

[MS-OXCNOTIF]: Core Notifications Protocol Specification

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Preliminary

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1 Introduction

This document specifies a protocol for transmitting notifications to a client about certain events on a server. This protocol is commonly used to inform the client about changes that occurred in folders and messages on the server.

1.1 Glossary

The following terms are defined in [MS-OXGLOS]:

change number (CN)

dynamic endpoint

endpoint (2)

GUID

Interface Definition Language (IDL)

Microsoft Interface Definition Language (MIDL)

Network Data Representation (NDR)

opnum

remote procedure call (RPC)

ROP request buffer

ROP response buffer

Security Provider

universal unique identifier (UUID)

The following terms are defined in this document:

callback address: An object encapsulating a callback address registered by a client for push notifications.

Internet datagram: The unit of data exchanged between a pair of Internet modules (includes the Internet header).

notification: A message the client receives when a specific event occurs on the server.

notification subscription: A request to receive notifications from the server.

outstanding RPC call: An asynchronous RPC call that has not yet been completed by the server.

MAY, SHOULD, MUST, SHOULD NOT, MUST NOT: These terms (in all caps) are used as described in [RFC2119]. All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

1.2 References

1.2.1 Normative References

[MS-OXCFXICS] Microsoft Corporation, "Bulk Data Transfer Protocol Specification", April 2008.

[MS-OXCMSG] Microsoft Corporation, "Message and Attachment Object Protocol Specification", April 2008.

[MS-OXCROPS] Microsoft Corporation, "Remote Operations (ROP) List and Encoding Protocol Specification", April 2008.

[MS-OXCRPC] Microsoft Corporation, "Wire Format Protocol Specification", April 2008.

[MS-OXCSTOR] Microsoft Corporation, "Store Object Protocol Specification", April 2008.

[MS-OXCTABL] Microsoft Corporation, "Table Object Protocol Specification", April 2008.

[MS-OXGLOS] Microsoft Corporation, "Office Exchange Protocols Master Glossary", April 2008.

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, <http://www.ietf.org/rfc/rfc2119.txt>.

1.2.2 Informative References

[MSDN-ENM] Microsoft Corporation, "Event Notification in MAPI", <http://go.microsoft.com/fwlink/?LinkId=113730>.

[MSDN-WS2] Microsoft Corporation, "Windows Sockets 2", <http://go.microsoft.com/fwlink/?LinkId=113731>.

1.3 Protocol Overview (Synopsis)

The messaging client can register to receive notifications about certain events that can happen on the messaging server. When an event occurs on the server, and there is a client that has registered to receive the notification, the server sends the notification details to the client in the ROP response buffer on the **EcDoRpcExt2** calls as specified in [MS-OXCRPC] in the format described by **RopNotify** as specified in [MS-OXCROPS].

The notification protocol is logically divided into two parts: notifying a client about pending notifications and transmitting the notifications. The following subsections detail the two parts of the protocol.

1.3.1 Pending Notifications

Because receiving notification details is only done through the ROP response buffer returned from **EcDoRpcExt2** calls, the server needs a mechanism to inform the client of any pending notifications on the session context on the server when the client is idle and not actively calling **EcDoRpcExt2**. The server provides four different methods in which a client can utilize to be notified of pending notifications.

The following subsections detail the four methods provided by the server.

1.3.1.1 RopPending

If there are pending notifications for the session, the server SHOULD send **RopPending** as specified in [MS-OXCROPS] in the response buffer on **EcDoRpcExt2** call.

1.3.1.2 Polling

If a client is idle and is not making **EcDoRpcExt2** calls, then it cannot receive **RopNotify**. The simplest way for a client to retrieve notification details is to make a **EcDoRpcExt2** calls on regular intervals. The server MUST allow the client to call **EcDoRpcExt2** with no ROP request operations. This provides the client a means to retrieve any pending notifications.

The interval at which the client polls the server for notifications is returned on the **EcDoConnectEx** calls. The output parameter *pcmsPollsMax* in both of these calls contains the number of milliseconds the client SHOULD wait before polling the server for event information. It is not recommended to poll the server more frequently than what is returned by the server. If the client wants to be very responsive to events on the server, it SHOULD NOT use the polling method.

1.3.1.3 Push Notification

Instead of polling the server at regular intervals to get notification details, the client can register a callback address with the server. The server will send a datagram to the callback address to inform the client that notifications are pending on the server for the session.

