

# [MS-ASMS]: ActiveSync Short Message Service Protocol Specification

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## Revision Summary

Date	Revision History	Revision Class	Comments
04/10/2009	0.1.0	Major	Initial Availability.
07/15/2009	1.0.0	Major	Revised and edited for technical content.
11/04/2009	2.0.0	Major	Updated and revised the technical content.
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08/04/2010	5.0	Major	Significantly changed the technical content.

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# 1 Introduction

This document specifies the ActiveSync Short Message Service (SMS) protocol, which is an XML-based format that provides the mechanisms for a mobile device to synchronize SMS messages with the server and for the server to send SMS messages through the mobile device.

## 1.1 Glossary

The following terms are defined in [\[MS-OXGLOS\]](#):

- class**
- code page (1)**
- conversation**
- conversation ID**
- conversation index**
- message**
- non-delivery report (NDR)**
- Short Message Service (SMS)**
- Wireless Application Protocol (WAP) Binary XML (WBXML)**
- XML**
- XML namespace**
- XML schema**
- XML schema definition (XSD)**

The following terms are specific to this document:

**MAY, SHOULD, MUST, SHOULD NOT, MUST NOT:** These terms (in all caps) are used as described in [\[RFC2119\]](#). All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

## 1.2 References

### 1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact [dochelp@microsoft.com](mailto:dochelp@microsoft.com). We will assist you in finding the relevant information. Please check the archive site, <http://msdn2.microsoft.com/en-us/library/E4BD6494-06AD-4aed-9823-445E921C9624>, as an additional source.

[MS-ASAIRS] Microsoft Corporation, "[ActiveSync AirSyncBase Namespace Protocol Specification](#)", December 2008.

[MS-ASCMD] Microsoft Corporation, "[ActiveSync Command Reference Protocol Specification](#)", December 2008.

[MS-ASCON] Microsoft Corporation, "[ActiveSync Conversations Protocol Specification](#)", April 2009.

[MS-ASDTYPE] Microsoft Corporation, "[ActiveSync Data Types](#)", December 2008.

[MS-ASEMAIL] Microsoft Corporation, "[ActiveSync E-Mail Class Protocol Specification](#)", December 2008.

[MS-ASWBXML] Microsoft Corporation, "[ActiveSync WAP Binary XML \(WBXML\) Protocol Specification](#)", December 2008.

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, <http://www.ietf.org/rfc/rfc2119.txt>

[XML] Bray, T., Paoli, J., Sperberg-McQueen, C., Eds., et al., "Extensible Markup Language (XML) 1.0 (Fifth Edition)", W3C Recommendation, November 2008, <http://www.w3.org/TR/REC-xml/>

[XMLNS] Bray, T., Hollander, D., Layman, A., Eds., et al., "Namespaces in XML 1.0 (Third Edition)", December 2009, <http://www.w3.org/TR/REC-xml-names/>

[XMLSCHEMA1] Thompson, H., Beech, D., Maloney, M., and Mendelsohn, N., Eds., "XML Schema Part 1: Structures", W3C Recommendation, May 2001, <http://www.w3.org/TR/2001/REC-xmlschema-1-20010502/>

## 1.2.2 Informative References

[MS-OXGLOS] Microsoft Corporation, "[Exchange Server Protocols Master Glossary](#)", April 2008.

## 1.3 Overview

The ActiveSync Short Message Service (SMS) protocol is an XML-based format that is used to do the following:

- Enable a mobile device to synchronize SMS messages with the server.
- Provision the server to send outgoing SMS messages through the mobile device.

The protocol also includes XML elements to represent SMSmessage data. The SMS data is included in protocol command requests when SMS data is being sent from the client to the server, and is included in protocol command responses when SMS data is retrieved from the server. SMS data includes some of the same header information as e-mail data (for details about the E-mail **class**, see [\[MS-ASEMAIL\]](#)) such as to and from, as well as body, flag, and importance.

## 1.4 Relationship to Other Protocols

The ActiveSync Short Message Service (SMS) protocol consists of a series of XML elements that are embedded inside a command request or a command response. For details about command requests and responses, see [\[MS-ASCMD\]](#). The **Wireless Application Protocol (WAP) Binary XML (WBXML)**, specified in [\[MS-ASWBXML\]](#), is used to transmit the XML markup that constitutes the request body and the response body.

The ActiveSync Short Message Service (SMS) protocol defines elements according to the data type definitions that are specified in [\[MS-ASDTYPE\]](#).

## 1.5 Prerequisites/Preconditions

None.

