categories. The first is client-side performance information, which is statistical information the client can keep regarding its communication with the messaging server or the directory service. Part of this information is for when the client fails to communicate with the messaging server or the directory service. The client can then report this information to the server the next time it communicates. The server is free to analyze this information and provide feedback to help diagnose any potential networking or communications issues with the client/server messaging network infrastructure.

The second category of auxiliary information is server-to-client oriented and enables the server to tell the client about topology characteristics of the messaging system. The client **MAY** use this information to change how it interacts with the server.

All information in the auxiliary buffer **MUST** be added with an **AUX\_HEADER** preceding the actual auxiliary information. See section 2.2.2.2 for details about the **AUX\_HEADER** and how it is formatted. Within the **AUX\_HEADER** header the fields **Version** and **Type** combined determine which auxiliary block follows the header. Section 2.2.2.2 provides details about how to format the **AUX\_HEADER** header to indicate which auxiliary block follows.

If the client or server receives an auxiliary **AUX\_HEADER** block with a version and type it does not identify, it **MUST** skip over the entire block. The **AUX\_HEADER** contains the length of the **AUX\_HEADER** plus the following auxiliary block in the field **Size**, and so skipping the information can be done. The client or server **SHOULD NOT** throw an error if there is an auxiliary block that it does not identify. This will allow for future expansion to the auxiliary blocks without affecting legacy clients or servers.

### **3.1.8.1 Client Performance Monitoring**

The following are sent from the client to the server in the *rgbAuxIn* auxiliary buffer on method **EcDoConnectEx**. Each of these auxiliary blocks **MUST** be preceded by a properly formatted **AUX\_HEADER** header .

**Sent by client to server in EcDoConnectEx**

| Block   | Description  |
|---|--|
| <b>AUX_PERF_CLIENTINFO</b><br>(see section 2.2.2.6)     | Sent to the server as diagnostic information about the client for more robust reporting of networking issues. The client <b>MUST</b> assign a unique <i>ClientID</i> parameter for each <b>AUX_PERF_CLIENTINFO</b> block sent to the server. The <i>ClientID</i> is also used in other performance blocks to identify which client to associate the performance data with.   |
| <b>AUX_PERF_PROCESSINFO</b><br>(see section 2.2.2.8)    | Sent to the server as diagnostic information about the client process for more robust reporting of networking issues. The client <b>MUST</b> assign a unique <i>ProcessID</i> for each <b>AUX_PERF_PROCESSINFO</b> block sent to the server. The <i>ProcessID</i> is also used in other performance blocks to identify which client process to associate the performance data with.  |
| <b>AUX_PERF_SESSIONINFO</b><br>(see section 2.2.2.4)    | <p>Sent to the server as diagnostic information about the client session for more robust reporting of networking issues. The client <b>MUST</b> assign a unique <i>SessionID</i> for each <b>AUX_PERF_SESSIONINFO/</b><br/> <b>AUX_PERF_SESSIONINFO_V2</b> block sent to the server. The <i>SessionID</i> is also used in other performance blocks to identify which client session to associate the performance data with.</p> <p>If writing a client, it is recommended that <b>AUX_PERF_SESSIONINFO_V2</b> be used instead. A server <b>SHOULD</b> still support this older session information auxiliary block.</p> <p>This block can also be passed in the <b>EcDoRpcExt2</b> auxiliary input buffer.</p> |
| <b>AUX_PERF_SESSIONINFO_V2</b><br>(see section 2.2.2.5) | Sent to the server as diagnostic information about the client session for more robust reporting of networking issues. The client <b>MUST</b> assign a unique <i>SessionID</i> for each <b>AUX_PERF_SESSIONINFO_V2/</b><br><b>AUX_PERF_SESSIONINFO</b> block sent to the server. The <i>SessionID</i> is also used in other performance blocks to identify which client session to associate the performance data with.   |

| Block | Description   |
|-------|---|
|       | This block can also be passed in the <b>EcDoRpcExt2</b> auxiliary input buffer. |

The following are sent from the client to the server in the *rgbAuxIn* auxiliary buffer on method **EcDoRpcExt2**. Each of these auxiliary blocks **MUST** be preceded by a properly formatted **AUX\_HEADER** header (see section 2.2.2.2).

**Sent by client to server in EcDoRpcExt2**

| Block   | Description   |
|---|---|
| <p><b>AUX_PERF_SESSIONINFO</b><br/>(see section 2.2.2.4)</p>    | <p>Sent to the server as diagnostic information about the client session for more robust reporting of networking issues. The client <b>MUST</b> assign a unique <i>SessionID</i> for each <b>AUX_PERF_SESSIONINFO/</b><br/><b>AUX_PERF_SESSIONINFO_V2</b> block sent to the server. The <i>SessionID</i> is also used in other performance blocks to identify which client session to associate the performance data with.</p> <p>If writing a client, it is recommended that <b>AUX_PERF_SESSIONINFO_V2</b> be used instead. A server <b>SHOULD</b> still support this older session information auxiliary block.</p> <p>This block can also be passed in the <b>EcDoConnectEx</b> auxiliary input buffer.</p> |
| <p><b>AUX_PERF_SESSIONINFO_V2</b><br/>(see section 2.2.2.5)</p> | <p>Sent to the server as diagnostic information about the client session for more robust reporting of networking issues. The client <b>MUST</b> assign a unique <i>SessionID</i> for each <b>AUX_PERF_SESSIONINFO_V2/</b><br/><b>AUX_PERF_SESSIONINFO</b> block sent to the server. The <i>SessionID</i> is also used in other performance blocks to identify which client session to associate the performance data with.</p> <p>This block can also be passed in the <b>EcDoConnectEx</b> auxiliary input buffer.</p>   |
| <p><b>AUX_PERF_SERVERINFO</b><br/>(see section 2.2.2.7)</p>     | <p>Sent to the server as diagnostic information about the server that the client is communicating with for more robust reporting of networking issues. The client <b>MUST</b> assign a unique <i>ServerID</i> for each <b>AUX_PERF_SERVERINFO</b> block sent to the server. The <i>ServerID</i> is also used in other performance blocks to identify which server a client is communicating with to associate the performance data.</p>   |
| <p><b>AUX_PERF_REQUESTID</b></p>                                | <p>Sent to the server as diagnostic information about a</p>   |



| Block   | Description   |
|---|---|
| (see section 2.2.2.3)                                   | <p>particular request for more robust reporting of networking issues. The client <b>MUST</b> assign a unique <i>RequestID</i> for each <b>AUX_PERF_REQUESTINFO</b> block sent to the server. The <i>RequestID</i> is also used in other performance blocks to identify which request to associate the performance data with.</p> <p>This block requires an <b>AUX_PERF_SESSIONINFO</b> or <b>AUX_PERF_SESSIONINFO_V2</b> to have been previously sent to the server for the <b>SessionID</b> field within this block.</p> |
| <b>AUX_PERF_DEFMDB_SUCCESS</b><br>(see section 2.2.2.9) | <p>Sent to the server as diagnostic information to report a previously successful <b>RPC</b> call to the messaging server.</p> <p>This block requires an <b>AUX_PERF_REQUESTID</b> to have been previously sent to the server for the <b>RequestID</b> field within this block.</p>   |
| <b>AUX_PERF_DEFGC_SUCCESS</b><br>(see section 2.2.2.10) | <p>Sent to the server as diagnostic information to report a previously successful call to the Active Directory directory service.</p> <p>This block requires an <b>AUX_PERF_SERVERINFO</b> and <b>AUX_PERF_SESSIONINFO/AUX_PERF_SESSIONINFO_V2</b> to have been previously sent to the server for the <b>ServerID</b> and <b>SessionID</b> fields within this block.</p>  |
| <b>AUX_PERF_MDB_SUCCESS</b><br>(see section 2.2.2.11)   | <p>Sent to the server as diagnostic information to report a previously successful RPC call to the messaging server.</p> <p>This block requires an <b>AUX_PERF_REQUESTID</b>, <b>AUX_PERF_CLIENTINFO</b>, <b>AUX_PERF_SERVERINFO</b>, and</p>  |