Clients connecting via RPC/HTTP protocol SHOULD NOT use the Push Notification method of being signaled of pending notifications. The client SHOULD either use the basic Polling method or the Asynchronous RPC Notification method detailed below.

1.3.1.4 Asynchronous RPC Notification

Asynchronous RPC Notification method allows the client to make an asynchronous RPC call to the server where the server MUST NOT complete the RPC call until there is a notification for the session. This method SHOULD work through RPC/HTTP protocol connections with the server where the Push Notification method will not. The client SHOULD determine if the server supports this notification method by examining the server version information that is returned from the **EcDoConnectEx** call. See section 0 to determine which minimum server version is required to utilize the Asynchronous RPC Notification method.

1.3.2 Notification Details

Once the client is notified of pending notifications by any of the methods described above, the client **SHOULD** call **EcDoRpcExt2** to retrieve the notification details. The server **SHOULD** add any notification details in the ROP response buffer of the **EcDoRpcExt2** using the **RopNotify** response command. The server **SHOULD** return as many notification details through multiple **RopNotify** response commands as the ROP response buffer allows. If the server was not able to fit all pending notifications in the response buffer, the server **SHOULD** also return **RopPending** response command to indicate that some notifications are still pending.

1.4 Relationship to Other Protocols

The Core Notifications protocol specification provides a low-level explanation of notifying a client about events on the server. [MSDN-ENM] describes the application of this protocol in MAPI provider.

This specification relies on understanding of [MS-OXCRPC] and [MS-OXCROPS].

1.5 Prerequisites/Preconditions

This specification assumes that the client has previously logged on to the server and created a session context.

1.6 Applicability Statement

The Core Notifications protocol was designed to be used for the following:

- Notifying clients about certain events on the server.
- Notifying clients about notifications pending for the client on the server.

This protocol provides basic information, high efficiency and complete preservation of data fidelity for the uses mentioned earlier. It might not be appropriate for use in scenarios that do the following:

- Require replication of mailbox content between clients and servers.
- Require client-driven copying of data between different mailboxes on different servers.
- Require exporting or importing of data from/to a mailbox.

1.7 Versioning and Capability Negotiation

This document covers versioning issues in the following areas:

- **Supported Transports:** This protocol uses [MS-OXCRPC], [MS-OXCROPS] and Internet protocols as described in section 2.1.

- **Protocol Versions:** This protocol has only one interface version.
- **Capability Negotiation:** The protocol does not require Asynchronous RPC Notifications to be implemented. The client **MUST** examine the server version to determine if Asynchronous RPC Notifications are supported. See [MS-OXCRPC] for more details about how to determine server version. <1>
- **Localization:** This protocol passes text strings in notification details. Localization considerations for such strings are specified in section 2.2.1.4.1.4.

1.8 Vendor-Extensible Fields

None.

1.9 Standards Assignments

None.

2 Messages

2.1 Transport

The commands specified by this protocol are sent to and received from the server respectively using the underlying ROP request buffers and ROP response buffers specified in [MS-OXCROPS].

Asynchronous calls are made on the server using remote procedure call (RPC) transport specified in [MS-OXCRPC]

Datagrams are sent from server to client using underlying networking protocols (for more information, see [MSDN-WS2]).

2.2 Message Syntax

2.2.1 Notifications

2.2.1.1 Server Event Types

The following table describes the events that happen on the server. Clients **MAY** register to receive notifications about these events

Name	Description
CriticalError	A critical error has occurred on the server.
NewMail	A new mail has been received by the server.
ObjectCreated	A new item has been created on the server
ObjectDeleted	An existing item has been deleted from the server.
ObjectModified	An existing item has been modified on the server.
ObjectMoved	An existing item has been moved to another location on the server.
ObjectCopied	An existing item has been copied on the server.

Name	Description
SearchComplete	A search operation has been completed on the server.
TableModified	A table was modified on the server. See 2.2.1.1.1.
StatusObjectModified	An ICS state has been modified on the server.

2.2.1.1.1 *TableModified Event Types*

Name	Description
TableChanged	A table has been changed.
TableError	An error occurred.
TableRowAdded	A new row has been added to the table.
TableRowDeleted	An existing row has been deleted from the table.
TableRowModified	An existing row has been modified in the table.
TableSortDone	A table sort has been completed.
TableRestrictionChanged	A table restriction has been changed.
TableColumnsChanged	Table columns have been changed.
TableReload	A table has been reloaded.