## 1.6 Applicability Statement

The ActiveSync Short Message Service (SMS) protocol is applicable for mobile devices that need to synchronize SMS messages with the server and want to allow the server to use it for relaying outbound SMS messages.

## 1.7 Versioning and Capability Negotiation

None.

## **1.8 Vendor-Extensible Fields**

None.

## **1.9 Standards Assignments**

None.

## 2 Messages

### 2.1 Transport

The ActiveSync Short Message Service (SMS) protocol consists of a series of XML elements that are embedded inside a command request or a command response. The XML markup that constitutes the request body or the response body is transmitted between client and server by using Wireless Application Protocol (WAP) Binary XML (WBXML), as specified in [\[MS-ASWBXML\]](#).

The mobile device uses standard mobile network protocols, such as GSM and CDMA, to send outbound SMS messages.

### 2.2 Message Syntax

The XML markup that is used by the ActiveSync Short Message Service (SMS) protocol MUST be well formed XML, as specified in [\[XML\]](#). Any violation of the well formed XML MUST be reported by the XML processor, as specified in [\[XML\]](#) section 5. The XML elements are embedded inside a command request or command response. For details about command requests and responses, see [\[MS-ASCMD\]](#).

The ActiveSync Short Message Service (SMS) protocol defines **XML schema** elements for the SMS class, which represents an SMS message. The SMS class is constituted by elements from the following three **XML namespaces**: Email, Email2, and AirSyncBase. The **XML schema definitions (XSDs)** for these three namespaces, as they apply to the SMS class, are provided in this section (following this paragraph). The ActiveSync Short Message Service (SMS) protocol also defines XML schema elements that are necessary for various operations involving SMS messages. These elements are from the AirSync and Settings XML namespaces.

#### XSDs for the SMS class

The portion of the Email namespace constituting the SMS class is defined as follows. For the complete Email XSD, see [\[MS-ASEMAIL\]](#) section 2.2.

```
<?xml version="1.0" encoding="utf-8"?>
<xs:schema xmlns:tns="Email:" xmlns:xs="http://www.w3.org/2001/XMLSchema"
targetNamespace="Email:" elementFormDefault="qualified" attributeFormDefault="unqualified">
  <xs:import namespace="AirSyncBase:" schemaLocation="AirSyncBase.xsd"/>
  <xs:element name="To">
    <xs:simpleType>
      <xs:restriction base="xs:string">
        <xs:maxLength value="32768"/>
      </xs:restriction>
    </xs:simpleType>
  </xs:element>
  <xs:element name="From">
    <xs:simpleType>
      <xs:restriction base="xs:string">
        <xs:maxLength value="32768"/>
      </xs:restriction>
    </xs:simpleType>
  </xs:element>
  <xs:element name="DateReceived" type="xs:dateTime"/>
  <xs:element name="Importance" type="xs:unsignedByte"/>
  <xs:element name="Read" type="xs:boolean"/>
  <xs:element name="InternetCPID" type="xs:string"/>
  <xs:element name="Flag"/>
</xs:schema>
```



```
</xs:schema>
```

The portion of the Email2 namespace constituting the SMS class is defined as follows. For the complete Email2 XSD, see [\[MS-ASEMAIL\]](#) section 2.2.

```
<?xml version="1.0" encoding="UTF-8"?>
<xs:schema xmlns:tns="Email2:" xmlns:xs="http://www.w3.org/2001/XMLSchema"
targetNamespace="Email2:" elementFormDefault="qualified"
attributeFormDefault="unqualified">
  <xs:element name="ConversationId" type="xs:string"/>
  <xs:element name="ConversationIndex" type="xs:string"/>
</xs:schema>
```

The portion of the AirSyncBase namespace constituting the SMS class is defined as follows. For the complete AirSyncBase XSD, see [\[MS-ASAIRS\]](#) section 2.2.

```
<?xml version="1.0" encoding="utf-8"?>
<xs:schema xmlns:airsyncbase="AirSyncBase:" xmlns:xs="http://www.w3.org/2001/XMLSchema"
targetNamespace="AirSyncBase:" elementFormDefault="qualified"
attributeFormDefault="unqualified">
  <xs:element name="Body"/>
</xs:schema>
```

## 2.2.1 Namespaces

This specification defines and references various XML namespaces using the mechanisms specified in [\[XMLNS\]](#). Although this specification associates a specific XML namespace prefix for each XML namespace that is used, the choice of any particular XML namespace prefix is implementation-specific and is not significant for interoperability.

