

[MS-OFFCRYPTO]: Office Document Cryptography Structure Specification

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1 Introduction

This document specifies the Office Document Cryptography Structure for documents with Information Rights Management (IRM) policies, document encryption, or with signing and write protection applied. More specifically, this document describes the following:

- The data spaces structure, which is a generic mechanism for storing information that has been transformed, along with a list of the transforms, protection and encryption mechanisms used.
- The Information Rights Management Data Space (IRMDS) structure, which is used to store rights management policies that have been applied to a particular document.
- The encryption, signing, and write protection structures.

Sections 1.7 and 2 of this specification are normative and can contain the terms MAY, SHOULD, MUST, MUST NOT, and SHOULD NOT as defined in RFC 2119. All other sections and examples in this specification are informative.

1.1 Glossary

The following terms are defined in [\[MS-GLOS\]](#):

ASCII
base64
certificate
certificate chain
Component Object Model (COM)
Coordinated Universal Time (UTC)
Cryptographic Application Programming Interface (CAPI) or CryptoAPI
cryptographic service provider (CSP)
Data Encryption Standard (DES)
Distinguished Encoding Rules (DER)
encryption key
GUID
Hash-based Message Authentication Code (HMAC)
language code identifier (LCID)
little-endian
RC4
salt
Unicode
UTF-8
X.509

The following terms are defined in [\[MS-OFCGLOS\]](#):

Advanced Encryption Standard (AES)
block cipher
cipher block chaining (CBC)
data space reader
data space updater
data space writer
Information Rights Management (IRM)
MD5
OLE compound file
protected content

SHA-1
storage
stream
transform
Uniform Resource Identifier (URI)
Uniform Resource Locator (URL)
XOR obfuscation

The following terms are specific to this document:

data space: A series of transforms that operate on original document content in a specific order. The first transform in a data space takes untransformed data as input and passes the transformed output to the next transform. The last transform in the data space produces data that is stored in the compound file. When the process is reversed, each transform in the data space is applied in reverse order to return the data to its original state.

electronic codebook (ECB): A block cipher mode that does not use feedback and encrypts each block individually. Blocks of identical plaintext, either in the same message or in a different message that is encrypted with the same key, are transformed into identical ciphertext blocks. Initialization vectors cannot be used.

MAY, SHOULD, MUST, SHOULD NOT, MUST NOT: These terms (in all caps) are used as described in [\[RFC2119\]](#). All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

1.2 References

References to Microsoft Open Specifications documentation do not include a publishing year because links are to the latest version of the documents, which are updated frequently. References to other documents include a publishing year when one is available.

1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact dochelp@microsoft.com. We will assist you in finding the relevant information. Please check the archive site, <http://msdn2.microsoft.com/en-us/library/E4BD6494-06AD-4aed-9823-445E921C9624>, as an additional source.

[BCMO800-38A] National Institute of Standards and Technology, "Recommendation for Block Cipher Modes of Operation: Methods and Techniques", NIST Special Publication 800-38A, December 2001, <http://csrc.nist.gov/publications/nistpubs/800-38a/sp800-38a.pdf>

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[ECMA-376] ECMA International, "Office Open XML File Formats", 1st Edition, ECMA-376, December 2006, <http://www.ecma-international.org/publications/standards/Ecma-376.htm>

[ISO/IEC 10118] International Organization for Standardization, "Hash-functions -- Part 3: Dedicated hash-functions", March 2004, http://www.iso.org/iso/iso_catalogue/catalogue_tc/catalogue_detail.htm?csnumber=39876

[ITUX680-1994] ITU-T, "Information Technology—Abstract Syntax Notation One (ASN.1): Specification of Basic Notation", ITU-T Recommendation X.680, July 1994, <http://www.itu.int/rec/T-REC-X.680-199407-S/en>

[MS-CFB] Microsoft Corporation, "[Compound File Binary File Format](#)".

[MS-DOC] Microsoft Corporation, "[Word Binary File Format \(.doc\) Structure Specification](#)".

[MS-DTYP] Microsoft Corporation, "[Windows Data Types](#)".

[MS-OSHARED] Microsoft Corporation, "[Office Common Data Types and Objects Structure Specification](#)".

[MS-PPT] Microsoft Corporation, "[PowerPoint Binary File Format \(.ppt\) Structure Specification](#)".

[MS-RMPR] Microsoft Corporation, "[Rights Management Services \(RMS\): Client-to-Server Protocol Specification](#)".

[MS-UCODEREF] Microsoft Corporation, "[Windows Protocols Unicode Reference](#)".

[MS-XLS] Microsoft Corporation, "[Excel Binary File Format \(.xls\) Structure Specification](#)".

[MS-XLSB] Microsoft Corporation, "[Excel Binary File Format \(.xlsb\) Structure Specification](#)".

[RFC1319] Kaliski, B., "The MD2 Message-Digest Algorithm", RFC 1319, April 1992, <http://www.ietf.org/rfc/rfc1319.txt>

[RFC1320] Rivest, R., "The MD4 Message-Digest Algorithm", RFC 1320, April 1992, <http://www.ietf.org/rfc/rfc1320.txt>

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[RFC2104] Krawczyk, H., Bellare, M., and Canetti, R., "HMAC: Keyed-Hashing for Message Authentication", RFC 2104, February 1997, <http://www.ietf.org/rfc/rfc2104.txt>

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, <http://www.rfc-editor.org/rfc/rfc2119.txt>

[RFC2268] Rivest, R., "A Description of the RC2(r) Encryption Algorithm", RFC 2268, March 1998, <http://www.ietf.org/rfc/rfc2268.txt>

[RFC2822] Resnick, P., Ed., "Internet Message Format", STD 11, RFC 2822, April 2001, <http://www.ietf.org/rfc/rfc2822.txt>

[RFC2898] Kaliski, B., "PKCS #5: Password-Based Cryptography Specification Version 2.0", RFC 2898, September 2000, <http://www.rfc-editor.org/rfc/rfc2898.txt>

[RFC3280] Housley, R., Polk, W., Ford, W., and Solo, D., "Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile", RFC 3280, April 2002, <http://www.ietf.org/rfc/rfc3280.txt>

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[RFC4634] Eastlake III, D., and Hansen, T., "US Secure Hash Algorithms (SHA and HMAC-SHA)", RFC 4634, July 2006, <http://www.ietf.org/rfc/rfc4634.txt>

[W3C-XSD] World Wide Web Consortium, "XML Schema Part 2: Datatypes Second Edition", October 2004, <http://www.w3.org/TR/2004/REC-xmlschema-2-20041028>

[XAdES] ETSI, "XML Advanced Electronic Signatures (XAdES)", ETSI TS 101 903 V1.3.2, <http://uri.etsi.org/01903/v1.3.2/>

[XMLDSig] Bartel, M., Boyer, J., Fox, B., et al., "XML-Signature Syntax and Processing", W3C Recommendation, February 2002, <http://www.w3.org/TR/2002/REC-xmlsig-core-20020212/>

1.2.2 Informative References

[ISO/IEC-29500-1] International Organization for Standardization, "Information Technology - Document description and processing languages - Office Open XML File Formats - Part 1: Fundamentals and Markup Language Reference", ISO/IEC PRF 29500-1:2008, http://www.iso.org/iso/iso_catalogue/catalogue_tc/catalogue_detail.htm?csnumber=51463

[MSDN-CAB] Microsoft Corporation, "Microsoft Cabinet SDK", March 1997, <http://msdn.microsoft.com/en-us/library/ms974336.aspx>

[MS-GLOS] Microsoft Corporation, "[Windows Protocols Master Glossary](#)".

[MS-OFCGLOS] Microsoft Corporation, "[Microsoft Office Master Glossary](#)".

1.3 Structure Overview (Synopsis)

1.3.1 Data Spaces

The data spaces structure describes a consistent method of storing content in **OLE compound files** that has been transformed in some way. The structure stores both the **protected content** and information about the transforms that have been applied to the content. By storing all of this information inside an OLE compound file, client software has all of the information required to read, write, or manipulate the content. A standard structure of **streams (1)** and **storages** allows various software components to interact with the data in a consistent manner.

The data spaces structure allows client applications to describe one or more arbitrary transforms. Each **transform** represents a single arbitrary operation to be performed on a set of storages or streams in the original document content. One or more transforms can then be composited into a **data space** definition. Data space definitions can then be applied to arbitrary storages or streams in the original document content in the data space map (section [2.1](#)).

Because of the layers of indirection between transforms and document content, different transforms can be applied to different parts of the document content, and transforms can be composited in any order.

The following figure illustrates the relationships between the **DataSpaceMap** stream, the **DataSpaceInfo** storage, the **TransformInfo** storages, and the protected content. Note that other streams and storages are in the document; this figure describes only the relationships between these storages and streams.

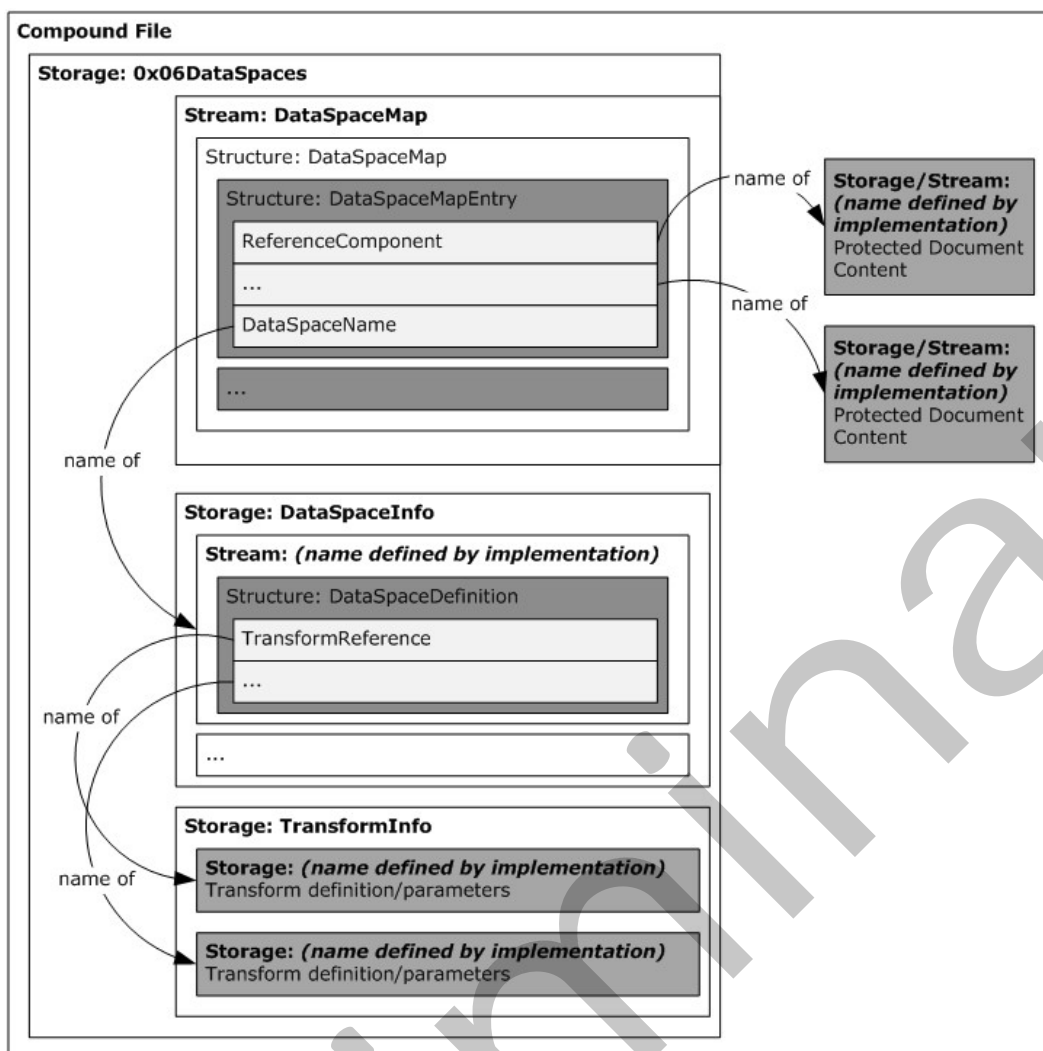


Figure 1: Relationships between the DataSpaceMap stream, the DataSpaceInfo storage, the TransformInfo storages, and the protected content

1.3.2 Information Rights Management Data Space (IRMDS)

The Information Rights Management Data Space (IRMDS) structure is used to enforce a rights management policy applied to a document. The structure defines a transform that is used to encrypt document content, and it defines a second transform that can be used for certain document types to compress document content.

The original document content is transformed through encryption and placed in a storage not normally accessed by the application. When needed, the application uses the transforms defined in the document to decrypt the protected content.

This structure is an implementation of the data spaces structure. Therefore, implementing the structure implies storing document content in an OLE compound file.

Applications that implement this structure will typically store a second document in the OLE compound file called the "placeholder document." The placeholder document will be put in the streams or storages normally identified by the application as containing document content, such that an application that does not detect the IRMDS structure will instead open the placeholder document.

Applications that implement this structure will typically try to follow the licensing limitations placed on a document. Typical licensing limitations are described in [\[MS-RMPR\]](#), and include the right to view, print, edit, forward, or view rights data.

The following figure shows the specific storages, streams, structures, and relationships between them that are created when the IRMDS structure is used in an ECMA-376 document [\[ECMA-376\]](#).

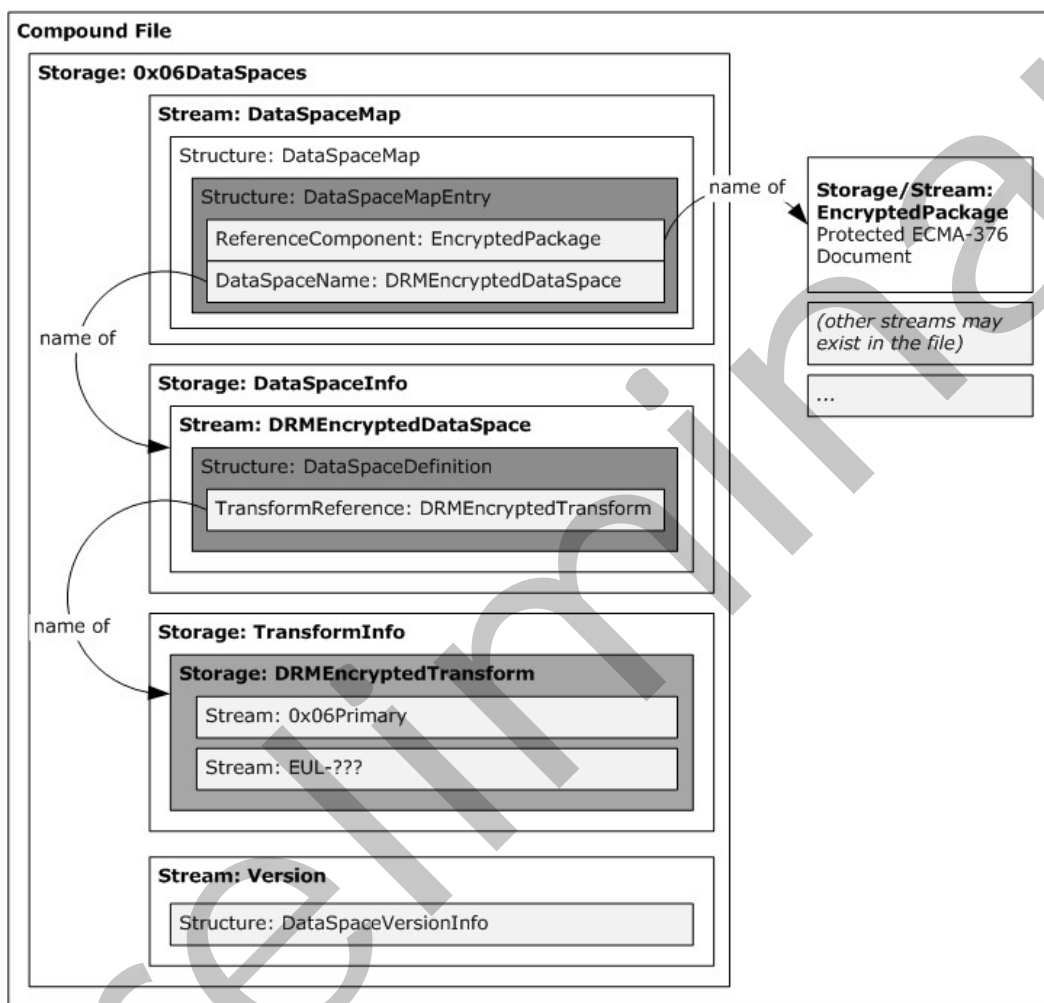


Figure 2: ECMA-376 word-processing document with IRMDS structure applied

1.3.3 Encryption

Password-protected documents can be created by using four mechanisms:

- **XOR obfuscation.**

- 40-bit **RC4** encryption.
- **Cryptographic Application Programming Interface (CAPI) or CryptoAPI** encryption. <1>
- ECMA-376 document encryption [\[ECMA-376\]](#), which can include one of three approaches:
 - **Standard encryption:** this approach utilizes a binary **EncryptionInfo** structure. It uses **Advanced Encryption Standard (AES)** as an encryption algorithm and **SHA-1** as a hashing algorithm.
 - **Agile encryption:** this approach utilizes an XML **EncryptionInfo** structure. The encryption and hashing algorithms are specified in the structure and can be any encryption supported on the host computer.
 - **Extensible encryption:** this approach uses an extensible mechanism to allow arbitrary cryptographic modules to be used.

1.3.3.1 XOR Obfuscation

XOR obfuscation is performed on portions of Office binary documents. The normal streams contained within the document are modified in place. The details of how an application can determine whether XOR obfuscation is being used and the placement of the password verifier are specified in the respective binary file format documentation (for more information, see [\[MS-XLS\]](#) and [\[MS-DOC\]](#)).

There are two methods for performing XOR obfuscation, known as Method 1 and Method 2. Method 1 specifies structures and procedures used by the Excel Binary File Format (.xls) Structure [MS-XLS], and Method 2 specifies structures and procedures used by the Word Binary File Format (.doc) Structure [MS-DOC].

1.3.3.2 40-bit RC4 Encryption

40-bit RC4 encryption is performed on portions of Office binary documents. The details of how to determine whether 40-bit RC4 encryption is being used and the placement of the password verifier are specified in the respective binary file format documentation (for more information, see [\[MS-XLS\]](#) and [\[MS-DOC\]](#)). The same mechanisms for generating the password verifier, deriving the **encryption key**, and encrypting data are used for all file formats supporting 40-bit RC4 encryption.

1.3.3.3 CryptoAPI RC4 Encryption

CryptoAPI RC4 encryption is performed on portions of Office binary documents. The documents will contain a new stream to contain encrypted information, but can also encrypt other streams in place. The details of how to determine whether CryptoAPI RC4 encryption is being used and the placement of the password verifier are specified in the respective binary file format documentation (for more information, see [\[MS-XLS\]](#), [\[MS-DOC\]](#), and [\[MS-PPT\]](#)). The same mechanisms for generating the password verifier, storing data specifying the cryptography, deriving the encryption key, and encrypting data are used for all file formats supporting CryptoAPI RC4 encryption.

1.3.3.4 ECMA-376 Document Encryption

Encrypted ECMA-376 documents [\[ECMA-376\]](#) use the [data spaces](#) functionality to contain the entire document as a single stream in an OLE compound file. All ECMA-376 documents [\[ECMA-376\]](#) adhere to the approaches specified in this document and do not require knowledge of application-specific behavior to perform encryption operations. The overall approach is very similar to that used by [IRMDS](#).

1.3.4 Write Protection

Application of password-based write protection for Office binary documents is specified in section [2.4.2](#). Write-protected binary documents vary according to the file format. A summary of each type follows:

- [\[MS-XLS\]](#): The password is converted to a 16-bit password verifier, stored in the document as described in [MS-XLS], and the document is then encrypted as described in both [MS-XLS] and this specification. If the user does not supply an encryption password, a fixed password is used.
- [\[MS-DOC\]](#): The password is stored in the clear, as described in [MS-DOC], and the document is not encrypted.
- [\[MS-PPT\]](#): The password is stored in the clear, as described in [MS-PPT], and the document can then be encrypted as described in both [MS-PPT] and this specification. If encryption is used and the user does not supply an encryption password, a fixed password is used.

1.3.5 Digital Signatures

Office binary documents can be signed using one of the following methods:

- A binary format stored in an **_signatures** storage. This approach is described in section [2.5.1](#).
- Using xmldsig, as described in [\[XMLDSig\]](#), stored in an **_xmldsignatures** storage. This approach is described in sections [2.5.2](#) and [2.5.3](#).

1.3.6 Byte Ordering

All data and structures in this document are assumed to be in **little-endian** format.

1.3.7 String Encoding

In this document, several storages and stream names include the string "0x01", "0x05", "0x06", and "0x09". These strings are not literally included in the name. Instead, they represent the **ASCII** characters with hexadecimal values 0x01, 0x05, 0x06, and 0x09 respectively.

1.3.8 OLE Compound File Path Encoding

Paths to specific storages and streams in an OLE compound file are separated by a backslash (\). The backslash is a delimiter between parts of the path and, therefore, is not part of the name of any specific storage or stream. Paths that begin with a backslash are parented to the root storage of the OLE compound file.

1.3.9 Pseudocode Standard Objects

The pseudocode in this document refers to several objects with associated properties. Accessing a property of an object is denoted with the following syntax: `Object.Property`. This section describes the properties of each object as they are used in this document.

1.3.9.1 Array

An array can be a collection of zero or more child objects of uniform type, where each child is addressable using an unsigned integer index. Referencing a child object of an array is denoted using the following syntax: `array[index]`.

Indexes are zero-based and monotonically increase by 1. Therefore, index 0 references the first element in an array, and index 1 references the second child in the array.

Arrays have the following property:

- **Length:** The number of child objects in the array.

1.3.9.2 String

A string can be an array of ASCII characters. As in arrays, individual characters in the string are addressable using a zero-based index.

1.3.9.3 Storage

A storage can be an OLE storage as described by [\[MS-CFB\]](#). Storages have the following properties:

- **Name:** A unique identifier for the storage within its parent, as described in [MS-CFB].
- **GUID:** A 16-byte identifier associated with the storage, as described in [MS-CFB].
- **Children:** Zero or more child storages or streams. Each child is addressable by its name.

1.3.9.4 Stream

A stream can be an OLE storage as described by [\[MS-CFB\]](#). Streams have the following properties:

- **Name:** A unique identifier for the stream within its parent, as described in [MS-CFB].
- **Data:** An array of zero or more unsigned 8-bit integers containing the data in the stream.

1.4 Relationship to Protocols and Other Structures

This specification builds on the compound document specification as described in [\[MS-CFB\]](#).

Some structures in this specification reference structures described in [\[MS-RMPR\]](#). In addition, the protocols described in [MS-RMPR] are necessary for obtaining the information required to understand the transformed data in a document with rights management policy applied.

For encryption operations, this specification also requires an understanding of [\[MS-XLS\]](#), [\[MS-PPT\]](#), or [\[MS-DOC\]](#).

1.5 Applicability Statement

1.5.1 Data Spaces

The data spaces structure specifies a set of storages and streams within an OLE compound file, the structures contained in them, and relationships between them. OLE compound files that conform to the data spaces structure can also have other storages or streams in them that are not specified by this structure.

1.5.2 IRMDS

The IRMDS structure is required when reading, modifying, or creating documents with rights management policies applied.

1.5.3 Encryption

The ECMA-376 encryption structure, streams, and storages [\[ECMA-376\]](#) are required when encrypting ECMA-376 documents [\[ECMA-376\]](#). When binary file types are encrypted, either CryptoAPI RC4 encryption, RC4 encryption, or XOR obfuscation is required.

1.6 Versioning and Localization

None.

1.7 Vendor-Extensible Fields

The data spaces structure allows vendors to implement arbitrary transforms, data space definitions, and data space maps. In this way, the structure can be used to represent any arbitrary transformation to any arbitrary data.

The IRMDS structure does not contain any vendor-extensible fields.

ECMA-376 document encryption [\[ECMA-376\]](#) MAY be extended if additional CryptoAPI providers are installed, or if extensible encryption is used.

2 Structures

2.1 Data Spaces

The data spaces structure consists of a set of interrelated storages and streams in an OLE compound file as specified in [\[MS-CFB\]](#).

Software components that interact with data spaces MUST check the **DataSpaceVersionInfo** structure (section [2.1.5](#)) contained in the **\0x06DataSpaces\Version** stream for the appropriate version numbers and respect the following rules.

Data space readers:

- **Data space readers** MUST read the protected content when the reader version is less than or equal to the highest data spaces structure version understood by the software component.
- Readers MUST NOT read the protected content when the reader version is greater than the highest data spaces structure version understood by the software component.

Data space updaters:

- **Data space updaters** MUST preserve the format of the protected content when the updater version is less than or equal to the highest data spaces structure version understood by the software component.
- Updaters MUST NOT change the protected content when the updater version is greater than the highest data spaces structure version understood by the software component.

Data space writers:

- **Data space writers** MUST set the writer version to "1.0".
- Writers MUST set the updater version to "1.0".
- Writers MUST set the reader version to "1.0".

2.1.1 File

Every document that conforms to the data spaces structure (section [2.1](#)) MUST be an OLE compound **File** structure as defined by [\[MS-CFB\]](#). The **File** MUST contain the following storages and streams:

- **\0x06DataSpaces storage:** A storage that contains all of the necessary information to understand the transforms applied to original document content in a given OLE compound file.
- **\0x06DataSpaces\Version stream:** A stream containing the **DataSpaceVersionInfo** structure, as specified in section [2.1.5](#). This stream specifies the version of the data spaces structure used in the file.
- **\0x06DataSpaces\DataSpaceMap stream:** A stream containing a **DataSpaceMap** structure as specified in section [2.1.6](#). This stream associates protected content with the data space definition used to transform it.
- **\0x06DataSpaces\DataSpaceInfo storage:** A storage containing the data space definitions used in the file. This storage MUST contain one or more streams, each of which contains a **DataSpaceDefinition** structure as specified in section [2.1.7](#). The storage MUST contain exactly one stream for each **DataSpaceMapEntry** structure (section [2.1.6.1](#)) in the

\0x06DataSpaces\DataSpaceMap stream (section [2.2.1](#)). The name of each stream MUST be equal to the **DataSpaceName** field of exactly one **DataSpaceMapEntry** structure contained in the **\0x06DataSpaces\DataSpaceMap** stream.

- **\0x06DataSpaces\TransformInfo storage:** A storage containing definitions for the transforms used in the data space definitions stored in the **\0x06DataSpaces\DataSpaceInfo** storage as specified in section [2.2.2](#). The stream contains zero or more definitions for the possible transforms that can be applied to the data in content streams.

Every transform referenced from a data space MUST be defined in a child storage of the **\0x06DataSpaces\TransformInfo** storage (section [2.2.3](#)), each of which is called a transform storage. Transform storages MUST have a valid storage name.

Each transform storage identifies an algorithm used to transform data and any parameters needed by that algorithm. Transform storages do not contain actual implementations of transform algorithms, merely definitions and parameters. It is presumed that all software components that interact with the protected content have access to an existing implementation of the transform algorithm.

Every transform storage MUST contain a stream named "0x06Primary". The 0x06Primary stream MUST begin with a **TransformInfoHeader** structure (section [2.1.8](#)). Transform storages can contain other streams or storages if needed by a particular transform.

Transformed content streams and storages: One or more storages or streams containing protected content. The transformed content is associated with a data space definition by an entry in the **\0x06DataSpaces\DataSpaceMap** stream.