2.2.1.2 Subscription Management

2.2.1.2.1 *RopRegisterNotification*

RopRegisterNotification creates a subscription for specified notifications on the server and returns a handle of the subscription to the client.

Name	Type	Size	Description
InputHandle	Handle	4	Handle of the Logon object. See [MS-OXCROPS] for more details.
NotificationTypes	Byte	1	A set of bits describing notifications the client is interested to receive. See 2.2.1.2.1.1.
Reserved	Byte	1	The field is reserved. The field value MUST be zero. The behavior is undefined if the value is not zero.
EntireDatabase	Byte	1	Set to TRUE (non-zero) if the scope the scope for notifications is entire database. Set to FALSE (zero) otherwise.
ScopeFolderID	ID	8	ID of the folder to limit the scope of notifications. This field is available only if <i>EntireDatabase</i> is zero.
ScopeMessageID	ID	8	ID of the message inside the folder referenced by <i>ScopeFolderID</i> to limit the scope for notifications. This field is

Name	Type	Size	Description
			available only if <i>EntireDatabase</i> is zero.

Response:

Name	Type	Size	Description
OutputHandle	Handle	4	Handle of the notification subscription object created by this ROP. See [MS-OXCROPS] for more details.

2.2.1.2.1.1 NotificationTypes

0x01	The server MUST send notifications to the client when <i>CriticalError</i> events occur within the scope of interest.
0x02	The server MUST send notifications to the client when <i>NewMail</i> events occur within the scope of interest.
0x04	The server MUST send notifications to the client when <i>ObjectCreated</i> events occur within the scope of interest.
0x08	The server MUST send notifications to the client when <i>ObjectDeleted</i> events occur within the scope of interest.
0x10	The server MUST send notifications to the client when <i>ObjectModified</i> events occur within the scope of interest.
0x20	The server MUST send notifications to the client when <i>ObjectMoved</i> events occur within the scope of interest.
0x40	The server MUST send notifications to the client when <i>ObjectCopied</i> events occur within the scope of interest.
0x80	The server MUST send notifications to the client when <i>SearchCompleted</i> events occur within the scope of interest.

See section 2.2.1.1 for details about server events.

2.2.1.2.2 RopSynchronizationOpenAdvisor

RopSynchronizationOpenAdvisor creates an ICS Advisor object on the server and returns a handle of the object to the client.

Name	Type	Size	Description
InputHandle	Handle	4	Handle of the Logon object. See [MS-OXCROPS] for more details.

Response:

Name	Type	Size	Description
OutputHandle	Handle	4	Handle of the ICS Advisor object created by this ROP. See [MS-OXCROPS] for more details.

2.2.1.2.3 RopRegisterSynchronizationNotifications

RopRegisterSynchronizationNotifications creates a subscription for *StatusObjectModified* notifications on the server.

Name	Type	Size	Description
InputHandle	Handle	4	Handle of the ICS Advisor object.
NumberOfFolderIDs	Short	2	Number of folder IDs that limit the scope of the notification subscription.
FolderIDs	ID[]	<i>Number OfFolder IDs</i>	List of folder IDs that limit the scope of the notification subscription.
ChangeNumbers	ULong []	<i>Number OfFolder IDs</i>	List of folder CNs.

For details about the response, see [MS-OXCROPS].

2.2.1.2.4 *RopSetSynchronizationNotificationGuid*

RopSetSynchronizationNotificationGuid assigns a notification GUID to an ICS Advisor object on the server.

Name	Type	Size	Description
InputHandle	Handle	4	Handle of the ICS Advisor object. See [MS-OXCROPS] for more details.
NotificationGuid	GUID	16	A notification GUID to assign to the ICS Advisor object.

For details about the response, see [MS-OXCROPS].

2.2.1.3 Pending Notifications

2.2.1.3.1 *RopPending*

RopPending notifies the client that there are pending notifications on the server for the client. This ROP MUST appear only in response buffers of **EcDoRpcExt2**. See [MS-OXCROPS] for more details.

2.2.1.3.2 *EcRRegisterPushNotification*

EcRRegisterPushNotification is an RPC method used to register a callback address of a client on the server. See [MS-OXCRPC] for more details.