Prefix	Namespace URI	Reference
airsync	AirSync	<a href="#">[MS-ASCMD]</a> section 2.2.2.19
airsyncbase	AirSyncBase	<a href="#">[MS-ASAIRS]</a>
email	Email	<a href="#">[MS-ASEMAIL]</a>
email2	Email2	[MS-ASEMAIL]
settings	Settings	<a href="#">[MS-ASCMD]</a> section 2.2.2.16
xs	http://www.w3.org/2001/XMLSchema	<a href="#">[XMLSCHEMA1]</a>

## 2.2.2 Elements

The ActiveSync Short Message Service (SMS) protocol defines XML schema elements for the SMS class, which represents an SMS message. The XSDs for the SMS class are specified in section [2.2](#). The SMS class elements are specified in section [2.2.2.1](#).

The ActiveSync Short Message Service (SMS) protocol also defines XML schema elements that are necessary for operations involving SMS messages. The following table summarizes the set of common XML schema elements that are defined by this specification for use in the **GetItemEstimate** command, **Sync** command, and **Settings** command.

Element	Description
<airsync:Class> (for options)	Used in a <b>GetItemEstimate</b> command request or a <b>Sync</b> command request to specify the class to which the options apply. See sections <a href="#">2.2.2.2.1</a> and <a href="#">2.2.2.3.1</a> .
<airsync:Class> (for adding, changing, deleting)	Used in a <b>Sync</b> command to specify the class of the item that is being added, changed, or deleted. <1> See section <a href="#">2.2.2.3.1</a> .
<settings:EnableOutboundSMS>	Used in a <b>Settings</b> command request to enable or disable the sending of outbound SMS messages through a mobile device. See section <a href="#">2.2.2.4.1</a> .
<settings:PhoneNumber>	Used in a <b>Settings</b> command request to specify the telephone number that identifies the mobile device. See section <a href="#">2.2.2.4.2</a> .

### 2.2.2.1 SMS Class

This section specifies elements that constitute the SMS class. The SMS class represents an SMS message. The SMS class elements are children of the <airsync:ApplicationData> element in the **Sync** command and, unless otherwise stated, are part of the Email XML namespace.

The XSDs of the SMS class are specified in section [2.2](#). For details about the <airsync:ApplicationData> element, see [\[MS-ASCMD\]](#) sections [2.2.2.19.1.2.1.1.9.1.2](#) and [2.2.2.19.2.1.3.1.4.3.3](#).

#### 2.2.2.1.1 From

The <From> element is an optional element that specifies the telephone number of the individual who sent the SMS message.

The value of this element is a **string** data type, as specified in [\[MS-ASDTYPE\]](#) section 2.6. The format of the string is as follows, including the quotes and square brackets:

"*Sender's Name*" [MOBILE:*Sender's phone number*]

*Sender's Name* specifies the name of the sender and is optional. *Sender's phone number* specifies the mobile telephone number of the sender.

#### 2.2.2.1.2 DateReceived

The <DateReceived> element is an optional element that specifies the date and time when the SMS message was either received by the device or sent by the device, depending on whether the SMS message is in the Sent Items folder. If the SMS message is in the Sent Items folder, this element specifies the date and time when the SMS message was sent; otherwise, this element specifies the date and time when the SMS message was received.

The value of this element is a **dateTime** data type, as specified in [\[MS-ASDTYPE\]](#) section 2.3.

### 2.2.2.1.3 To

The <To> element is an optional element that specifies the list of primary recipients.

The value of this element is a **string** data type, as specified in [\[MS-ASDTYPE\]](#) section 2.6. The value comprises the name and phone number of one or more recipients. Multiple recipients are separated by commas. The format of each recipient-entry is as follows, including the quotes and square brackets:

*"Recipient's Name" [MOBILE: Recipient's phone number]*

*Recipient's Name* specifies the name of the recipient and is optional. *Recipient's phone number* specifies the mobile telephone number of the recipient.

### 2.2.2.1.4 Other Elements

The following table lists the other elements that constitute the SMS class. Unless otherwise stated, the element is part of the Email XML namespace, as specified in section [2.2](#) of this document.