| Block  | Description   |
|--|---|
|  | <p><b>AUX_PERF_SESSIONINFO/</b><br/><b>AUX_PERF_SESSIONINFO_V2</b> to have been previously sent to the server for the <b>RequestID</b>, <b>ClientID</b>, <b>ServerID</b>, and <b>SessionID</b> fields within this block.</p> <p>If writing a client, it is recommended that <b>AUX_PERF_MDB_SUCCESS_V2</b> be used instead. A server SHOULD still support this older session information auxiliary block.</p>   |
| <p><b>AUX_PERF_MDB_SUCCESS_V2</b><br/>(see section 2.2.2.12)</p> | <p>Sent to the server as diagnostic information to report a previously successful RPC call to the messaging server.</p> <p>This block requires an <b>AUX_PERF_REQUESTID</b>, <b>AUX_PERF_PROCESSINFO</b>, <b>AUX_PERF_CLIENTINFO</b>, <b>AUX_PERF_SERVERINFO</b>, and <b>AUX_PERF_SESSIONINFO/</b><br/><b>AUX_PERF_SESSIONINFO_V2</b> to have been previously sent to the server for the <b>RequestID</b>, <b>ProcessID</b>, <b>ClientID</b>, <b>ServerID</b>, and <b>SessionID</b> fields within this block.</p>   |
| <p><b>AUX_PERF_GC_SUCCESS</b><br/>(see section 2.2.2.13)</p>     | <p>Sent to the server as diagnostic information to report a previously successful call to the directory service.</p> <p>This block requires an <b>AUX_PERF_CLIENTINFO</b>, <b>AUX_PERF_SERVERINFO</b>, and <b>AUX_PERF_SESSIONINFO/</b><br/><b>AUX_PERF_SESSIONINFO_V2</b> to have been previously sent to the server for the <b>ClientID</b>, <b>ServerID</b>, and <b>SessionID</b> fields within this block.</p> <p>If writing a client, it is recommended that <b>AUX_PERF_GC_SUCCESS_V2</b> be used instead. A server SHOULD still support this older</p> |

| Block   | Description  |
|---|--|
|   | session information auxiliary block.   |
| <b>AUX_PERF_GC_SUCCESS_V2</b><br>(see section 2.2.2.14) | <p>Sent to the server as diagnostic information to report a previously successful call to the directory service.</p> <p>This block requires an <b>AUX_PERF_PROCESSINFO</b>, <b>AUX_PERF_CLIENTINFO</b>, <b>AUX_PERF_SERVERINFO</b>, and <b>AUX_PERF_SESSIONINFO/AUX_PERF_SESSIONINFO_V2</b> to have been previously sent to the server for the <b>ProcessID</b>, <b>ClientID</b>, <b>ServerID</b>, and <b>SessionID</b> fields within this block.</p>  |
| <b>AUX_PERF_FAILURE</b><br>(see section 2.2.2.15)       | <p>Sent to the server as diagnostic information to report a previously FAILED call to the messaging server or the directory service.</p> <p>This block requires an <b>AUX_PERF_REQUESTID</b>, <b>AUX_PERF_CLIENTINFO</b>, <b>AUX_PERF_SERVERINFO</b>, and <b>AUX_PERF_SESSIONINFO/AUX_PERF_SESSIONINFO_V2</b> to have been previously sent to the server for the <b>RequestID</b>, <b>ClientID</b>, <b>ServerID</b>, and <b>SessionID</b> fields within this block.</p> <p>If writing a client, it is recommended that <b>AUX_PERF_FAILURE_V2</b> be used instead. A server SHOULD still support this older session information auxiliary block.</p> |
| <b>AUX_PERF_FAILURE_V2</b><br>(see section 2.2.2.16)    | <p>Sent to the server as diagnostic information to report a previously FAILED call to the messaging server or the directory service.</p> <p>This block requires an <b>AUX_PERF_REQUESTID</b>, <b>AUX_PERF_PROCESSINFO</b>,</p>   |

| Block | Description  |
|-------|--|
|       | <b>AUX_PERF_CLIENTINFO</b> ,<br><b>AUX_PERF_SERVERINFO</b> , and<br><b>AUX_PERF_SESSIONINFO</b> /<br><b>AUX_PERF_SESSIONINFO_V2</b> to have been<br>previously sent to the server for the <b>RequestID</b> ,<br><b>ProcessID</b> , <b>ClientID</b> , <b>ServerID</b> , and <b>SessionID</b><br>fields within this block. |

### 3.1.8.2 Server Topology Information

The following are sent from the server to the client in the *rgbAuxOut* auxiliary buffer on method **EcDoConnectEx**. Each of these auxiliary blocks MUST be preceded by a properly formatted **AUX\_HEADER** header (see section 2.2.2.2).

**Sent by server to client in EcDoConnectEx**

| Block   | Description  |
|---|--|
| <p><b>AUX_CLIENT_CONTROL</b><br/>(see section 2.2.2.17)</p> | <p>Sent to the client to request a change in client behavior. This is a means for the server to dynamically change client behavior. See section 2.2.2.17 for details about what client behavior the server can adjust.</p> <p>The client SHOULD alter its behavior based on this request.</p>  |
| <p><b>AUX_OSVERSIONINFO</b><br/>(see section 2.2.2.18)</p>  | <p>Sent to the client as informational data to help the client decide whether it needs to alter its behavior against the server. The data provided to the client is the servers operating system version and operating system service pack information.</p>  |
| <p><b>AUX_EXORGINFO</b><br/>(see section 2.2.2.19)</p>      | <p>Sent to the client as informational data to help the client decide whether it needs to alter its behavior against the server. The data provided informs the client of the presence of public folders within the organization.</p> <p>A client MUST NOT try to open a public store if the server informs the client that it is not present or disabled. If this block is not returned to the client, the client SHOULD assume that public folders are available within the organization.</p> |

The following are sent from the server to the client in the *rgbAuxOut* auxiliary buffer on method **EcDoRpcExt2**. Each of these auxiliary blocks MUST be preceded by a properly formatted **AUX\_HEADER** header (see section 2.2.2.2).

**Sent by server to client in EcDoRpcExt2**

| Block   | Description   |
|---|---|
| <b>AUX_CLIENT_CONTROL</b><br>(see section 2.2.2.17) | Sent to the client to request a change in client behavior. This is a means for the server to dynamically change client behavior. See section 2.2.2.17 for details about what client behavior the server can adjust.<br>The client <b>SHOULD</b> alter its behavior based on this request. |

### 3.1.9 Version Checking

In the method **EcDoConnectEx**, the client passes the client version to the server. In response, the server returns its version to the client. The server version information indicates to the client what functionality is supported on the server. The client version information indicates to the server what functionality the client supports.