2.2.1.3.3 *EcDoAsyncConnectEx*

EcDoAsyncConnectEx is an RPC method used to acquire ACXH context handle on the server to use in subsequent **EcDoAsyncWaitEx** calls. See [MS-OXCRPC] for more details.

2.2.1.3.4 *EcDoAsyncWaitEx*

EcDoAsyncWaitEx is an asynchronous RPC method used to inform a client about pending notifications on the server. See [MS-OXCRPC] for more details.

2.2.1.4 Notification Details

2.2.1.4.1 RopNotify

RopNotify provides the client with the details of notifications sent by server. This ROP MUST appear only in response buffers of **EcDoRpcExt2**.

Response:

Name	Type	Size	Description
NotificationHandle	Handle	4	Handle of the target object for the notification. The target object can be notification subscription, ICS Advisor or table.
NotificationFlags	Short	2	Set of bits describing the type of the notification and availability of notification data fields. See 2.2.1.4.1.1.
TableEventType	Byte	1	Subtype of the notification for <i>TableModified</i> event. This field is available only if <i>NotificationType</i> value in <i>NotificationFlags</i> is 0x0100. See 2.2.1.4.1.2.
TableRowFolderID	ID	8	Folder ID of the item triggering this notification. This field is only available if <i>TableEventType</i> field is available and is equal to 0x03, 0x04 or 0x05.
TableRowMessageID	ID	8	MessageID of the item triggering this notification. This field is only available if bit 0x8000 is set in <i>NotificationFlags</i> and <i>TableEventType</i> is available and is equal to 0x03, 0x04 or 0x05.
TableRowPreviousInstance	ULong	4	An identifier of the instance of the previous row in the table. See [MS-OXCTABL] for more details. This field is only available if bit 0x8000 is set in <i>NotificationFlags</i> and <i>TableEventType</i> is available and is equal to 0x03, 0x04 or 0x05.
TableRowOldFolderID	ID	8	Old folder ID of the item triggering this notification. This field is only available if <i>TableEventType</i> field is available and is equal to 0x03 or 0x05.
TableRowOldMessageID	ID	8	Old message ID of the item triggering this notification. This field is only available if bit 0x8000 is set in <i>NotificationFlags</i> and <i>TableEventType</i> is available and is equal to 0x03 or 0x05.

Name	Type	Size	Description
TableRowDataSize	Short	2	Length of table row data. This field is only available if bit 0x8000 is set in <i>NotificationFlags</i> and <i>TableEventType</i> is available and is equal to 0x03 or 0x05.
TableRowData	String	<i>TableRowDataSize</i>	Table row data. This field is only available if bit 0x8000 is set in <i>NotificationFlags</i> and <i>TableEventType</i> is available and is equal to 0x03 or 0x05.
HierarchyChanged	Byte	1	Set to TRUE (non-zero) if folder hierarchy has changed. Set to FALSE (zero) otherwise. This field is available only if <i>NotificationType</i> value in <i>NotificationFlags</i> is 0x0200.
FolderIDNumber	ULong	4	Number of folder IDs. This field is available only if <i>NotificationType</i> value in <i>NotificationFlags</i> is 0x0200.
FolderIDs	GID[]	<i>FolderIDNumber</i>	Folder IDs. This field is available only if <i>NotificationType</i> value in <i>NotificationFlags</i> is 0x0200.
ICSChangeNumbers	ULong[]	<i>FolderIDNumber</i>	Folder CNs. This field is available only if <i>NotificationType</i> value in <i>NotificationFlags</i> is 0x0200.
FolderId	ID	8	Folder ID of the item triggering the event. This field is available only if <i>NotificationType</i> value in <i>NotificationFlags</i> is not 0x0100, 0x0200 or 0x0400.
MessageId	ID	8	Message ID of the item triggering the event. This field is available only if <i>NotificationType</i> value in <i>NotificationFlags</i> is not 0x0100, 0x0200 or 0x0400 and bit 0x8000 is set in <i>NotificationFlags</i> .
ParentFolderId	ID	8	Folder ID of the parent folder of the item triggering the event. This field is available only if <i>NotificationType</i> value in <i>NotificationFlags</i> is 0x0004, 0x0008, 0x0020 or 0x0040 and bit 0x4000 is set or bit 0x8000 is not set in <i>NotificationFlags</i> .
OldFolderId	ID	8	Old folder ID of the item triggering the event. This field is available only if <i>NotificationType</i> value in <i>NotificationFlags</i> is 0x0020 or 0x0040.