Element	Description
<airsynbase:Body>	Contains details about the body of the SMS message. This element is part of the AirSyncBase XML namespace, as specified in section <a href="#">2.2</a> of this document. For more details about the <airsynbase:Body> element, see <a href="#">[MS-ASAIRS]</a> section 2.2.2.4.
<Flag>	Specifies the flag that is associated with the SMS message, along with the current status of the SMS message. For more details about the <Flag> element, see <a href="#">[MS-ASEMAIL]</a> section 2.2.2.16.
<Importance>	Specifies the importance of the SMS message, as determined by the sender. For more details about the <Importance> element, see <a href="#">[MS-ASEMAIL]</a> section 2.2.2.9.
<Read>	Specifies whether the SMS message has been viewed by the current recipient. For more details about the <Read> element, see <a href="#">[MS-ASEMAIL]</a> section 2.2.2.10.
<InternetCPID>	Specifies the <b>code page</b> ID of the SMS message. For more details about the <InternetCPID> element, see <a href="#">[MS-ASEMAIL]</a> section 2.2.2.15.
<email2:ConversationId>	Specifies a unique identifier for a <b>conversation</b> . This element is part of the Email2 XML namespace, as specified in section <a href="#">2.2</a> of this document. For more details about the <email2:ConversationId> element, see <a href="#">[MS-ASCON]</a> section 2.2.2.2.1.
<email2:ConversationIndex>	Specifies a set of timestamps that is used by a client to generate a tree view of a conversation. This element is part of the Email2 XML namespace, as specified in section <a href="#">2.2</a> of this document. For more details about the <email2:ConversationIndex> element, see <a href="#">[MS-ASCON]</a> section 2.2.2.2.2.

## 2.2.2.2 GetItemEstimate Command Elements

### 2.2.2.2.1 airsnc:Class

The <airsnc:Class> element is an optional child element of the <airsnc:Options> element in the **GetItemEstimate** command request. It specifies the class to which the options apply.

The value of this element is a **string**, as specified in [\[MS-ASDTYPE\]](#) section 2.6. For SMS messages, the value of this element is SMS.

## 2.2.2.3 Sync Command Elements

### 2.2.2.3.1 airsnc:Class

For options: The <airsnc:Class> element is an optional child element of the <airsnc:Options> element in the **Sync** command request. It specifies the class to which the options apply.

For adding, changing, and deleting: The <airsnc:Class> element is an optional child element of the <airsnc:Add>, <airsnc:Change>, and <airsnc>Delete> [<2>](#) elements in the **Sync** command response and an optional child element of the <airsnc:Add> element in the **Sync** command request. The <airsnc:Class> element specifies the class of the item that is being added, changed, or deleted.

The value of this element is a **string**, as specified in [\[MS-ASDTYPE\]](#) section 2.6. For SMS messages, the value is "SMS".

## 2.2.2.4 Settings Command Elements

### 2.2.2.4.1 settings:EnableOutboundSMS

The <settings:EnableOutboundSMS> element is used to provision the server for sending outbound SMS messages through the mobile device. (Outbound SMS messages are sent only through mobile devices that enable it.) This element is an optional child element of the <settings:Set> element in the **Settings** command request.

The value of this element is an integer, as specified in [\[MS-ASDTYPE\]](#) section 2.5. If this element is set to 1, then the mobile device can be used to send outbound SMS messages. If this element is set to 0, then the mobile device will not be used to send outbound SMS messages. Devices that were previously enabled, but no longer want to act as SMS transport agents for the server, can reset the property to zero on the server by sending a **Settings** command request with the <settings:EnableOutboundSMS> element set to 0. The default value of this element is 0. [<3>](#)

### 2.2.2.4.2 settings:PhoneNumber

The <settings:PhoneNumber> element specifies the telephone number that identifies the mobile device. This element is an optional child element of the <settings:Set> element in the **Settings** command request. [<4>](#)

The value of this element is a **string**, as specified in [\[MS-ASDTYPE\]](#) section 2.6.

## 3 Protocol Details

### 3.1 Client Details

#### 3.1.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

**SMS class:** A set of elements that specifies an SMS item. SMS class data is included in command requests that are sent to the server when SMS items need to be retrieved or synchronized.

**Command request:** A WBXML-formatted message that adheres to the command schemas specified in [\[MS-ASCMD\]](#).

**Relay of outbound SMS messages:** The server can use a mobile device client to relay outbound SMS messages if the mobile device client has provisioned the server to do so.

#### 3.1.2 Timers

None.

#### 3.1.3 Initialization

None.

#### 3.1.4 Higher-Layer Triggered Events

##### 3.1.4.1 Synchronizing SMS Items

A client initiates synchronization of data with the server by sending a **Sync** command request to the server. The **Sync** command request synchronizes the client's data with the data currently stored by the server. Synchronization of SMS items is allowed on any collection that contains e-mail messages. [<5>](#)

The **Sync** command request is further specified in [\[MS-ASCMD\]](#) section 2.2.2.19.1.