Sometimes the functionality represents a change in the protocol wire format. This section describes the following:

- How version numbers are compared.
- Specific server versions and their associated functionality.
- Specific client versions and their associated functionality.

#### 3.1.9.1 Version Number Comparison

On the wire, client and server versions numbers are passed as three **WORD** values. See section 3.1.4.11 for details about the **EcDoConnectEx** method. In this method, the fields **rgwClientVersion**, **rgwServerVersion**, and **rgwBestVersion** are all passed as three **WORD** values. However, manipulation **MUST** be performed before the numbers can be compared.

Because versions that are passed on the wire were historically represented as only three numbers, the version number was expressed as "XX.XXXX.XXX." The first number represented the product major version. The second number was the build major number. The third number was the build minor number. However, this representation prevented the inclusion of a required fourth number, the product minor number, which is used when shipping service packs.

Microsoft changed the versioning to be represented as "XX.XX.XXXX.XXX." For example, "08.01.0215.000" represents a specific build of Exchange 2007 with Service Pack 1 applied. The first number is the product major version. The second number is the product minor

version. The third number is the build major number. The fourth number is the build minor number.

However, the version size on the wire did not change: it is still represented as three WORD values. A scheme was devised that converts from the three WORD on-the-wire-format of the version into a four-number version. This is referred to as version number normalization.

All versions are converted into four-number versions before any version checks are performed. The following pseudo-code example describes a function that converts the three WORD value wire version format into a four-number format that can then be used for version comparisons.

```
// This routine converts a three WORD version value into a normalized
// four WORD version value.
//
// Version[] is an array of 3 WORD values on the wire.
// NormalizedVersion[] is an array of 4 WORD values for comparison.
//
```

```
IF high-bit of Version[1] is set THEN
    SET NormalizedVersion[0] to high-byte of Version[0]
    SET NormalizedVersion[1] to low-byte of Version[0]
    SET NormalizedVersion[2] to Version[1] with high-bit cleared
    SET NormalizedVersion[3] to Version[2]

ELSE
    SET NormalizedVersion[0] to Version[0]
    SET NormalizedVersion[1] to 0
    SET NormalizedVersion[2] to Version[1]
    SET NormalizedVersion[3] to Version[2]
ENDIF
```

The first WORD is divided into two BYTE values, one being the product major version and the other being the product minor version. On the wire, the client and server need to know whether the version that is being passed is in the old scheme or the new scheme. If the highest

bit of the second WORD value on the wire is set, the version on the wire is in the new scheme. Otherwise, it is interpreted as the old scheme where the product minor version is not sent.

### 3.1.9.2 Server Versions

The following table shows server version values that are returned to the client on the **EcDoConnectEx** method call. The client can assume that the described functionality exists if the version number that is passed in the **RPC** buffer is equal to or greater than the server version number in which the functionality was added, as shown in the table.

| Server version | Description   |
|----------------|---|
| 6.0.6755.0     | The server supports passing the sentinel value 0xBABE in the <b>BufferSize</b> field of a <b>RopFastTransferSourceGetBuffer</b> request. For details, see [MS-OXCROPS]. |
| 8.0.295.0      | The server supports passing the sentinel value 0xBABE in the <b>ByteCount</b> field of a <b>RopReadStream</b> request. For details, see [MS-OXCROPS].                   |
| 8.0.324.0      | The server supports the flag <b>CLI_WITH_PER_MDB_FIX</b> in the <b>OpenFlags</b> field of a <b>RopLogon</b> request. For details, see [MS-OXCROPS] and [MS-OXCSTOR].    |
| 8.0.358.0      | The server supports the <b>EcDoAsyncConnectEx</b> and <b>EcDoAsyncWaitEx</b> RPC function calls.  |

A server implementation needs to determine which level of support it will offer clients. Based on this level of support, it **MUST** return a server version that corresponds to that support. A server cannot mix and match functionality. To support functionality at one server version level, the server **MUST** support all functionality from previous server version levels.

### 3.1.9.3 Client Versions

The following table shows client versions that are passed to the server on the **EcDoConnectEx** method call, where the client can expect the server behavior to change if the version that is transferred on the wire is equal to or greater than client version numbers as listed in the table.



| Client version  | Description   |
|-----------------|---|
| 11.0.0.0        | The client supports receiving UNICODE strings for all string properties on Recipient Row data that is returned from the server on <b>RopReadRecipients</b> , <b>RopOpenMessage</b> , and <b>RopOpenEmbeddedMessage</b> . For details, see [MS-OXCROPS].   |
| 11.00.0000.4920 | The client supports receiving ecServerBusy in the <b>ReturnValue</b> field of the <b>RopFastTransferSourceGetBuffer</b> response. The client also assumes that the <b>BackoffTime</b> field will be present when the ReturnValue is ecServerBusy. If ReturnValue is not ecServerBusy, the <b>BackoffTime</b> field is not present. For details, see [MS-OXCROPS] and [MS-OXCFXICS]. |
| 12.00.0000.000  | The client supports receiving the errors ecCachedModeRequired, ecRpcHttpDisallowed, and ecProtocolDisabled on the <b>EcDoConnectEx</b> call; otherwise, the client will get back ecClientVerDisallowed instead.   |
| 12.00.3118.000  | The client supports receiving an <b>AUX_EXORGINFO</b> block in the <i>rgbAuxOut</i> buffer on the <b>EcDoConnectEx</b> call.  |
| 12.00.3619.000  | The client supports receiving the errors ecNotEncrypted on the <b>EcDoConnectEx</b> call; otherwise, the client will get back ecClientVerDisallowed. This error is returned when the server is configured to only allow encrypted connections and the client is trying to connect on a nonencrypted connection.   |
| 12.00.3730.000  | The client supports send optimization for <b>Incremental Change Synchronization (ICS)</b> using <b>PidTagTargetEntryId</b> . See [MS-OXCFXICS] for more details.  |
| 12.00.4207.000  | The client supports "packing" of <b>RopReadStream</b> in the <b>ROP response buffer</b> of the <b>EcDoRpcExt2</b> RPC call. The <b>RopReadStream</b> MUST be the last <b>ROP</b> in the request buffer on the <b>EcDoRpcExt2</b> call. See  |

| Client version  | Description   |
|-----------------|---|
|                 | section 3.1.7.4 for details about extended buffer "packing".  |
| 12.00.4228.0000 | The client supports receiving <b>RopBackoff</b> in the ROP response buffer of the <b>EcDoRpcExt2</b> call. For details, see [MS-OXCROPS]. |

A client implementation needs to determine which level of support it will offer servers. Based on this level of support, it **MUST** pass a client version that corresponds to that support. A client cannot mix and match functionality. To support functionality at one client version level, it **MUST** support all functionality from previous client version levels.

## 3.2 *EMSMDB Client Details*

### 3.2.1 Abstract Data Model

For some functionality on the **EMSMDB** interface, it is required that the client store a **Session Context Handle (CXH)** and use it on subsequent interface calls that require a CXH context handle.

### 3.2.2 Timers

No protocol timers are required beyond the internal timers that are used in **RPC** to implement resiliency to network outages. For details, see [MS-RPCE].

### 3.2.3 Initialization

The client creates an **RPC** connection to the remote server using the details described in section 2.1.