2.1.2 Length-Prefixed Padded Unicode String (UNICODE-LP-P4)

The Length-Prefixed Padded Unicode String structure (**UNICODE-LP-P4**) contains a length-prefixed **Unicode** string, padded to always use a multiple of 4 bytes.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Length																															
Data (variable)																															
...																															
Padding (variable)																															
...																															

Length (4 bytes): An unsigned integer that specifies the size of the **Data** field, in bytes. It MUST be a multiple of 2 bytes.

Data (variable): A Unicode string containing the value of the **UNICODE-LP-P4** structure. It MUST NOT be null-terminated.

Padding (variable): MUST be of correct size such that the size of the **UNICODE-LP-P4** structure is a multiple of 4 bytes. If **Padding** is present, it MUST be exactly 2 bytes long, and each byte MUST be 0x00.

2.1.3 Length-Prefixed UTF-8 String (UTF-8-LP-P4)

The Length-Prefixed UTF-8 String structure (**UTF-8-LP-P4**) contains a length-prefixed **UTF-8** string, padded to always use a multiple of 4 bytes.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Length																															
Data (variable)																															
...																															
Padding (variable)																															
...																															

Length (4 bytes): An unsigned integer that specifies the size of the **Data** field, in bytes.

Data (variable): A UTF-8 string that specifies the value of the **UTF-8-LP-P4** structure. It MUST NOT be null-terminated.

Padding (variable): MUST be of correct size such that the size of the **UTF-8-LP-P4** structure is a multiple of 4 bytes. If **Padding** is present, each byte MUST be 0x00. If the length is exactly 0x00000000, then this specifies a null string, and the entire structure will use exactly 4 bytes. If the length is exactly 0x00000004, this specifies an empty string, and the entire structure also will use exactly 4 bytes.

2.1.4 Version

The **Version** structure specifies the version of a product or feature. It contains a major and minor version number. When comparing version numbers, **vMajor** MUST be considered the most significant component and **vMinor** MUST be considered the least significant component.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
vMajor																vMinor															

vMajor (2 bytes): An unsigned integer that specifies the major version number.

vMinor (2 bytes): An unsigned integer that specifies the minor version number.

2.1.5 DataSpaceVersionInfo

The **DataSpaceVersionInfo** structure indicates the version of the data spaces structure used in a given file.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
FeatureIdentifier (variable)																															
...																															
ReaderVersion																															
UpdaterVersion																															
WriterVersion																															

FeatureIdentifier (variable): A **UNICODE-LP-P4** structure (section 2.1.2) that specifies the functionality for which the **DataSpaceVersionInfo** structure specifies version information. It MUST be "Microsoft.Container.DataSpaces".

ReaderVersion (4 bytes): A **Version** structure (section 2.1.4) that specifies the reader version of the data spaces structure (section 2.1). **ReaderVersion.vMajor** MUST be 1. **ReaderVersion.vMinor** MUST be 0.

UpdaterVersion (4 bytes): A **Version** structure that specifies the updater version of the data spaces structure. **UpdaterVersion.vMajor** MUST be 1. **UpdaterVersion.vMinor** MUST be 0.

WriterVersion (4 bytes): A **Version** structure that specifies the writer version of the data spaces structure. **WriterVersion.vMajor** MUST be 1. **WriterVersion.vMinor** MUST be 0.

2.1.6 DataSpaceMap

The **DataSpaceMap** structure associates protected content with data space definitions. The data space definition in turn describes the series of transforms that MUST be applied to that protected content to restore it to its original form.

By using a map to associate data space definitions with content, a single data space definition can be used to define the transforms applied to more than one piece of protected content. However, a given piece of protected content can only be referenced by a single data space definition.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
HeaderLength																															
EntryCount																															
MapEntries (variable)																															
...																															

HeaderLength (4 bytes): An unsigned integer that specifies the number of bytes in the **DataSpaceMap** structure before the first entry in the **MapEntries** array. It MUST be equal to 0x00000008.

EntryCount (4 bytes): An unsigned integer that specifies the number of **DataSpaceMapEntry** items (section [2.1.6.1](#)) in the **MapEntries** array.

MapEntries (variable): An array of one or more **DataSpaceMapEntry** structures.

2.1.6.1 DataSpaceMapEntry Structure

The **DataSpaceMapEntry** structure associates protected content with a specific data space definition. It is contained within the **DataSpaceMap** structure (section [2.1.6](#)).

Reference components MUST always be listed from the most general (storages) to the most specific (streams). For example, a stream titled "Chapter 1" in a substorage called "Book" off the root storage of an OLE compound file would have two reference components: "Book" and "Chapter 1" in that order. The simplest content stream reference is one with a single reference component indicating the name of a stream in the root storage of the OLE compound file.

0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1
Length																															
ReferenceComponentCount																															
ReferenceComponents (variable)																															
...																															
DataSpaceName (variable)																															
...																															

Length (4 bytes): An unsigned integer that specifies the size of the **DataSpaceMapEntry** structure in bytes.

ReferenceComponentCount (4 bytes): An unsigned integer that specifies the number of **DataSpaceReferenceComponent** items (section [2.1.6.2](#)) in the **ReferenceComponents** array.

ReferenceComponents (variable): An array of one or more **DataSpaceReferenceComponent** structures. Each **DataSpaceReferenceComponent** structure specifies the name of a storage or stream containing protected content that is associated with the data space definition named in the **DataSpaceName** field.

DataSpaceName (variable): A **UNICODE-LP-P4** structure (section [2.1.2](#)) that specifies the name of the data space definition associated with the protected content specified in the **ReferenceComponents** field. MUST be equal to the name of a stream in the **\0x06DataSpaces\DataSpaceInfo** storage as specified in section [2.2.2](#).

2.1.6.2 DataSpaceReferenceComponent Structure

The **DataSpaceReferenceComponent** structure stores the name of a specific storage or stream containing protected content. It is contained within the **DataSpaceMapEntry** structure (section [2.1.6.1](#)).

0	1	2	3	4	5	6	7	8	9	10	1	2	3	4	5	6	7	8	9	20	1	2	3	4	5	6	7	8	9	30	1
ReferenceComponentType																															
ReferenceComponent (variable)																															
...																															

ReferenceComponentType (4 bytes): An unsigned integer that specifies whether the referenced component is a stream or storage. It MUST be 0x00000000 for a stream or 0x00000001 for a storage.

ReferenceComponent (variable): A **UNICODE-LP-P4** structure (section [2.1.2](#)) that specifies the name of the stream or storage containing the protected content to be transformed. If **ReferenceComponentType** is 0x00000000, then **ReferenceComponent** MUST be equal to the name of a stream contained in the root storage of the OLE compound file. If **ReferenceComponentType** is 0x00000001, then **ReferenceComponent** MUST be equal to the name of a storage contained in the root storage of the OLE compound file.

2.1.7 DataSpaceDefinition

Each **DataSpaceDefinition** structure stores a data space definition. A document can contain more than one data space definition: for example, if one content stream is both compressed and encrypted while a second stream is merely encrypted.

Each **DataSpaceDefinition** structure MUST be stored in a stream in the **\0x06DataSpaces\DataSpaceInfo** storage (section [2.2.2](#)). The name of the stream MUST be referenced by a **DataSpaceReferenceComponent** structure (section [2.1.6.2](#)) within a **DataSpaceMapEntry** structure (section [2.1.6.1](#)) stored in the **\0x06DataSpaces\DataSpaceMap** stream (section [2.2.1](#)).

TransformReferences MUST be stored in the reverse order in which they have been applied to the protected content. When reversing the transformation, a software component will apply the transforms in the order specified in the **TransformReferences** array.

0	1	2	3	4	5	6	7	8	9	10	1	2	3	4	5	6	7	8	9	20	1	2	3	4	5	6	7	8	9	30	1
HeaderLength																															
TransformReferenceCount																															
TransformReferences (variable)																															
...																															

HeaderLength (4 bytes): An unsigned integer that specifies the number of bytes in the **DataSpaceDefinition** structure before the **TransformReferences** field. It MUST be 0x00000008.

TransformReferenceCount (4 bytes): An unsigned integer that specifies the number of items in the **TransformReferences** array.

TransformReferences (variable): An array of one or more **UNICODE-LP-P4** structures (section [2.1.2](#)) that specify the transforms associated with this data space definition. Each transform MUST be equal to the name of a storage contained in the **\0x06DataSpaces\TransformInfo** storage (section [2.2.3](#)).

2.1.8 TransformInfoHeader

The **TransformInfoHeader** structure specifies the identity of a transform. Additional data or structures can follow this header in a stream. See section [2.2.6](#) for an example of usage of additional data.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
TransformLength																															
TransformType																															
TransformID (variable)																															
...																															
TransformName (variable)																															
...																															
ReaderVersion																															
UpdaterVersion																															
WriterVersion																															

TransformLength (4 bytes): An unsigned integer that specifies the number of bytes in this structure before the **TransformName** field.

TransformType (4 bytes): An unsigned integer that specifies the type of transform to be applied. It MUST be 0x00000001.

TransformID (variable): A **UNICODE-LP-P4** structure (section [2.1.2](#)) that specifies an identifier associated with a specific transform.

TransformName (variable): A **UNICODE-LP-P4** structure that specifies the friendly name of the transform.

ReaderVersion (4 bytes): A **Version** structure (section [2.1.4](#)) that specifies the reader version.

UpdaterVersion (4 bytes): A **Version** structure that specifies the updater version.

WriterVersion (4 bytes): A **Version** structure that specifies the writer version.

2.1.9 EncryptionTransformInfo

The **EncryptionTransformInfo** structure specifies the encryption used for ECMA-376 document encryption [\[ECMA-376\]](#).

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
EncryptionName (variable)																															
...																															
EncryptionBlockSize																															
CipherMode																															
Reserved																															

EncryptionName (variable): A **UTF-8-LP-P4** structure (section [2.1.3](#)) that specifies the name of the encryption algorithm. The name **MUST** be the name of an encryption algorithm such as "AES 128", "AES 192", or "AES 256". When used with extensible encryption, this value is specified by the extensible encryption module.

EncryptionBlockSize (4 bytes): An unsigned integer that specifies the block size for the encryption algorithm specified by **EncryptionName**. It **MUST** be 0x00000010 as specified by Advanced Encryption Standard (AES). When used with extensible encryption, this value is specified by the extensible encryption module.

CipherMode (4 bytes): **MUST** be 0x00000000, except when used with extensible encryption. When used with extensible encryption, this value is specified by the extensible encryption module.

Reserved (4 bytes): **MUST** be 0x00000004.

2.2 Information Rights Management Data Space (IRMDS)

IRMDS defines several data space definitions used to enforce rights management policies that have been applied to a document. This structure is an extension of the data spaces structure specified in section [2.1](#).

IRMDS can be applied to the following types of documents:

- Office binary documents
- ECMA-376 documents [\[ECMA-376\]](#)

In each case, the protected content contains the original document transformed as specified by the IRMDS structure. [<2>](#)

2.2.1 \0x06DataSpaces\DataSpaceMap Stream

If the original document content is an Office binary document:

- The **\0x06DataSpaces\DataSpaceMap** stream MUST contain a **DataSpaceMap** structure (section [2.1.6](#)) containing at least one **DataSpaceMapEntry** structure (section [2.1.6.1](#)). The **DataSpaceMapEntry** structure:
 - It MUST have a **DataSpaceName** equal to "0x09DRMDataSpace".
 - It MUST have exactly one **ReferenceComponents** entry with the name "0x09DRMContent" and the type 0x00000000 (stream).
- The **\0x06DataSpaces\DataSpaceMap** stream MAY^{<3>} contain a second **DataSpaceMapEntry** structure in the **DataSpaceMap** structure. The second **DataSpaceMapEntry** structure:
 - It MUST have a **DataSpaceName** equal to "0x09LZXDRMDataSpace".
 - It MUST have exactly one **ReferenceComponents** entry with the name "0x09DRMViewerContent" and the type 0x00000000 (stream).

If the original document content is an ECMA-376 document [\[ECMA-376\]](#):

- The **\0x06DataSpaces\DataSpaceMap** stream MUST contain a **DataSpaceMap** structure containing exactly one **DataSpaceMapEntry** structure.
- The **DataSpaceMapEntry** substructure:
 - It MUST have a **DataSpaceName** equal to "DRMEncryptedDataSpace".
 - It MUST have exactly one **ReferenceComponents** entry with the name "EncryptedPackage" and the type 0x00000000 (stream).

2.2.2 \0x06DataSpaces\DataSpaceInfo Storage

If the original document content is an Office binary document:

- The **\0x06DataSpaces\DataSpaceInfo** storage MUST contain a stream named "0x09DRMDataSpace", which MUST contain a **DataSpaceDefinition** structure (section [2.1.7](#)):
 - The **DataSpaceDefinition** structure MUST have exactly one **TransformReferences** entry, which MUST be "0x09DRMTransform".
- The **\0x06DataSpaces\DataSpaceInfo** storage MAY^{<4>} contain a stream named "0x09LZXDRMDataSpace". If this stream exists, it MUST contain a **DataSpaceDefinition** structure:
 - The **DataSpaceDefinition** structure MUST have exactly two **TransformReferences** entries.
 - The first **TransformReferences** entry MUST be "0x09DRMTransform".
 - The second **TransformReferences** entry MUST be "0x09LZXTransform".

If the original document content is an ECMA-376 document [\[ECMA-376\]](#):

- The **\0x06DataSpaces\DataSpaceInfo** storage MUST contain a stream named "DRMEncryptedDataSpace", which MUST contain a **DataSpaceDefinition** structure.

- The **DataSpaceDefinition** structure MUST have exactly one **TransformReferences** entry, which MUST be "DRMEncryptedTransform".

2.2.3 \0x06DataSpaces\TransformInfo Storage for Office Binary Documents

If the original document content is an Office binary document, then the **\0x06DataSpaces\TransformInfo** storage MUST contain one storage named "0x09DRMTransform". The "0x09DRMTransform" storage MUST contain a stream named "0x06Primary". The "0x06Primary" stream MUST contain an **IRMDSTransformInfo** structure (section [2.2.6](#)). Within the **IRMDSTransformInfo** structure, the following values MUST be set:

- **TransformInfoHeader.TransformType** MUST be 0x00000001.
- **TransformInfoHeader.TransformID** MUST be "{C73DFACD-061F-43B0-8B64-0C620D2A8B50}".
- **TransformInfoHeader.TransformName** MUST be "Microsoft.Metadata.DRMTransform".
- **TransformInfoHeader.ReaderVersion** MUST be "1.0".
- **TransformInfoHeader.UpdaterVersion** MUST be "1.0".
- **TransformInfoHeader.WriterVersion** MUST be "1.0".

The 0x09DRMTransform storage MUST also contain one or more end-user license streams as specified in section [2.2.7](#).

The **\0x06DataSpaces\TransformInfo** storage MAY [<5>](#) contain a substorage named "0x09LZXTransform". If the 0x09LZXTransform storage exists, it MUST contain a stream named "0x06Primary". The 0x06Primary stream MUST contain a **TransformInfoHeader** structure (section [2.1.8](#)). Within the **TransformInfoHeader** structure, the following values MUST be set:

- **TransformType** MUST be 0x00000001.
- **TransformID** MUST be "{86DE7F2B-DDCE-486d-B016-405BBE82B8BC}".
- **TransformName** MUST be "Microsoft.Metadata.CompressionTransform".
- **ReaderVersion** MUST be "1.0".
- **UpdaterVersion** MUST be "1.0".
- **WriterVersion** MUST be "1.0".

2.2.4 \0x06DataSpaces\TransformInfo Storage for ECMA-376 Documents

If the original document is an ECMA-376 document [\[ECMA-376\]](#) conforming to the IRMDS structure, the **\0x06DataSpaces\TransformInfo** storage MUST contain one storage named "DRMEncryptedTransform". The "DRMEncryptedTransform" storage MUST contain a stream named "0x06Primary". The "0x06Primary" stream MUST contain an **IRMDSTransformInfo** structure (section [2.2.6](#)). Within the **IRMDSTransformInfo** structure, the following values MUST be set:

- **TransformInfoHeader.TransformType** MUST be 0x00000001.
- **TransformInfoHeader.TransformID** MUST be "{C73DFACD-061F-43B0-8B64-0C620D2A8B50}".
- **TransformInfoHeader.TransformName** MUST be "Microsoft.Metadata.DRMTransform".

- TransformInfoHeader.ReaderVersion MUST be 1.0.
- TransformInfoHeader.UpdaterVersion MUST be 1.0.
- TransformInfoHeader.WriterVersion MUST be 1.0.

The DRMEncryptedTransform storage MUST also contain one or more end-user license streams as specified in section [2.2.7](#).

2.2.5 ExtensibilityHeader

The **ExtensibilityHeader** structure provides a facility to allow an updated header with more information to be inserted into a larger structure in the future. This structure consists of a single element.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Length																															

Length (4 bytes): An unsigned integer that specifies the size of the **ExtensibilityHeader** structure. It MUST be 0x00000004.

2.2.6 IRMDSTransformInfo

The **IRMDSTransformInfo** structure specifies a specific transform that has been applied to protected content to enforce rights management policies applied to the document.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
TransformInfoHeader (variable)																															
...																															
ExtensibilityHeader																															
XrMLLicense (variable)																															
...																															

TransformInfoHeader (variable): A **TransformInfoHeader** structure (section [2.1.8](#)) that specifies the identity of the transform applied.

ExtensibilityHeader (4 bytes): An **ExtensibilityHeader** structure (section [2.2.5](#)).

XrMLLicense (variable): A **UTF-8-LP-P4** structure (section [2.1.3](#)) containing a valid XrML signed issuance license as specified in [\[MS-RMPR\]](#) section 2.2.9.9. The signed issuance license MAY [\[6\]](#) contain the application-specific name-value attribute pairs **name** and **id** as part of the **AUTHENTICATEDDATA** element. Documentation for these name-value attribute pairs is specified in [\[MS-RMPR\]](#) section 2.2.9.7.6.

2.2.7 End-User License Stream

The end-user license stream contains cached use licenses.

The end-user license stream name MUST be prefixed "EUL-", with a base-32-encoded **GUID** as the remainder of the stream name.

The license stream MUST consist of an **EndUserLicenseHeader** structure (section 2.2.9), followed by a **UTF-8-LP-P4** string (section 2.1.3) containing XML specifying a **certificate chain**. The certificate chain MUST include a use license with an **enablingbits** element containing the symmetric content key encrypted with the user's RAC public key, as specified in [MS-RMPR] section 2.2.9.1.13. The XML in this string is derived from a **certificatechain** element as specified in [MS-RMPR] section 2.2.3.2. Each XrML certificate or license from a **certificate** element is encoded as a **base64**-encoded Unicode string.

The certificate chain has been transformed in the following manner:

1. For each **certificate** element in the certificate chain:
 1. The XrML content of the **certificate** element is encoded as Unicode.
 2. Each resulting string is subsequently base64-encoded.
 3. Each resulting string is then placed in a **certificate** element.
2. The resulting collection of new **certificate** elements is accumulated in a **certificatechain** element.
3. The XML header `<?xml version="1.0"?>` is prefixed to the resulting **certificatechain** element.
4. The resulting XML is stored in the stream as a **UTF-8-LP-P4** string.

2.2.8 LicenseID

A **LicenseID** specifies the identity of a user as a Unicode string. The string MUST be of the form "Windows:<emailaddr>" or "Passport:<emailaddr>", where *emailaddr* represents a valid e-mail address as specified in [RFC2822].

2.2.9 EndUserLicenseHeader

The **EndUserLicenseHeader** structure is a container for a **LicenseID** (section 2.2.8) as specified in [MS-RMPR].

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Length																															
ID_String (variable)																															
...																															

Length (4 bytes): An unsigned integer that specifies the size of the EndUserLicenseHeader structure.

ID_String (variable): A **UTF-8-LP-P4** structure (section [2.1.3](#)) that contains a base64-encoded Unicode **LicenseID**.

2.2.10 Protected Content Stream

The protected content stream **MUST** be contained within the root storage. If the original document content is an ECMA-376 document [\[ECMA-376\]](#), then the stream **MUST** be named "EncryptedPackage". For all other original document content types it **MUST** be named "\0x09DRMContent".

The protected content stream has the following structure.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Length																															
...																															
Contents (variable)																															
...																															

Length (8 bytes): An unsigned 64-bit integer that specifies the size in bytes of the plaintext data stored encrypted in the **Contents** field.

Contents (variable): Specifies the protected content. The protected content **MUST** be encrypted or decrypted with the content symmetric key encrypted for the user in the end-user license as specified in [\[MS-RMPR\]](#). Protected content **MUST** be encrypted or decrypted using AES-128, a 16-byte block size, **electronic codebook (ECB)** mode, and an initialization vector of all zeros.

2.2.11 Viewer Content Stream

The viewer content stream **MAY** [≤7>](#) be present. The purpose of the viewer content stream is to provide a MIME Encapsulation of Aggregate HTML Documents (MHTML) representation of the document to enable an application that cannot parse the protected content stream (section [2.2.10](#)) to present a read-only representation of the document to the user. If the viewer content stream is present, the stream **MUST** be named "\0x09DRMViewerContent".

The viewer content stream has the following structure.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Length																															
...																															
Contents (variable)																															

...

Length (8 bytes): An unsigned 64-bit integer that specifies the size in bytes of the compressed plaintext data stored encrypted in the **Contents** field.

Contents (variable): Specifies the MHTML representation of the protected content. The protected content MUST be encrypted or decrypted as specified in [\[MS-RMPR\]](#). Once decrypted, the cleartext MUST be decompressed with the LZX compression algorithm described in [\[MSDN-CAB\]](#).

2.3 Encryption

Encryption and obfuscation are specified in the following sections. The four different techniques are:

- ECMA-376 encryption [\[ECMA-376\]](#), which leverages the data spaces storages specified in section [2.1](#).
- CryptoAPI RC4 encryption.
- RC4 encryption.
- XOR obfuscation.

ECMA-376 encryption [\[ECMA-376\]](#) also includes encryption using a third-party cryptography extension, which will be called "extensible encryption" for the remainder of this document.

2.3.1 EncryptionHeaderFlags

The **EncryptionHeaderFlags** structure specifies properties of the encryption algorithm used. It is always contained within an **EncryptionHeader** structure (section [2.3.2](#)).

If the **fCryptoAPI** bit is set and the **fAES** bit is not set, RC4 encryption MUST be used. If the **fAES** encryption bit is set, a **block cipher** that supports ECB mode MUST be used. For compatibility with current implementations, AES encryption with a key length of 128, 192, or 256 bits SHOULD [<8>](#) be used.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
A	B	C	D	E	F	Unused																									

- A – Reserved1 (1 bit):** MUST be 0, and MUST be ignored.
- B – Reserved2 (1 bit):** MUST be 0, and MUST be ignored.
- C – fCryptoAPI (1 bit):** A flag that specifies whether CryptoAPI RC4 or ECMA-376 encryption [\[ECMA-376\]](#) is used. It MUST be 1 unless **fExternal** is 1. If **fExternal** is 1, it MUST be 0.
- D – fDocProps (1 bit):** MUST be 0 if document properties are encrypted. Encryption of document properties is specified in section [2.3.5.4](#).
- E – fExternal (1 bit):** If extensible encryption is used, it MUST be 1. If this field is 1, all other fields in this structure MUST be 0.

F – fAES (1 bit): If the protected content is an ECMA-376 document [\[ECMA-376\]](#), it MUST be 1. If the **fAES** bit is 1, the **fCryptoAPI** bit MUST also be 1.

Unused (26 bits): Undefined and MUST be ignored.

2.3.2 EncryptionHeader

ECMA-376 document encryption [\[ECMA-376\]](#) and Office binary document RC4 CryptoAPI encryption use the **EncryptionHeader** structure to specify encryption properties for an encrypted stream.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Flags																															
SizeExtra																															
AlgID																															
AlgIDHash																															
KeySize																															
ProviderType																															
Reserved1																															
Reserved2																															
CSPName																															
...																															

Flags (4 bytes): An **EncryptionHeaderFlags** structure that specifies properties of the encryption algorithm used as specified in section [2.3.1](#).

SizeExtra (4 bytes): Reserved, and it MUST be 0x00000000.

AlgID (4 bytes): A signed integer that specifies the encryption algorithm. It MUST be one of the values described in the following table.

Value	Algorithm
0x00000000	Determined by Flags
0x00006801	RC4
0x0000660E	128-bit AES
0x0000660F	192-bit AES
0x00006610	256-bit AES

The **Flags** field and **AlgID** field contain related values and MUST be set to one of the combinations in the following table.

Flags.fCryptoAPI	Flags.fAES	Flags.fExternal	AlgID	Algorithm
0	0	1	0x00000000	Determined by application
1	0	0	0x00000000	RC4
1	0	0	0x00006801	RC4
1	1	0	0x00000000	128-bit AES
1	1	0	0x0000660E	128-bit AES
1	1	0	0x0000660F	192-bit AES
1	1	0	0x00006610	256-bit AES

AlgIDHash (4 bytes): A signed integer that specifies the hashing algorithm in concert with the **Flags.fExternal** bit. It MUST be one of the combinations in the following table.

AlgIDHash	Flags.fExternal	Algorithm
0x00000000	1	Determined by application
0x00000000	0	SHA-1
0x00008004	0	SHA-1

KeySize (4 bytes): An unsigned integer that specifies the number of bits in the encryption key. It MUST be a multiple of 8. And it MUST be one of the values in the following table.

Algorithm	Value	Comment
Any	0x00000000	Determined by Flags .
RC4	0x00000028 – 0x00000080 (inclusive)	8-bit increments.
AES	0x00000080, 0x000000C0, 0x00000100	128-bit, 192-bit, or 256-bit.

If the **Flags** field does not have the **fCryptoAPI** bit set, the **KeySize** field MUST be 0x00000000. If RC4 is used, the value MUST be compatible with the chosen **cryptographic service provider (CSP)**.

ProviderType (4 bytes): An implementation-specified value that corresponds to constants accepted by the specified CSP. It MUST be compatible with the chosen CSP. It SHOULD [<9>](#) be one of the following values.

Algorithm	Value	Comment
Any	0x00000000	Determined by Flags
RC4	0x00000001	
AES	0x00000018	

If the **Flags** field does not have the **fCryptoAPI** bit set, the **ProviderType** field MUST be 0x00000000.

Reserved1 (4 bytes): Undefined and MUST be ignored.

Reserved2 (4 bytes): MUST be 0x00000000 and MUST be ignored.

CSPName (variable): A null-terminated Unicode string that specifies the CSP name.

2.3.3 EncryptionVerifier

The **EncryptionVerifier** structure is used by Office Binary Document RC4 CryptoAPI Encryption (section 2.3.5) and ECMA-376 Document Encryption (section 2.3.4). Every usage of this structure MUST specify the hashing algorithm and encryption algorithm used in the **EncryptionVerifier** structure.

Verifier can be 16 bytes of data randomly generated each time the structure is created. **Verifier** is not stored in this structure directly.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
SaltSize																															
Salt (16 bytes)																															
...																															
EncryptedVerifier (16 bytes)																															
...																															
VerifierHashSize																															
EncryptedVerifierHash (variable)																															
...																															

SaltSize (4 bytes): An unsigned integer that specifies the size of the **Salt** field. It MUST be 0x00000010.

Salt (16 bytes): An array of bytes that specifies the **salt** value used during password hash generation. It MUST NOT be the same data used for the verifier stored encrypted in the **EncryptedVerifier** field.

EncryptedVerifier (16 bytes): MUST be the randomly generated **Verifier** value encrypted using the algorithm chosen by the implementation.

VerifierHashSize (4 bytes): An unsigned integer that specifies the number of bytes needed to contain the hash of the data used to generate the **EncryptedVerifier** field.

EncryptedVerifierHash (variable): An array of bytes that contains the encrypted form of the hash of the randomly generated **Verifier** value. The length of the array MUST be the size

of the encryption block size multiplied by the number of blocks needed to encrypt the hash of the **Verifier**. If the encryption algorithm is RC4, the length MUST be 20 bytes. If the encryption algorithm is AES, the length MUST be 32 bytes. After decrypting the **EncryptedVerifierHash** field, only the first **VerifierHashSize** bytes MUST be used.