##### 3.1.4.1.1 Options

If the client wants to synchronize SMS messages for a given folder, the `<airsync:Options>` block of the **Sync** command request includes the `<airsync:Class>` element set to the value "SMS". If the `<airsync:Class>` element is not included in the `<airsync:Options>` block, that set of options applies to the default class of the given folder, in which case, only the items of the default class for the given folder are synchronized. If no `<airsync:Options>` block is included in the **Sync** command request, only items of the default class for the given folder are synchronized.

A maximum of two `<airsync:Options>` blocks are allowed within a `<airsync:Collection>` block, namely one for the default class of the given folder and one for SMS messages. The `<airsync:Class>` element MUST be set to "SMS" to indicate that the options apply to SMS messages. An SMS `<airsync:Options>` block can be included within any `<airsync:Collection>` block that specifies an e-mail folder.

For more details about the <airsync:Class> element as a child of the <airsync:Options> element, see section [2.2.2.3.1](#).

### 3.1.4.1.1.1 Sticky Options

Sticky options allow a client to send a **Sync** command request that does not include an <airsync:Options> block. In this case, the server uses the previous set of options.

Sticky options require that the client send all sets of options if any option has changed. For example, if the truncation limit has increased for SMS items and the client is synchronizing both SMS items and e-mail items for a given folder, the **Sync** request will include two <airsync:Options> blocks. If one set of options is omitted from the request, the server presumes that the item class that corresponds to the missing set of options is no longer desired and soft-deletes are issued for the items of that class. (The server will send a soft-delete for any item of a class that is not included in the **Sync** request.) The server issues a soft-delete by including a <airsync:SoftDelete> element in the **Sync** response. For more details about the <airsync:SoftDelete> element and soft-deletes, see [\[MS-ASCMD\]](#) section 2.2.2.19.2.1.3.1.4.2.

### 3.1.4.1.1.2 Filtering

A filter can be applied to the synchronization of SMS items. The <airsync:FilterType> element specifies a time window. When synchronizing SMS items, the time-window restricts the items that the server sends to the client. The same filter values (0 through 5) that are used to filter e-mail items are also used to filter SMS items. For more details about filtering and the <airsync:FilterType> element, see [\[MS-ASCMD\]](#) section 2.2.2.19.1.2.1.1.8.1.

### 3.1.4.1.2 Making Changes Involving SMS Items

SMS items can be changed (updated) or deleted the same way that e-mail items are changed or deleted. SMS items can also be added. When an SMS item is added, changed, or deleted, the client sends a **Sync** command request that includes the <airsync:Add>, <airsync:Change>, and <airsync>Delete> elements, respectively.

The SMS data is specified in the SMS class elements, which MUST be children of the <airsync:ApplicationData> element, as specified in [\[MS-ASCMD\]](#) section 2.2.2.19.1.2.1.1.9.1.2. The following restrictions apply:

- **Sync** request with <airsync:Add>: The **conversation ID** and **conversation index** cannot be sent to the server by clients when adding an SMS item to the server; the conversation ID and conversation index, specified in the <email2:ConversationId> and <email2:ConversationIndex> elements, respectively, are returned by the server in the **Sync** command response, along with the server ID for the SMS item that was added.
- **Sync** request with <airsync:Change>: The client is allowed to update only the read status and the flags of an SMS item. Therefore, only the <email:Read> element and child elements of the <email:Flag> element can be specified as part of the <airsync:Change> element in the **Sync** command request.

See section [2.2](#) for details about the SMS class elements. For more details about the <airsync:Add>, <airsync:Change>, and <airsync>Delete> elements in the **Sync** command request, see [\[MS-ASCMD\]](#) sections [2.2.2.19.1.2.1.1.9.3](#), [2.2.2.19.1.2.1.1.9.1](#), and [2.2.2.19.1.2.1.1.9.2](#), respectively.

### 3.1.4.1.3 Special Case for Synchronization of Outbox

When a mobile device is enabled to send an outbound SMS message, the mobile device **MUST** synchronize the SMS items in the user's Outbox. It **SHOULD** do so at regular, short intervals (about every 15 minutes) or leverage hanging synchronization to provide the user with the best experience in sending SMS items.

The **Sync** command response is further specified in [\[MS-ASCMD\]](#) section 2.2.2.19.2.