Establishing a connection with the server requires authentication. The RPC binding handle **MUST** have an authentication method defined.

### 3.2.4 Message Processing Events and Sequencing Rules

This protocol **MUST** indicate to the **RPC** runtime that it is to perform a strict **NDR** data consistency check at target level 5.0, as specified in section 3 of [MS-RPCE].

Upon the completion of the RPC method, the client returns the result unmodified to the higher layer. Some method calls require an RPC context handle, which is created in another method call. For details about method dependencies, see section 3.

### 3.2.4.1 Sending EcDoConnectEx

When issuing the interface call **EcDoConnectEx**, some parameters need additional client-side consideration beyond what is stated in section 3.1.4.11. The following is a list of parameters for which the client SHOULD have specific handling:

**hBinding:** A valid **RPC** binding handle that **MUST** have a server name, protocol sequence, and authentication method defined. Some protocol sequences have named endpoints that **MUST** be used. See section 2.1 for details about how to create a binding handle.

**pcxh:** On success, this field will contain the **Session Context Handle (CXH)**. The CXH **MUST** be stored on the client and used in subsequent calls on the **EMSMDB** interface that require a valid CXH.

**ulConMod:** The connection modulus hash is determined by the client for a connection. How the client determines the hash value is not important. The client SHOULD ensure that for a particular distinguished name passed in field **szUserDN**, the hash value SHOULD always be the same. It is acceptable to have the same hash value for different distinguished names. The client is free to send any 32-bit value.

**cbLimit:** A client **MUST** pass a value of 0x00000000.

**ulIcxrLink:** This value is used to link the **Session Context** that is created by this call with an existing Session Context on the server that was created by a previous call to **EcDoConnectEx**.

A client **MAY** want to link two Session Contexts for the following reasons:

1. To consume a single **Client Access License (CAL)** for all the connections made from a single client computer. This gives a client the ability to open multiple independent connections using more than one Session Context on the server, but be seen to the server as only consuming a single CAL.
2. To get pending notification information for other sessions on the same client computer. See **RopPending** in [MS-OXCNOTIF] for details.

If a client does not want to link two Session Contexts or if this is the first call to **EcDoConnectEx**, the client **MUST** pass a value of 0xFFFFFFFF.

Note that the *ulIcxrLink* parameter is defined as a 32-bit value. Other than passing 0xFFFFFFFF for no Session Context link, the client SHOULD only pass a value with the high-order 16-bits set to zero and the low-order 16-bits **MUST** be the value returned in field *piCxr* from a previous **EcDoConnectEx** call.

**usFCanConvertCodePages:** The client MUST pass a value of value 0x01.

**pcmsPollsMax:** On success, this value is the number of milliseconds the client SHOULD wait before polling the server for notification information. Other more dynamic options are available to the client for receiving notifications from the server. See [MS-OXCNOTIF] for details about working with Notifications. The client SHOULD save this value and associate it with the CXH.

**pcRetry:** On success, this value is the number of times the client SHOULD retry a subsequent **EMSMDB** method call that uses the CXH that is returned in field *pcxh*. See section 3.2.4.3 for details about retrying RPC calls. This value SHOULD be saved and associated with the CXH.

**pcmsRetryDelay:** On success, this value is the number of milliseconds a client SHOULD wait before retrying a subsequent **EMSMDB** method call that uses the CXH that is returned in field *pcxh*. See section 3.2.4.3 for details about retrying RPC calls. This value SHOULD be saved and associated with the CXH.

**piCxr:** On success, this value is a 16-bit session index that can be used in conjunction with the value returned in *pulTimeStamp* to link two Session Contexts on the server. See field *ullcxrLink* for details about how to link Session Contexts and the reason why a client might want to do so.

This value SHOULD be saved and associated with the CXH. It is the session index returned in a **RopPending** response command on calls to **EcDoRpcExt2**. The **RopPending** response command tells the client that a Session Context on the server has pending notifications. If a client links Session Contexts, a **RopPending** can be returned for any linked Session Context. See [MS-OXCROPS] and [MS-OXCNOTIF] for details about **RopPending**.

**rgwClientVersion:** The client MUST pass the version number of the highest client protocol version it supports. This value will provide information to the server about the protocol functionality that the client supports. For details about how version numbers are interpreted from the wire data and the expected client behavior, see section 3.1.9.

**rgwServerVersion:** On success, this value is the server protocol version that the client SHOULD use to determine what protocol functionality the server supports. For details about how version numbers are interpreted from the wire data and the expected server behavior, see section 3.1.9. This value SHOULD be saved and associated with the CXH.

**pulTimeStamp:** If a client wants to link the Session Context that is created by this call to a previously created Session Context, the client MUST pass on input the session creation time stamp returned in *pulTimeStamp* on a previous **EcDoConnectEx** call. If the client does not want to link Session Contexts, the client SHOULD pass value 0x00000000.

On success, this value is the Session Context creation time stamp. The server SHOULD save the Session Context creation time stamp and associate it with the CXH.

### 3.2.4.2 Sending EcDoRpcExt2

When issuing the interface call **EcDoRpcExt2** some parameters need additional client-side consideration beyond what is stated in section 3.1.4.12. The following is a parameter for which the client SHOULD have specific handling:

**pcxh**: The client MUST pass a valid **Session Context Handle (CXH)** that was created by calling **EcDoConnectEx**. On output, the server might have prematurely closed the client's session by clearing the CXH to zero. If the value on output is zero, the **Session Context** on the server has been destroyed.

### 3.2.4.3 Handling Server Too Busy

All method calls that require a valid **Session Context Handle (CXH)** SHOULD be retried if the call fails with **RPC** status **RPC\_S\_SERVER\_TOO\_BUSY**. The number of times the client SHOULD retry and the amount of time the client SHOULD wait before retrying is based on fields *pcRetry* and *pcmsRetryDelay* returned on **EcDoConnectEx**. **EcDoConnectEx** is the only method that creates a CXH, so it is a prerequisite for any method that requires a CXH.

### 3.2.4.4 Handling Connection Failures

If the client's connection to the server fails or if the server prematurely disconnects a client by clearing the **Session Context Handle (CXH)** in the response to an **EMSMDB** method call, the client SHOULD clean up any saved session state information and close the CXH if it is not already set to zero. The binding handle of the session SHOULD also be closed.

A client might chose to reconnect to the server automatically by creating a new binding handle and calling **EcDoConnectEx**. This will create a new **Session Context** on the server. Note that all **Server objects** previously opened on the server will no longer exist and the client MUST issue **ROP** commands if the client wants to recreate or reopen the **Server objects**.

### 3.2.5 Timer Events

None.

### 3.2.6 Other Local Events

None.

## 3.3 AsyncEMSMDB Server Details

The server responds to messages it receives from the client.

### 3.3.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

The abstract data model for this interface is the same as that for the **EMSMDB** interface. See section 3.1.1 for details about Session Context and **Session Context Handles (CXHs)**.

Some methods on this interface require Session Context information to be stored on the server and used across multiple interface calls for a long duration of time. For these method calls, this protocol is stateful. The server **MUST** store this Session Context information and provide a CXH to the client to make subsequent interface calls using this same Session Context information.

The **AsyncEMSMDB** uses **Asynchronous Context Handles (ACXH)**, which are **RPC** context handles. Every ACXH **MUST** map to the **Session Context** that is associated with a CXH. There **SHOULD** only be one ACXH for a Session Context.