Name	Type	Size	Description
OldMessageId	ID	8	Old message ID of the item triggering the event. This field is available only if This field is available only if <i>NotificationType</i> value in <i>NotificationFlags</i> is 0x0020 or 0x0040 and bit 0x8000 is set in <i>NotificationFlags</i> .
OldParentFolderId	ID	8	Old parent folder ID of the item triggering the event. This field is available only if This field is available only if <i>NotificationType</i> value in <i>NotificationFlags</i> is 0x0020 or 0x0040 and bit 0x8000 is not set in <i>NotificationFlags</i> .
TagCount	Short	2	Number of property tags. This field is available only if This field is available only if <i>NotificationType</i> value in <i>NotificationFlags</i> is 0x0004 or 0x0010.
Tags	ULong[]	<i>TagCount</i>	List of ID of properties that have changed. This field is available only if <i>TagCount</i> is available and <i>TagCount</i> is not equal to 0xFFFF.
TotalMessageCount	ULong	4	Total number of items in a folder triggering this event. This field is available only if bit 0x1000 is set in <i>NotificationFlags</i> .
UnreadMessageCount	ULong	4	Number of unread items in a folder triggering this event. This field is available only if bit 0x2000 is set in <i>NotificationFlags</i> .
MessageFlags	ULong	4	Message flags of new mail that has been received. This field is available only if This field is available only if <i>NotificationType</i> value in <i>NotificationFlags</i> is 0x0002.
UnicodeFlag	Byte	1	Set to TRUE (non-zero) if MessageClass is in UNICODE. Set to FALSE (zero) otherwise. This field is available only if This field is available only if <i>NotificationType</i> value in <i>NotificationFlags</i> is 0x0002.
MessageClass	String	<i>Variable</i>	Null-terminated string containing message class of the new mail. The string is in UNICODE if <i>UnicodeFlag</i> is

Name	Type	Size	Description
			TRUE (non-zero). The string is in ASCII if <i>UnicodeFlag</i> is FALSE (zero). This field is available only if <i>NotificationType</i> value in <i>NotificationFlags</i> is 0x0002

2.2.1.4.1.1 NotificationFlags

NotificationFlags is a 16 bit combination of an enumeration and flags. The layout is outlined in the following table.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
NotificationType												T	U	S	M																

NotificationType is a 12 bit enumeration defining the type of the notification. The possible values are outlined in the following table.

0x0001	The notification is for <i>CriticalError</i> event.
0x0002	The notification is for <i>NewMail</i> events.
0x0004	The notification is for <i>ObjectCreated</i> event.
0x0008	The notification is for <i>ObjectDeleted</i> event.
0x0010	The notification is for <i>ObjectModified</i> event.
0x0020	The notification is for <i>ObjectMoved</i> event.
0x0040	The notification is for <i>ObjectCopied</i> event.
0x0080	The notification is for <i>SearchCompleted</i> event.
0x0100	The notification is for <i>TableModified</i> events.
0x0200	The notification is for <i>StatusObjectModified</i> event.
0x0400	The value is reserved and MUST NOT be used.

The meaning of other flags is outlined in the following table.

0x1000	T bit. The notification contains information about a change in total number of messages in a folder triggering the event. If this bit is set, then <i>NotificationType</i> MUST be 0x0010.
0x2000	U bit. The notification contains information about a change in number of unread messages in a folder triggering the event. If this bit is set, then <i>NotificationType</i> MUST be 0x0010.
0x4000	S bit. The notification is caused by an event in a search folder. If this bit is set, then bit 0x8000 MUST be set.
0x8000	M bit. The notification is caused by an event on a message.

2.2.1.4.1.2 TableEventType

0x01	The notification is for <i>TableChanged</i> events.
0x02	The notification is for <i>TableError</i> events.
0x03	The notification is for <i>TableRowAdded</i> event.
0x04	The notification is for <i>TableRowDeleted</i> events.
0x05	The notification is for <i>TableRowModified</i> event.
0x06	The notification is for <i>TableSortDone</i> event.
0x07	The notification is for <i>TableRestrictionChanged</i> event.
0x08	The notification is for <i>TableColumnsChanged</i> event.
0x09	The notification is for <i>TableReloaded</i> event.

2.2.1.4.1.3 MessageFlags

See [MS-OXCMSG] for more details.

2.2.1.4.1.4 MessageClass

See [MS-OXCMSG] for more details.