### 3.1.4.2 Estimating the Number of Changes

The client sends a **GetItemEstimate** command request to the server to get an estimate of the number of changes to SMS items for a given e-mail folder. The estimate will include changes to SMS items for that e-mail folder only if the request includes an `<airsync:Options>` block with the `<airsync:Class>` element set to "SMS".

A maximum of two `<airsync:Options>` blocks are allowed within a `<getitemestimate:Collection>` block, namely one for the default class of the given folder and one for SMS items. The `<airsync:Class>` element **MUST** be set to "SMS" to indicate that the options apply to SMS items. An SMS `<airsync:Options>` block can be included within any `<getitemestimate:Collection>` block that specifies an e-mail folder. [<6>](#)

For more details about the `<airsync:Class>` element as a child of the `<airsync:Options>` element, see section [2.2.2.2.1](#). The **GetItemEstimate** command request is further specified in [\[MS-ASCMD\]](#) section 2.2.2.7.1.

### 3.1.4.3 Provisioning for Relay of Outbound SMS Messages

The client sends a **Settings** command request to provision the server for sending outbound SMS messages through the mobile device. Outbound SMS messages are sent only through mobile devices that enable it. To enable outbound SMS messages, the following elements are included as children of the `<settings:Set>` element in the `<settings:DeviceInformation>` block of the **Settings** command request:

- `<settings:EnableOutboundSMS>`, set to 1. See section [2.2.2.4.1](#).
- `<settings:PhoneNumber>`, specifying the mobile device's telephone number.

To disable outbound SMS, the `<settings:EnableOutboundSMS>` element is set to 0. In this case, the `<settings:PhoneNumber>` element is not needed.

For more details about the **Settings** command request, see [\[MS-ASCMD\]](#) section 2.2.2.16.1.

## 3.1.5 Message Processing Events and Sequencing Rules

### 3.1.5.1 Sending Outbound SMS Messages

The mobile device performs the following tasks to send an outgoing SMS message:

1. The client **MUST** synchronize the SMS items in the user's Outbox. See section [3.1.4.1](#) for details about synchronizing SMS items. Any SMS items that the server adds to the Outbox folder are outgoing SMS messages. It is important that the client either synchronize the Outbox at regular, short intervals (about every 15 minutes) or retrieve the outgoing SMS items by using hanging synchronization. Doing so allows the client to send SMS items in the shortest possible interval.

2. The client MUST send the outgoing SMS items via the mobile device's wireless network. The client sends the given SMS item to all of the mobile recipients provided by the server. The client MUST logically split the item's body as necessary to comply with its network and MUST enable the reassembling of the body on the receiver's phone.
3. If a problem occurs with the message delivery, the client SHOULD generate a **non-delivery report (NDR)** and post it in the user's Inbox by sending a **Sync** request that includes the <airsync:Add> element. See section [3.1.4.1.2](#) for details about adding SMS items to a folder.
4. The client MUST delete the outgoing SMS items by sending a **Sync** request that includes the <airsync>Delete> element. See section [3.1.4.1.2](#) for details about deleting SMS items from a folder.

For more details about synchronization processing and sequencing, see [\[MS-ASCMD\]](#) sections [3.1.5.3](#) and [3.1.5.4](#).

### 3.1.6 Timer Events

None.

### 3.1.7 Other Local Events

None.

## 3.2 Server Details

### 3.2.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

**SMS class:** A set of elements that specifies an SMS item. SMS class data is included in command requests that are sent to the server when SMS items need to be retrieved or synchronized.

**Command response:** A WBXML-formatted message that adheres to the command schemas specified in [\[MS-ASCMD\]](#). The server can return zero or more SMS class blocks in its command response, depending on how many SMS items match the criteria specified in the client's command request. The server returns an SMS class XML block for every SMS item that matches the criteria specified in the client command request.

**Relay of outbound SMS messages:** The server can use a mobile device client to relay outbound SMS messages if the mobile device client has provisioned the server to do so.

### 3.2.2 Timers

None.

### 3.2.3 Initialization

None.



## 3.2.4 Higher-Layer Triggered Events

None.

## 3.2.5 Message Processing Events and Sequencing Rules

### 3.2.5.1 Processing a Sync Request

Synchronization of SMS items is initiated by the client, as specified in section [3.1.4.1](#). The server responds with a **Sync** command response. To send SMS class data back to the client, the server includes an <airsync:ApplicationData> element in the **Sync** command response. The SMS class data is specified in the SMS class elements (section [2.2](#)), which MUST be children of the <airsync:ApplicationData> element, as specified in [\[MS-ASCMD\]](#) section 2.2.2.19.2.1.3.1.4.3.3.