All methods on the **AsyncEMSMDB** interface that use an ACXH **MUST** be performed against the Session Context that is associated with the ACXH.

The server **MUST** keep a mapping between the ACXH and an active Session Context on the server. Session Contexts can be created and destroyed through the **EMSMDB** interface.

When the Session Context is destroyed or the client connection is lost, the ACXH **MUST** also be destroyed.

### 3.3.2 Timers

None.

### 3.3.3 Initialization

The server first **MUST** register the different protocol sequences that will allow clients to communicate with the server. This is done by calling **RPC** function **RpcServerUseProtseqEp**. See [MS-RPCE] for details about this function and protocol sequences. The supported protocol sequences are specified in section 2.1. Note that some protocol sequences use named **endpoints**, which are also specified in section 2.1.

The server then **MUST** register the different authentication methods that are allowed on the **AsyncEMSMDB** interface. This is done by calling **RPC** function **RpcServerRegisterAuthInfo**. See [MS-RPCE] for details about this function and authentication methods.

The server then MUST start listening for RPC calls by calling RPC function **RpcServerListen**. See [MS-RPCE] for details about this function.

The server then MUST start register the **AsyncEMSMDB** interface. This is done by calling RPC function **RpcServerRegisterIfEx**. See [MS-RPCE] for details about this function.

The last step is to register the **AsyncEMSMDB** interface to all the registered binding handles created previously in calls to **RpcServerUseProtseq** or **RpcServerUseProtseqEp**. This is done by first acquiring all the binding handle information through RPC function **RpcServerInqBindings**, and then calling RPC function **RpcEpRegister** with the binding information. See [MS-RPCE] for details about these functions.

### 3.3.4 Message Processing Events and Sequencing Rules

This protocol MUST indicate to the RPC runtime that it is to perform a strict **NDR** data consistency check at target level 5.0, as specified in [MS-RPCE] Section 3.

This interface includes the following method:

| Method                 | Opnum | Description   |
|------------------------|-------|---|
| <b>EcDoAsyncWaitEx</b> | 0     | Asynchronous call that the server will not complete until there are pending events on the <b>Session Context</b> . The method requires an active <b>Asynchronous Context Handle (ACXH)</b> returned from <b>EcDoAsyncConnectEx</b> on interface <b>EMSMDB</b> . |

#### 3.3.4.1 EcDoAsyncWaitEx (opnum 0)

The method **EcDoAsyncWaitEx** is an asynchronous call that the server will not complete until there are pending events on the **Session Context** up to a five minute duration. If no events are available within five minutes, the server will return the call and will not set the NotificationPending flag in the *pulFlagsOut* field. If an event is pending, the server will complete the call immediately and return the NotificationPending flag in the *pulFlagsOut* field. This call requires an active **Asynchronous Context Handle (ACXH)** returned from **EcDoAsyncConnectEx** on interface **EMSMDB**. The ACXH is associated with the Session Context.

This method is part of Notification handling. See [MS-OXCNOTIF] for details about notifications.

```

long __stdcall EcDoAsyncWaitEx(
    [in] ACXH acxh,
    [in] unsigned long ulFlagsIn,
    [out] unsigned long *pulFlagsOut
);

```

**acxh:** On input, the client **MUST** pass a valid ACXH that was created by calling **EcDoAsyncConnectEx** on interface **EMSMDB**. The server uses the ACXH to identify the Session Context to use for this call.

**ulFlagsIn:** Unused. Reserved for future use. Client **MUST** pass a value of 0x00000000.

**pulFlagsOut:** Output flags for the client.

| Flag                | Value      | Description   |
|---------------------|------------|---|
| NotificationPending | 0x00000001 | Signals that events are pending for the client on the Session Context on the server. The client <b>SHOULD</b> call <b>EcDoRpcExt2</b> with an empty <b>remote operation (ROP) request buffer</b> . The server will return the event details in the <b>ROP response buffer</b> . |

### 3.3.5 Timer Events

None.

### 3.3.6 Other Local Events

None.

## 3.4 AsyncEMSMDB Client Details

### 3.4.1 Abstract Data Model

For some functionality on the **AsyncEMSMDB** interface, it is required that the client store an **Asynchronous Context Handle (ACXH)** and use it on subsequent interface calls that require an ACXH.



### 3.4.2 Timers

No protocol timers are required beyond those internal timers used in **RPC** to implement resiliency to network outages. For details, see [MS-RPCE].

### 3.4.3 Initialization

This interface can only be used after first obtaining an **Asynchronous Context Handle (ACXH)** from the method **EcDoAsyncConnectEx** from interface **EMSMDB**.

### 3.4.4 Message Processing Events and Sequencing Rules

This protocol **MUST** indicate to the **RPC** runtime that it is to perform a strict **NDR** data consistency check at target level 5.0, as specified in [MS-RPCE] section 3.

Upon the completion of the **RPC** method, the client returns the result unmodified to the higher layer. Some method calls require an **RPC** context handle, which is created in another method call. For details about method dependencies, see section 3.

### 3.4.5 Timer Events

None.

### 3.4.6 Other Local Events

None.

## 4 Protocol Examples

The following are examples of how a client and server use this protocol connection, submit **ROP** commands, and disconnect.

### 4.1 *Client Connecting to Server*

1. Client creates an **RPC** binding handle to the server with the "ncacn\_ip\_tcp" protocol sequence and the **RPC\_C\_AUTHN\_WINNT** authentication method.
2. Client makes **EMSMDB** interface method call **EcDoConnectEx** with the following parameters to establish a **Session Context** with the server:

**hBinding:** Binding handle created in step 1.

**pcxh:** Pointer to **CXH** to hold output value. Client should initialize **CXH** to zero.

**szUserDN:** User's **distinguished name**. String that contains the distinguished named of the user who is making the **EcDoConnectEx** call in a directory service.

Value: "/o=Microsoft/ou=First Administrative Group/cn=Recipients/cn=janedow".

**ulFlags:** Value 0x00000000. Regular user access.

**ulConMod:** Value 0x00340567. Client computed hash on *szUserDN* value.

**cbLimit:** Value 0x00000000.

**ulCpid:** Value 0x000004E4. Code page 1252.

**ulLcidString:** Value 0x00000409. Locale 1033 "en-us".

**ulLcidSort:** Value 0x00000409. Locale 1033 "en-us".

**ulCxrLink:** Value 0xFFFFFFFF. No session link.

**usFCanConvertCodePages:** Value 0x01.

**rgwClientVersion:** Pointer to unsigned short array containing values: 0x000C, 0x183E, and 0x03E8. Client supports protocol client version 12.6206.1000.

**pulTimeStamp:** Pointer to unsigned long value 0x00000000.

**rgbAuxIn:** Null pointer value.

**cbAuxIn:** Value 0x00000000.

**rgbAuxOut:** Pointer to buffer of size 0x1008.

**pcbAuxOut:** Pointer to unsigned long value 0x00001008.

3. Server processes **EcDoConnectEx** request. Verifies that authentication context associated with *hBinding* handle has ownership privileges to a directory service object that contains a distinguished name in field *szUserDN*. Server creates Session Context and assigns a CXH (using 0x00001234 for this example). Server returns the following output values:

**pcxh:** Value at CXH pointer is 0x00001234. Note that the actual RPC context handle returned to the client in this field might not be what the server returned. The RPC layer on the server and client might map the context handle. The context handle returned to the client is guaranteed to be unique and will map back to the server assigned context handle if used on subsequent calls to the server.