The **EncryptionVerifier** structure MUST be set using the following process:

1. Random data is generated and written into the **Salt** field.
2. The encryption key is derived from the password and salt, as specified in section [2.3.4.7](#) or [2.3.5.2](#), with block number 0.
3. Generate 16 bytes of additional random data as the **Verifier**.
4. The results of step 3 are encrypted and written into the **EncryptedVerifier** field.
5. For the chosen hashing algorithm, obtain the size of the hash data and write this value into the **VerifierHashSize** field.
6. Obtain the hashing algorithm output by using as input the data generated in step 3.
7. Encrypt the hashing algorithm output from step 6 by using the chosen encryption algorithm, and write the output into the **EncryptedVerifierHash** field.

2.3.4 ECMA-376 Document Encryption

When an ECMA-376 document [\[ECMA-376\]](#) is encrypted as specified in [\[ECMA-376\]](#) Part 3 Annex C Table C-5, BIT 0 a structured storage utilizing the data spaces construct as specified in section [2.1](#) MUST be used. Unless exceptions are noted in the following sections, streams and storages contained within the **\0x06DataSpaces** storage MUST be present as specified in section [2.1.1](#).

2.3.4.1 \0x06DataSpaces\DataSpaceMap Stream

The data space map MUST contain the following structure:

- The **\0x06DataSpaces\DataSpaceMap** stream MUST contain a **DataSpaceMap** structure (section [2.1.6](#)) containing exactly one **DataSpaceMapEntry** structure (section [2.1.6.1](#)).
- The **DataSpaceMapEntry** structure:
 - MUST have a **DataSpaceName** equal to "StrongEncryptionDataSpace".
 - MUST have exactly one **ReferenceComponents** entry with the name "EncryptedPackage" and the type 0x00000000 (stream).

2.3.4.2 \0x06DataSpaces\DataSpaceInfo Storage

The **DataSpaceInfo** storage MUST contain the following stream:

- The **\0x06DataSpaces\DataSpaceInfo** storage MUST contain a stream named "StrongEncryptionDataSpace", which MUST contain a **DataSpaceDefinition** structure (section [2.1.7](#)).
- The **DataSpaceDefinition** structure MUST have exactly one **TransformReferences** entry, which MUST be "StrongEncryptionTransform".

2.3.4.3 \0x06DataSpaces\TransformInfo Storage

The **\0x06DataSpaces\TransformInfo** storage MUST contain one storage named "StrongEncryptionTransform". The "StrongEncryptionTransform" storage MUST contain a stream named "0x06Primary". The "0x06Primary" stream MUST contain an **IRMDSTransformInfo** structure (section [2.2.6](#)). Within the **IRMDSTransformInfo** structure, the following values MUST be set:

- **TransformInfoHeader.TransformType** MUST be 0x00000001.
- **TransformInfoHeader.TransformID** MUST be "{FF9A3F03-56EF-4613-BDD5-5A41C1D07246}".
- **TransformInfoHeader.TransformName** MUST be "Microsoft.Container.EncryptionTransform".
- **TransformInfoHeader.ReaderVersion** MUST be "1.0".
- **TransformInfoHeader.UpdaterVersion** MUST be "1.0".
- **TransformInfoHeader.WriterVersion** MUST be "1.0".

Following the **IRMDSTransformInfo** structure, there MUST be an **EncryptionTransformInfo** structure (section [2.1.9](#)) that specifies the encryption algorithms to be used. However, if the algorithms specified in the **EncryptionTransformInfo** structure differ from the algorithms specified in the EncryptionInfo stream, the EncryptionInfo stream MUST be considered authoritative. If the agile encryption method is used, the EncryptionName field of the **EncryptionTransformInfo** structure MUST be a null string (0x00000000).

2.3.4.4 \EncryptedPackage Stream

The **\EncryptedPackage** stream is an encrypted stream of bytes containing the entire ECMA-376 source file [\[ECMA-376\]](#) in compressed form.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
StreamSize																															
...																															
EncryptedData (variable)																															
...																															

StreamSize (8 bytes): An unsigned integer that specifies the number of bytes used by data encrypted within the **EncryptedData** field, not including the size of the **StreamSize** field. Note that the actual size of the \EncryptedPackage stream can be larger than this value, depending on the block size of the chosen encryption algorithm

EncryptedData (variable): Data encrypted using the algorithm specified within the **\EncryptionInfo** stream (section [2.3.4.5](#)).

2.3.4.5 \EncryptionInfo Stream (Standard Encryption)

The **\EncryptionInfo** stream contains detailed information used to initialize the cryptography used to encrypt the **\EncryptedPackage** stream, as specified in section [2.3.4.4](#), when standard encryption is used.

If an external encryption provider is used, see section [2.3.4.6](#).

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
EncryptionVersionInfo																															
EncryptionHeader.Flags																															
EncryptionHeaderSize																															
EncryptionHeader (variable)																															
...																															
EncryptionVerifier (variable)																															
...																															

EncryptionVersionInfo (4 bytes): A **Version** structure (section [2.1.4](#)) where **Version.vMajor** MUST be 0x0003 or 0x0004, [<10>](#) and **Version.vMinor** MUST be 0x0002.

EncryptionHeader.Flags (4 bytes): A copy of the **Flags** stored in the **EncryptionHeader** field of this structure.

EncryptionHeaderSize (4 bytes): An unsigned integer that specifies the size in bytes of the **EncryptionHeader** field of this structure.

EncryptionHeader (variable): An **EncryptionHeader** structure (section [2.3.2](#)) that specifies parameters used to encrypt data. The values MUST be set as described in the following table.

Field	Value
Flags	The fCryptoAPI and fAES bits MUST be set. The fDocProps bit MUST be 0.
SizeExtra	MUST be 0x00000000.
AlgID	MUST be 0x0000660E (AES-128), 0x0000660F (AES-192), or 0x00006610 (AES-256).
AlgIDHash	MUST be 0x00008004 (SHA-1).
KeySize	MUST be 0x00000080 (AES-128), 0x000000C0 (AES-192), or 0x00000100 (AES-256).
ProviderType	SHOULD <11> be 0x00000018 (AES).
Reserved1	Undefined and MUST be ignored.

Field	Value
Reserved2	MUST be 0x00000000 and MUST be ignored.
CSPName	SHOULD <12> be set to either "Microsoft Enhanced RSA and AES Cryptographic Provider" or "Microsoft Enhanced RSA and AES Cryptographic Provider (Prototype)" as a null-terminated Unicode string.

EncryptionVerifier (variable): An **EncryptionVerifier** structure, as specified in section [2.3.3](#), and generated as specified in section [2.3.4.8](#).

2.3.4.6 \EncryptionInfo Stream (Extensible Encryption)

ECMA-376 documents [\[ECMA-376\]](#) can optionally use user-provided custom (extensible) encryption modules. When extensible encryption is used, the **\EncryptionInfo** stream MUST contain the structure described in the following table.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
EncryptionVersionInfo																															
EncryptionHeader.Flags																															
EncryptionHeaderSize																															
EncryptionHeader (variable)																															
...																															
EncryptionInfo(variable)																															
...																															
EncryptionVerifier (variable)																															
...																															

EncryptionVersionInfo (4 bytes): A **Version** structure (section [2.1.4](#)) where **Version.vMajor** MUST be 0x0003 or 0x0004 and **Version.vMinor** MUST be 0x0003.

EncryptionHeader.Flags (4 bytes): A copy of the **Flags** stored in the **EncryptionHeader** field of this structure as specified in section [2.3.1](#). It MUST have the **fExternal** bit set to 1. All other bits in this field MUST be set to 0.

EncryptionHeaderSize (4 bytes): An unsigned integer that specifies the size, in bytes, of the **EncryptionHeader** field of this structure, including the GUID specifying the extensible encryption module.

EncryptionHeader (variable): Specifies an **EncryptionHeader** structure (section [2.3.2](#)) used to encrypt the structure. The values MUST be set as described in the following table.

Field	Value
Flags	MUST have the fExternal bit set to 1. All other bits MUST be set to 0.
SizeExtra	MUST be 0x00000000.
AlgID	MUST be 0x00000000.
AlgIDHash	MUST be 0x00000000.
KeySize	MUST be 0x00000000.
ProviderType	MUST be 0x00000000.
Reserved1	Undefined and MUST be ignored.
Reserved2	MUST be 0x00000000 and MUST be ignored.
CSPName	Specifies a unique identifier of an encryption module. <13>

EncryptionInfo (variable): A Unicode string that specifies an **EncryptionData** element. The first Unicode code point MUST be 0xFEFF.

The **EncryptionData** XML element MUST conform to the following **XMLSchema** namespace as specified by [\[W3C-XSD\]](#).

```
<?xml version="1.0" encoding="utf-8"?>
<xs:schema targetNamespace="urn:schemas-microsoft-com:office:office"
  xmlns:xs="http://www.w3.org/2001/XMLSchema"
  elementFormDefault="qualified">
  <xs:element name="EncryptionData">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="EncryptionProvider">
          <xs:complexType>
            <xs:sequence>
              <xs:element name="EncryptionProviderData">
                <xs:simpleType>
                  <xs:restriction base="xs:base64Binary"/>
                </xs:simpleType>
              </xs:element>
            </xs:sequence>
            <xs:attribute name="Id" use="required">
              <xs:simpleType>
                <xs:restriction base="xs:string">
                  <xs:pattern value="\{[0-9A-Fa-f]{8}\}-[0-9A-Fa-f]{4}\-
                    [0-9A-Fa-f]{4}\-[0-9A-Fa-f]{4}\-[0-9A-Fa-f]{12}\}" />
                </xs:restriction>
              </xs:simpleType>
            </xs:attribute>
            <xs:attribute name="Url" type="xs:anyURI" use="required"/>
          </xs:complexType>
        </xs:element>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
</xs:schema>
```

Element	Parent	Attribute	Value
EncryptionData		xmlns	urn:schemas-microsoft-com:office:office
EncryptionProvider	EncryptionData		
		Id	GUID of the extensible encryption module, expressed as a string
		Url	A URL where the extensible encryption module can be obtained
EncryptionProviderData	EncryptionProvider		base64-encoded data used by the extensible module

EncryptionVerifier (variable): An **EncryptionVerifier** structure, as specified in section [2.3.3](#), and generated as specified in section [2.3.4.8](#).

2.3.4.7 ECMA-376 Document Encryption Key Generation (Standard Encryption)

The encryption key for ECMA-376 document encryption [\[ECMA-376\]](#) MUST be generated by using the following method, which is derived from PKCS #5: Password-Based Cryptography Version 2.0 [\[RFC2898\]](#).

Let $H()$ be a hashing algorithm as determined by the **EncryptionHeader.AlgIDHash** field, H_n be the hash data of the n^{th} iteration, and a plus sign (+) represent concatenation. This hashing algorithm MUST be SHA-1. The password MUST be provided as an array of Unicode characters. Limitations on the length of the password and the characters used by the password are implementation dependent. Current behavior variations are documented in section [5](#). The initial password hash is generated as follows.

- $H_0 = H(\text{salt} + \text{password})$

The salt used MUST be generated randomly, and MUST be 16 bytes in size. The salt MUST be stored in the **EncryptionVerifier.Salt** field contained within the **\EncryptionInfo** stream as specified in section [2.3.4.5](#). The hash is then iterated using the following approach.

- $H_n = H(\text{iterator} + H_{n-1})$

Where iterator is an unsigned 32-bit value that is initially set to 0x00000000, and is then incremented monotonically on each iteration until 50,000 iterations have been performed. The value of the iterator on the last iteration MUST be 49,999.

Once the final hash data has been obtained, the encryption key MUST be generated using the final hash data, and the block number MUST be 0x00000000. The encryption algorithm MUST be specified in the **EncryptionHeader.AlgID** field. The encryption algorithm MUST use ECB mode. The method used to generate the hash data that is the input into the key derivation algorithm as follows.

- $H_{\text{final}} = H(H_n + \text{block})$

The encryption key derivation method is specified by the following steps:

1. Let **cbRequiredKeyLength** be equal to the size in bytes of the required key length for the relevant encryption algorithm as specified by the **EncryptionHeader** structure. **cbRequiredKeyLength** MUST be less than or equal to 40.

2. Let **cbHash** be the number of bytes output by hashing algorithm H.
3. Form a 64-byte buffer by repeating the constant 0x36 64 times. XOR H_{final} into the first **cbHash** bytes of this buffer, and compute a hash of the resulting 64-byte buffer using hashing algorithm H. This will yield a hash value of length **cbHash**. Let the resultant value be called **X1**.
4. Form another 64-byte buffer by repeating the constant 0x5C 64 times. XOR the H_{final} into the first **cbHash** bytes of this buffer, and compute a hash of the resulting 64-byte buffer using hash algorithm H. This yields a hash value of length **cbHash**. Let the resultant value be called **X2**.
5. Concatenate **X1** with **X2** to form **X3**, which will yield a value twice the length of **cbHash**.
6. Let **keyDerived** be equal to the first **cbRequiredKeyLength** bytes of **X3**.

2.3.4.8 Password Verifier Generation (Standard Encryption)

The password verifier uses an **EncryptionVerifier** structure as specified in section 2.3.3. The password verifier **Salt** field MUST be equal to the salt created during password key generation, as specified in section 2.3.4.7. A randomly generated verifier is then hashed using the SHA-1 hashing algorithm specified in the **EncryptionHeader** structure, and encrypted using the key generated as specified in section 2.3.4.7, with a block number of 0x00000000.

2.3.4.9 Password Verification (Standard Encryption)

Passwords MUST be verified using the following steps:

1. Generate an encryption key as specified in section 2.3.4.7.
2. Decrypt the **EncryptedVerifier** field of the **EncryptionVerifier** structure as specified in section 2.3.3, and generated as specified in section 2.3.4.8, to obtain the **Verifier** value. The resultant **Verifier** value MUST be an array of 16 bytes.
3. Decrypt the **EncryptedVerifierHash** field of the **EncryptionVerifier** structure to obtain the hash of the **Verifier** value. The number of bytes used by the encrypted **Verifier** hash MUST be 32. The number of bytes used by the decrypted **Verifier** hash is given by the **VerifierHashSize** field, which MUST be 20.
4. Calculate the SHA-1 hash value of the **Verifier** value calculated in step 2.
5. Compare the results of step 3 and step 4. If the two hash values do not match, the password is incorrect.

2.3.4.10 \EncryptionInfo Stream (Agile Encryption)

The **\EncryptionInfo** stream contains detailed information about the cryptography used to encrypt the **\EncryptedPackage** stream (section 2.3.4.4) when agile encryption is used.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
EncryptionVersionInfo																															
Reserved																															

XmlEncryptionDescriptor (variable)
...

EncryptionVersionInfo (4 bytes): A **Version** structure (section [2.1.4](#)) where **Version.vMajor** MUST be 0x0004, and **Version.vMinor** MUST be 0x0004.

Reserved (4 bytes): MUST be 0x00000040.

XmlEncryptionDescriptor (variable): The **XmlEncryptionDescriptor** XML element MUST conform to the following "XMLSchema" namespace as specified by [\[W3C-XSD\]](#).

```
<?xml version="1.0" encoding="utf-8"?>
<xs:schema attributeFormDefault="unqualified" elementFormDefault="qualified"
  targetNamespace="http://schemas.microsoft.com/office/2006/encryption"
  xmlns="http://schemas.microsoft.com/office/2006/encryption"
  xmlns:xs="http://www.w3.org/2001/XMLSchema">

  <xs:simpleType name="ST_SaltSize">
    <xs:restriction base="xs:unsignedInt">
      <xs:minInclusive value="1" />
      <xs:maxInclusive value="65536" />
    </xs:restriction>
  </xs:simpleType>

  <xs:simpleType name="ST_BlockSize">
    <xs:restriction base="xs:unsignedInt">
      <xs:minInclusive value="2" />
      <xs:maxInclusive value="4096" />
    </xs:restriction>
  </xs:simpleType>

  <xs:simpleType name="ST_KeyBits">
    <xs:restriction base="xs:unsignedInt">
      <xs:minInclusive value="8" />
    </xs:restriction>
  </xs:simpleType>

  <xs:simpleType name="ST_HashSize">
    <xs:restriction base="xs:unsignedInt">
      <xs:minInclusive value="1" />
      <xs:maxInclusive value="65536" />
    </xs:restriction>
  </xs:simpleType>

  <xs:simpleType name="ST_SpinCount">
    <xs:restriction base="xs:unsignedInt">
      <xs:minInclusive value="0" />
      <xs:maxInclusive value="10000000" />
    </xs:restriction>
  </xs:simpleType>

  <xs:simpleType name="ST_CipherAlgorithm">
    <xs:restriction base="xs:string">
      <xs:minLength value="1" />
    </xs:restriction>
  </xs:simpleType>
```

```

<xs:simpleType name="ST_CipherChaining">
  <xs:restriction base="xs:string">
    <xs:minLength value="1" />
  </xs:restriction>
</xs:simpleType>

<xs:simpleType name="ST_HashAlgorithm">
  <xs:restriction base="xs:string">
    <xs:minLength value="1" />
  </xs:restriction>
</xs:simpleType>

<xs:complexType name="CT_KeyData">
  <xs:attribute name="saltSize" type="ST_SaltSize" use="required" />
  <xs:attribute name="blockSize" type="ST_BlockSize" use="required" />
  <xs:attribute name="keyBits" type="ST_KeyBits" use="required" />
  <xs:attribute name="hashSize" type="ST_HashSize" use="required" />
  <xs:attribute name="cipherAlgorithm" type="ST_CipherAlgorithm" use="required" />
</xs:complexType>

<xs:complexType name="CT_DataIntegrity">
  <xs:attribute name="encryptedHmacKey" type="xs:base64Binary" use="required" />
  <xs:attribute name="encryptedHmacValue" type="xs:base64Binary" use="required" />
</xs:complexType>

<xs:complexType name="CT_KeyEncryptor">
  <xs:sequence>
    <xs:any processContents="lax" />
  </xs:sequence>
  <xs:attribute name="uri" type="xs:token" />
</xs:complexType>

<xs:complexType name="CT_KeyEncryptors">
  <xs:sequence>
    <xs:element name="keyEncryptor" type="CT_KeyEncryptor" minOccurs="1"
maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

<xs:complexType name="CT_Encryption">
  <xs:sequence>
    <xs:element name="keyData" type="CT_KeyData" minOccurs="1" maxOccurs="1" />
    <xs:element name="dataIntegrity" type="CT_DataIntegrity" minOccurs="0"
maxOccurs="1" />
    <xs:element name="keyEncryptors" type="CT_KeyEncryptors" minOccurs="1"
maxOccurs="1" />
  </xs:sequence>
</xs:complexType>

  <xs:element name="encryption" type="CT_Encryption" />
</xs:schema>

```

SaltSize: An unsigned integer that specifies the number of bytes utilized by a salt. It MUST be at least 1, and no greater than 65536.

BlockSize: An unsigned integer that specifies the number of bytes used to encrypt one block of data. It MUST be at least 2, no greater than 4096, and a multiple of 2.

KeyBits: An unsigned integer that specifies the number of bits utilized by an encryption algorithm. It MUST be at least 8, and a multiple of 8.

HashSize: An unsigned integer that specifies the number of bytes utilized by a hash value. It MUST be at least 1, and no greater than 65536. And it MUST be the same number of bytes as the hash algorithm emits.

SpinCount: An unsigned integer that specifies the number of times to iterate on a hash of a password. It MUST NOT be greater than 10,000,000.

CipherAlgorithm: A string that specifies the cipher algorithm. The values in the following table are defined.

Value	Cipher algorithm
AES	MUST conform to the AES algorithm.
RC2	MUST conform to [RFC2268].<14>
RC4	MUST NOT be used.
DES	MUST conform to the DES algorithm.<15>
DESX	MUST conform to the [DRAFT-DESX] algorithm.<16>
3DES	MUST conform to the [RFC1851] algorithm.<17>
3DES_112	MUST conform to the [RFC1851] algorithm.<18>

Values that are not defined MAY<19> be used, and a compliant implementation is not required to support all defined values. The string MUST be at least 1 character.

CipherChaining: A string that specifies the chaining mode used by the **CipherAlgorithm**. For further details about chaining modes, see [\[BCMO800-38A\]](#). It MUST be one of the values described in the following table.

Value	Chaining mode
ChainingModeCBC	Cipher block chaining (CBC).
ChainingModeCFB	Cipher feedback chaining (CFB), with 8-bit window.

HashAlgorithm: A string specifying a hashing algorithm. The values described in the following table are defined.

Value	Hash algorithm
SHA-1	MUST conform to [RFC4634] .
SHA256	MUST conform to [RFC4634] .

Value	Hash algorithm
SHA384	MUST conform to [RFC4634] .
SHA512	MUST conform to [RFC4634] .
MD5	MUST conform to MD5 .
MD4	MUST conform to [RFC1320] .
MD2	MUST conform to [RFC1319] .
RIPEMD-128	MUST conform to [ISO/IEC 10118] .
RIPEMD-160	MUST conform to [ISO/IEC 10118] .
WHIRLPOOL	MUST conform to [ISO/IEC 10118] .

Values that are not defined MAY [<20>](#) be used, and a compliant implementation is not required to support all defined values. The string MUST be at least 1 character. See section [4](#) for additional information.

KeyData: A complex type that specifies the encryption used within this element. The **saltValue** attribute is a base64-encoded binary value that is randomly generated. The number of bytes required to decode the **saltValue** attribute MUST be equal to the value of the **saltSize** attribute.

DataIntegrity: A complex type that specifies data used to verify whether the encrypted data passes an integrity check. It MUST be generated using the method specified in section [2.3.4.14](#). This type is composed of the following simple types:

- **encryptedHmacKey:** A base64-encoded value that specifies an encrypted key utilized in calculating the **encryptedHmacValue**.
- **encryptedHmacValue:** A base64-encoded value that specifies an **HMAC** derived from the **encryptedHmacKey** and the encrypted data.

KeyEncryptor: A complex type that specifies the parameters used to encrypt an intermediate key, which is used to perform the final encryption of the document. To ensure extensibility, arbitrary elements can be defined to encrypt the intermediate key. The intermediate key MUST be the same for all **KeyEncryptor** elements. A **PasswordKeyEncryptor** and a **CertificateKeyEncryptor** are defined following this schema definition.

KeyEncryptors: A sequence of **KeyEncryptor** elements. Exactly one **KeyEncryptors** element MUST be present, and the **KeyEncryptors** element MUST contain at least one **KeyEncryptor**.

Encryption: A complex type composed of the following elements that specify the encryption properties:

- **keyData:** One **KeyData** element MUST be present.
- **dataIntegrity:** One **DataIntegrity** element MUST [<21>](#) be present.
- **keyEncryptors:** One **KeyEncryptors** sequence MUST be present.

The **KeyEncryptor** element, which MUST be used when encrypting password-protected agile encryption documents, is either a **PasswordKeyEncryptor** or a **CertificateKeyEncryptor**.

Exactly one **PasswordKeyEncryptor** MUST be present. Zero or more **CertificateKeyEncryptor** elements are contained within the KeyEncryptors element. The **PasswordKeyEncryptor** is specified by the following schema.

```
<?xml version="1.0" encoding="utf-8"?>
<xs:schema attributeFormDefault="unqualified" elementFormDefault="qualified"
  targetNamespace="http://schemas.microsoft.com/office/2006/keyEncryptor/password"
  xmlns="http://schemas.microsoft.com/office/2006/keyEncryptor/password"
  xmlns:e="http://schemas.microsoft.com/office/2006/encryption"
  xmlns:xs="http://www.w3.org/2001/XMLSchema">

  <xs:import namespace="http://schemas.microsoft.com/office/2006/encryption"
    schemaLocation="encryptionInfo.xsd" />

  <xs:simpleType name="ST_PasswordKeyEncryptorUri">
    <xs:restriction base="xs:token">
      <xs:enumeration
value="http://schemas.microsoft.com/office/2006/keyEncryptor/password" />
    </xs:restriction>
  </xs:simpleType>

  <xs:complexType name="CT_PasswordKeyEncryptor">
    <xs:attribute name="saltSize" type="e:ST_SaltSize" use="required" />
    <xs:attribute name="blockSize" type="e:ST_BlockSize" use="required" />
    <xs:attribute name="keyBits" type="e:ST_KeyBits" use="required" />
    <xs:attribute name="hashSize" type="e:ST_HashSize" use="required" />
    <xs:attribute name="cipherAlgorithm" type="e:ST_CipherAlgorithm" use="required"
/>
    <xs:attribute name="cipherChaining" type="e:ST_CipherChaining" use="required"
/>
    <xs:attribute name="hashAlgorithm" type="e:ST_HashAlgorithm" use="required" />
    <xs:attribute name="saltValue" type="xs:base64Binary" use="required" />
    <xs:attribute name="spinCount" type="e:ST_SpinCount" use="required" />
    <xs:attribute name="encryptedVerifierHashInput" type="xs:base64Binary"
use="required" />
    <xs:attribute name="encryptedVerifierHashValue" type="xs:base64Binary"
use="required" />
    <xs:attribute name="encryptedKeyValue" type="xs:base64Binary" use="required" />
  </xs:complexType>

  <xs:element name="encryptedKey" type="CT_PasswordKeyEncryptor" />
</xs:schema>
```

saltSize: A **SaltSize** that specifies the size of the salt for a **PasswordKeyEncryptor**.

blockSize: A **BlockSize** that specifies the block size for a **PasswordKeyEncryptor**.

keyBits: A **KeyBits** that specifies the number of bits for a **PasswordKeyEncryptor**.

hashSize: A **HashSize** that specifies the size of the binary form of the hash for a **PasswordKeyEncryptor**.

cipherAlgorithm: A **CipherAlgorithm** that specifies the cipher algorithm for a **PasswordKeyEncryptor**. The cipher algorithm specified MUST be the same as the cipher algorithm specified for the **Encryption.keyData** element.

cipherChaining: A **CipherChaining** that specifies the cipher chaining mode for a **PasswordKeyEncryptor**.

hashAlgorithm: A **HashAlgorithm** that specifies the hashing algorithm for a **PasswordKeyEncryptor**. The hashing algorithm specified MUST be the same as the hashing algorithm specified for the **Encryption.keyData** element.

saltValue: A base64-encoded binary byte array that specifies the salt value for a **PasswordKeyEncryptor**. The number of bytes required by the decoded form of this element MUST be **saltSize** bytes.

spinCount: A **SpinCount** that specifies the spin count for a **PasswordKeyEncryptor**.

encryptedVerifierHashInput: A base64-encoded value that specifies the encrypted verifier hash input for a **PasswordKeyEncryptor** used in password verification.

encryptedVerifierHashValue: A base64-encoded value that specifies the encrypted verifier hash value for a **PasswordKeyEncryptor** used in password verification.

encryptedKeyValue: A base64-encoded value that specifies the encrypted form of the intermediate key.

The **CertificateKeyEncryptor** is specified by the following schema:

```
<?xml version="1.0" encoding="utf-8"?>
<xs:schema attributeFormDefault="unqualified" elementFormDefault="qualified"
targetNamespace="http://schemas.microsoft.com/office/2006/keyEncryptor/certificate"
xmlns="http://schemas.microsoft.com/office/2006/keyEncryptor/certificate"
xmlns:e="http://schemas.microsoft.com/office/2006/encryption"
xmlns:xs="http://www.w3.org/2001/XMLSchema">
  <xs:import namespace="http://schemas.microsoft.com/office/2006/encryption"
schemaLocation="encryptionInfo.xsd" />
  <xs:simpleType name="ST_PasswordKeyEncryptorUri">
    <xs:restriction base="xs:token">
      <xs:enumeration value="http://schemas.microsoft.com/office/2006/keyEncryptor/certificate"
/>
    </xs:restriction>
  </xs:simpleType>
  <xs:complexType name="CT_CertificateKeyEncryptor">
    <xs:attribute name="encryptedKeyValue" type="xs:base64Binary" use="required" />
    <xs:attribute name="X509Certificate" type="xs:base64Binary" use="required" />
    <xs:attribute name="certVerifier" type="xs:base64Binary" use="required" />
  </xs:complexType>
  <xs:element name="encryptedKey" type="CT_CertificateKeyEncryptor" />
</xs:schema>
```

</xs:schema>

encryptedKeyValue: A base64-encoded value that specifies the encrypted form of the intermediate key, which is encrypted with the public key contained within the **X509Certificate** attribute.