3 Protocol Details

3.1 Notifications Server Details

3.1.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

3.1.2 Timers

None.

3.1.3 Initialization

3.1.3.1 Subscribing for Notifications

3.1.3.1.1 Receiving RopRegisterNotification

When **RopRegisterNotification** message is received by the server, the server SHOULD create a new notification subscription object and associate it with the session context. The server SHOULD save the information provided in various fields of the **RopRegisterNotification** for future use.

The server SHOULD allow multiple notification subscriptions to be created and associated with the same session context.

3.1.3.1.2 Receiving RopSynchronizationOpenAdvisor

When **RopSynchronizationOpenAdvisor** message is received by the server, the server SHOULD create a new ICS Advisor object and associate it with the session context.

The server SHOULD allow multiple ICS Advisors to be created and associated with the same session context.

3.1.3.1.3 Receiving RopRegisterSynchronizationNotifications

When **RopRegisterSynchronizationNotifications** message is received by the server, *InputHandle* MUST be a valid handle of ICS Advisor object.

The server SHOULD allow multiple **RopRegisterSynchronizationNotifications** messages to be received for the same ICS Advisor object.

The server SHOULD adjust the scope of notification subscription with the details provided by the last **RopRegisterSynchronizationNotifications** message that was successfully processed.

3.1.3.1.4 Receiving RopSetSynchronizationNotificationGuid

When **RopSetSynchronizationNotificationGuid** message is received by the server, the *InputHandle* MUST be a valid handle of ICS Advisor object.

The server SHOULD allow multiple **RopSetSynchronizationNotificationGuid** messages to be received for the same ICS Advisor object.

The server SHOULD assign the ICS Advisor a notification GUID provided by the last **RopSetSynchronizationNotificationGuid** message that was successfully processed.

The server MUST NOT send any *StatusObjectModified* notifications to the client, if these notifications were triggered by a client Logon that has the value of **PidTagChangeNotificationGuid** property that matches the GUID assigned to the ICS Advisor object by **RopSetSynchronizationNotificationGuid**. See [MS-OXCSTOR] for more details.

3.1.3.1.5 Subscribing for Table Notifications

The server SHOULD NOT require any special actions to register for notifications on table events. The server SHOULD create a subscription to table notifications for every table created on the server. The server MUST NOT create a subscription to table notifications for the tables that were created with *NoNotifications* flag.

3.1.3.2 Initializing Pending Notifications

3.1.3.2.1 Receiving EcRRegisterPushNotification

When a call to **EcRRegisterPushNotification** is received by the server, a valid callback address in *rgbCallbackAddress* field and buffer with opaque client data in *rgbContext* field MUST be present. The server MUST fail the call and MUST NOT take any actions if the

callback address is not a valid SOCKADDR structure. See [MSDN-WS2] for more information.

The server **SHOULD** support a variety of different callback address types. The server **SHOULD** support at minimum the AF_INET address type for IP support and AF_INET6 address type for IPv6 support.

The server **MUST** save the callback address and opaque context data on the session context for future use.

After the callback address has been successfully registered with the server, the server **SHOULD** immediately send a datagram containing the client's opaque data.

3.1.3.2.2 Receiving EcDoAsyncConnectEx

When a call to **EcDoAsyncConnectEx** is received by the server, the server **MUST** create an Asynchronous Context Handle (ACXH) and **MUST** bind it to the Session Context Handle (CXH) used to make the call.

3.1.4 Message Processing Events and Sequencing Rules

3.1.4.1 Notifying Client About Pending Notifications

3.1.4.1.1 Sending RopPending

The server **SHOULD** send **RopPending** response command to the client whenever there are pending notifications on the session context associated with the client and any linked session contexts.

3.1.4.1.2 Sending Push Notification Datagram

The server **MUST NOT** take any actions if the client has not previously registered a callback address using **EcRRegisterPushNotification**.

The server **MUST** send a datagram to the callback address when a notification is available for the client. The datagram sent by the server **MUST** contain the opaque data that was provided by the client when callback address was registered.

The server **MUST** continue sending a datagram to the callback address at periodic intervals if event details are still queued for the client. The server **SHOULD** only stop sending datagrams when all of the notifications have been retrieved from the server through **EcDoRpcExt2** calls. The server **SHOULD** allow for certain time interval between datagrams until the client has retrieved all event information for the session. The server **MAY** provide a server administrators means to configure the time interval between the datagrams.