**pcmsPollsMax:** Value at unsigned long pointer is 0x0000EA60. Client should poll every 60 seconds.

**pcRetry:** Value at unsigned long pointer is 0x00000006. Client should retry six times.

**pcmsRetryDelay:** Value at unsigned long pointer is 0x00001770. Client should wait 10 seconds between retries.

**picxr:** Value at unsigned short pointer is a server assigned session index with value 0x0304.

**szDNPrefix:** Value at unsigned char pointer is a pointer to a null-terminated ANSI string with value "/o=Microsoft/ou=First Administrative Group/cn=Configuration/cn=Servers/cn=MBX-SRV-02". Client must free RPC-allocated memory.

**szDisplayName:** Value at unsigned char pointer is a pointer to a null-terminated ANSI string with value "MBX-SRV-02". Client must free RPC-allocated memory.

**rgwServerVersion:** Value at unsigned short array contains values: 0x0008, 0x82B4, 0x0003. Server supports protocol server version 8.0.692.3.

**rgwBestVersion:** Value at unsigned short array contains values: 0x000C, 0x183E and 0x03E8. Server SHOULD mimic *rgwClientVersion* if client version is supported.

**pulTimeStamp:** Value at unsigned long pointer is a 32-bit value that represents the internal server time when the Session Context was created.

**rgbAuxOut:** Server returns the following extended buffer and payload containing auxiliary information.

| RPC_HEADER_EXT |        |        |            | Payload    |         |      |               |
|----------------|--------|--------|------------|------------|---------|------|---------------|
|                |        |        |            | AUX_HEADER |         |      | AUX_EXORGINFO |
| Version        | Flags  | Size   | SizeActual | Size       | Version | Type | OrgFlags      |
| 0x0000         | 0x0004 | 0x0008 | 0x0008     | 0x0008     | 0x01    | 0x17 | 0x00000001    |

Payload is not compressed and not obfuscated.

**pcbAuxOut:** Value at unsigned long pointer is 0x00000010. Field *rgbAuxOut* is 16 bytes in length.

**Return Value:** Value is 0x00000000.

#### 4.2 Client Issuing ROP Commands to Server

1. Client has already established a **Session Context** with the server and has a valid **Session Context Handle (CXH)**. For more information, see steps 1 through 3 of section 4.1.
2. Client sends **ROP** commands to server by calling **EcDoRpcExt2** using the CXH returned from the **EcDoConnectEx** call.

**pcxh:** Pointer to CXH value which is 0x00001234.

**pulFlags:** Pointer to unsigned long containing value 0x00000003. Client requests server to not compress or XOR payload of *rgbOut* and *rgbAuxOut*.

**rgbIn:** Client passes extended buffer and payload containing ROP commands to be processed by server. See [MS-OXCROPS] for details about ROP commands.

| RPC_HEADER_EXT |        |        |            | Payload              |           |                         |
|----------------|--------|--------|------------|----------------------|-----------|-------------------------|
|                |        |        |            | ROP Request Commands |           |                         |
| Version        | Flags  | Size   | SizeActual | RoPSize              | Rops      | ServerObjectHandleTable |
| 0x0000         | 0x0004 | 0x0152 | 0x0152     | 0x0142               | 320 bytes | 16 bytes                |

Payload is not compressed and not obfuscated.

**cbIn:** Value of 0x0000015A.

**rgbAuxIn:** Null pointer value.

**cbAuxIn:** Value of 0x00000000.

**rgbOut:** Pointer to buffer of size 0x00018008.

**pcbOut:** Pointer to unsigned long value 0x00018008.

**rgbAuxOut:** Pointer to buffer of size 0x1008.

**pcbAuxOut:** Pointer to unsigned long value 0x00001008.

3. Server processes **EcDoRpcExt2** request. Server verifies that CXH is for a valid session context for this user. Server processes ROP request commands and returns ROP response results to client. Server returns the following output values:

**pcxh:** Value at CXH pointer is 0x00001234. Server MUST return same value as on input unless session termination is requested, in which case it would return 0x00000000.

**pulFlags:** Value at unsigned long is 0x00000000.

**rgbOut:** Server returns the following extended buffer and payload containing ROP response commands:

| RPC_HEADER_EXT |        |        |            | Payload               |          |                         |
|----------------|--------|--------|------------|-----------------------|----------|-------------------------|
|                |        |        |            | ROP Response Commands |          |                         |
| Version        | Flags  | Size   | SizeActual | RopSize               | Rops     | ServerObjectHandleTable |
| 0x0000         | 0x0004 | 0x0052 | 0x0052     | 0x0042                | 64 bytes | 16 bytes                |

Payload is not compressed and not obfuscated.

**pcbOut:** Value is 0x0000005A.

**rgbAuxOut:** Server returns nothing in the auxiliary output buffer.

**pcbAuxOut:** Value is 0x00000000.

**pulTransTime:** Value at unsigned long pointer is 0x00000010. Contains the number of milliseconds it took the server to process the **EcDoRpcExt2** call.

**Return Value:** Value is 0x00000000.

### 4.3 Client Receiving "Packed" ROP Response from Server

1. Client has already established a **Session Context** with the server and has a valid **Session Context Handle (CXH)**. For more information, see steps 1 through 3 of section 4.1.

- Client sends **ROP** commands to server by calling **EcDoRpcExt2** using the CXH that is returned from the **EcDoConnectEx** call. The last ROP request contains **RopReadStream**, and so client requests response chaining (for example, "packing").

**pcxh:** Pointer to CXH value, which is 0x00001234.

**pulFlags:** Pointer to unsigned long containing value 0x00000007. Client requests server to not compress or XOR payload of *rgbOut* and *rgbAuxOut*. Client requests response chaining.

**rgbIn:** Client passes extended buffer and payload containing ROP commands to be processed by server. See [MS-OXCROPS] for details about ROP commands.

| RPC_HEADER_EXT |        |        |            | Payload              |   |          |
|----------------|--------|--------|------------|----------------------|---|----------|
|                |        |        |            | ROP Request Commands |   |          |
| Version        | Flags  | Size   | SizeActual | RopSize              | Rops  | SOHT     |
| 0x0000         | 0x0004 | 0x0152 | 0x0152     | 0x0142               | 320 bytes (last ROP command is RopReadStream) | 16 bytes |

Payload is not compressed and not obfuscated.