X509Certificate: A base64-encoded value that specifies a **DER**-encoded **X.509 certificate** used to encrypt the intermediate key. The certificate **MUST** contain only the public portion of the public-private key pair.

certVerifier: A base64-encoded value that specifies the HMAC of the binary data obtained by base64-decoding the **X509Certificate** attribute. The hashing algorithm used to derive the HMAC **MUST** be the hashing algorithm specified for the **Encryption.keyData** element. The secret key used to derive the HMAC **MUST** be the intermediate key.

If the intermediate key is reset, then any **CertificateKeyEncryptor** elements are also reset to contain the new intermediate key, except that the **certVerifier** attribute **MUST** match the value calculated using the current intermediate key, in order to verify that the **CertificateKeyEncryptor** element actually encrypted the current intermediate key. If a **CertificateKeyEncryptor** element does not have a correct **certVerifier** attribute, then it **MUST** be discarded.

2.3.4.11 Encryption Key Generation (Agile Encryption)

The encryption key for ECMA-376 document encryption [\[ECMA-376\]](#) using agile encryption **MUST** be generated using the following method, which is derived from PKCS #5: Password-Based Cryptography Version 2.0 [\[RFC2898\]](#).

Let $H()$ be a hashing algorithm as determined by the **PasswordKeyEncryptor.hashAlgorithm** element, H_n be the hash data of the n^{th} iteration, and a plus sign (+) represent concatenation. The password **MUST** be provided as an array of Unicode characters. Limitations on the length of the password and the characters used by the password are implementation dependent (see section [5](#)). The initial password hash is generated as follows.

- $H_0 = H(\text{salt} + \text{password})$

The salt used **MUST** be generated randomly. The salt **MUST** be stored in the **PasswordKeyEncryptor.saltValue** element contained within the **\EncryptionInfo** stream as specified in section [2.3.4.10](#). The hash is then iterated using the following approach:

- $H_n = H(\text{iterator} + H_{n-1})$

Where iterator is an unsigned 32-bit value that is initially set to 0x00000000, and is then incremented monotonically on each iteration until **PasswordKey.spinCount** iterations have been performed. The value of the iterator on the last iteration **MUST** be one less than **PasswordKey.spinCount**.

The final hash data that is used for an encryption key is then generated using the following method:

- $H_{\text{final}} = H(H_n + \text{blockKey})$

Where **blockKey** represents an array of bytes used to prevent two different blocks from encrypting to the same cipher text.

If size of the resulting H_{final} is smaller than **PasswordKeyEncryptor.keyBits**, then the key **MUST** be padded by appending bytes with a value of 0x36. If the hash value is larger in size than **PasswordKeyEncryptor.keyBits**, the key is obtained by truncating the hash value.

2.3.4.12 Initialization Vector Generation (Agile Encryption)

Initialization vectors are used in all cases for agile encryption. An initialization vector MUST be generated using the following method, where $H()$ is a hash function that MUST be the same as in section [2.3.4.11](#) and a plus sign (+) represents concatenation:

1. If a **blockKey** is provided, let **IV** be a hash of the **KeySalt** and **blockKey**: $IV = H(\text{KeySalt} + \text{blockKey})$.
2. If a **blockKey** is not provided, let **IV** be equal to **KeySalt**: $IV = \text{KeySalt}$.
3. If the number of bytes in the value of **IV** is less than the **blockSize** attribute corresponding to the **cipherAlgorithm** attribute, pad the array of bytes by appending 0x36 until the array is **blockSize** bytes. If the array of bytes is larger than **blockSize** bytes, truncate the array to **blockSize** bytes.

2.3.4.13 PasswordKeyEncryptor Generation (Agile Encryption)

For agile encryption, the password key encryptor XML element specified in section [2.3.4.10](#) MUST be created using the following method.

saltSize: Set this attribute to the number of bytes used by the binary form of the **saltValue** attribute. It MUST conform to a **SaltSize** type.

blockSize: Set this attribute to the number of bytes needed to contain an encrypted block of data, as defined by the **cipherAlgorithm** used. It MUST conform to a **BlockSize** type.

keyBits: Set this attribute to the number of bits needed to contain an encryption key, as defined by the **cipherAlgorithm** used. It MUST conform to a **KeyBits** type.

hashSize: Set this attribute to the number of bytes needed to contain the output of the hashing algorithm defined by the **hashAlgorithm** element. It MUST conform to a **HashSize** type.

cipherAlgorithm: Set this attribute to a string containing the cipher algorithm used to encrypt the **encryptedVerifierHashInput**, **encryptedVerifierHashValue**, and **encryptedKeyValue**. It MUST conform to a **CipherAlgorithm** type.

cipherChaining: Set this attribute to the cipher chaining mode used to encrypt **encryptedVerifierHashInput**, **encryptedVerifierHashValue**, and **encryptedKeyValue**. It MUST conform to a **CipherChaining** type.

hashAlgorithm: Set this attribute to the hashing algorithm used to derive the encryption key from the password, and is also used to obtain the **encryptedVerifierHashValue**. It MUST conform to a **HashAlgorithm** type.

saltValue: Set this attribute to a base64-encoded, randomly generated array of bytes. It MUST conform to a **SaltValue** type. The number of bytes required by the decoded form of this element MUST be **saltSize** bytes.

spinCount: Set this attribute to the number of times to iterate the password hash when creating the key used to encrypt the **encryptedVerifierHashInput**, **encryptedVerifierHashValue**, and **encryptedKeyValue**. It MUST conform to a **SpinCount** type.

encryptedVerifierHashInput: This attribute MUST be generated using the following steps:

1. Generate a random array of bytes with the number of bytes used specified by the **saltSize** attribute.

2. Generate an encryption key as specified in section [2.3.4.11](#) by using the user-supplied password, the binary byte array used to create the **saltValue** attribute, and a **blockKey** byte array consisting of the following bytes: 0xfe, 0xa7, 0xd2, 0x76, 0x3b, 0x4b, 0x9e, and 0x79.
3. Encrypt the random array of bytes generated in step 1 using the binary form of the **saltValue** attribute as an initialization vector as specified in [2.3.4.12](#). If the array of bytes is not an integral multiple of **blockSize** bytes, pad the array with 0x00 to the next integral multiple of **blockSize** bytes.
4. Use base64 to encode the result of step 3.

encryptedVerifierHashValue: This attribute MUST be generated using the following steps:

1. Obtain the hash value of the random array of bytes generated in step 1 of the **encryptedVerifierHashInput**.
2. Generate an encryption key as specified in section [2.3.4.11](#) by using the user-supplied password, the binary byte array used to create the **saltValue** attribute, and a **blockKey** byte array consisting of the following bytes: 0xd7, 0xaa, 0x0f, 0x6d, 0x30, 0x61, 0x34, and 0x4e.
3. Encrypt the hash value obtained in step 1 by using the binary form of the **saltValue** attribute as an initialization vector as specified in section [2.3.4.12](#). If **hashSize** is not an integral multiple of **blockSize** bytes, pad the hash value with 0x00 to an integral multiple of **blockSize** bytes.
4. Use base64 to encode the result of step 3.

encryptedKeyValue: This attribute MUST be generated using the following steps:

1. Generate a random array of bytes the same size as specified by the **Encryptor.KeyData.keyBits** attribute of the parent element.
2. Generate an encryption key as specified in section [2.3.4.11](#), using the user-supplied password, the binary byte array used to create the **saltValue** attribute, and a **blockKey** byte array consisting of the following bytes: 0x14, 0x6e, 0x0b, 0xe7, 0xab, 0xac, 0xd0, and 0xd6.
3. Encrypt the random array of bytes generated in step 1 using the binary form of the **saltValue** attribute as an initialization vector as specified in [2.3.4.12](#). If the array of bytes is not an integral multiple of **blockSize** bytes, pad the array with 0x00 to an integral multiple of **blockSize** bytes.
4. Use base64 to encode the result of step 3.

2.3.4.14 DataIntegrity Generation (Agile Encryption)

The **DataIntegrity** element contained within an **Encryption** element MUST be generated using the following steps:

1. Obtain the intermediate key by decrypting the **encryptedKeyValue** from a **KeyEncryptor** contained within the **KeyEncryptors** sequence. Use this key for encryption operations in the remaining steps of this section.
2. Generate a random array of bytes, known as **Salt**, of the same length as the **KeyData.hashSize** attribute.
3. Encrypt the random array of bytes generated in step 2 by using the binary form of the **KeyData.saltValue** attribute and a **blockKey** byte array consisting of the following bytes 0x5f, 0xb2, 0xad, 0x01, 0x0c, 0xb9, 0xe1, 0xf6 used to form an initialization vector as specified in

section [2.3.4.12](#). If the array of bytes is not an integral multiple of **blockSize** bytes, pad the array with 0x00 to the next integral multiple of **blockSize** bytes.

4. Assign the **encryptedHmacKey** attribute to the base64-encoded form of the result of step 3.
5. Generate an HMAC, as specified in [\[RFC2104\]](#), of the encrypted form of the data (message) which the **DataIntegrity** element will verify by using the salt-generated in step 2 as the key. Note that the entire **EncryptedPackage** stream, including the **StreamSize** field MUST be used as the message.
6. Encrypt the HMAC as in step 3 by using a blockKey byte array consisting of the following bytes 0xa0, 0x67, 0x7f, 0x02, 0xb2, 0x2c, 0x84, 0x33.
7. Assign the **encryptedHmacValue** attribute to the base64-encoded form of the result of step 6.

2.3.4.15 Data Encryption (Agile Encryption)

The **EncryptedPackage** stream MUST be encrypted in 4096-byte segments to facilitate nearly random access while allowing CBC modes to be used in the encryption process.

The initialization vector for the encryption process MUST be obtained by using the zero-based segment number as a blockKey and the binary form of the **KeyData.saltValue** as specified in section [2.3.4.12](#). The block number MUST be represented as a 32-bit unsigned integer.

Data blocks MUST then be encrypted using the initialization vector and the intermediate key obtained by decrypting the **encryptedKeyValue** from a **KeyEncryptor** contained within the **KeyEncryptors** sequence as specified in section [2.3.4.10](#). The final data block MUST be padded to the next integral multiple of the **KeyData.blockSize** value. Any padding bytes can be used. Note that the **StreamSize** field of the **EncryptedPackage** field specifies the number of bytes of unencrypted data as specified in section [2.3.4.4](#).

2.3.5 Office Binary Document RC4 CryptoAPI Encryption

The storages and streams encrypted by Office binary document RC4 CryptoAPI encryption are specified in the documentation for the relevant application; see [\[MS-DOC\]](#), [\[MS-XLS\]](#), and [\[MS-PPT\]](#) for more information. The following documentation will specify the structures used and key generation methods used by the application.

2.3.5.1 RC4 CryptoAPI Encryption Header

The encryption header structure used for RC4 CryptoAPI encryption is specified as follows.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
EncryptionVersionInfo																															
EncryptionHeader.Flags																															
EncryptionHeaderSize																															
EncryptionHeader (variable)																															

...
EncryptionVerifier (variable)
...

EncryptionVersionInfo (4 bytes): A **Version** structure (section [2.1.4](#)) that specifies the encryption version used to create the document and the encryption version required to open the document. **Version.vMajor** MUST be 0x0002, 0x0003, or 0x0004 [<22>](#) and **Version.vMinor** MUST be 0x0002.

EncryptionHeader.Flags (4 bytes): A copy of the **Flags** stored in the **EncryptionHeader** structure (section [3.10.1](#)) stored in this stream.

EncryptionHeaderSize (4 bytes): An unsigned integer that specifies the size, in bytes, of the **EncryptionHeader** structure.

EncryptionHeader (variable): Specifies an **EncryptionHeader** structure (section [2.3.2](#)) used to encrypt the structure. The values MUST be set as described in the following table.

Field	Value
Flags	The fCryptoAPI bit MUST be set. The fDocProps bit MUST be set if the document properties are not encrypted.
SizeExtra	MUST be 0x00000000.
AlgID	MUST be 0x00006801 (RC4 encryption).
AlgIDHash	MUST be 0x00008004 (SHA-1).
KeySize	MUST be greater than or equal to 0x00000028 bits, and less than or equal to 0x00000080 bits, in increments of 8 bits. If set to 0x00000000, it MUST be interpreted as 0x00000028 bits. It MUST be compatible with the chosen cryptographic service provider (CSP).
ProviderType	MUST be 0x00000001.
Reserved1	Undefined and MUST be ignored.
Reserved2	MUST be 0x00000000 and MUST be ignored.
CSPName	MUST be set to a recognized CSP name which supports RC4 and SHA-1 algorithms with a key length compatible with the KeySize field value. <23>

EncryptionVerifier (variable): An **EncryptionVerifier** structure as specified in section [2.3.3](#), and generated as specified in section [2.3.4.8](#).

2.3.5.2 RC4 CryptoAPI Encryption Key Generation

The encryption key for RC4 CryptoAPI binary document encryption MUST be generated using the following approach.

Let $H()$ be a hashing algorithm as determined by the **EncryptionHeader.AlgIDHash** field and a plus sign (+) represents concatenation. The password MUST be provided as an array of Unicode characters.

Limitations on the length of the password and the characters used by the password are implementation dependent. Behavior variations are documented in [\[MS-DOC\]](#), [\[MS-XLS\]](#), and [\[MS-PPT\]](#). If the length of the password is not explicitly limited in these references, the maximum password length MUST be 255 Unicode characters.

The password hash is generated as follows.

- $H0 = H(\text{salt} + \text{password})$

The **salt** used MUST be generated randomly and MUST be 16 bytes in size. The salt MUST be stored in the **EncryptionVerifier.Salt** field as specified in section [2.3.4.5](#). Note that the hash MUST NOT be iterated. See section [4](#) for additional notes.

Once the hash has been obtained, the encryption key MUST be generated by using the hash data, and a block number that is provided by the application. The encryption algorithm MUST be specified in the **EncryptionHeader.AlgID** field.

The method used to generate the hash data that is the input into the key derivation algorithm as follows.

- $H_{\text{final}} = H(H0 + \text{block})$

The block number MUST be a 32-bit unsigned value provided by the application.

Let **keyLength** be the key length in bits as specified by the RC4 CryptoAPI Encryption Header **KeySize** field.

The first **keyLength** bits of H_{final} MUST be considered the derived encryption key, unless **keyLength** is exactly 40 bits long. A SHA-1 hash is 160 bits long, and the maximum RC4 key length is 128 bits; therefore, **keyLength** MUST be less than or equal to 128 bits. If **keyLength** is exactly 40 bits, then the encryption key MUST be composed of the first 40 bits of H_{final} , and 88 bits set to zero, creating a 128-bit key.

2.3.5.3 RC4 CryptoAPI EncryptedStreamDescriptor Structure

The RC4 CryptoAPI **EncryptedStreamDescriptor** structure specifies information about encrypted streams and storages contained within an RC4 CryptoAPI Encrypted Summary stream as specified in section [2.3.5.4](#). It is specified as follows.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
StreamOffset																															
StreamSize																															
Block																NameSize				A	B	Unused									
Reserved2																															
StreamName (variable)																															
...																															

StreamOffset (4 bytes): An unsigned integer that specifies the offset in bytes within the summary stream where the encrypted stream is written.

StreamSize (4 bytes): An unsigned integer that specifies the size in bytes of the encrypted stream.

Block (2 bytes): An unsigned integer that specifies the block number used to derive the encryption key for this encrypted stream.

NameSize (1 byte): An unsigned integer that specifies the number of characters used by the **StreamName** field, not including the terminating NULL character.

A – fStream (1 bit): MUST be 1 if the encrypted data is a stream. It MUST be 0 if the encrypted data is a storage.

B – Reserved1 (1 bit): MUST be 0, and MUST be ignored.

Unused (6 bits): MUST be ignored.

Reserved2 (4 bytes): MUST be ignored.

StreamName (variable): A null-terminated Unicode string specifying the name of the stream (or storage) stored within the encrypted summary stream.

2.3.5.4 RC4 CryptoAPI Encrypted Summary Stream

When RC4 CryptoAPI encryption is used, an encrypted summary stream MAY [<24>](#) be created. The name of the stream MUST be specified by the application. If the encrypted summary stream is present, the \0x05DocumentSummaryInformation stream MUST be present, MUST be conformant to [\[MS-OSHARED\]](#) section 2.3.3.2, and MUST contain no properties. The \0x05SummaryInformation stream MUST NOT be present.

The contents of the \0x05SummaryInformation and \0x05DocumentSummaryInformation streams are specified in [\[MS-OSHARED\]](#) sections [2.3.3.2.1](#) and [2.3.3.2.2](#).

For brevity this section will refer to the RC4 CryptoAPI Encrypted Summary stream as the encrypted summary stream.

The stream MUST have the following format.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
StreamDescriptorArrayOffset																															
StreamDescriptorArraySize																															
EncryptedStreamData (variable)																															
...																															
EncryptedStreamDescriptorCount																															
EncryptedStreamDescriptorArray (variable)																															

StreamDescriptorArrayOffset (4 bytes): An unsigned integer that specifies the offset within the encrypted summary stream where the first **EncryptedStreamDescriptor** structure is found.

StreamDescriptorArraySize (4 bytes): An unsigned integer that specifies the number of bytes used by the **EncryptedStreamDescriptorArray** structure.

EncryptedStreamData (variable): One or more encrypted streams stored within the summary stream.

EncryptedStreamDescriptorCount (4 bytes): An encrypted unsigned integer specifying the count of **EncryptedStreamDescriptor** structures (section [2.3.5.3](#)).

EncryptedStreamDescriptorArray (variable): One or more **EncryptedStreamDescriptor** structures that specify the offsets and names of the encrypted streams and storages contained within the encrypted summary stream.

The encrypted summary stream MUST be written as specified in the following steps:

1. Seek forward from the start of the encrypted summary stream by 8 bytes to provide space for the **StreamDescriptorArrayOffset** and **StreamDescriptorArraySize** fields, which will be written in step 8. Let **BlockNumber** initially be 0x00000000.
2. If additional streams or storages are provided by the application, for each stream or storage the following steps MUST be performed:
 1. If the data is contained within a stream, retrieve the contents of the stream. Initialize the encryption key as specified in section [2.3.5.2](#), utilizing a block number of 0x00000000, and encrypt the stream data. Write the encrypted bytes into the encrypted summary stream.
 2. If the data is contained within a storage, convert the storage into a file as specified in [\[MS-CFB\]](#). Initialize the encryption key as specified in section [2.3.5.2](#), utilizing a block number of **BlockNumber**, and encrypt the storage data as a stream of bytes. Write the encrypted bytes into the encrypted summary stream.
 3. Set the fields within the associated **EncryptedStreamDescriptor** for the stream or storage. Do not write it to the encrypted summary stream yet.
 4. Increment **BlockNumber**.
3. Generate or retrieve the entire contents of the **\0x05SummaryInformation** stream. Initialize the encryption key as specified in section [2.3.5.2](#), utilizing a block number of **BlockNumber**, and encrypt the **\0x05SummaryInformationStream** data. Write the encrypted bytes into the encrypted summary stream. Increment **BlockNumber**.
4. Set the fields within the associated **EncryptedStreamDescriptor** for the **\0x05SummaryInformation** stream. Do not write it to the encrypted summary stream yet.
5. Generate or retrieve data contained within the **\0x05DocumentSummaryInformation** stream. Initialize the encryption key as specified in section [2.3.5.2](#), utilizing a block number of **BlockNumber**, and encrypt the **\0x05DocumentSummaryInformationStream** data.

Write the encrypted bytes into the encrypted summary stream immediately following the data written in step 2.

6. Set the fields within the associated **EncryptedStreamDescriptor** for the **\0x05DocumentSummaryInformation** stream. Do not write it to the encrypted summary stream yet.
7. Write the **EncryptedStreamDescriptorCount** and **EncryptedStreamDescriptorArray** by initializing the encryption key as specified in section [2.3.5.2](#), utilizing a block number of 0x00000000. Concatenate and encrypt the **EncryptedStreamDescriptorCount** and the **EncryptedStreamDescriptors**. Write the encrypted bytes into the encrypted summary stream.
8. Initialize the **StreamDescriptorArrayOffset** and **StreamDescriptorArraySize** fields to specify the encrypted location and size of the **EncryptedStreamDescriptorArray** within the encrypted summary stream. Initialize the encryption key as specified in section [2.3.5.2](#), utilizing a block number of 0x00000000.

2.3.5.5 Password Verifier Generation

The password verifier uses an **EncryptionVerifier** structure (section [2.3.3](#)) as specified in section [2.3.3](#). The password verifier **Salt** field MUST be populated with the salt created during password key generation, as specified in section [2.3.5.2](#). An additional 16-byte verifier is then hashed using the SHA-1 hashing algorithm specified in the encryption header structure, and encrypted using the key generated in section [2.3.5.2](#), with a block number of 0x00000000.

2.3.5.6 Password Verification

The password verification process is specified by the following steps:

1. Generate an encryption key as specified in section [2.3.3](#) utilizing a block number of 0x00000000.
2. Decrypt the **EncryptedVerifier** field of the **EncryptionVerifier** structure to obtain the **Verifier** value. The resultant Verifier value MUST be an array of 16 bytes.
3. Decrypt the **EncryptedVerifierHash** field of the **EncryptionVerifier** structure to obtain the hash of the Verifier value. The number of bytes used by the encrypted Verifier hash MUST be 20.
4. Calculate the SHA-1 hash value of the **Verifier** value calculated in step 2.
5. Compare the results of step 3 and step 4. If the two hash values do not match, the password is incorrect.

The RC4 decryption stream MUST NOT be reset between the two decryption operations specified in steps 2 and 3.

2.3.6 Office Binary Document RC4 Encryption

Office binary document RC4 encryption does not alter the storages and streams used. If a stream is encrypted, it is encrypted in place. The following documentation will specify the structures used, and key generation methods used by the application.

2.3.6.1 RC4 Encryption Header

The encryption header used for RC4 encryption is specified as follows.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
EncryptionVersionInfo																															
Salt (16 bytes)																															
...																															
EncryptedVerifier (16 bytes)																															
...																															
EncryptedVerifierHash (16 bytes)																															
...																															

EncryptionVersionInfo (4 bytes): A **Version** structure (section [2.1.4](#)) where **Version.vMajor** MUST be 0x0001, and **Version.vMinor** MUST be 0x0001.

Salt (16 bytes): A randomly-generated array of bytes that specifies the salt value used during password hash generation. It MUST NOT be the same data used for the verifier stored encrypted in the **EncryptedVerifier** field.

EncryptedVerifier (16 bytes): An additional 16-byte verifier encrypted using a 40-bit RC4 cipher initialized as specified in section [2.3.6.2](#), with a block number of 0x00000000.

EncryptedVerifierHash (16 bytes): A 40-bit RC4 encrypted MD5 hash of the verifier used to generate the **EncryptedVerifier** field.

2.3.6.2 Encryption Key Derivation

The encryption key for Office binary document RC4 encryption is generated using the following method. Let $H()$ be the MD5 hashing algorithm, H_n be the hash data of the n^{th} iteration, and a plus sign (+) represent concatenation. The password MUST be provided as an array of Unicode characters.

Limitations on the length of the password and the characters used by the password are implementation-dependent. Current behavior variations are documented in [\[MS-DOC\]](#) and [\[MS-XLS\]](#). If the length of the password is not explicitly limited in these references, the maximum password length MUST be 255 Unicode characters.

The initial password hash is generated as follows.

- $H_0 = H(\text{password})$

The salt used MUST be generated randomly, and MUST be 16 bytes in size. The salt MUST be stored in the **Salt** field of the **BinaryRC4EncryptionHeader** structure (section [2.3.5.1](#)). The hash is then computed using the following approach:

1. Let **TruncatedHash** be the first 5 bytes of H_0 .
2. Let **IntermediateBuffer** be a 336-byte buffer.

3. Form a 21-byte buffer by concatenating **TruncatedHash** plus the salt. Initialize **IntermediateBuffer** by copying the 21-byte buffer into **IntermediateBuffer** a total of 16 times.
4. $H_1 = H(\text{IntermediateBuffer})$.

Once the final hash has been obtained, the encryption key MUST be generated using the first 5 bytes of the final hash data, and a block number that is provided by the application. The encryption algorithm MUST be RC4. The method used to generate the hash data that is the input into the key derivation algorithm is the following:

- Now let **TruncatedHash** be the first 5 bytes of H_1 .
- H_{final} equals $H(\text{TruncatedHash} + \text{block})$.

The block number MUST be a 32-bit unsigned value provided by the application.

The first 128 bits of H_{final} MUST then be used as the derived encryption key.

2.3.6.3 Password Verifier Generation

The password verifier uses a **BinaryRC4EncryptionHeader** structure (section [2.3.5.1](#)) as specified in section [2.3.6.1](#). The password verifier **Salt** field MUST be populated with the salt created during password key generation, as specified in section [2.3.6.2](#). An additional 16-byte verifier is then hashed, using the MD5 hashing algorithm, and encrypted using the key generated in section [2.3.6.2](#), with a block number of 0x00000000.

The RC4 decryption stream MUST NOT be reset between decrypting the **EncryptedVerifier** and the **EncryptedVerifierHash**.

2.3.6.4 Password Verification

The password verification process is specified by the following steps:

1. Generate an encryption key as specified in section [2.3.6.2](#), utilizing a block number of 0x00000000.
2. Decrypt the **EncryptedVerifier** field of the RC4 Encryption Header structure to obtain the Verifier value. The resultant Verifier value MUST be an array of 16 bytes.
3. Decrypt the **EncryptedVerifierHash** field of the RC4 Encryption Header structure to obtain the hash of the Verifier value. The number of bytes used by the encrypted Verifier hash MUST be 16.
4. Calculate the MD5 hash value of the results of step 2.
5. Compare the results of step 3 and step 4. If the two hash values do not match, the password is incorrect.

The RC4 decryption stream MUST NOT be reset between decrypting the **EncryptedVerifier** and the **EncryptedVerifierHash**.

2.3.7 XOR Obfuscation

XOR obfuscation is supported for backward compatibility with older file formats.

2.3.7.1 Binary Document Password Verifier Derivation Method 1

The **CreatePasswordVerifier_Method1** procedure specifies how a 16-bit password verifier is obtained from an ASCII password string. The password verifier is used in **XOR** obfuscation, as well as for document write protection.

The **CreatePasswordVerifier_Method1** procedure takes the following parameter:

- **Password:** An ASCII string that specifies the password to be used when generating the verifier.

```
FUNCTION CreatePasswordVerifier_Method1
    PARAMETERS Password
    RETURNS 16-bit unsigned integer

    DECLARE Verifier AS 16-bit unsigned integer
    DECLARE PasswordArray AS array of 8-bit unsigned integers

    SET Verifier TO 0x0000
    SET PasswordArray TO (empty array of bytes)
    SET PasswordArray[0] TO Password.Length
    APPEND Password TO PasswordArray

    FOR EACH PasswordByte IN PasswordArray IN REVERSE ORDER
        IF (Verifier BITWISE AND 0x4000) is 0x0000
            SET Intermediate1 TO 0
        ELSE
            SET Intermediate1 TO 1
        ENDIF

        SET Intermediate2 TO Verifier MULTIPLIED BY 2
        SET most significant bit of Intermediate2 TO 0

        SET Intermediate3 TO Intermediate1 BITWISE OR Intermediate2
        SET Verifier TO Intermediate3 BITWISE XOR PasswordByte
    ENDFOR

    RETURN Verifier BITWISE XOR 0xCE4B
END FUNCTION
```

Also see the security notes in section [4](#).