3.1.4.1.3 Receiving and Completing Asynchronous RPC call

Whenever an asynchronous call to **EcDoAsyncWaitEx** on interface AsyncEMSMDDB is received by the server, the server **MUST** validate that the **ACXH** provided is a valid **ACXH**

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returned from **EcDoAsyncConnectEx**. The server **SHOULD NOT** complete the call until there is a notification for the client session, or the call has been outstanding on the server for a certain time. If the server already has a call outstanding for the same session context handle, the server **SHOULD** immediately complete the new call.

If the server completes the outstanding call when there is a notification for the client session, the server **MUST** return value **NotificationPending** in the output field *pulFlagsOut*. The server **MUST** return zero in *pulFlagsOut* if the call was completed for any other reasons.

3.1.4.2 Sending Notification Details

3.1.4.2.1 Sending RopNotify

The server **SHOULD** send **RopNotify** response command to the client whenever there are pending notifications on the session context associated with the client. The server **SHOULD** send as many notification details through multiple **RopNotify** response commands as the ROP response buffer allows. If the server was not able to fit the details for all pending notifications into the ROP response buffer, it **SHOULD** also send **RopPending** response command if the response buffer allows.

3.1.5 Timer Events

None.

3.1.6 Other Local Events

None.

3.2 Notifications Client Details

3.2.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

3.2.2 Timers

None.

3.2.3 Initialization

3.2.3.1 Subscribing for Notifications

3.2.3.1.1 Sending RopRegisterNotification

If the client needs to receive notifications from the server, the client **SHOULD** send **RopRegisterNotification** to the server. The client **MUST** provide specific details about

notifications it needs to receive and the scope of the notification as described in 2.2.1.2.1. Upon receiving the response from the server, the client **MUST** save the returned handle to the subscription object. When the client no longer needs to receive notifications, the handle of the notification subscription object **MUST** be released using **RopRelease**.

The client **MAY** send **RopRegisterNotification** multiple times to the server.

3.2.3.1.2 Sending RopSynchronizationOpenAdvisor

If the client needs to receive *StatusObjectModified* notifications, it **MUST** first create ICS Advisor object by sending **RopSynchronizationOpenAdvisor**. The client **MUST** save the returned handle to the ICS Advisor object. When the client no longer needs to receive *StatusObjectModified* notifications, the handle of the ICS Advisor object **MUST** be released using **RopRelease**.

The client **MAY** send **RopSynchronizationOpenAdvisor** multiple times to the server.

3.2.3.1.3 Sending RopRegisterSynchronizationNotifications

Once the ICS Advisor object has been created using **RopSynchronizationOpenAdvisor**, the client **SHOULD** define the scope of notifications using **RopRegisterSynchronizationNotifications**. The client **MAY** send **RopRegisterSynchronizationNotifications** multiple times to the server.

3.2.3.1.4 Sending RopSetSynchronizationNotificationGuid

If the client needs to suppress *StatusObjectModified* notifications on certain operations, it **SHOULD** assign an ICS Advisor object with a special GUID via **RopSetSynchronizationNotificationGuid**. If the client has assigned a GUID to the ICS Advisor object, the client **MUST** set the value of **PidTagChangeNotificationGuid** property to the **Logon** object to suppress *StatusObjectModified* notifications for the operations made using that **Logon**.

3.2.3.1.5 Subscribing for Table Notifications

The client **MUST NOT** take any actions to subscribe to table notifications. The subscription is created automatically when the client creates a **Table** object on the server.

3.2.3.2 Initializing Push Notifications

3.2.3.2.1 Sending EcRRegisterPushNotifications

The client calls **EcRRegisterPushNotification** to register a callback address for the session context. In addition to the callback address the client **MUST** provide a buffer of opaque data to the server.

The client **MAY** register a variety of different callback address types if the server supports the address type. It is not required, but recommended that a client register a callback address using an address type which corresponds to the protocol being used to communicate with the server.

For instance, if the client makes an RPC call to **EcDoConnectEx** using the TCP/IP protocol, it SHOULD register an AF_INET callback address in call **EcRRegisterPushNotification**.

Clients connecting via RPC/HTTP protocol SHOULD NOT use the Push Notification method of being signaled of pending event information. The client SHOULD either use the basic Polling method or the Asynchronous RPC Notification method outlined in sections 1.3.1.2 and 1.3.1.4.