**cbIn:** Value of 0x0000015A.

**rgbAuxIn:** Null pointer value.

**cbAuxIn:** Value of 0x00000000.

**rgbOut:** Pointer to buffer of size 0x00018008.

**pcbOut:** Pointer to unsigned long value 0x00018008.

**rgbAuxOut:** Pointer to buffer of size 0x1008.

**pcbAuxOut:** Pointer to unsigned long value 0x00001008.

- Server processes **EcDoRpcExt2** request. Server verifies that CXH is for a valid Session Context for this user. Server processes ROP request commands and returns ROP response results to client. The last ROP was **RopReadStream**, and the client has requested chaining; there is more data to return in the stream being read, there is more

room in the *rgbOut* output buffer and the server adds another extended buffer and payload. The server returns the following output values:

**pcxh:** Value at CXH pointer is 0x00001234.

**pulFlags:** Value at unsigned long is 0x00000000.

**rgbOut:** Server returns two extended buffer header and payload pairs containing ROP response commands. The last payload contains only the **RopReadStream** command.

|   |                       |      |          |   |                      |     |          |
|---|-----------------------|------|----------|---|----------------------|-----|----------|
| RPC_HEADER_EXT<br><br>Flags: 0x0000<br><br>Size: 0x7FFE | Payload               |      |          | RPC_HEADER_EXT<br><br>Flags: 0x0004<br><br>Size: 0x2008 | Payload              |     |          |
|   | ROP Response Commands |      |          |   | ROP Response Command |     |          |
|   | RopSize               | Rops | SOHT     |   | RopSize              | Rop | SOHT     |
|   | 0x7FEE                | ...  | 16 bytes |   | 0x1FF8               | ... | 16 bytes |

Payloads are not compressed and not obfuscated.

**pcbOut:** Value is 0x0000A016.

**rgbAuxOut:** Server returns nothing in the auxiliary output buffer.

**pcbAuxOut:** Value is 0x00000000.

**pulTransTime:** Value at unsigned long pointer is 0x00000010. Contains the number of milliseconds it took the server to process the **EcDoRpcExt2** call.

**Return Value:** Value is 0x00000000.

#### 4.4 *Client Disconnecting from Server*

1. Client has already established a **Session Context** with the server and has a valid **Session Context Handle (CXH)**. For more information, see steps 1 through 3 of section 4.1.
2. Client is exiting and wants to destroy the Session Context on the server. Client issues **EcDoDisconnect** using the CXH that was returned from the **EcDoConnectEx** call.

**pcxh:** Pointer to CXH value, which is 0x00001234.

3. Server processes **EcDoDisconnect** request. Server verifies that CXH is for a valid Session Context for this user. Server destroys Session Context and invalidates CXH. Server returns the following output values:

**pcxh:** Value at CXH pointer is 0x00000000.

**Return Value:** Value is 0x00000000.

## 5 Security

### 5.1 Security Considerations for Implementers

To reduce exploits of server code, anonymous access to the server SHOULD NOT be granted. Only properly authenticated **RPC** binding handles SHOULD be allowed to make method calls on the **EMSMDB** and **AsyncEMSMDB** interfaces.

Most of the **EMSMDB** and **AsyncEMSMDB** interface methods require a **Session Context Handle (CXH)**, which can only be created from a successful call to **EcDoConnectEx**. The server MUST verify that the authentication context on the RPC binding handle has sufficient permissions to access the server and create a **Session Context**. These method calls are used by the client to create a Session Context with the server. They are also used to declare to the server who is attempting to access messaging data on the server through the distinguished name passed in the *szUserDN* field. The server SHOULD verify that the authentication context on the RPC binding handle has ownership permissions to the directory service object that is associated with the **distinguished name**. If the authentication context does not have adequate permissions, the server MUST fail the call and not create a Session Context.

Although the protocol allows for data compression and data obfuscation on method call **EcDoRpcExt2**, this SHOULD NOT be used in place of proper encryption. It is recommended that RPC-level encryption be used by the client when establishing a connection with the server. This will properly encrypt all fields of all method calls on the **EMSMDB** and **AsyncEMSMDB** interfaces.

### 5.2 Index of Security Parameters

None.

## 6 Appendix A: Full IDL/ACF

For ease of implementation, the full IDL and ACF is provided in the following sections, where "ms-rpce.idl" refers to the IDL found in [MS-RPCE] Appendix A. The syntax uses the IDL syntax extensions as specified in [MS-RPCE] sections 2.2.4 and 3.1.5.1. For example, as



specified in [MS-RPCE] section 2.2.4.8, a `pointer_default` declaration is not required and `pointer_default(unique)` is assumed.

## 6.1 IDL

```
import "ms-rpce.idl";

typedef [context_handle] void * CXH;
typedef [context_handle] void * ACXH;
// Special restricted types to prevent allocation of big buffers.
typedef [range(0x0, 0x40000)] unsigned long BIG_RANGE_ULONG;
typedef [range(0x0, 0x1008)] unsigned long SMALL_RANGE_ULONG;

[ uuid (A4F1DB00-CA47-1067-B31F-00DD010662DA),
  version(0.81),
  pointer_default(unique) ]
interface emsmdb
{
long __stdcall Opnum0Reserved(
);

long __stdcall EcDoDisconnect(
[in, out, ref] CXH * pcxh
);

long __stdcall Opnum2Reserved(
);

long __stdcall Opnum3Reserved(
);

long __stdcall EcRRegisterPushNotification(
[in, out, ref] CXH * pcxh,
[in] unsigned long iRpc,
[in, size_is(cbContext)] unsigned char rgbContext[],
[in] unsigned short cbContext,
```

```

[in] unsigned long grbitAdviseBits,
[in, size_is(cbCallbackAddress)] unsigned char rgbCallbackAddress[],
[in] unsigned short cbCallbackAddress,
[out] unsigned long *hNotification
);

long __stdcall Opnum5Reserved(
);

long __stdcall EcDummyRpc(
[in] handle_t hBinding
);

long __stdcall Opnum7Reserved(
);

long __stdcall Opnum8Reserved(
);

long __stdcall Opnum9Reserved(
);

long __stdcall EcDoConnectEx(
[in] handle_t hBinding,
[out, ref] CXH * pcxh,
[in, string] unsigned char * szUserDN,
[in] unsigned long ulFlags,
[in] unsigned long ulConMod,
[in] unsigned long cbLimit,
[in] unsigned long ulCpid,
[in] unsigned long ulLcidString,
[in] unsigned long ulLcidSort,
[in] unsigned long ulIcxrLink,
[in] unsigned short usFCanConvertCodePages,

```

```

[out] unsigned long * pcmsPollsMax,
[out] unsigned long * pcRetry,
[out] unsigned long * pcmsRetryDelay,
[out] unsigned short * picxr,
[out, string] unsigned char **szDNPrefix,
[out, string] unsigned char **szDisplayName,
[in] unsigned short rgwClientVersion[3],
[out] unsigned short rgwServerVersion[3],
[out] unsigned short rgwBestVersion[3],
[in, out] unsigned long * pulTimeStamp,
[in, size_is(cbAuxIn)] unsigned char rgbAuxIn[],
[in] unsigned long cbAuxIn,
[out, length_is(*pcbAuxOut), size_is(*pcbAuxOut)] unsigned char
rgbAuxOut[],
[in, out] SMALL_RANGE_ULONG *pcbAuxOut
);

long __stdcall EcDoRpcExt2(
[in, out, ref] CXH * pcxh,
[in, out] unsigned long *pulFlags,
[in, size_is(cbIn)] unsigned char rgbIn[],
[in] unsigned long cbIn,
[out, length_is(*pcbOut), size_is(*pcbOut)] unsigned char rgbOut[],
[in, out] BIG_RANGE_ULONG *pcbOut,
[in, size_is(cbAuxIn)] unsigned char rgbAuxIn[],
[in] unsigned long cbAuxIn,
[out, length_is(*pcbAuxOut), size_is(*pcbAuxOut)] unsigned char
rgbAuxOut[],
[in, out] SMALL_RANGE_ULONG *pcbAuxOut,
[out] unsigned long *pulTransTime
);

long __stdcall Opnum12Reserved(
);