2.3.7.2 Binary Document XOR Array Initialization Method 1

The **CreateXorArray_Method1** procedure specifies how a 16-byte XOR obfuscation array is initialized. The procedure takes the following parameter:

- **Password:** An ASCII string that specifies the password to be used to encrypt the data. Password MUST NOT be longer than 15 characters.

```
SET PadArray TO ( 0xBB, 0xFF, 0xFF, 0xBA, 0xFF, 0xFF, 0xB9, 0x80,
                  0x00, 0xBE, 0x0F, 0x00, 0xBF, 0x0F, 0x00 )

SET InitialCode TO ( 0xE1F0, 0x1D0F, 0xCC9C, 0x84C0, 0x110C,
                    0x0E10, 0xF1CE, 0x313E, 0x1872, 0xE139,
                    0xD40F, 0x84F9, 0x280C, 0xA96A, 0x4EC3 )

SET XorMatrix TO ( 0xAEFC, 0x4DD9, 0x9BB2, 0x2745, 0x4E8A, 0x9D14, 0x2A09,
```

```

0x7B61, 0xF6C2, 0xFDA5, 0xEB6B, 0xC6F7, 0x9DCF, 0x2BBF,
0x4563, 0x8AC6, 0x05AD, 0x0B5A, 0x16B4, 0x2D68, 0x5AD0,
0x0375, 0x06EA, 0x0DD4, 0x1BA8, 0x3750, 0x6EA0, 0xDD40,
0xD849, 0xA0B3, 0x5147, 0xA28E, 0x553D, 0xAA7A, 0x44D5,
0x6F45, 0xDE8A, 0xAD35, 0x4A4B, 0x9496, 0x390D, 0x721A,
0xEB23, 0xC667, 0x9CEF, 0x29FF, 0x53FE, 0xA7FC, 0x5FD9,
0x47D3, 0x8FA6, 0x0F6D, 0x1EDA, 0x3DB4, 0x7B68, 0xF6D0,
0xB861, 0x60E3, 0xC1C6, 0x93AD, 0x377B, 0x6EF6, 0xDDEC,
0x45A0, 0x8B40, 0x06A1, 0x0D42, 0x1A84, 0x3508, 0x6A10,
0xAA51, 0x4483, 0x8906, 0x022D, 0x045A, 0x08B4, 0x1168,
0x76B4, 0xED68, 0xCAF1, 0x85C3, 0x1BA7, 0x374E, 0x6E9C,
0x3730, 0x6E60, 0xDCC0, 0xA9A1, 0x4363, 0x86C6, 0x1DAD,
0x3331, 0x6662, 0xCCC4, 0x89A9, 0x0373, 0x06E6, 0x0DCC,
0x1021, 0x2042, 0x4084, 0x8108, 0x1231, 0x2462, 0x48C4 )

```

```

FUNCTION CreateXorArray_Method1
PARAMETERS Password
RETURNS array of 8-bit unsigned integers

DECLARE XorKey AS 16-bit unsigned integer
DECLARE ObfuscationArray AS array of 8-bit unsigned integers

SET XorKey TO CreateXorKey_Method1(Password)

SET Index TO Password.Length
SET ObfuscationArray TO (0x00, 0x00, 0x00, 0x00, 0x00, 0x00, 0x00, 0x00,
                        0x00, 0x00, 0x00, 0x00, 0x00, 0x00, 0x00, 0x00)

IF Index MODULO 2 IS 1
    SET Temp TO most significant byte of XorKey
    SET ObfuscationArray[Index] TO XorRor(PadArray[0], Temp)

    DECREMENT Index

    SET Temp TO least significant byte of XorKey
    SET PasswordLastChar TO Password[Password.Length MINUS 1]
    SET ObfuscationArray[Index] TO XorRor(PasswordLastChar, Temp)
END IF

WHILE Index IS GREATER THAN 0
    DECREMENT Index
    SET Temp TO most significant byte of XorKey
    SET ObfuscationArray[Index] TO XorRor(Password[Index], Temp)

    DECREMENT Index
    SET Temp TO least significant byte of XorKey
    SET ObfuscationArray[Index] TO XorRor(Password[Index], Temp)
END WHILE

SET Index TO 15
SET PadIndex TO 15 MINUS Password.Length
WHILE PadIndex IS greater than 0

    SET Temp TO most significant byte of XorKey
    SET ObfuscationArray[Index] TO XorRor(PadArray[PadIndex], Temp)
    DECREMENT Index
    DECREMENT PadIndex

```

```

        SET Temp TO least significant byte of XorKey
        SET ObfuscationArray[Index] TO XorRor(PadArray[PadIndex], Temp)
        DECREMENT Index
        DECREMENT PadIndex
    END WHILE

    RETURN ObfuscationArray
END FUNCTION

FUNCTION CreateXorKey_Method1
    PARAMETERS Password
    RETURNS 16-bit unsigned integer

    DECLARE XorKey AS 16-bit unsigned integer

    SET XorKey TO InitialCode[Password.Length MINUS 1]

    SET CurrentElement TO 0x00000068

    FOR EACH Char IN Password IN REVERSE ORDER
        FOR 7 iterations
            IF (Char BITWISE AND 0x40) IS NOT 0
                SET XorKey TO XorKey BITWISE XOR XorMatrix[CurrentElement]
            END IF
            SET Char TO Char MULTIPLIED BY 2
            DECREMENT CurrentElement
        END FOR
    END FOR

    RETURN XorKey
END FUNCTION

FUNCTION XorRor
    PARAMETERS byte1, byte2
    RETURNS 8-bit unsigned integer

    RETURN Ror(byte1 XOR byte2)
END FUNCTION

FUNCTION Ror
    PARAMETERS byte
    RETURNS 8-bit unsigned integer

    SET temp1 TO byte DIVIDED BY 2
    SET temp2 TO byte MULTIPLIED BY 128
    SET temp3 TO temp1 BITWISE OR temp2
    RETURN temp3 MODULO 0x100
END FUNCTION

```

2.3.7.3 Binary Document XOR Data Transformation Method 1

Data transformed by the Binary Document **XOR** Data Transformation Method 1 for encryption **MUST** be as specified in the **EncryptData_Method1** procedure. This procedure takes the following parameters:

- **Password:** An ASCII string that specifies the password to be used to encrypt the data.
- **Data:** An array of unsigned 8-bit integers that specifies the data to be encrypted.
- **XorArrayIndex:** An unsigned integer that specifies the initial index into the **XOR** obfuscation array to be used.

```

FUNCTION EncryptData_Method1
    PARAMETERS Password, Data, XorArrayIndex
    DECLARE XorArray as array of 8-bit unsigned integers

    SET XorArray TO CreateXorArray_Method1(Password)

    FOR Index FROM 0 TO Data.Length
        SET Value TO Data[Index]
        SET Value TO (Value rotate left 5 bits)
        SET Value TO Value BITWISE XOR XorArray[XorArrayIndex]
        SET DATA[Index] TO Value

        INCREMENT XorArrayIndex
        SET XorArrayIndex TO XorArrayIndex MODULO 16
    END FOR
END FUNCTION

```

Data transformed by the Binary Document **XOR** Data Transformation Method 1 for decryption **MUST** be as specified in the **DecryptData_Method1** procedure. This procedure takes the following parameters:

- **Password:** An ASCII string that specifies the password to be used to decrypt the data.
- **Data:** An array of unsigned 8-bit integers which specifies the data to be decrypted.
- **XorArrayIndex:** An unsigned integer that specifies the initial index into the **XOR** obfuscation array to be used.

```

FUNCTION DecryptData_Method1
    PARAMETERS Password, Data, XorArrayIndex
    DECLARE XorArray as array of 8-bit unsigned integers

    SET XorArray TO CreateXorArray_Method1(Password)

    FOR Index FROM 0 to Data.Length
        SET Value TO Data[Index]
        SET Value TO Value BITWISE XOR XorArray[XorArrayIndex]
        SET Value TO (Value rotate right 5 bits)
        SET Data[Index] TO Value

        INCREMENT XorArrayIndex
        SET XorArrayIndex TO XorArrayIndex MODULO 16
    END FOR
END FUNCTION

```

2.3.7.4 Binary Document Password Verifier Derivation Method 2

The **CreatePasswordVerifier_Method2** procedure specifies how a 32-bit password verifier is obtained from a string of single-byte characters that has been transformed from a Unicode string. The password verifier is used in **XOR** obfuscation.

There are two different approaches to preprocessing the password string to convert it from Unicode to single-byte characters. Password preprocessing approaches are as follows:

- Using the current **language code identifier (LCID)**, convert Unicode input into an ANSI string, as specified in [\[MS-UCODEREF\]](#). Truncate the resultant string to 15 single-byte characters.
- For each input Unicode character, copy the least significant byte into the single-byte string, unless the least significant byte is 0x00. If the least significant byte is 0x00, copy the most significant byte. Truncate the resultant string to 15 characters.

When writing files, the second approach **MUST** be used. When reading files, both methods **MUST** be tried, and the password **MUST** be considered correct if either approach results in a match.

The **CreatePasswordVerifier_Method2** procedure takes the following parameter:

Password: A string of single-byte characters that specifies the password to be used to encrypt the data. **Password** **MUST NOT** be longer than 15 characters. **Password** **MUST** be transformed from Unicode to single-byte characters using the method specified in this section.

```
FUNCTION CreatePasswordVerifier_Method2
    PARAMETERS Password
    RETURNS 32-bit unsigned integer

    DECLARE Verifier as 32-bit unsigned integer
    DECLARE KeyHigh as 16-bit unsigned integer
    DECLARE KeyLow as 16-bit unsigned integer

    SET KeyHigh TO CreateXorKey_Method1(Password)
    SET KeyLow TO CreatePasswordVerifier_Method1(Password)

    SET most significant 16 bits of Verifier TO KeyHigh
    SET least significant 16 bits of Verifier TO KeyLow

    RETURN Verifier
END FUNCTION
```

2.3.7.5 Binary Document XOR Array Initialization Method 2

The **CreateXorArray_Method2** procedure specifies how a 16-byte XOR obfuscation array is initialized. The procedure takes the following parameter:

Password: A string of single-byte characters that specifies the password to be used to encrypt the data. **Password** **MUST NOT** be longer than 15 characters. **Password** **MUST** be transformed from Unicode to single-byte characters by using the method specified in section [2.3.7.4](#), which resulted in the password verifier matching.

```
FUNCTION CreateXorArray_Method2
    PARAMETERS Password
    RETURNS array of 8-bit unsigned integers
```

```

DECLARE Verifier as 32-bit unsigned integer
DECLARE VerifierHighWord as 16-bit unsigned integer
DECLARE KeyHigh as 8-bit unsigned integer
DECLARE KeyLow as 8-bit unsigned integer

SET Verifier TO CreatePasswordVerifier_Method2(Password)
SET VerifierHighWord TO 16 most significant bits of Verifier
SET KeyHigh TO 8 most significant bits of VerifierHighWord
SET KeyLow TO 8 least significant bits of VerifierHighWord

SET PadArray TO (0xBB, 0xFF, 0xFF, 0xBA, 0xFF, 0xFF, 0xB9, 0x80,
                 0x00, 0xBE, 0x0F, 0x00, 0xBF, 0x0F, 0x00)
SET ObfuscationArray TO (0x00, 0x00, 0x00, 0x00, 0x00, 0x00, 0x00, 0x00,
                        0x00, 0x00, 0x00, 0x00, 0x00, 0x00, 0x00, 0x00)

SET Index TO 0
WHILE Index IS LESS THAN Password.Length
    SET ObfuscationArray[Index] TO Password[Index]
    INCREMENT Index
END WHILE
WHILE Index IS LESS THAN 16
    SET ObfuscationArray[Index] TO PadArray[Index MINUS Password.Length]
    INCREMENT Index
END WHILE

SET Index TO 0
WHILE Index IS LESS THAN 16
    SET Temp1 TO ObfuscationArray[Index] BITWISE XOR KeyLow
    SET ObfuscationArray[Index] TO Ror(Temp1)

    INCREMENT Index

    SET Temp1 TO ObfuscationArray[Index] BITWISE XOR KeyHigh
    SET ObfuscationArray[Index] TO Ror(Temp1)

    INCREMENT Index
END WHILE

RETURN ObfuscationArray
END FUNCTION

```

2.3.7.6 Binary Document XOR Data Transformation Method 2

Data transformed by Binary Document **XOR** data transformation method 2 takes the result of a XOR operation on each byte of input in sequence and the 16-byte XOR obfuscation array that is initialized as specified in section [2.3.7.2](#), except when the byte of input is 0x00, or if the binary XOR of the input and the obfuscation array element is 0x00, in which case the byte of input is not modified. When the end of the **XOR** obfuscation array is reached, start again at the beginning.

2.3.7.7 Password Verification

Calculate the password verifier for the applicable password verifier derivation method, as specified in section [2.3.7.1](#) or section [2.3.7.4](#), depending on the document type. Compare the derived password verifier with the password verifier stored in the file. If the two do not match, the password is incorrect.

2.4 Document Write Protection

Document write protection is meant to discourage tampering with the file or sections of the file by users. See section [4.4](#) for more details.

Limitations on the length of the password and the characters used by the password are implementation-dependent. Current behavior variations are documented in [\[MS-DOC\]](#) and [\[MS-XLS\]](#). If the length of the password is not explicitly limited in these references, the maximum password length MUST be 255 Unicode characters.

2.4.1 ECMA-376 Document Write Protection

ECMA-376 document write protection [\[ECMA-376\]](#) is specified in [\[ECMA-376\]](#) Part 4 Sections 2.15.1.28, 2.15.1.94, 3.2.12, and 4.3.1.17. [<25>](#)

2.4.2 Binary Document Write Protection

2.4.2.1 Binary Document Write Protection Method 1

Binary documents that conform to the [\[MS-DOC\]](#) specification MUST store the write protection password in the file in clear text as specified in [\[MS-DOC\]](#) section 2.9.273.

2.4.2.2 Binary Document Write Protection Method 2

Binary documents that conform to the [\[MS-XLS\]](#) specification MUST store the write protection password verifier in the file, as specified in [\[MS-XLS\]](#) section 2.2.9, and created using the method specified in section [2.3.7.1](#). When a binary document using write protection method 2 is write protected, the document can also be encrypted using one of the methods specified in section [2.3](#). [<26>](#)

2.4.2.3 Binary Document Write Protection Method 3

Binary documents that conform to the [\[MS-PPT\]](#) specification MUST store the write protection password in the file in cleartext, as specified in [\[MS-PPT\]](#) section 2.4.7. When a binary document using write protection method 3 is write protected, it SHOULD NOT [<27>](#) also be encrypted using one of the methods specified in section [2.3](#).

If the user has not supplied an encryption password, and the document is encrypted, the default encryption choice using the techniques specified in section [2.3](#) MUST be the following password: `"\x2f\x30\x31\x48\x61\x6e\x6e\x65\x73\x20\x52\x75\x65\x73\x63\x68\x65\x72\x2f\x30\x31"`.

2.4.2.4 ISO Write Protection Method

Cases where binary documents use the following hashing algorithm, intended to be compatible with ISO/IEC 29500 (for more information, see [\[ISO/IEC-29500-1\]](#)), are specified by [\[MS-XLSB\]](#). The ISO password hashing algorithm takes the following parameters:

Password: An array of Unicode characters specifying the write protection password. The password MUST be a minimum of 1, and a maximum of 255, Unicode characters.

AlgorithmName: A Unicode string specifying the name of the cryptographic hash algorithm used to compute the password hash value. The following values are reserved:

Value	Hash algorithm
SHA-1	MUST conform to [RFC4634] .
SHA-256	MUST conform to [RFC4634] .
SHA-384	MUST conform to [RFC4634] .
SHA-512	MUST conform to [RFC4634] .
MD5	MUST conform to MD5.
MD4	MUST conform to [RFC1320] .
MD2	MUST conform to [RFC1319] .
RIPEMD-128	MUST conform to [ISO/IEC 10118] .
RIPEMD-160	MUST conform to [ISO/IEC 10118] .
WHIRLPOOL	MUST conform to [ISO/IEC 10118] .

Values that are not defined MAY [<28>](#) be used, and a compliant implementation is not required to support all defined values. The string MUST be at least 1 character. See section [4](#) for additional information.

Salt: An array of bytes that specifies the salt value used during password hash generation. When computing hashes for new passwords, this MUST be generated using an arbitrary pseudorandom function. When verifying a password, the salt value retrieved from the document MUST be used. The salt MUST NOT be larger than 65,536 bytes.

SpinCount: A 32-bit unsigned integer that specifies the number of times to iterate on a hash of a password. It MUST NOT be greater than 10,000,000.

Let $H()$ be an implementation of the hashing algorithm specified by **AlgorithmName**, iterator be an unsigned 32-bit integer, H_n be the hash data of the n^{th} iteration, and a plus sign (+) represent concatenation. The initial password hash is generated as follows.

- $H_0 = H(\text{salt} + \text{password})$

The hash is then iterated using the following approach.

- $H_n = H(H_{n-1} + \text{iterator})$

Where iterator is initially set to 0 and is incremented monotonically on each iteration until **SpinCount** iterations have been performed. The value of iterator on the last iteration MUST be one less than **SpinCount**. The final hash is then $H_{\text{final}} = H_{\text{SpinCount}-1}$.

2.5 Binary Document Digital Signatures

This section specifies the process used to create and store digital signatures within Office binary documents, and specifies XML Advanced Electronic Signatures [\[XAdES\]](#) support for all documents using xmldsig digital signatures. There are two digital signature formats. The first will be referred to as a CryptoAPI digital signature, and the second will be referred to as an xmldsig digital signature.

The process used by ECMA-376 documents [\[ECMA-376\]](#) for xmldsig digital signatures is very similar to the process used by xmldsig digital signatures when applied to Office binary documents, which is specified in [\[ECMA-376\]](#) Part 2 Section 12. Both document types use an XML signature format

specified in [\[XMLDSig\]](#). [\[ECMA-376\]](#) Part 2 Section 12.2.4 specifies a schema reference for the remainder of this section.

2.5.1 CryptoAPI Digital Signature Structures and Streams

2.5.1.1 TimeEncoding Structure

The **TimeEncoding** structure specifies a date and time in **Coordinated Universal Time (UTC)**, with the most significant 32 bits and the least significant 32 bits of the structure swapped. To be processed as a valid **UTC** time, **HighDateTime** and **LowDateTime** MUST be assigned to a **FILETIME** structure as specified in [\[MS-DTYP\]](#). Because of the reverse ordering, the **HighDateTime** field MUST be assigned to the **dwHighDateTime** field of the **FILETIME** structure, and the **LowDateTime** field MUST be assigned to the **dwLowDateTime** field of the **FILETIME** structure. Once the **HighDateTime** and **LowDateTime** fields are correctly assigned to a **FILETIME** structure, the UTC time can be obtained.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
HighDateTime																															
LowDateTime																															

HighDateTime (4 bytes): An unsigned integer specifying the high order 32 bits of a UTCTime.

LowDateTime (4 bytes): An unsigned integer specifying the low order 32 bits of a UTCTime.

2.5.1.2 CryptoAPI Digital Signature CertificateInfo Structure

The **CertificateInfo** structure has the following format.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
CertificateInfoSize																															
SignerLength																															
IssuerLength																															
ExpireTime																															
...																															
SignTime																															
...																															
AlgIDHash																															

SignatureSize
EncodedCertificateSize
Version
SerialNumberSize
IssuerBlobSize
Reserved
SignerName (variable)
...
IssuerName (variable)
...
Signature (variable)
...
EncodedCertificate (variable)
...
SerialNumber (variable)
...
IssuerBlob (variable)
...

CertificateInfoSize (4 bytes): An unsigned integer specifying the number of bytes used by the remainder of this structure, not including **CertificateInfoSize**.

SignerLength (4 bytes): An unsigned integer specifying the number of characters needed to store the **SignerName** field, not including the terminating null character.

IssuerLength (4 bytes): An unsigned integer specifying the number of characters needed to store the **IssuerName** field, not including the terminating null character.

ExpireTime (8 bytes): A **TimeEncoding** structure (section [2.5.1.1](#)) specifying the expiration time of this signature.

SignTime (8 bytes): A **TimeEncoding** structure specifying the time this signature was created.

AlgIDHash (4 bytes): A signed integer specifying the algorithm identifier. It MUST be 0x00008003 (MD5).

SignatureSize (4 bytes): An unsigned integer specifying the number of bytes used by the **Signature** field.

EncodedCertificateSize (4 bytes): An unsigned integer specifying the number of bytes used by the **EncodedCertificate** field.

Version (4 bytes): MUST be 0x00000000.

SerialNumberSize (4 bytes): An unsigned integer specifying the number of bytes used by the **SerialNumber** field.

IssuerBlobSize (4 bytes): An unsigned integer specifying the number of bytes used by the **IssuerBlob** field.

Reserved (4 bytes): MUST be 0x00000000.

SignerName (variable): A null-terminated Unicode string specifying the name of the signer.

IssuerName (variable): A null-terminated Unicode string specifying the name of the issuer.

Signature (variable): A binary representation of the signature, generated as specified in [\[RFC3280\]](#), except stored in little-endian form.

EncodedCertificate (variable): An encoded representation of the certificate. MUST contain the ASN.1 [\[ITU680-1994\]](#) DER encoding of an X.509 certificate. See [\[RFC3280\]](#) for further specification of this certificate representation format.

SerialNumber (variable): An array of bytes specifying the serial number of the certificate as specified in [\[RFC3280\]](#), with the least significant byte first. Any leading 0x00 bytes MUST be truncated.

IssuerBlob (variable): An ASN.1 structure specified in IETF [\[RFC3280\]](#) section 4.1.2.4.

2.5.1.3 CryptoAPI Digital Signature Structure

A CryptoAPI digital signature structure MUST contain exactly one **IntermediateCertificatesStore**, and MUST contain at least one CryptoAPI Digital Signature **CertificateInfo** structure (section [2.5.1.2](#)).

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
CertificateSize																															
IntermediateCertificatesStore (variable)																															
...																															
Reserved																															

CertificateInfoArray (variable)
...
EndMarker

CertificateSize (4 bytes): An unsigned integer specifying the number of bytes in the **IntermediateCertificatesStore** field.

IntermediateCertificatesStore (variable): A binary representation of the certificates in the certificate chains of the certificates used to sign the document, excluding the self-signed root CA certificates and end-entity certificates. This store is generated as specified in [\[MS-OSHARED\]](#) 2.3.9.1.

Reserved (4 bytes): MUST be 0x00000000.

CertificateInfoArray (variable): MUST contain a single **CertificateInfo** structure for every signature included in this stream.

EndMarker (4 bytes): MUST be 0x00000000.

2.5.1.4 _signatures Stream

A binary document containing a CryptoAPI digital signature MUST have a stream named "_signatures" in the root storage. The contents of the **_signatures** stream MUST contain exactly one CryptoAPI Digital Signature structure (section [2.5.1.3](#)).

2.5.1.5 CryptoAPI Digital Signature Generation

The hash used to generate a document signature is created by recursively traversing the OLE compound file streams and storages. Certain streams and storages MUST NOT be used, as specified later. A document MAY have more than one signature, each of which MUST be generated using the **GenerateSignature** function. Each individual certificate MUST be stored in the **CertificateInfoArray** of the CryptoAPI Digital Signature structure.

Let $H()$ be a hashing function, which MUST be **MD5**, and a plus sign (+) represent concatenation. Let HashObject be an object which may be initialized, append data in blocks into the object, and finalize to extract the resultant hash value H_{final} .

Let **ClsID** be the GUID identifier for an OLE compound file storage as specified in [\[MS-CFB\]](#).

Let **TimeStamp** be a **FILETIME** structure as specified in [\[MS-DTYP\]](#), containing the current system time, expressed in Coordinated Universal Time (UTC). TimeStamp MUST be stored in the [CryptoAPI Digital Signature Structure](#) **SignTime** field.

Let **ExcludedStorages** be defined as follows:

- 0x06DataSpaces
- 0x05Bagaagy23kudbhchAaq5u2chNd

Let **ExcludedStreams** be defined as follows:

- _signatures

- 0x09DRMContent

```

FUNCTION GenerateSignature
    PARAMETERS Storage, Certificate
    RETURNS Signature

    CALL HashObject.Initialize
    CALL GenerateSignatureHash(Storage, HashObject, IsFiltered, AppFilter)
    SET Hdata TO HashObject.Finalize
    SET Hfinal TO H(Hdata + TimeStamp)
    SET Signature TO RFC3447(Hfinal, Certificate)
    RETURN Signature
END FUNCTION

```

In the **GenerateSignatureHash** function, **IsFiltered** MUST be **true** if the document conforms to the [\[MS-XLS\]](#) specification and the stream name is "Workbook" or if the document conforms to the [\[MS-PPT\]](#) specification and the stream name is "Current User", and MUST be **false** for all other document types and streams.

For documents that conform to [MS-XLS], let **AppFilter** be defined as the process specified in [\[MS-XLS\]](#) section 2.1.7.15 which appends data to **HashObject**, excluding a portion of the stream from being used in the hashing operation.

For documents conforming to [MS-PPT], let **AppFilter** be defined as a process that returns without appending data to **HashObject**. The result is that the name of the **CurrentUser** stream MUST be appended to the **HashObject**, but the data contained within the **CurrentUser** stream MUST NOT be appended to the **HashObject**.

When stream or storage names are appended to a **HashObject**, the terminating Unicode null character MUST NOT be included.

Let **SORT** be a string sorting method that is case-sensitive, ascending, and which skips any nonprintable characters such that if two streams named "Data" and "0x05DocumentSummaryInformation" were input, the stream named "Data" would be ordered first.

```

FUNCTION GenerateSignatureHash
    PARAMETERS Storage, HashObject, IsFiltered, AppFilter
    RETURNS VOID

    DECLARE StorageNameArray as (empty array of Unicode strings)
    DECLARE StreamNameArray as (empty array of Unicode strings)

    SET ClsID TO Storage.GUID
    CALL HashObject.AppendData(ClsID)
    FOR EACH Child IN Storage.Children
        IF Child IS a storage AND Child.Name NOT IN ExcludedStorages
            APPEND Child.Name to StorageNameArray
        END IF
        IF Child IS a stream AND Child.Name NOT IN ExcludedStreams
            APPEND Child.Name to StreamNameArray
        END IF
    END FOR

    SORT StorageNameArray      SORT StreamNameArray

    FOR EACH StreamName IN StreamNameArray

```

```

CALL HashObject.AppendData(StreamName)

SET ChildStream TO Storage.Children[StreamName]
IF IsFiltered IS true
    CALL AppFilter(ChildStream, HashObject)
ELSE
    CALL HashObject.AppendData(ChildStream.Data)
ENDIF
ENDFOR

FOR EACH StorageName IN StorageNameArray

    CALL HashObject.AppendData(StorageName)

    SET ChildStorage TO Storage.Children[StorageName]
    CALL GenerateSignatureHash(ChildStorage, HashObject,
IsFiltered, AppFilter)
END FOR

END FUNCTION

```

When signing H_{final} , the certificate MUST be an RSA certificate as specified in [\[RFC3447\]](#), and the signing operation MUST be performed as specified in [\[RFC3447\]](#) section 9.2.

If a document is protected as specified in section [2.2](#), the hash MUST be created by first appending the unencrypted form of the storage that is decrypted from the **0x09DRMContent** stream, followed by the entire original encrypted file storage with the **0x09DRMContent** stream excluded as noted previously.