The server MUST NOT send SMS items to the client if the client did not request them.

The server returns status code 4 (protocol error) in the **Sync** command response for the following situations:

- The **Sync** command request specifies a filter value that is not in the allowed range of values (0 through 5). For more details about filtering, see section [3.1.4.1.1.2](#).
- The **Sync** command request includes more than two <airsync:Options> blocks.
- The **Sync** command request includes an <airsync:Options> block that specifies a class other than "SMS" or "Email" for a collection containing e-mail items.
- The **Sync** command request includes an <airsync:Options> block that specifies the "SMS" or "Email" class for a collection that does not contain e-mail items.

The **Sync** command response is further specified in [\[MS-ASCMD\]](#) section 2.2.2.19.2. For more details about synchronization processing and sequencing, see [\[MS-ASCMD\]](#) sections [3.1.5.3](#) and [3.1.5.4](#).

### 3.2.5.2 Processing a GetItemEstimate Request

The client sends a **GetItemEstimate** command request, as specified in section [3.1.4.2](#). The server sends a **GetItemEstimate** command response with an estimate of the total number of changes for a given folder. The estimate includes the changes to items of the classes specified in the request. The estimate includes changes to SMS items for the specified e-mail folders only if the request includes an <airsync:Options> block with the <airsync:Class> element set to "SMS".

The server returns status code 4 (protocol error) in the **GetItemEstimate** command response for the following situations:

- The **GetItemEstimate** command request includes more than two <airsync:Options> blocks.
- The **GetItemEstimate** command request includes an <airsync:Options> block that specifies a class other than the SMS or Email class for a collection containing e-mail items.
- The **GetItemEstimate** command request includes an <airsync:Options> block that specifies the SMS or Email class for a collection that does not contain e-mail items.

The **GetItemEstimate** command response is further specified in [\[MS-ASCMD\]](#) section 2.2.2.7.2.

### 3.2.5.3 Processing a Settings Request

The client sends a **Settings** command request, as specified in section [3.1.4.3](#). If the **Settings** command request includes the <settings:EnableOutboundSMS> element set to 1 and no telephone number is specified for the mobile device, the server returns status code 5 (invalid arguments) in the **Settings** command response.

If the **Settings** command request indicates that the mobile device can be used to send outbound SMS messages, the server SHOULD propagate the mobile device's outbound SMS state to all clients of the server, allowing any of these clients to send SMS items via the given mobile device.

The **Settings** command response is further specified in [\[MS-ASCMD\]](#) section 2.2.2.16.2.

### 3.2.6 Timer Events

None.

### 3.2.7 Other Local Events

None.

## 4 Protocol Examples

### 4.1 Synchronizing E-Mail Items and SMS Items

The following is an example of how the options are specified when the client wants to synchronize e-mail items and SMS items.

Client's **Sync** command request:

```
<?xml version="1.0" encoding="utf-8"?>
<Sync xmlns="AirSync:" xmlns:airsyncbase="AirSyncBase:">
  <Collections>
    <Collection>
      <SyncKey>601771687</SyncKey>
      <CollectionId>15</CollectionId>
      <DeletesAsMoves/>
      <GetChanges/>
      <WindowSize>100</WindowSize>
      <Options>
        <Class>SMS</Class>
        <FilterType>0</FilterType>
        <airsyncbase:BodyPreference>
          <airsyncbase:Type>1</airsyncbase:Type>
          <airsyncbase:TruncationSize>102400</airsyncbase:TruncationSize>
        </airsyncbase:BodyPreference>
      </Options>
      <Options>
        <FilterType>2</FilterType>
      </Options>
    </Collection>
    <airsyncbase:BodyPreference><airsyncbase:Type>1</airsyncbase:Type></airsyncbase:BodyPreference>
    <airsyncbase:BodyPreference><airsyncbase:Type>2</airsyncbase:Type></airsyncbase:BodyPreference>
    <airsyncbase:BodyPreference>
      <airsyncbase:Type>4</airsyncbase:Type>
      <airsyncbase:TruncationSize>102400</airsyncbase:TruncationSize>
    </airsyncbase:BodyPreference>
    <MIMESupport>0</MIMESupport>
    <Conflict>1</Conflict>
  </Options>
  <Commands>
    ...
  </Commands>
</Collections>
</Sync>
```

### 4.2 Synchronizing Only SMS Items

The following is an example of how the options are specified when the client wants to synchronize only SMS items.