```

```

long __stdcall Opnum13Reserved(
);

long __stdcall EcDoAsyncConnectEx(
[in] CXH cxh,
[out, ref] ACXH * pacxh
);

}

[ uuid (5261574A-4572-206E-B268-6B199213B4E4),
  version(0.01),
  pointer_default(unique) ]
interface asyncemsmb
{
long __stdcall EcDoAsyncWaitEx(
[in] ACXH acxh,
[in] unsigned long ulFlagsIn,
[out] unsigned long *pulFlagsOut
);

}

```

## 6.2 ACF

```

typedef [context_handle_noserialize] ACXH;

interface asyncemsmb
{
    [async] EcDoAsyncWaitEx();
}

```

## 7 Appendix B: Office/Exchange Behavior

The information in this specification is applicable to the following versions of Office/Exchange:

- Office 2003 with Service Pack 3 applied
- Exchange 2003 with Service Pack 2 applied
- Office 2007 with Service Pack 1 applied
- Exchange 2007 with Service Pack 1 applied

Exceptions, if any, are noted below. Unless otherwise specified, any statement of optional behavior in this specification prescribed using the terms SHOULD or SHOULD NOT implies Office/Exchange behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term MAY implies Office/Exchange does not follow the prescription.

### 7.1 Protocol Sequences

#### 7.1.1 Exchange Server Support

Exchange 2003 SP2 allows all **RPC protocol sequences** listed in section 2.1.

Exchange 2007 SP1 allows only the following RPC protocol sequences: ncalrpc, ncaen\_ip\_tcp and ncaen\_http.

#### 7.1.2 Office Client Support

Office 2003 SP3 uses only the following **RPC protocol sequences**: ncaen\_ip\_tcp and ncaen\_http.

Office 2007 SP1 uses only the following RPC protocol sequences: ncaen\_ip\_tcp and ncaen\_http.

### 7.2 Authentication Methods

The following table lists the authentication methods supported by Exchange 2003 SP2 and Exchange 2007 SP1. A client **MUST** authenticate using one of these authentication methods.

| Authentication Method |
|-----------------------|
| RPC_C_AUTHN_WINNT     |

|                           |
|---------------------------|
| Authentication Method     |
| RPC_C_AUTHN_GSS_KERBEROS  |
| RPC_C_AUTHN_GSS_NEGOTIATE |

### 7.3 *RPC Methods*

#### 7.3.1 Exchange Server Support

The following table indicates which RPC methods are supported in which versions of Exchange.

**EMSMDB** Interface:

| Method                             | Exchange 2003 SP2 | Exchange 2007 SP1 |
|------------------------------------|-------------------|-------------------|
| <b>EcDoDisconnect</b>              | ✓                 | ✓                 |
| <b>EcRRegisterPushNotification</b> | ✓                 | ✓                 |
| <b>EcDummyRpc</b>                  | ✓                 | ✓                 |
| <b>EcDoConnectEx</b>               | ✓                 | ✓                 |
| <b>EcDoRpcExt2</b>                 | ✓                 | ✓                 |
| <b>EcDoAsyncConnectEx</b>          |                   | ✓                 |

**AsyncEMSMDB** Interface:

| Method                 | Exchange 2003 SP2 | Exchange 2007 SP1 |
|------------------------|-------------------|-------------------|
| <b>EcDoAsyncWaitEx</b> |                   | ✓                 |

### 7.3.2 Office Client Support

An Office client will use different RPC methods based on the version of Exchange that it is accessing.

#### 7.3.2.1 Accessing Exchange 2003

The following table indicates which RPC methods are used by an Office client when accessing a computer that is running Exchange 2003.

**EMSMDB** Interface:

| Method                             | Office 2003 SP2 | Office 2007 SP1 |
|------------------------------------|-----------------|-----------------|
| <b>EcDoDisconnect</b>              | ✓               | ✓               |
| <b>EcRRegisterPushNotification</b> | ✓               | ✓               |
| <b>EcDummyRpc</b>                  |                 |                 |
| <b>EcDoConnectEx</b>               | ✓               | ✓               |
| <b>EcDoRpcExt2</b>                 | ✓               | ✓               |
| <b>EcDoAsyncConnectEx</b>          |                 |                 |

**AsyncEMSMDB** Interface:

| Method                 | Office 2003 SP2 | Office 2007 SP1 |
|------------------------|-----------------|-----------------|
| <b>EcDoAsyncWaitEx</b> |                 |                 |

#### 7.3.2.2 Accessing Exchange 2007

The following table indicates which RPC methods are used by an Office client when it is accessing a computer that is running Exchange 2007.

**EMSMDB** Interface:

| Method | Office | Office |
|--------|--------|--------|
|--------|--------|--------|

|                                    | 2003 SP2 | 2007 SP1 |
|------------------------------------|----------|----------|
| <b>EcDoDisconnect</b>              | ✓        | ✓        |
| <b>EcRRegisterPushNotification</b> | ✓        | ✓        |
| <b>EcDummyRpc</b>                  |          |          |
| <b>EcDoConnectEx</b>               | ✓        | ✓        |
| <b>EcDoRpcExt2</b>                 | ✓        | ✓        |
| <b>EcDoAsyncConnectEx</b>          |          | ✓        |

**AsyncEMSMDB** Interface:

| Method                 | Office<br>2003 SP2 | Office<br>2007 SP1 |
|------------------------|--------------------|--------------------|
| <b>EcDoAsyncWaitEx</b> |                    | ✓                  |

#### **7.4 Client Access Licenses**

As of Exchange 2007 SP1, the server no longer counts individual connections for **Client Access License** accounting, so **Session Context** linking is not required in method call **EcDoConnectEx** on the **EMSMDB** interface.



## Index

- ACF, 92
- Applicability statement, 12
- AsyncEMSMDB client details, 80
- AsyncEMSMDB server details, 77
- Authentication methods, 93
- Client connecting to server, 81
- Client disconnecting from server, 87
- Client issuing ROP commands to server, 84
- Client receiving "packed" ROP response from server, 85
- Common data types, 14
- EMSMDB client details, 74
- EMSMDB server details, 30
- Full IDL/ACF, 88
  - ACF, 92
  - IDL, 89
- Glossary, 7
- IDL, 89
- Index of security parameters, 88
- Informative references, 8
- Introduction, 7
- Messages, 13
  - Common data types, 14
  - Transport, 13
- Normative references, 8
- Office/Exchange behavior, 93
  - Authentication methods, 93
  - Client access licenses, 96
  - Protocol sequences, 93
  - RPC methods, 94
- Prerequisites/preconditions, 12
- Protocol details, 29
  - AsyncEMSMDB client details, 80
  - AsyncEMSMDB server details, 77
  - EMSMDB client details, 74
  - EMSMDB server details, 30
- Protocol examples, 81
  - Client connecting to server, 81
  - Client disconnecting from server, 87
  - Client issuing ROP commands to server, 84
  - Client receiving "packed" ROP response from server, 85

- Protocol sequences, 93
- References, 8
  - Informative references, 8
  - Normative references, 8
- Relationship to other protocols, 12
- RPC methods, 94
- Security, 88
  - Index of security parameters, 88
  - Security considerations for implementers, 88
- Security considerations for implementers, 88
- Standards assignments, 13
- Transport, 13
- Vendor-extensible fields, 12
- Versioning and capability negotiation, 12