2.5.2 Xmlldsig Digital Signature Elements

A binary document digital signature is specified as containing the following elements. If not explicitly stated in each section, the content of an element MUST be generated as specified by [\[XMLDSig\]](#).

2.5.2.1 SignedInfo Element

The **SignedInfo** element MUST contain the following elements:

- **CanonicalizationMethod**, where the algorithm MUST be [\[Can-XML-1.0\]](#).
- A **Reference** element where the **URI** attribute MUST be "#idPackageObject", and a **DigestMethod** as provided by the application. [<29>](#)
- A **Reference** element where the URI attribute MUST be "#idOfficeObject", and a **DigestMethod** as provided by the application. [<30>](#)

2.5.2.2 SignatureValue Element

The **SignatureValue** element contains the value of the signature, as specified in [\[XMLDSig\]](#).

2.5.2.3 KeyInfo Element

The **KeyInfo** element contains the key information, as specified in [\[XMLDSig\]](#).

2.5.2.4 idPackageObject Object Element

The **idPackageObject** element contains the following:

- A **Manifest** element as specified by [\[XMLDSig\]](#), which contains **Reference** elements corresponding to each stream that is signed. Excepting streams and storages enumerated later, all streams and storages **MUST** be included in the **Manifest** element. The **DigestMethod** is provided by the application. [<31>](#)
- A **SignatureProperties** element containing a **SignatureProperty** element with a time stamp, as specified in [\[ECMA-376\]](#) Part 2 Section 12.2.4.20.

When constructing the **Manifest** element, the following storages and any storages or streams contained within listed storages **MUST** be excluded:

- 0x05Bagaay23kudbhchAaq5u2chNd
- 0x06DataSpaces
- Xmlsignatures
- MsoDataStore

The following streams **MUST** also be excluded:

- 0x09DRMContent
- _signatures
- 0x05SummaryInformation
- 0x05DocumentSummaryInformation

If the document conforms to the [\[MS-XLS\]](#) specification, and the name of the stream is Workbook, then the stream **MUST** be filtered as specified in [\[MS-XLS\]](#) section 2.1.7.21.

If the document conforms to the [\[MS-PPT\]](#) specification, the hash of the **CurrentUser** stream **MUST** be calculated when verifying the signature as if the stream were empty, which would be the result of hashing 0 bytes.

2.5.2.5 idOfficeObject Object Element

The **idOfficeObject** element contains the following:

- A **SignatureProperties** element containing a **SignatureProperty** element.
- The **SignatureProperty** element **MUST** contain a **SignatureInfoV1** element that specifies the details on a digital signature in a document. The following XML Schema specifies the contents of this element.

```
<?xml version="1.0" encoding="utf-8"?>
<xsd:schema targetNamespace="http://schemas.microsoft.com/office/2006/digsig"
  elementFormDefault="qualified" xmlns="http://schemas.microsoft.com/office/2006/digsig"
  xmlns:xsd="http://www.w3.org/2001/XMLSchema">
  <xsd:simpleType name="ST_PositiveInteger">
    <xsd:restriction base="xsd:int">
      <xsd:minExclusive value="0" />
    </xsd:restriction>
  </xsd:simpleType>
</xsd:schema>
```



```

</xsd:simpleType>
<xsd:simpleType name="ST_SignatureComments">
  <xsd:restriction base="xsd:string">
    <xsd:maxLength value="255" />
  </xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="ST_SignatureProviderUrl">
  <xsd:restriction base="xsd:string">
    <xsd:maxLength value="2083" />
  </xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="ST_SignatureText">
  <xsd:restriction base="xsd:string">
    <xsd:maxLength value="100" />
  </xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="ST_SignatureType">
  <xsd:restriction base="xsd:int">
    <xsd:enumeration value="1"></xsd:enumeration>
    <xsd:enumeration value="2"></xsd:enumeration>
  </xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="ST_Version">
  <xsd:restriction base="xsd:string">
    <xsd:maxLength value="64" />
  </xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="ST_UniqueIdentifierWithBraces">
  <xsd:restriction base="xsd:string">
    <xsd:pattern value="\{ [0-9a-fA-F]{8}\-[0-9a-fA-F]{4}\-[0-9a-fA-F]{4}\-[0-9a-fA-F]{4}\-[0-9a-fA-F]{12}\}" />
  </xsd:restriction>
</xsd:simpleType>
<xsd:group name="EG_RequiredChildren">
  <xsd:sequence>
    <xsd:element name="SetupID" type="ST_UniqueIdentifierWithBraces"></xsd:element>
    <xsd:element name="SignatureText" type="ST_SignatureText"></xsd:element>
    <xsd:element name="SignatureImage" type="xsd:base64Binary"></xsd:element>
    <xsd:element name="SignatureComments" type="ST_SignatureComments"></xsd:element>
    <xsd:element name="WindowsVersion" type="ST_Version"></xsd:element>
    <xsd:element name="OfficeVersion" type="ST_Version"></xsd:element>
    <xsd:element name="ApplicationVersion" type="ST_Version"></xsd:element>
    <xsd:element name="Monitors" type="ST_PositiveInteger"></xsd:element>
    <xsd:element name="HorizontalResolution"
type="ST_PositiveInteger"></xsd:element>
    <xsd:element name="VerticalResolution" type="ST_PositiveInteger"></xsd:element>
    <xsd:element name="ColorDepth" type="ST_PositiveInteger"></xsd:element>
    <xsd:element name="SignatureProviderId"
type="ST_UniqueIdentifierWithBraces"></xsd:element>
    <xsd:element name="SignatureProviderUrl"
type="ST_SignatureProviderUrl"></xsd:element>
    <xsd:element name="SignatureProviderDetails" type="xsd:int"></xsd:element>
    <xsd:element name="SignatureType" type="ST_SignatureType"></xsd:element>
  </xsd:sequence>
</xsd:group>
<xsd:group name="EG_OptionalChildren">
  <xsd:sequence>
    <xsd:element name="DelegateSuggestedSigner" type="xsd:string"></xsd:element>
    <xsd:element name="DelegateSuggestedSigner2" type="xsd:string"></xsd:element>
  </xsd:sequence>
</xsd:group>

```

```

        <xsd:element name="DelegateSuggestedSignerEmail"
type="xsd:string"></xsd:element>
        <xsd:element name="ManifestHashAlgorithm" type="xsd:anyURI"></xsd:element>
    </xsd:sequence>
</xsd:group>
<xsd:group name="EG_OptionalChildrenV2">
    <xsd:sequence>
        <xsd:element name="Address1" type="xsd:string"></xsd:element>
        <xsd:element name="Address2" type="xsd:string"></xsd:element>
    </xsd:sequence>
</xsd:group>
<xsd:complexType name="CT_SignatureInfoV1">
    <xsd:sequence>
        <xsd:group ref="EG_RequiredChildren" />
        <xsd:group ref="EG_OptionalChildren" minOccurs="0" />
    </xsd:sequence>
</xsd:complexType>
<xsd:complexType name="CT_SignatureInfoV2">
    <xsd:sequence>
        <xsd:group ref="EG_OptionalChildrenV2" minOccurs="0" />
    </xsd:sequence>
</xsd:complexType>
<xsd:element name="SignatureInfoV1" type="CT_SignatureInfoV1"></xsd:element>
<xsd:element name="SignatureInfoV2" type="CT_SignatureInfoV2"></xsd:element>
</xsd:schema>

```

The child elements of the **SignatureInfoV1** element are further specified as follows.

ApplicationVersion: Specifies the version of the application that created the digital signature.

ColorDepth: Specifies the color depth of the primary monitor of the computer on which the digital signature was created.

HorizontalResolution: Specifies the horizontal resolution of the primary monitor of the computer on which the digital signature was created.

ManifestHashAlgorithm: Specifies a URI that identifies the particular hash algorithm for the signature. The value of this element **MUST** be ignored.

Monitors: Specifies the count of monitors on the computer where the digital signature was created.

OfficeVersion: Specifies the version of the application suite that created the digital signature.

SetupID: Specifies a GUID that can be cross-referenced with the identifier of the signature line stored in the document content.

SignatureComments: Specifies comments on the digital signature.

SignatureImage: Specifies an image for the digital signature.

SignatureProviderDetails: Specifies details of the signature provider. The value **MUST** be an integer computed from a bitmask of the following flags:

Value	Description
0x00000000	Specifies that there are no restrictions on the provider's usage.
0x00000001	Specifies that the provider MUST only be used for the UI.

Value	Description
0x00000002	Specifies that the provider MUST only be used for invisible signatures.
0x00000004	Specifies that the provider MUST only be used for visible signatures.
0x00000008	Specifies that the application UI MUST be used for the provider.
0x00000010	Specifies that the application stamp UI MUST be used for the provider.

SignatureProviderId: Specifies the class identifier of the signature provider. [<32>](#)

SignatureProviderUrl: Specifies the URL of the software used to generate the digital signature.

SignatureText: Specifies the text of actual signature in the digital signature.

SignatureType: Specifies the type of the digital signature. Its value MUST be one of the following.

Value	Description
1	Specifies that the digital signature MUST NOT be printed.
2	Specifies that the digital signature MUST be printed.

If set to 2, there MUST be two additional objects in the signature with the following identifier values:

- **idValidSigLnImg:** Specifies the image of a valid signature.
- **idInvalidSigLnImg:** Specifies the image of an invalid signature.

VerticalResolution: Specifies the vertical resolution of the primary monitor of the computer on which the digital signature was created.

WindowsVersion: Specifies the version of the operating system on which the digital signature was created.

DelegateSuggestedSigner: Specifies the name of a person to whom the signature has been delegated.

DelegateSuggestedSigner2: Specifies the title of a person to whom the signature has been delegated.

DelegateSuggestedSignerEmail: Specifies the e-mail address of a person to whom the signature has been delegated.

The child elements of the **SignatureInfoV2** element are specified as follows:

Address1: Specifies the location at which the signature was created.

Address2: Specifies the location at which the signature was created.

The optional **SignatureInfoV2** element is used to provide additional information to the SignatureProductionPlace element, which is specified in [\[XAdES\]](#), section 7.2.7.

2.5.2.6 XAdES Elements

XML Advanced Electronic Signatures [XAdES] extensions to xmldsig signatures MAY<33> be present in either binary or ECMA-376 documents [ECMA-376] when using xmldsig signatures. XAdES-EPES through XAdES-X-L extensions are specified within a signature. Unless otherwise specified, any optional [XAdES] elements are ignored.

The **Object** element containing the [XAdES] information has a number of optional elements, and many of the elements have more than one method specified. A document compliant with this specification uses the following options:

- The **SignedSignatureProperties** element MUST contain a **SigningCertificate** property as specified in [XAdES] section 7.2.2.
- A **SigningTime** element MUST be present as specified in [XAdES] section 7.2.1.
- A **SignaturePolicyIdentifier** element MUST be present as specified in [XAdES] section 7.2.3.
- If the [XAdES] information contains a time stamp as specified by the requirements for XAdES-T, the time stamp information MUST be specified as an **EncapsulatedTimeStamp** element containing DER encoded ASN.1. data.
- If the [XAdES] information contains references to validation data, the certificates used in the certificate chain, excepting the signing certificate, MUST be contained within the **CompleteCertificateRefs** element as specified in [XAdES] section 7.4.1. In addition, for the signature to be considered a well-formed XAdES-C signature, a **CompleteRevocationRefs** element MUST be present, as specified in [XAdES] section 7.4.2.
- If the [XAdES] information contains time stamps on references to validation data, the **SigAndRefsTimestamp** element as specified in [XAdES] sections 7.5.1 and 7.5.1.1 MUST be used. The **SigAndRefsTimestamp** element MUST specify the time stamp information as an **EncapsulatedTimeStamp** element containing DER encoded ASN.1. data.
- If the [XAdES] information contains properties for data validation values, the **CertificateValues** and **RevocationValues** elements MUST be constructed as specified in [XAdES] sections 7.6.1 and 7.6.2. Excepting the signing certificate, all certificates used in the validation chain MUST be entered into the **CertificateValues** element.

There MUST be a **Reference** element specifying the digest of the **SignedProperties** element, as specified in [XAdES], section 6.2.1. As specified in [XMLDSig], a **Reference** element is placed in one of two parent elements:

- The **SignedInfo** element of the top-level Signature XML.
- A **Manifest** element contained within an **Object** element.

A document compliant with this specification SHOULD<34> place the **Reference** element specifying the digest of the **SignedProperties** element within the **SignedInfo** element. If the **Reference** element is instead placed in a **Manifest** element, the containing **Object** element MUST have an **id** attribute set to "idXAdESReferenceObject".

2.5.3 _xmldsignatures Storage

Digital signatures MUST be stored as streams contained in a storage named "_xmldsignatures", based on the root of the compound document. Streams containing a signature MUST be named using a base-10 string representation of a random number. The name of the stream MUST NOT be the same as an existing signature contained within the storage. A single signature is stored directly into each

stream with no leading header, as UTF-8 characters. The contents of each stream MUST be a valid signature as specified by [\[XMLDSig\]](#), and generated as specified in section [2.5.2](#). More than one signature can be present in the "_xmldsignatures" storage.

3 Structure Examples

This section provides examples of the following structures:

- An ECMA-376 document [\[ECMA-376\]](#) conforming to the **IRMDS** structure.
- Office binary data file structures with corresponding hexadecimal and graphical representation.

The example for the ECMA-376 document [\[ECMA-376\]](#) contains the following streams and storages:

- **0x06DataSpaces** storage:
 - **Version** stream containing a **DataSpaceVersionInfo** structure as specified in section [3.1](#).
 - **DataSpaceMap** stream containing a **DataSpaceMap** structure as specified in section [3.2](#).
 - **DataSpaceInfo** storage:
 - **DRMEncryptedDataSpace** stream containing a **DataSpaceDefinition** structure as described in section [3.3](#).
 - **TransformInfo** storage:
 - **0x06Primary** stream containing an **IRMDSTransformInfo** structure as described in section [3.4](#).
 - **EUL-ETRHA1143ZLUDD412YTI3M5CTZ** stream containing an **EndUserLicenseHeader** structure and a certificate chain as described in section [3.5](#).
- **EncryptedPackage** stream.
- **0x05SummaryInformation** stream.
- **0x05DocumentSummaryInformation** stream.

Note that not all of the streams and storages in the file are specified in the **IRMDS** structure, including the **0x05SummaryInformation** stream and **0x05DocumentSummaryInformation** stream, and examples are not provided for those streams in this section. OLE compound files conforming to this structure will frequently contain other storages and streams.

3.1 Version Stream

This section provides an example of a **Version** stream that contains a **DataSpaceVersionInfo** structure (section [2.1.5](#)).

```
00000000: 3C 00 00 00 4D 00 69 00 63 00 72 00 6F 00 73 00
00000010: 6F 00 66 00 74 00 2E 00 43 00 6F 00 6E 00 74 00
00000020: 61 00 69 00 6E 00 65 00 72 00 2E 00 44 00 61 00
00000030: 74 00 61 00 53 00 70 00 61 00 63 00 65 00 73 00
00000040: 01 00 00 00 01 00 00 00 01 00 00 00
```

0	1	2	3	4	5	6	7	8	9	10	1	2	3	4	5	6	7	8	9	20	1	2	3	4	5	6	7	8	9	30	1	
FeatureIdentifier (variable)																																

...	
ReaderVersion.vMajor	ReaderVersion.vMinor
UpdaterVersion.vMajor	UpdaterVersion.vMinor
WriterVersion.vMajor	WriterVersion.vMinor

FeatureIdentifier (variable): "Microsoft.Container.DataSpaces" specifies the functionality for which this version information applies. This string is contained in a **UNICODE-LP-P4** structure (section [2.1.2](#)); therefore the first 4 bytes of the structure contain 0x0000003C, which specifies the length of the string in bytes. The string is not null-terminated.

ReaderVersion.vMajor (2 bytes): 0x0001 specifies the major component of the reader version of the software component that created this structure.

ReaderVersion.vMinor (2 bytes): 0x0000 specifies the minor component of the reader version of the software component that created this structure.

UpdaterVersion.vMajor (2 bytes): 0x0001 specifies the major component of the updater version of the software component that created this structure.

UpdaterVersion.vMinor (2 bytes): 0x0000 specifies the minor component of the updater version of the software component that created this structure.

WriterVersion.vMajor (2 bytes): 0x0001 specifies the major component of the writer version of the software component that created this structure.

WriterVersion.vMinor (2 bytes): 0x0000 specifies the minor component of the writer version of the software component that created this structure.

3.2 DataSpaceMap Stream

This section provides an example of a DataSpaceMap stream that contains a **DataSpaceMap** structure (section [2.1.6](#)). The **DataSpaceMap** structure in turn contains a **DataSpaceMapEntry** structure (section [2.1.6.1](#)).

```

00000000: 08 00 00 00 01 00 00 00 60 00 00 00 01 00 00 00
00000010: 00 00 00 00 20 00 00 00 45 00 6E 00 63 00 72 00
00000020: 79 00 70 00 74 00 65 00 64 00 50 00 61 00 63 00
00000030: 6B 00 61 00 67 00 65 00 2A 00 00 00 44 00 52 00
00000040: 4D 00 45 00 6E 00 63 00 72 00 79 00 70 00 74 00
00000050: 65 00 64 00 44 00 61 00 74 00 61 00 53 00 70 00
00000060: 61 00 63 00 65 00 00 00

```

0	1	2	3	4	5	6	7	8	9	10	1	2	3	4	5	6	7	8	9	20	1	2	3	4	5	6	7	8	9	30	1
HeaderLength																															
EntryCount																															

MapEntries (variable)
...

HeaderLength (4 bytes): 0x00000008 specifies the number of bytes in the **DataSpaceMap** structure before the first **MapEntry**.

EntryCount (4 bytes): 0x00000001 specifies the number of **DataSpaceMapEntry** items in the **MapEntries** array.

MapEntries (variable): Contents of the **MapEntries** array. For more details, see section [3.2.1](#).

3.2.1 DataSpaceMapEntry Structure

This section provides an example of a **DataSpaceMapEntry** structure (section [2.1.6.1](#)).

```

00000000:                60 00 00 00 01 00 00 00
00000010: 00 00 00 00 20 00 00 00 45 00 6E 00 63 00 72 00
00000020: 79 00 70 00 74 00 65 00 64 00 50 00 61 00 63 00
00000030: 6B 00 61 00 67 00 65 00 2A 00 00 00 44 00 52 00
00000040: 4D 00 45 00 6E 00 63 00 72 00 79 00 70 00 74 00
00000050: 65 00 64 00 44 00 61 00 74 00 61 00 53 00 70 00
00000060: 61 00 63 00 65 00 00 00

```

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Length																															
ReferenceComponentCount																															
ReferenceComponent.ReferenceComponentType																															
ReferenceComponent.ReferenceComponent																															
...																															
DataSpaceName																															
...																															

Length (4 bytes): 0x00000060 specifies the size of the **DataSpaceMapEntry** structure in bytes.

ReferenceComponentCount (4 bytes): 0x00000001 specifies the number of **DataSpaceReferenceComponent** items (section [2.1.6.2](#)) in the **ReferenceComponents** array.

ReferenceComponent.ReferenceComponentType (4 bytes): 0x00000000 specifies that the referenced component is a stream.

ReferenceComponent.ReferenceComponent (variable): "EncryptedPackage" specifies the functionality for which this version information applies. This string is contained in a **UNICODE-LP-P4** structure (section [2.1.2](#)); therefore, the first 4 bytes of the structure contain 0x00000020, which specifies the length of the string in bytes. The string is not null-terminated. "EncryptedPackage" matches the name of the stream in the OLE compound file that contains the protected contents.

DataSpaceName (variable): "DRMEncryptedDataSpace" specifies the functionality that this version information applies to. This string is contained in a **UNICODE-LP-P4** structure; therefore, the first 4 bytes of the structure contain 0x0000002A, which specifies the length of the string in bytes. The string is not null-terminated; however, the structure is padded with 2 bytes to ensure its length is a multiple of 4 bytes.

3.3 DRMEncryptedDataSpace Stream

This section provides an example of a stream in the **\0x06DataSpaces\DataSpaceInfo** storage (section [2.2.2](#)) that contains a **DataSpaceDefinition** structure (section [2.1.7](#)).

```
00000000: 08 00 00 00 01 00 00 00 2A 00 00 00 44 00 52 00
00000010: 4D 00 45 00 6E 00 63 00 72 00 79 00 70 00 74 00
00000020: 65 00 64 00 54 00 72 00 61 00 63 00 73 00 66 00
00000030: 6F 00 72 00 6D 00 00 00
```

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
HeaderLength																															
TransformReferenceCount																															
TransformReferences																															
...																															

HeaderLength (4 bytes): 0x00000008 specifies the number of bytes in the **DataSpaceDefinition** before the **TransformReferences** field.

TransformReferenceCount (4 bytes): 0x00000001 specifies the number of items in the **TransformReferences** array.

TransformReferences (variable): "DRMEncryptedTransform" specifies the transform associated with this **DataSpaceDefinition** structure. This string is contained in a **UNICODE-LP-P4** structure (section [2.1.2](#)); therefore, the first 4 bytes of the structure contain 0x0000002A, which specifies the length of the string, in bytes. The string is not null-terminated; however, the structure is padded with 2 bytes to ensure its length is a multiple of 4 bytes. "DRMEncryptedTransform" matches the name of the transform storage contained in the **\0x06DataSpaces\TransformInfo** storage (section [2.2.3](#)).

3.4 0x06Primary Stream

This section provides an example of a 0x06Primary stream that contains an **IRMDSTransformInfo** structure (section [2.2.6](#)). Note that the first portion of this structure consists of a **TransformInfoHeader** structure (section [2.1.8](#)).

```

00000000: 58 00 00 00 01 00 00 00 4C 00 00 00 7B 00 43 00
00000010: 37 00 33 00 44 00 46 00 41 00 43 00 44 00 2D 00
00000020: 30 00 36 00 31 00 46 00 2D 00 34 00 33 00 42 00
00000030: 30 00 2D 00 38 00 42 00 36 00 34 00 2D 00 30 00
00000040: 43 00 36 00 32 00 30 00 44 00 32 00 41 00 38 00
00000050: 42 00 35 00 30 00 7D 00 3E 00 00 00 4D 00 69 00
00000060: 63 00 72 00 69 00 73 00 6F 00 66 00 74 00 2E 00
00000070: 4D 00 65 00 74 00 61 00 64 00 61 00 74 00 61 00
00000080: 2E 00 44 00 52 00 4D 00 54 00 72 00 61 00 6E 00
00000090: 73 00 66 00 6F 00 72 00 6D 00 00 00 01 00 00 00
000000A0: 01 00 00 00 01 00 00 00 04 00 00 00 26 2F 00 00
000000B0: 3C 3F 78 6D 6C 20 76 65 72 73 69 6F 6E 3D 22 31

```

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
TransformInfoHeader.TransformLength																															
TransformInfoHeader.TransformType																															
TransformInfoHeader.TransformID (variable)																															
...																															
TransformInfoHeader.TransformName (variable)																															
...																															
TransformInfoHeader.ReaderVersion.vMajor																TransformInfoHeader.ReaderVersion.vMinor															
TransformInfoHeader.UpdaterVersion.vMajor																TransformInfoHeader.UpdaterVersion.vMinor															
TransformInfoHeader.WriterVersion.vMajor																TransformInfoHeader.WriterVersion.vMinor															
ExtensibilityHeader																															
XrMLLicense (variable)																															
...																															

TransformInfoHeader.TransformLength (4 bytes): 0x00000058 specifies the number of bytes in this structure before TransformInfoHeader.TransformName.

TransformInfoHeader.TransformType (4 bytes): 0x00000001 specifies the type of transform to be applied.

TransformInfoHeader.TransformID (variable): "{C73DFACD-061F-43B0-8B64-0C620D2A8B50}" specifies a unique, invariant identifier associated with this transform. This string is contained in a **UNICODE-LP-P4** structure (section [2.1.2](#)); therefore, the first 4 bytes of the structure contain 0x0000004C, which specifies the length of the string in bytes. The string is not null-terminated.

TransformInfoHeader.TransformName (variable): "Microsoft.Metadata.DRMTransform" specifies the logical name of the transform. This string is contained in a **UNICODE-LP-P4** structure; therefore, the first 4 bytes of the structure contain 0x0000003E, which specifies the length of the string in bytes. The string is not null-terminated; however, the structure is padded with 2 bytes to ensure its length is a multiple of 4 bytes.

TransformInfoHeader.ReaderVersion.vMajor (2 bytes): 0x0001 specifies the major component of the reader version of the software component that created this structure.

TransformInfoHeader.ReaderVersion.vMinor (2 bytes): 0x0000 specifies the minor component of the reader version of the software component that created this structure.

TransformInfoHeader.UpdaterVersion.vMajor (2 bytes): 0x0001 specifies the major component of the updater version of the software component that created this structure.

TransformInfoHeader.UpdaterVersion.vMinor (2 bytes): 0x0000 specifies the minor component of the updater version of the software component that created this structure.

TransformInfoHeader.WriterVersion.vMajor (2 bytes): 0x0001 specifies the major component of the writer version of the software component that created this structure.

TransformInfoHeader.WriterVersion.vMinor (2 bytes): 0x0000 specifies the minor component of the writer version of the software component that created this structure.

ExtensibilityHeader (4 bytes): 0x00000004 specifies that there is no further information in the **ExtensibilityHeader** structure (section [2.2.5](#)).

XrMLLicense (variable): An XrML license as described in [\[MS-RMPR\]](#). This string is contained in a **UTF-8-LP-P4** structure (section [2.1.3](#)); therefore, the first 4 bytes of the structure contain 0x00002F26, which specifies the length of the string in bytes. The string is not null-terminated; however, the structure is padded with 2 bytes to ensure its length is a multiple of 4 bytes.

3.5 EUL-ETRHA1143ZLUDD412YTI3M5CTZ Stream Example

This section provides an example of an end-user license stream (section [2.2.7](#)), which contains an **EndUserLicenseHeader** structure (section [2.2.9](#)) followed by a certificate chain containing one use license.

```
00000000: 48 00 00 00 40 00 00 00 56 77 42 70 41 47 34 41
00000010: 5A 41 42 76 41 48 63 41 63 77 41 36 41 48 55 41
00000020: 63 77 42 6C 41 48 49 41 51 41 42 6A 41 47 38 41
00000030: 62 67 42 30 41 47 38 41 63 77 42 76 41 43 34 41
00000040: 59 77 42 76 41 47 30 41 94 BE 00 00 3C 3F 78 6D
00000050: 6C 20 76 65 72 73 69 6F 6E 3D 22 31 2E 30 22 3F
00000060: 3E 3C 43 45 52 54 49 46 49 43 41 54 45 43 48 41
00000070: 49 4E 3E 3C 43 45 52 54 49 46 49 43 41 54 45 3E
00000080: 50 41 42 59 41 48 49 41 54 51 42 4D 41 43 41 41
00000090: 64 67 42 6C 41 48 49 41 63 77 42 70 41 47 38 41
000000a0: 62 67 41 39 41 43 49 41 4D 51 41 75 41 44 49 41
000000b0: 49 67 41 67 41 48 67 41 62 51 42 73 41 47 34 41
000000c0: 63 77 41 39 41 43 49 41 49 67 41 67 41 48 41 41
000000d0: 64 51 42 79 41 48 41 41 62 77 42 7A 41 47 55 41
000000e0: 50 51 41 69 41 45 4D 41 62 77 42 75 41 48 51 41
000000f0: 5A 51 42 75 41 48 51 41 4C 51 42 4D 41 47 6B 41
00000100: 59 77 42 6C 41 47 34 41 63 77 42 6C 41 43 49 41
00000110: 50 67 41 38 41 45 49 41 54 77 42 45 41 46 6B 41
```

```

00000120: 49 41 42 30 41 48 6B 41 63 41 42 6C 41 44 30 41
00000130: 49 67 42 4D 41 45 6B 41 51 77 42 46 41 45 34 41
00000140: 55 77 42 46 41 43 49 41 49 41 42 32 41 47 55 41
00000150: 63 67 42 7A 41 47 6B 41 62 77 42 75 41 44 30 41
00000160: 49 67 41 7A 41 43 34 41 4D 41 41 69 41 44 34 41
00000170: 50 41 42 4A 41 46 4D 41 55 77 42 56 41 45 55 41
00000180: 52 41 42 55 41 45 6B 41 54 51 42 46

```

Bytes 0x00000000 through 0x000000047 specify an **EndUserLicenseHeader** structure (section [2.2.9](#)). The contents of this section are illustrated in section [3.5.1](#).