Because of network conditions, such as firewalls or the client using RPC/HTTP connections, it isn't always possible for the datagram sent from the server to the client's callback address to be successful. In order to overcome this problem the client SHOULD poll the server using the polling method even after registering a callback address with the server through **EcRRegisterPushNotification** up until it receives a datagram from the server. When the client receives a datagram from the server at the callback address it SHOULD stop polling the server and rely on datagrams pushed from the server to know when to call **EcDoRpcExt2** to retrieve event information.

3.2.3.2.2 Sending EcDoAsyncConnectEx

The client SHOULD determine if the server supports **EcDoAsyncConnectEx** by examining the server version information that is returned from the **EcDoConnectEx** call. See the Version Checking section to determine which minimum server version is required to utilize the Asynchronous RPC Notification method.

The client MAY call **EcDoAsyncConnectEx** after a successful **EcDoConnectEx** call. The client MUST save the returned Asynchronous Context Handle (ACXH) after **EcDoAsyncConnectEx** call completes. The client MUST use the ACXH in the subsequent **EcDoAsyncWaitEx** calls to the server.

3.2.4 Message Processing Events and Sequencing Rules

3.2.4.1 Receiving Notification About Pending Notifications

3.2.4.1.1 Receiving RopPending

Upon receiving **RopPending** in the response buffer of **EcDoRpcExt2**, the client MUST determine if the session index provided in the **RopPending** matches any of the sessions created by the client. If the session index matches, then the client SHOULD make **EcDoRpcExt2** calls to receive notification details from the sever using the CXH associated with the session specified by the session index. If the session index in **RopPending** does not match the index of any of the sessions created by the client, the client MUST NOT take any actions.

3.2.4.1.2 Receiving Push Notification Datagram

Upon receiving a datagram on the callback address that was previously registered by the client via **EcRRegisterPushNotification**, the client MUST verify that the content of the datagram is valid by matching it with the content of the opaque data blob that was provided to the server

via **EcRRegisterPushNotification**. If the content of the datagram is valid, the client SHOULD make **EcDoRpcExt2** calls to receive notification details from the sever. Otherwise the client MUST NOT take any actions on the datagram.

3.2.4.1.3 Sending and Receiving EcDoAsyncWaitEx

If the server supports Asynchronous RPC Notifications, and the client successfully created **ACXH** by calling **EcDoAsyncConnectEx**, the client SHOULD call **EcDoAsyncWaitEx** in order to identify if notifications are pending in the server.

When a call to **EcDoAsyncWaitEx** completes, the client MUST examine its return value and the value of the *pulFlagsOut* output parameter. If the return value is 0x00000000 and bit 0x00000001 is set in the *pulFlagsOut* output parameter, the client SHOULD make **EcDoRpcExt2** calls to receive notification details from the sever.

After the results of **EcDoAsyncWaitEx** are processed, the client SHOULD call **EcDoAsyncWaitEx** again to continue listening for more notifications.

3.2.4.2 Receiving Notification Details

3.2.4.2.1 Receiving RopNotify

Upon receiving **RopNotify**, the client MUST verify that *NotificationHandle* is a valid handle to a notification subscription, an ICS Advisor or a Table objects which were previously created by the client. If the *NotificationHandle* is valid, the client MAY update its internal state using the details provided in the **RopNotify**. Otherwise the client MUST ignore the **RopNotify**.

3.2.5 Timer Events

None.

3.2.6 Other Local Events

None.

4 Protocol Examples

None.

5 Security

5.1 Security Considerations for Implementers

There are no special security considerations specific to this protocol. General security considerations pertaining to the underlying ROP transport protocol defined in [MS-OXCROPS] do apply.

6 Appendix A: Office/Exchange Behavior

The information in this specification is applicable to the following versions of Office/Exchange:

- Office 2003 with Service Pack 3 applied
- Exchange 2003 with Service Pack 2 applied
- Office 2007 with Service Pack 1 applied
- Exchange 2007 with Service Pack 1 applied

Exceptions, if any, are noted below. Unless otherwise specified, any statement of optional behavior in this specification prescribed using the terms SHOULD or SHOULD NOT implies Office/Exchange behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term MAY implies Office/Exchange does not follow the prescription.

<1> Section 1.7: Microsoft Office 2007 SP1 and Microsoft Exchange Server 2007 SP1 support Asynchronous RPC Notifications.

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