Bytes 0x00000048 through the end of this stream specify a certificate chain stored in a **UTF-8-LP-P4** structure (section [2.1.3](#)). The contents of this section are illustrated in section [3.5.2](#).

3.5.1 EndUserLicenseHeader Structure

This section provides an example of an **EndUserLicenseHeader** structure (section [2.2.9](#)) containing one **LicenseId** (section [2.2.8](#)).

```

00000000: 48 00 00 00 40 00 00 00 56 77 42 70 41 47 34 41
00000010: 5A 41 42 76 41 48 63 41 63 77 41 36 41 48 55 41
00000020: 63 77 42 6C 41 48 49 41 51 41 42 6A 41 47 38 41
00000030: 62 67 42 30 41 47 38 41 63 77 42 76 41 43 34 41
00000040: 59 77 42 76 41 47 30 41

```

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Length																															
ID_String.Length (variable)																															
...																															
ID_String.Data (variable)																															
...																															

Length (4 bytes): 0x00000048 specifies the size of the **EndUserLicenseHeader** structure.

ID_String.Length (variable): 0x00000040 specifies the size of the ASCII string that follows. Note that **ID_String.Size** and **ID_String.Data** together form a **UTF-8-LP-P4** structure (section [2.1.3](#)).

ID_String.Data (variable):
 "VwBpAG4AZABvAHcAcwA6AHUAcwBIAHIAQABjAG8AbgB0AG8AcwBvAC4AYwBvAG0A"
 specifies a base64-encoded **LicenseId** that has the value "Windows:user@contoso.com".

3.5.2 Certificate Chain

This section provides an example of a certificate chain contained in an end-user license stream (section [2.2.7](#)).

```

00000040:          94 BE 00 00 3C 3F 78 6D
00000050: 6C 20 76 65 72 73 69 6F 6E 3D 22 31 2E 30 22 3F
00000060: 3E 3C 43 45 52 54 49 46 49 43 41 54 45 43 48 41
00000070: 49 4E 3E 3C 43 45 52 54 49 46 49 43 41 54 45 3E
00000080: 50 41 42 59 41 48 49 41 54 51 42 4D 41 43 41 41
00000090: 64 67 42 6C 41 48 49 41 63 77 42 70 41 47 38 41
000000a0: 62 67 41 39 41 43 49 41 4D 51 41 75 41 44 49 41
000000b0: 49 67 41 67 41 48 67 41 62 51 42 73 41 47 34 41
000000c0: 63 77 41 39 41 43 49 41 49 67 41 67 41 48 41 41
000000d0: 64 51 42 79 41 48 41 41 62 77 42 7A 41 47 55 41
000000e0: 50 51 41 69 41 45 4D 41 62 77 42 75 41 48 51 41
000000f0: 5A 51 42 75 41 48 51 41 4C 51 42 4D 41 47 6B 41
00000100: 59 77 42 6C 41 47 34 41 63 77 42 6C 41 43 49 41
00000110: 50 67 41 38 41 45 49 41 54 77 42 45 41 46 6B 41
00000120: 49 41 42 30 41 48 6B 41 63 41 42 6C 41 44 30 41
00000130: 49 67 42 4D 41 45 6B 41 51 77 42 46 41 45 34 41
00000140: 55 77 42 46 41 43 49 41 49 41 42 32 41 47 55 41
00000150: 63 67 42 7A 41 47 6B 41 62 77 42 75 41 44 30 41
00000160: 49 67 41 7A 41 43 34 41 4D 41 41 69 41 44 34 41
00000170: 50 41 42 4A 41 46 4D 41 55 77 42 56 41 45 55 41
00000180: 52 41 42 55 41 45 6B 41 54 51 42 46

```

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Length																															
Data																															
...																															

Length (4 bytes): 0x0000BE94 specifies the size of the ASCII string that follows. Note that **Length** and **Data** together form a **UTF-8-LP-P4** structure (section [2.1.3](#)).

Data (variable): <?xml version="1.0"?><CERTIFICATECHAIN><CERTIFICATE>PABYAH IATQBMACAAAdgBlAHIAcwBp... specifies an encoded certificate chain.

The **Data** field has been transformed from the form of certificate chain specified in [\[MS-RMPRI\]](#) in the following way.

1. The original SOAP response contained this certificate chain:

```

<CertificateChain><Certificate><XrML version="1.2" xmlns="" purpose="Content-
License"><BODY type="LICENSE" version="3.0"><ISSUEDTIME>...

```

2. The body of the **Certificate** element is then base64-encoded to yield the following:

```

PABYAH IATQBMACAAAdgBlAHIAcwBpAG8AbgA9ACIAMQAUADIAIgAgAHgAbQBsAG4AcwA9ACIAIgAgAHAAdQByAH
AAbwBzAGUAPQAIaEMAbwBuAHQAZQBuAHQALQBMAGkAYwBlAG4AcwBlACIAPgA8AEIATwBEAFkAIAB0AHkACABl
AD0AIgBMAEkAQwBFAE4AUwBFACIAIAB2AGUAcgBzAGkAbwBuAD0AIgAzAC4AMAAIAD4APABJAFMAUwBVAEUARA
BUAEkATQBF...

```

3. The base64-encoded string is then placed in a **Certificate** element, again in a **CertificateChain** element, and finally prefixed with "<?xml version="1.0"?>".

4. The final value of **Data** is then:

```
<?xml version="1.0"?><CERTIFICATECHAIN><CERTIFICATE>PABYAHIAITQBMACAAAdgBlAH
IAcwBpAG8AbgA9ACIAMQAUADIAIgAgAHgAbQBsAG4AcwA9ACIAIgAgAHAAdQByAHAAbwBzAGUAPQAiAEMAbwBu
AHQAZQBwAHQALQBMAGkAYwBlAG4AcwBlACIApG8AEIATwBEAFkAIAB0AHkAcABlAD0AIgBMAEkAQwBF4E4AUw
BFACIAIAB2AGUAcgBzAGkAbwBuAD0AIgAzAC4AMAAiAD4APABJAFMAUwBVAEUARABUAEkATQBF...
```

3.6 EncryptionHeader Structure

This section provides an example of an **EncryptionHeader** structure (section 2.3.2) used by Office Binary Document RC4 CryptoAPI Encryption (section 2.3.5) to specify the encryption properties for an encrypted stream.

```
00001400:                                04 00 00 00
00001410: 00 00 00 00 01 68 00 00 04 80 00 00 28 00 00 00
00001420: 01 00 00 00 B0 0A 86 02 00 00 00 00 4D 00 69 00
00001430: 63 00 72 00 6F 00 73 00 6F 00 66 00 74 00 20 00
00001440: 42 00 61 00 73 00 65 00 20 00 43 00 72 00 79 00
00001450: 70 00 74 00 6F 00 67 00 72 00 61 00 70 00 68 00
00001460: 69 00 63 00 20 00 50 00 72 00 6F 00 76 00 69 00
00001470: 64 00 65 00 72 00 20 00 76 00 31 00 2E 00 30 00
```

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Flags																															
SizeExtra																															
AlgID																															
AlgIDHash																															
KeySize																															
ProviderType																															
Reserved1																															
Reserved2																															
CSPName																															
...																															

Flags (4 bytes): 0x00000004 specifies that the encryption algorithm uses CryptoAPI encryption.

SizeExtra (4 bytes): 0x00000000 is a reserved field.

AlgID (4 bytes): 0x00006801 specifies that the encryption algorithm used is RC4.

AlgIDHash (4 bytes): 0x00008004 specifies that SHA-1 is the hashing algorithm that is used.

KeySize (4 bytes): 0x00000028 specifies that the key is 40 bits long.

ProviderType (4 bytes): 0x00000001 specifies that RC4 is the provider type.

Reserved1 (4 bytes): 0x2860AB0 is a reserved field.

Reserved2 (4 bytes): 0x00000000 is a reserved field.

CSPName (variable): "Microsoft Base Cryptographic Provider v1.0" specifies the name of the cryptographic provider that supplies the RC4 implementation that was used to encrypt the file.

3.7 EncryptionVerifier Structure

This section provides an example of an **EncryptionVerifier** structure (section [2.3.3](#)) using AES encryption.

```
000018B0: 10 00 00 00 92 25 50 F6 B6 4F FE 5B D3 96 DF 5E
000018C0: E9 17 DA 3A BF 86 E1 8F 64 9D 17 D0 A5 41 D9 45
000018D0: CE FD 96 0C 14 00 00 00 12 FF DC 88 A1 BD 26 23
000018E0: 59 32 27 1F 73 0B 8F 79 4E 45 DA B3 AB 08 04 F4
000018F0: 0B B9 50 46 D3 91 41 84
```

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
SaltSize																															
Salt (variable)																															
...																															
EncryptedVerifier (16 bytes)																															
...																															
VerifierHashSize																															
EncryptedVerifierHash (variable)																															
...																															

SaltSize (4 bytes): 0x00000010 specifies the number of bytes used by the **Salt** field, and the number of bytes used by **EncryptedVerifier** field.

Salt (variable): "92 25 50 F6 B6 4F FE 5B D3 96 DF 5E E9 17 DA 3A" specifies a randomly generated value used when generating the encryption key.

EncryptedVerifier (16 bytes): Specifies an encrypted form of a randomly generated 16-byte verifier value, which is the randomly generated **Verifier** value encrypted using the algorithm chosen by the implementation—for example, "BF 86 E1 8F 64 9D 17 D0 A5 41 D9 45 CE FD 96 0C".

VerifierHashSize (4 bytes): 0x00000014 specifies the number of bytes used by the hash of the randomly generated **Verifier**.

EncryptedVerifierHash (variable): An array of bytes that contains the encrypted form of the hash of the randomly generated **Verifier** value, for example, "12 FF DC 88 A1 BD 26 23 59 32 27 1F 73 0B 8F 79 4E 45 DA B3 AB 08 04 F4 0B B9 50 46 D3 91 41 84".

3.8 \EncryptionInfo Stream

This section provides an example of an **\EncryptionInfo** stream containing detailed information used to initialize the cryptography that is used to encrypt the **\EncryptedPackage** stream.

```
00001800: 03 00 02 00 24 00 00 00 A4 00 00 00 24 00 00 00
00001810: 00 00 00 00 0E 66 00 00 04 80 00 00 80 00 00 00
00001820: 18 00 00 00 E0 BC 3B 07 00 00 00 00 4D 00 69 00
00001830: 63 00 72 00 6F 00 73 00 6F 00 66 00 74 00 20 00
00001840: 45 00 6E 00 68 00 61 00 6E 00 63 00 65 00 64 00
00001850: 20 00 52 00 53 00 41 00 20 00 61 00 6E 00 64 00
00001860: 20 00 41 00 45 00 53 00 20 00 43 00 72 00 79 00
00001870: 70 00 74 00 6F 00 67 00 72 00 61 00 70 00 68 00
00001880: 69 00 63 00 20 00 50 00 72 00 6F 00 76 00 69 00
00001890: 64 00 65 00 72 00 20 00 28 00 50 00 72 00 6F 00
000018A0: 74 00 6F 00 74 00 79 00 70 00 65 00 29 00 00 00
000018B0: 10 00 00 00 92 25 50 F6 B6 4F FE 5B D3 96 DF 5E
000018C0: E9 17 DA 3A BF 86 E1 8F 64 9D 17 D0 A5 41 D9 45
000018D0: CE FD 96 0C 14 00 00 00 12 FF DC 88 A1 BD 26 23
000018E0: 59 32 27 1F 73 0B 8F 79 4E 45 DA B3 AB 08 04 F4
000018F0: 0B B9 50 46 D3 91 41 84
```

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31																
EncryptionVersionInfo.vMajor																EncryptionVersionInfo.vMinor																															
EncryptionHeader.Flags																																															
EncryptionHeaderSize																																															
EncryptionHeader																																															
...																																															
EncryptionVerifier																																															
...																																															

EncryptionVersionInfo.vMajor (2 bytes): Specifies 0x0003.

EncryptionVersionInfo.vMinor (2 bytes): Specifies 0x0002.

EncryptionHeader.Flags (4 bytes): 0x00000024 specifies that the CryptoAPI implementation (0x00000004) of the ECMA-376 AES (0x00000020) algorithm [\[ECMA-376\]](#) was used to encrypt the file.

EncryptionHeaderSize (4 bytes): 0x000000A4 specifies the number of bytes used by the **EncryptionHeader** structure (section [2.3.2](#)).

EncryptionHeader (variable):

- **Flags:** 0x00000024 is a bit flag that specifies that the CryptoAPI implementation (0x00000004) of the ECMA-376 AES (0x00000020) algorithm [\[ECMA-376\]](#) was used to encrypt the file.
- **SizeExtra:** 0x00000000 is unused.
- **AlgID:** 0x0000660E specifies that the file is encrypted using the AES-128 encryption algorithm.
- **AlgIDHash:** 0x00008004 specifies that the hashing algorithm used is SHA-1.
- **KeySize:** 0x00000080 specifies that the key size is 128 bits.
- **ProviderType:** 0x00000018 specifies AES is the provider type.
- **Reserved1:** 0x073BBCE0 is a reserved value.
- **Reserved2:** 0x00000000 is a reserved value.
- **CSPName:** "Microsoft Enhanced RSA and AES Cryptographic Provider (Prototype)" specifies the name of the cryptographic provider.

Example

```
24 00 00 00 00 00 00 00 0E 66 00 00 04 80 00 00
80 00 00 00 18 00 00 00 E0 BC 3B 07 00 00 00 00
4D 00 69 00 63 00 72 00 6F 00 73 00 6F 00 66 00
74 00 20 00 45 00 6E 00 68 00 61 00 6E 00 63 00
65 00 64 00 20 00 52 00 53 00 41 00 20 00 61 00
6E 00 64 00 20 00 41 00 45 00 53 00 20 00 43 00
72 00 79 00 70 00 74 00 6F 00 67 00 72 00 61 00
70 00 68 00 69 00 63 00 20 00 50 00 72 00 6F 00
76 00 69 00 64 00 65 00 72 00 20 00 28 00 50 00
72 00 6F 00 74 00 6F 00 74 00 79 00 70 00 65 00
29 00 00 00
```

EncryptionVerifier (variable):

- **SaltSize:** 0x00000010 specifies the number of bytes that make up the **Salt** field.
- **Salt:** "92 25 50 F6 B6 4F FE 5B D3 96 DF 5E E9 17 DA 3A" specifies a randomly generated value used when generating the encryption key.
- **EncryptedVerifier:** "BF 86 E1 8F 64 9D 17 D0 A5 41 D9 45 CE FD 96 0C" specifies the encrypted form of the verifier.
- **VerifierHashSize:** 0x00000014 specifies the number of bytes needed to contain the hash of the Verifier used to generate the **EncryptedVerifier** field.
- **EncryptedVerifierHash:** "12 FF DC 88 A1 BD 26 23 59 32 27 1F 73 0B 8F 79 4E 45 DA B3 AB 08 04 F4 0B B9 50 46 D3 91 41 84" specifies the encrypted hash of the verifier used to generate the **EncryptedVerifier** field.

Example

```
92 25 50 F6 B6 4F FE 5B D3 96 DF 5E E9 17 DA 3A
BF 86 E1 8F 64 9D 17 D0 A5 41 D9 45 CE FD 96 0C
14 00 00 00 12 FF DC 88 A1 BD 26 23 59 32 27 1F
73 0B 8F 79 4E 45 DA B3 AB 08 04 F4 0B B9 50 46
D3 91 41 84
```

3.9 \EncryptionInfo Stream (Third-Party Extensible Encryption)

This section provides an example of the XML structure for an **EncryptionInfo** field as specified in section [2.3.4.6](#):

```
<EncryptionData xmlns="urn:schemas-microsoft-com:office:office">
  <EncryptionProvider Id="{05F17A8A-189E-42CD-9B21-E8F6B730EC8A}"
    Url="http://www.contoso.com/DownloadProvider/">
    <EncryptionProviderData>AAAAAA==</EncryptionProviderData>
  </EncryptionProvider>
</EncryptionData>
```

EncryptionData xmlns: "urn:schemas-microsoft-com:office:office" specifies the XML namespace for this XML fragment.

EncryptionProvider: Specifies the code module that contains the cryptographic functionality used in this document with the following attributes:

- **Id:** "{05F17A8A-189E-42CD-9B21-E8F6B730EC8A}" specifies a unique identifier for the encryption provider.
- **Url:** "http://www.contoso.com/DownloadProvider/" specifies the URL to the location of the **EncryptionProvider** code module.

EncryptionProviderData: Data for consumption by the extensible encryption module specified in the EncryptionProvider node.

3.10 Office Binary Document RC4 Encryption

3.10.1 Encryption Header

This section provides an example of an RC4 encryption header structure (section [2.3.6.1](#)) used by Office Binary Document RC4 Encryption (section [2.3.6](#)) to specify the encryption properties for an encrypted stream.

```
00001200: 01 00 01 00 C4 DC 85 69 91 13 EC 1C F1 E5 29 06
00001210: 0E 49 00 B3 F3 53 BB 80 36 63 CD E3 DD F2 D1 CB
00001220: 10 23 9B 5A 39 8F EA C2 43 EC F4 4B 9A 62 29 1B
00001230: 1A 4C 9D CD
```

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
EncryptionVersionInfo																															

Salt (16 bytes)
...
EncryptedVerifier (16 bytes)
...
EncryptedVerifierHash (16 bytes)
...

EncryptionVersionInfo (4 bytes): Specifies the **Version.vMajor** is 0x0001 and **Version.vMinor** is 0x0001.

Salt (16 bytes): "C4 DC 85 69 91 13 EC 1C F1 E5 29 06 0E 49 00 B3" specifies a randomly generated value used when generating the encryption key.

EncryptedVerifier (16 bytes): "F3 53 BB 80 36 63 CD E3 DD F2 D1 CB 10 23 9B 5A" specifies that the verifier is encrypted using a 40-bit RC4 cipher initialized as specified in section [2.3.6.2](#), with a block number of 0x00000000.

EncryptedVerifierHash (16 bytes): "39 8F EA C2 43 EC F4 4B 9A 62 29 1B 1A 4C 9D CD" specifies an MD5 hash of the verifier used to create the **EncryptedVerifier** field.

3.11 PasswordKeyEncryptor (Agile Encryption)

```

00000000: 04 00 04 00 40 00 00 00 3C 3F 78 6D 6C 20 76 65
00000010: 72 73 69 6F 6E 3D 22 31 2E 30 22 20 65 6E 63 6F
00000020: 64 69 6E 67 3D 22 55 54 46 2D 38 22 20 73 74 61
00000030: 6E 64 61 6C 6F 6E 65 3D 22 79 65 73 22 3F 3E 0D
00000040: 0A 3C 65 6E 63 72 79 70 74 69 6F 6E 20 78 6D 6C
00000050: 6E 73 3D 22 68 74 74 70 3A 2F 2F 73 63 68 65 6D
00000060: 61 73 2E 6D 69 63 72 6F 73 6F 66 74 2E 63 6F 6D
00000070: 2F 6F 66 66 69 63 65 2F 32 30 30 36 2F 65 6E 63
00000080: 72 79 70 74 69 6F 6E 22 20 78 6D 6C 6E 73 3A 70
00000090: 3D 22 68 74 74 70 3A 2F 2F 73 63 68 65 6D 61 73
000000A0: 2E 6D 69 63 72 6F 73 6F 66 74 2E 63 6F 6D 2F 6F
000000B0: 66 66 69 63 65 2F 32 30 30 36 2F 6B 65 79 45 6E
000000C0: 63 72 79 70 74 6F 72 2F 70 61 73 73 77 6F 72 64
000000D0: 22 3E 3C 6B 65 79 44 61 74 61 20 73 61 6C 74 53
000000E0: 69 7A 65 3D 22 31 36 22 20 62 6C 6F 63 6B 53 69
000000F0: 7A 65 3D 22 31 36 22 20 6B 65 79 42 69 74 73 3D
00000100: 22 31 32 38 22 20 68 61 73 68 53 69 7A 65 3D 22
00000110: 32 30 22 20 63 69 70 68 65 72 41 6C 67 6F 72 69
00000120: 74 68 6D 3D 22 41 45 53 22 20 63 69 70 68 65 72
00000130: 43 68 61 69 6E 69 6E 67 3D 22 43 68 61 69 6E 69
00000140: 6E 67 4D 6F 64 65 43 42 43 22 20 68 61 73 68 41
00000150: 6C 67 6F 72 69 74 68 6D 3D 22 53 48 41 31 22 20
00000160: 73 61 6C 74 56 61 6C 75 65 3D 22 2F 61 34 69 57
00000170: 71 50 79 49 76 45 32 63 55 6F 6C 4A 4D 4B 72 49
00000180: 77 3D 3D 22 2F 3E 3C 64 61 74 61 49 6E 74 65 67
00000190: 72 69 74 79 20 65 6E 63 72 79 70 74 65 64 48 6D
000001A0: 61 63 4B 65 79 3D 22 75 77 70 41 45 46 57 31 68

```

```

000001B0: 51 79 44 32 4F 30 31 6B 7A 31 6C 68 6A 65 76 4E
000001C0: 77 30 45 43 79 41 41 30 75 32 4F 78 44 79 67 73
000001D0: 66 59 3D 22 20 65 6E 63 72 79 70 74 65 64 48 6D
000001E0: 61 63 56 61 6C 75 65 3D 22 75 66 36 48 62 4A 6A
000001F0: 74 72 79 4A 4F 6A 53 46 71 72 6B 71 6B 4E 51 59
00000200: 39 4E 6A 4E 51 55 50 49 2B 78 63 6B 38 51 38 79
00000210: 34 6D 6B 6F 3D 22 2F 3E 3C 6B 65 79 45 6E 63 72
00000220: 79 70 74 6F 72 73 3E 3C 6B 65 79 45 6E 63 72 79
00000230: 70 74 6F 72 20 75 72 69 3D 22 68 74 74 70 3A 2F
00000240: 2F 73 63 68 65 6D 61 73 2E 6D 69 63 72 6F 73 6F
00000250: 66 74 2E 63 6F 6D 2F 6F 66 66 69 63 65 2F 32 30
00000260: 30 36 2F 6B 65 79 45 6E 63 72 79 70 74 6F 72 2F
00000270: 70 61 73 73 77 6F 72 64 22 3E 3C 70 3A 65 6E 63
00000280: 72 79 70 74 65 64 4B 65 79 20 73 70 69 6E 43 6F
00000290: 75 6E 74 3D 22 31 30 30 30 30 30 22 20 73 61 6C
000002A0: 74 53 69 7A 65 3D 22 31 36 22 20 62 6C 6F 63 6B
000002B0: 53 69 7A 65 3D 22 31 36 22 20 6B 65 79 42 69 74
000002C0: 73 3D 22 31 32 38 22 20 68 61 73 68 53 69 7A 65
000002D0: 3D 22 32 30 22 20 63 69 70 68 65 72 41 6C 67 6F
000002E0: 72 69 74 68 6D 3D 22 41 45 53 22 20 63 69 70 68
000002F0: 65 72 43 68 61 69 6E 69 6E 67 3D 22 43 68 61 69
00000300: 6E 69 6E 67 4D 6F 64 65 43 42 43 22 20 68 61 73
00000310: 68 41 6C 67 6F 72 69 74 68 6D 3D 22 53 48 41 31
00000320: 22 20 73 61 6C 74 56 61 6C 75 65 3D 22 70 70 73
00000330: 36 42 31 62 6D 71 43 46 58 67 6F 70 73 6D 31 72
00000340: 57 6E 51 3D 3D 22 20 65 6E 63 72 79 70 74 65 64
00000350: 56 65 72 69 66 69 65 72 48 61 73 68 49 6E 70 75
00000360: 74 3D 22 4A 59 55 34 51 30 75 32 42 68 71 7A 51
00000370: 41 35 44 34 4A 2F 76 6F 41 3D 3D 22 20 65 6E 63
00000380: 72 79 70 74 65 64 56 65 72 69 66 69 65 72 48 61
00000390: 73 68 56 61 6C 75 65 3D 22 65 42 32 6A 58 35 6D
000003A0: 76 68 42 4A 2B 39 4F 37 66 66 43 2B 36 58 32 4D
000003B0: 79 64 7A 32 67 6C 48 4F 58 78 30 54 39 50 6E 36
000003C0: 6E 4B 2B 77 3D 22 20 65 6E 63 72 79 70 74 65 64
000003D0: 4B 65 79 56 61 6C 75 65 3D 22 32 46 38 36 48 47
000003E0: 2B 78 56 33 6E 47 61 32 37 44 45 6C 67 71 67 77
000003F0: 3D 3D 22 2F 3E 3C 2F 6B 65 79 45 6E 63 72 79 70
00000400: 74 6F 72 3E 3C 2F 6B 65 79 45 6E 63 72 79 70 74
00000410: 6F 72 73 3E 3C 2F 65 6E 63 72 79 70 74 69 6F 6E
00000420: 3E

```

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
EncryptionVersionInfo.vMajor																EncryptionVersionInfo.vMinor															
Reserved																															
XmlEncryptionDescriptor (variable)																															
...																															

EncryptionVersionInfo.vMajor (2 bytes): Specifies that the major version is 0x0004.

EncryptionVersionInfo.vMinor (2 bytes): Specifies that the minor version is 0x0004.

Reserved (4 bytes): 0x00000040 is a reserved value.

XmlEncryptionDescriptor (variable): An XML block that specifies the encryption algorithms used. Contains the following XML:

```
<?xml version="1.0" encoding="UTF-8" standalone="yes"?>
<encryption
  xmlns="http://schemas.microsoft.com/office/2006/encryption"
  xmlns:p="http://schemas.microsoft.com/office/2006/keyEncryptor/password">
  <keyData
    saltSize="16"
    blockSize="16"
    keyBits="128"
    hashSize="20"
    cipherAlgorithm="AES"
    cipherChaining="ChainingModeCBC"
    hashAlgorithm="SHA-1"
    saltValue="/a4iWqPyIvE2cUolJMKrIw==" />

  <dataIntegrity
    encryptedHmacKey="uwpAEFW1hQyD2001kz1lhjevNw0ECyAA0u20xDygsfY="
    encryptedHmacValue="uf6HbJjtryJOjSFqrkqkNQY9NjNQUPI+xck8Q8y4mko=" />

  <keyEncryptors>
    <keyEncryptor
      uri="http://schemas.microsoft.com/office/2006/keyEncryptor/password">
      <p:encryptedKey
        spinCount="100000"
        saltSize="16"
        blockSize="16"
        keyBits="128"
        hashSize="20"
        cipherAlgorithm="AES"
        cipherChaining="ChainingModeCBC"
        hashAlgorithm="SHA-1"
        saltValue="pps6B1bmqCFXgopsmlrWnQ=="
        encryptedVerifierHashInput="JYU4Q0u2BhqzQA5D4J/voA=="
        encryptedVerifierHashValue="eB2jX5mvhBJ+9O7ffc+6X2Mydz2glHOXx0T9Pn6nK+w="
        encryptedKeyValue="2F86HG+xV3nGa27DElqqgw==" />
      </keyEncryptor>
    </keyEncryptors>
  </encryption>
```

keyData: Specifies the cryptographic attributes used to encrypt the data.

saltSize: 16 specifies that the salt value is 16 bytes in length.

blockSize: 16 specifies that 16 bytes were used to encrypt each block of data.

keyBits: 128 specifies that the key used to encrypt the data is 128 bits in length.

hashSize: 20 specifies that the hash size is 20 bytes in length.

cipherAlgorithm: "AES" specifies that the cipher algorithm used to encrypt the data is AES.

cipherChaining: "ChainingModeCBC" specifies that the chaining mode to encrypt the data is CBC.

hashAlgorithm: "SHA-1" specifies that the hashing algorithm used to hash the data is SHA-1.

SaltValue: "/a4iWqPyIvE2cUolJMKrIw==" specifies a randomly generated value used when generating the encryption key.

dataIntegrity: Specifies the encrypted copies of the salt and hash values used to help ensure that the integrity of the encrypted data has not been compromised.

encryptedHmacKey: "uwpAEFW1hQyD2O01kz1lhjevNw0ECyAA0u2OxDygsfY=" specifies the encrypted copy of the randomly generated value used when generating the encryption key.

encryptedHmacValue: "uf6HbJjtryJOjSFqrkqkNQY9NjNQUPI+xck8Q8y4mko=" specifies the encrypted copy of the hash value that is generated during the creation of the encryption key.

keyEncryptors: Specifies the key encryptors used to encrypt the data.

keyEncryptor: "http://schemas.microsoft.com/office/2006/keyEncryptor/password" specifies that the schema utilized by this encryptor is the schema specified for password-based encryptors in section [2.3.4.10](#).

p:encryptedKey: Specifies the attributes used to generate the encrypting key.

spinCount: 100000 specifies that there are 100000 iterations on the hash of the password.

saltSize: 16 specifies that the salt value is 16 bytes long.

blockSize: 16 specifies that 16 bytes were used to encrypt each block of data.

keyBits: 128 specifies that the key is 128 bits in length.

hashSize: 20 specifies that the hash is 20 bytes in length.

cipherAlgorithm: "AES" specifies that the cipher used to encrypt the data is AES.

cipherChaining: "ChainingModeCBC" specifies that the chaining mode used for encrypting is CBC.

hashAlgorithm: "SHA-1" specifies that the hashing algorithm used is SHA-1.

saltValue: "pps6B1bmqCFXgopsm1rWnQ==" specifies the randomly generated value used for encrypting the data.

encryptedVerifierHashInput: "JYU4Q0u2BhqzQA5D4J/voA==" specifies the **VerifierHashInput** attribute encoded as specified in section [2.3.4.13](#).

encryptedVerifierHashValue: "eB2jX5mvhBJ+9O7ffC+6X2Mydz2gIHOXx0T9Pn6nK+w=" specifies the **VerifierHashValue** encoded as specified in section [2.3.4.13](#).

encryptedKeyValue: "2F86HG+xV3nGa27DElgqgw==" specifies the **KeyValue** encoded as specified in section [2.3.4.13](#).

4 Security

4.1 Data Spaces

None.

4.2 Information Rights Management

It is recommended that software components that implement the **Information Rights Management (IRM)** Data Space make a best effort to respect the licensing limitations applied to the protected content in the document.

Security considerations concerning rights management are described in [\[MS-RMPR\]](#).

4.3 Encryption

4.3.1 ECMA-376 Document Encryption

ECMA-376 document encryption [\[ECMA-376\]](#) using standard encryption does not support CBC, and does not have a provision for detecting corruption, though a block cipher (specifically AES) is not known to be subject to bit-flipping attacks. ECMA-376 documents using agile encryption are required to use CBC and corruption detection, and are not subject to the issues noted for standard encryption.

When setting algorithms for agile encryption, the SHA-2 series of hashing algorithms is preferred. MD2, MD4, and MD5 are not recommended. Older cipher algorithms, such as DES, are also not recommended.

Passwords are limited to 255 Unicode code points.

4.3.2 Office Binary Document RC4 CryptoAPI Encryption

The Office binary document RC4 CryptoAPI encryption method is not recommended and ought to only be used when backward compatibility is required.

Passwords are limited to 255 Unicode characters.

Office binary document RC4 CryptoAPI encryption has the following known cryptographic weaknesses:

- The key derivation algorithm described in section [2.3.5.2](#) is weak because of the lack of a repeated iteration mechanism, and the password might be subject to rapid brute-force attacks.
- Encryption begins with the first byte and does not throw away an initial range as is recommended to overcome a known weakness in the RC4 pseudorandom number generator.
- No provision is made for detecting corruption within the encryption stream, which exposes encrypted data to bit-flipping attacks.
- When used with small key-lengths (such as 40-bit), brute-force attacks on the key without knowing the password are possible.
- Some streams are not encrypted.

- Key stream reuse can occur in document data streams, potentially with known plain text, implying that certain portions of encrypted data can be either directly extracted or trivially retrieved.
- Key stream reuse occurs multiple times within the RC4 CryptoAPI Encrypted Summary Stream.
- Document properties might not be encrypted, which could result in information leakage.

Because of the cryptographic weaknesses of the Office binary document RC4 CryptoAPI encryption, it is considered insecure, and therefore is not recommended when storing sensitive materials.

4.3.3 Office Binary Document RC4 Encryption

The Office binary document RC4 encryption method is not recommended, and ought to be used only when backward compatibility is required.

Passwords are limited to 255 Unicode characters.

Office binary document RC4 encryption has the following known cryptographic weaknesses:

- The key derivation algorithm is not an iterated hash, as described in [\[RFC2898\]](#), which allows brute-force attacks against the password to be performed rapidly.
- Encryption begins with the first byte, and does not throw away an initial range as is recommended to overcome a known weakness in the RC4 pseudorandom number generator.
- No provision is made for detecting corruption within the encryption stream, which exposes encrypted data to bit-flipping attacks.
- While the derived encryption key is actually 128 bits, the input used to derive the key is fixed at 40 bits, and current hardware enables brute-force attacks on the encryption key without knowing the password in a relatively short period of time, so that even if the password cannot easily be recovered, the information could still be disclosed.
- Some streams might not be encrypted.
- Depending on the application, key stream reuse could occur, potentially with known plaintext, implying that certain portions of encrypted data could be either directly extracted or easily retrieved.
- Document properties might not be encrypted, which could result in information leakage.

Because of the cryptographic weaknesses of the Office Binary Document RC4 Encryption, it is considered easily reversible, and therefore is not recommended when storing sensitive materials.

4.3.4 XOR Obfuscation

XOR obfuscation is not recommended. Document data can easily be extracted. The document password could be retrievable.

Passwords will be truncated to 15 characters. It is possible for multiple passwords to map to the same key.

4.4 Document Write Protection

Document write protection methods 1 (section [2.4.2.1](#)) and 3 (section [2.4.2.3](#)) both embed the password in clear-text into the file. Although method 3 subsequently encrypts the file, the

encryption is flawed, and the password is described in section [2.4.2.3](#). In both cases, the password can be extracted with little difficulty. Document write protection is not considered to be a security mechanism, and the write protection can easily be removed using a binary editor. Document write protection is meant to protect against accidental modification only.

Some file formats, such as those described by [\[MS-DOC\]](#) and [\[MS-XLS\]](#), restrict password length to 15 characters. It is possible for multiple passwords to map to the same key when using document write protection method 2 (section [2.4.2.2](#)).

4.5 Binary Document Digital Signatures

Certain streams and storages are not subject to signing. Tampering with these streams or storages will not invalidate the signature.

5 Appendix A: Product Behavior

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include released service packs:

- Microsoft® Office 97
- Microsoft® Office 2000
- Microsoft® Office XP
- Microsoft® Office 2003
- The 2007 Microsoft® Office system
- Microsoft® Office 2010 suites
- Microsoft® Office 15 Technical Preview
- Microsoft® Office SharePoint® Server 2007
- Microsoft® SharePoint® Server 2010
- Microsoft® SharePoint® Server 15 Technical Preview

Exceptions, if any, are noted below. If a service pack or Quick Fix Engineering (QFE) number appears with the product version, behavior changed in that service pack or QFE. The new behavior also applies to subsequent service packs of the product unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms SHOULD or SHOULD NOT implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term MAY implies that the product does not follow the prescription.

[<1> Section 1.3.3:](#) Several of the cryptographic techniques specified in this document use the Cryptographic Application Programming Interface (CAPI) or CryptoAPI when implemented by Microsoft Office on the Windows operating systems. While an implementation is not required to use CryptoAPI, if an implementation is required to interoperate with Microsoft Office on the Windows operating systems, then the following are required:

Cryptographic service provider (CSP): A CSP refers to a library containing implementations of cryptographic algorithms. Several CSPs that support the algorithms required in this specification are present by default on the latest versions of Windows. Alternate CSPs can be used, if the CSP is installed on all systems consuming or producing a document.

AlgID: An integer representing an encryption algorithm in the CryptoAPI. Required **AlgID** values are specified in the remainder of this document. Alternate **AlgIDs** can be used if the CSP supporting the alternate **AlgID** is installed on all systems consuming or producing a document.

AlgIDHash: An integer representing a hashing algorithm in the CryptoAPI. Required **AlgIDHash** values are specified in the remainder of this document. For encryption operations, the hashing algorithm is fixed, and cannot vary from the algorithms specified.

The following cryptographic providers are recommended to facilitate interoperability across all supported versions of Windows:

- Microsoft Base Cryptographic Provider v1.0
- Microsoft Enhanced Cryptographic Provider v1.0
- Microsoft Enhanced RSA and AES Cryptographic Provider

Note that the following providers are equivalent:

- Microsoft Enhanced RSA and AES Cryptographic Provider (Prototype)
- Microsoft Enhanced RSA and AES Cryptographic Provider

The provider listed as "Microsoft Enhanced RSA and AES Cryptographic Provider (Prototype)" will be found on Windows XP. An implementation needs to treat these providers as equivalent when attempting to resolve a CSP on a Windows system.

When using AES encryption for ECMA-376 documents [\[ECMA-376\]](#), the Microsoft Enhanced RSA and AES Cryptographic Provider is written into the header, unless AES encryption facilities are obtained from an alternate cryptographic provider as noted in the next paragraph. When using CryptoAPI RC4 encryption, be aware that the Microsoft Base Cryptographic Provider v1.0 is limited to 56-bit key lengths. The other providers listed support up to 128-bit key lengths.

Other cryptographic providers can be used, but documents specifying other providers MAY not open properly if the cryptographic provider is not present. On a non-Windows system, the cryptographic provider will be ignored when opening a file, and the algorithm and key length will be determined by the **EncryptionHeader.AlgID** and **EncryptionHeader.KeySize** fields. When writing a file from a non-Windows system, a correct cryptographic provider needs to be supplied in order for implementations on Windows systems to properly open the file.

Additionally, a **ProviderType** parameter is required for an **EncryptionHeader** structure that is compatible with the CSP and encryption algorithm chosen. To facilitate interoperability, the **ProviderTypes** listed in section [2.3.2](#) are recommended.

Additionally, see section [4.3](#) for additional information regarding the cryptography used.

<2> [Section 2.2](#): Applications in Office 2003, the 2007 Office system, and Office 2010 encrypt the Office binary documents by persisting the entire document to a temporary OLE compound file and then transforming the physical representation of the OLE compound file as a single stream of bytes. Similarly, ECMA-376 documents [\[ECMA-376\]](#) are encrypted by adding the entire file package to a temporary file and then transforming the physical representation of the file as a single stream of bytes.

The following streams are also stored outside the protected content to preserve interoperability with applications that do not understand the IRMDS structure:

- **_signatures**
- **0x01CompObj**
- **Macros**
- **_VBA_PROJECT_CUR**
- **0x05SummaryInformation**
- **0x05DocumentSummaryInformation**
- **MsoDataStore**

Applications in Office 2003, the 2007 Office system, and Office 2010 also create the streams and storages necessary to create a "default document" within the OLE compound file. This default document contains a short message to the user indicating that the actual document contents are encrypted. This allows versions of Microsoft Office which do not understand the IRMSD structure to open the default document instead of rejecting the file.

[<3> Section 2.2.1:](#) Office 2003, the 2007 Office system, and Office 2010 offer the user the option of creating a transformed MHTML representation of the document when applying rights management policy to a document. This option is on by default in Office Excel 2003, and off by default in all other Office 2003 applications and all applications in the 2007 Office system and Office 2010. If the transformed MHTML representation is created, the **0x09LZXDRMDataSpace** data space definition is applied to it (which includes both LZX compression and encryption).

[<4> Section 2.2.2:](#) Office 2003, the 2007 Office system, and Office 2010 offer the user the option of creating a transformed MHTML representation of the document when applying rights management policy to a document. This option is on by default in Office Excel 2003, and off by default in all other Office 2003 applications and all applications in the 2007 Office system and Office 2010. If the transformed MHTML representation is created, the **0x09LZXDRMDataSpace** data space definition is applied to it (which includes both LZX compression and encryption).

[<5> Section 2.2.3:](#) Office 2003, the 2007 Office system, and Office 2010 offer the user the option of creating a transformed MHTML representation of the document when applying rights management policy to a document. This option is on by default in Office Excel 2003, and off by default in all other Office 2003 applications and all applications in the 2007 Office system and Office 2010. If the transformed MHTML representation is created, the **0x09LZXDRMDataSpace** data space definition is applied to it (which includes both LZX compression and encryption).

[<6> Section 2.2.6:](#) Office SharePoint Server 2007 uses the **AUTHENTICATEDDATA** element with **name** set to "ListGUID" as the application-specific GUID that identifies the storage location for the document. This is stored encrypted within the element as follows.

```
<AUTHENTICATEDDATA id="Encrypted-Rights-Data">
```

Once decrypted, the XrML document contains an element named AUTHENTICATEDDATA, containing an attribute named id with a value of "APPSPECIFIC" and an attribute named name with a value of ListGUID with the contents of the ListGUID.

[<7> Section 2.2.11:](#) Office 2003, the 2007 Office system, and Office 2010 offer the user the option of creating a transformed MHTML representation of the document when applying rights management policy to a document. This option is on by default in Office Excel 2003, and off by default in all other Office 2003 applications and all applications in the 2007 Office system and Office 2010. If the transformed MHTML representation is created, the **0x09LZXDRMDataSpace** data space definition is applied to it (which includes both LZX compression and encryption).

[<8> Section 2.3.1:](#) In the 2007 Office system and Office 2010, the default encryption algorithm for ECMA-376 standard encryption documents [\[ECMA-376\]](#) is 128-bit AES, and both 192-bit and 256-bit AES are also supported. It is possible to use alternate encryption algorithms, and for best results, a block cipher supporting ECB mode is recommended. Additionally, the algorithm ought to convert one block of plaintext to one block of encrypted data where both blocks are the same size. This information is for guidance only, and it is possible that if alternate algorithms are used that the applications in the 2007 Office system and Office 2010 might not open the document properly or that information leakage could occur.

[<9> Section 2.3.2:](#) Several of the cryptographic techniques specified in this document use the Cryptographic Application Programming Interface (CAPI) or CryptoAPI when implemented by

Microsoft Office on the Windows operating systems. While an implementation is not required to use CryptoAPI, if an implementation is required to interoperate with Microsoft Office on the Windows operating systems, then the following are required:

Cryptographic service provider (CSP): A CSP refers to a library containing implementations of cryptographic algorithms. Several CSPs that support the algorithms required in this specification are present by default on the latest versions of Windows. Alternate CSPs can be used, if the CSP is installed on all systems consuming or producing a document.

AlgID: An integer representing an encryption algorithm in the CryptoAPI. Required AlgID values are specified in the remainder of this document. Alternate AlgIDs can be used if the CSP supporting the alternate AlgID is installed on all systems consuming or producing a document.

AlgIDHash: An integer representing a hashing algorithm in the CryptoAPI. Required AlgIDHash values are specified in the remainder of this document. For encryption operations, the hashing algorithm is fixed, and cannot vary from the algorithms specified.

The following cryptographic providers are recommended to facilitate interoperability across all supported versions of Windows:

- Microsoft Base Cryptographic Provider v1.0
- Microsoft Enhanced Cryptographic Provider v1.0
- Microsoft Enhanced RSA and AES Cryptographic Provider

Note that the following providers are equivalent:

- Microsoft Enhanced RSA and AES Cryptographic Provider (Prototype)
- Microsoft Enhanced RSA and AES Cryptographic Provider

The provider listed as "Microsoft Enhanced RSA and AES Cryptographic Provider (Prototype)" will be found on Windows XP. An implementation needs to treat these providers as equivalent when attempting to resolve a CSP on a Windows system.

When using AES encryption for ECMA-376 documents [\[ECMA-376\]](#), the Microsoft Enhanced RSA and AES Cryptographic Provider is written into the header, unless AES encryption facilities are obtained from an alternate cryptographic provider as noted in the next paragraph. When using CryptoAPI RC4 encryption, be aware that the Microsoft Base Cryptographic Provider v1.0 is limited to 56-bit key lengths. The other providers listed support up to 128-bit key lengths.

Other cryptographic providers can be used, but documents specifying other providers MAY not open properly if the cryptographic provider is not present. On a non-Windows system, the cryptographic provider will be ignored when opening a file, and the algorithm and key length will be determined by the `EncryptionHeader.AlgID` and `EncryptionHeader.KeySize` fields. When writing a file from a non-Windows system, a correct cryptographic provider needs to be supplied for implementations on Windows systems to properly open the file.

Additionally, a **ProviderType** parameter is required for an **EncryptionHeader** structure that is compatible with the CSP and encryption algorithm chosen. To facilitate interoperability, the **ProviderTypes** listed in section [2.3.2](#) are recommended.

Additionally, see section [4.3](#) for additional information regarding the cryptography used.

<10> Section 2.3.4.5: Applications in the 2007 Office system earlier than Service Pack 2 set a **Version.vMajor** value of 0x0003. Versions with Service Pack 2 and Office 2010 set a

Version.vMajor value of 0x004. Office 2003 applications set a **Version.vMajor** version value of 0x0002.

<11> [Section 2.3.4.5](#): In the 2007 Office system and Office 2010, the default encryption algorithm for ECMA-376 standard encryption documents [\[ECMA-376\]](#) is 128-bit AES, and both 192-bit and 256-bit AES are also supported. It is possible to use alternate encryption algorithms, and for best results, a block cipher supporting ECB mode is recommended. Additionally, the algorithm ought to convert one block of plaintext to one block of encrypted data where both blocks are the same size. This information is for guidance only, and it is possible that if alternate algorithms are used that the applications in the 2007 Office system and Office 2010 might not open the document properly or that information leakage could occur.

<12> [Section 2.3.4.5](#): In the 2007 Office system and Office 2010, the default encryption algorithm for ECMA-376 standard encryption documents [\[ECMA-376\]](#) is 128-bit AES, and both 192-bit and 256-bit AES are also supported. It is possible to use alternate encryption algorithms, and for best results, a block cipher supporting ECB mode is recommended. Additionally, the algorithm ought to convert one block of plaintext to one block of encrypted data where both blocks are the same size. This information is for guidance only, and it is possible that if alternate algorithms are used that the applications in the 2007 Office system and Office 2010 might not open the document properly or that information leakage could occur.

<13> [Section 2.3.4.6](#): On Windows platforms, **CSPName** specifies the GUID of the extensible encryption module used for this document. This GUID specifies the CLSID of the **COM** module containing cryptographic functionality. The **CSPName** is required to be a null-terminated Unicode string.

<14> [Section 2.3.4.10](#): Use of RC2 is not recommended. If RC2 is used with a key length of less than 128 bits, documents could not interoperate correctly across different versions of Windows.

<15> [Section 2.3.4.10](#): Use of DES is not recommended. If DES is used, the key length specified in the **KeyBits** element is required to be set to 64 for 56-bit encryption, and the key decrypted from **encryptedKeyValue** of the **KeyEncryptor** is required to include the DES parity bits.

<16> [Section 2.3.4.10](#): Use of DESX is not recommended. If DESX is used, documents could not interoperate correctly across different versions of Windows.

<17> [Section 2.3.4.10](#): If 3DES or 3DES_112 is used, the key length specified in the **KeyBits** element is required to be set to 192 for 168-bit encryption and 128 for 112-bit encryption, and the key decrypted from **encryptedKeyValue** of the **KeyEncryptor** is required to include the DES parity bits.

<18> [Section 2.3.4.10](#): If 3DES or 3DES_112 is used, the key length specified in the **KeyBits** element is required to be set to 192 for 168-bit encryption and 128 for 112-bit encryption, and the key decrypted from **encryptedKeyValue** of the **KeyEncryptor** is required to include the DES parity bits.

<19> [Section 2.3.4.10](#): Any algorithm that can be resolved by name by the underlying operating system can be used for hashing or encryption. Only block algorithms are supported for encryption. AES-128 is the default encryption algorithm, and SHA-1 is the default hashing algorithm if no other algorithms have been configured.

<20> [Section 2.3.4.10](#): Any algorithm that can be resolved by name by the underlying operating system can be used for hashing or encryption. Only block algorithms are supported for encryption. AES-128 is the default encryption algorithm, and SHA-1 is the default hashing algorithm if no other algorithms have been configured.

<21> [Section 2.3.4.10](#): All ECMA-376 documents [\[ECMA-376\]](#) encrypted by Microsoft Office using agile encryption will have a **DataIntegrity** element present. The schema allows for a DataIntegrity element not being present, because the encryption schema documented here MAY be used by applications that do not create ECMA-376 documents [\[ECMA-376\]](#).

<22> [Section 2.3.5.1](#): Applications in the 2007 Office system earlier than Service Pack 2 set a **Version.vMajor** value of 0x0003. Versions with Service Pack 2 and Office 2010 set a **Version.vMajor** value of 0x0004. Office 2003 applications set a **Version.vMajor** version of 0x0002.

<23> [Section 2.3.5.1](#): Several of the cryptographic techniques specified in this document use the Cryptographic Application Programming Interface (CAPI) or CryptoAPI when implemented by Microsoft Office on the Windows operating systems. While an implementation is not required to use CryptoAPI, if an implementation is required to interoperate with Microsoft Office on the Windows operating systems, then the following are required:

Cryptographic service provider (CSP): A CSP refers to a library containing implementations of cryptographic algorithms. Several CSPs that support the algorithms required in this specification are present by default on the latest versions of Windows. Alternate CSPs can be used, if the CSP is installed on all systems consuming or producing a document.

AlgID: An integer representing an encryption algorithm in the CryptoAPI. Required **AlgID** values are specified in the remainder of this document. Alternate **AlgIDs** can be used if the CSP supporting the alternate **AlgID** is installed on all systems consuming or producing a document.

AlgIDHash: An integer representing a hashing algorithm in the CryptoAPI. Required **AlgIDHash** values are specified in the remainder of this document. For encryption operations, the hashing algorithm is fixed, and cannot vary from the algorithms specified.

The following cryptographic providers are recommended to facilitate interoperability across all supported versions of Windows:

- Microsoft Base Cryptographic Provider v1.0
- Microsoft Enhanced Cryptographic Provider v1.0
- Microsoft Enhanced RSA and AES Cryptographic Provider

Note that the following providers are equivalent:

- Microsoft Enhanced RSA and AES Cryptographic Provider (Prototype)
- Microsoft Enhanced RSA and AES Cryptographic Provider

The provider listed as "Microsoft Enhanced RSA and AES Cryptographic Provider (Prototype)" will be found on Windows XP. An implementation needs to treat these providers as equivalent when attempting to resolve a CSP on a Windows system.

When using AES encryption for ECMA-376 documents [\[ECMA-376\]](#), the Microsoft Enhanced RSA and AES Cryptographic Provider is written into the header, unless AES encryption facilities are obtained from an alternate cryptographic provider as noted in the next paragraph. When using CryptoAPI RC4 encryption, be aware that the Microsoft Base Cryptographic Provider v1.0 is limited to 56-bit key lengths. The other providers listed support up to 128-bit key lengths.

Other cryptographic providers can be used, but documents specifying other providers MAY not open properly if the cryptographic provider is not present. On a non-Windows system, the cryptographic provider will be ignored when opening a file, and the algorithm and key length will be determined by the **EncryptionHeader.AlgID** and **EncryptionHeader.KeySize** fields. When writing a file from a

non-Windows system, a correct cryptographic provider needs to be supplied for implementations on Windows systems to properly open the file.

Additionally, a **ProviderType** parameter is required for an **EncryptionHeader** structure that is compatible with the CSP and encryption algorithm chosen. To facilitate interoperability, the **ProviderTypes** listed in section [2.3.2](#) are recommended.

Additionally, see Encryption in section [4](#) for additional information regarding the cryptography used.

[<24> Section 2.3.5.4:](#) Office 2003, the 2007 Office system and Office 2010 allow the user to optionally encrypt the \0x05SummaryInformation and \0x05DocumentSummaryInformation streams. Additional streams and storages can also be encrypted within the RC4 CryptoAPI summary stream.

[<25> Section 2.4.1:](#) Documents generated by Microsoft Excel can be encrypted as specified in section [2.3](#) with the following password: "\x56\x65\x6C\x76\x65\x74\x53\x77\x65\x61\x74\x73\x68\x6F\x70". The conditions under which this password is used are specified in [\[MS-XLS\]](#) and [\[MS-XLSB\]](#).

[<26> Section 2.4.2.2:](#) Documents generated by Microsoft Excel can be encrypted as specified in section [2.3](#) with the following password: "\x56\x65\x6C\x76\x65\x74\x53\x77\x65\x61\x74\x73\x68\x6F\x70". The conditions under which this password is used are specified in [\[MS-XLS\]](#) and [\[MS-XLSB\]](#).

[<27> Section 2.4.2.3:](#) Documents created by versions of PowerPoint earlier than Office PowerPoint 2007 Service Pack 2 will use the default password. Office PowerPoint 2007 will not use the default password. A document created without the default password can be opened in earlier versions. Due to security concerns, it is preferable not to use the default password.

[<28> Section 2.4.2.4:](#) Any algorithm that can be resolved by name by the underlying operating system can be used for hashing or encryption. Only block algorithms are supported for encryption. AES-128 is the default encryption algorithm, and SHA-1 is the default hashing algorithm if no other algorithms have been configured.

[<29> Section 2.5.2.1:](#) In the 2007 Office system, the SHA-1 hashing algorithm is required to be used for this purpose. Office 2010 requires only that the underlying operating system support the hashing algorithm.

[<30> Section 2.5.2.1:](#) In the 2007 Office system, the SHA-1 hashing algorithm is required to be used for this purpose. Office 2010 requires only that the underlying operating system support the hashing algorithm.

[<31> Section 2.5.2.4:](#) In the 2007 Office system, the SHA-1 hashing algorithm is required to be used for this purpose. Office 2010 requires only that the underlying operating system support the hashing algorithm.

[<32> Section 2.5.2.5:](#) Office 2010 and the 2007 Office system reserve the value of {00000000-0000-0000-0000-000000000000} for its default signature provider and {000CD6A4-0000-0000-C000-000000000046} for its East Asian signature provider.

[<33> Section 2.5.2.6:](#) Office 2010 adds XML Advanced Electronic Signatures ([\[XAdES\]](#)) extensions to xmldsig signatures when configured to do so by the user. By default, XAdES-EPES signatures will be used, as specified in [\[XAdES\]](#) section 4.4.2.

[<34> Section 2.5.2.6:](#) Office 2010 by default will place the reference to the **SignedProperties** element within the **SignedInfo** element. Versions of Office earlier than Office 2010 will need an update to correctly validate a reference within the **SignedInfo** element that is not to a top-level

Object element, and will incorrectly reject these signatures as invalid. To ensure compatibility with earlier versions of Office that have not been updated to validate the signature correctly, an implementation can place the **Reference** element within a manifest.

Preliminary

6 Change Tracking

No table of changes is available. The document is either new or has had no changes since its last release.

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