Client's **Sync** command request:

```
<?xml version="1.0" encoding="utf-8"?>
```

```

<Sync xmlns="AirSync:" xmlns:airsyncbase="AirSyncBase:">
  <Collections>
    <Collection>
      <SyncKey>601771687</SyncKey>
      <CollectionId>15</CollectionId>
      <DeletesAsMoves/>
      <GetChanges/>
      <WindowSize>100</WindowSize>
      <Options>
        <Class>SMS</Class>
        <FilterType>0</FilterType>
        <airsyncbase:BodyPreference>
          <airsyncbase:Type>1</airsyncbase:Type>
          <airsyncbase:TruncationSize>102400</airsyncbase:TruncationSize>
        </airsyncbase:BodyPreference>
      </Options>
      <Commands>
        ...
      </Commands>
    </Collection>
  </Collections>
</Sync>

```

### 4.3 SMS Message Added by the Server

The following is an example of an SMS item being added by the server to the client. Note that the SMS class data is included within the <ApplicationData> node, whereas the class of the item is specified by the <Class> element in the <Add> node.

Server's **Sync** command response:

```

<?xml version="1.0" encoding="utf-8"?>
<Sync xmlns="AirSync:" xmlns:airsyncbase="AirSyncBase:" xmlns:email="Email:"
xmlns:email2="Email2:" >
  <Collections>
    <Collection>
      <SyncKey>525665452</SyncKey>
      <CollectionId>55</CollectionId>
      <Status>1</Status>
      <Commands>
        <Add>
          <Class>SMS</Class>
          <ServerId>55:11</ServerId>
          <ApplicationData>
            <email:To>"14255550143" [MOBILE:14255550143]</email:To>
            <email:From>"14255550123" [MOBILE:+14255550123]</email:From>
            <email:DateReceived>2009-01-08T00:14:36.000Z</email:DateReceived>
            <email:Importance>1</email:Importance>
            <email:Read>0</email:Read>
            <airsyncbase:Body>
              <airsyncbase:Type>1</airsyncbase:Type>
              <airsyncbase:EstimatedDataSize>29</airsyncbase:EstimatedDataSize>
              <airsyncbase:Data>Make sure you get some rest!
            </airsyncbase:Data>
            <airsyncbase:Body>
              <email:InternetCPID>1252</email:InternetCPID>
              <email:Flag/>
            </airsyncbase:Body>
          </ApplicationData>
        </Add>
      </Commands>
    </Collection>
  </Collections>
</Sync>

```

```

        <email2:ConversationId>ñòàöİMDÿ< dōX3&#xF;0&#x0;</email2:ConversationId>
        <email2:ConversationIndex>Ēq&amp;HĔ</email2:ConversationIndex>
    </ApplicationData>
</Add>
</Commands>
</Collection>
</Collections>
</Sync>

```

#### 4.4 Enabling Outbound SMS Messages

The following example shows how a mobile-device client enables the server to use that mobile-device client for relaying outbound SMS messages.

Mobile-device client's **Settings** command request:

```

<?xml version="1.0" encoding="utf-8"?>
<Settings xmlns="Settings:">
  <DeviceInformation>
    <Set>
      <Model>Manufacturer-Name-Number</Model>
      <IMEI>123456789012345</IMEI>
      <FriendlyName>My PPC Phone</FriendlyName>
      <OS>PPC</OS>
      <OSLanguage>us-EN</OSLanguage>
      <PhoneNumber>206-555-0112</PhoneNumber>
      <EnableOutboundSMS>1</EnableOutboundSMS>
      <MobileOperator>T-Mojo</MobileOperator>
    </Set>
  </DeviceInformation>
</Settings>

```

## **5 Security**

### **5.1 Security Considerations for Implementers**

None.

### **5.2 Index of Security Parameters**

None.

## 6 Appendix A: Product Behavior

The information in this specification is applicable to the following Microsoft products:

- Microsoft® Exchange Server 2010
- Microsoft® Exchange Server 2010 Service Pack 1 (SP1)

Exceptions, if any, are noted below. If a service pack number appears with the product version, behavior changed in that service pack. The new behavior also applies to subsequent service packs of the product unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification prescribed using the terms SHOULD or SHOULD NOT implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term MAY implies that product does not follow the prescription.

[<1> Section 2.2.2:](#) The <airsync:Class> and <airsync:Delete> elements are not returned in a **Sync** response when the MS-ASProtocolVersion header is set to 14.0.

[<2> Section 2.2.2.3.1:](#) The <airsync:Class> and <airsync:Delete> elements are not returned in a **Sync** response when the MS-ASProtocolVersion header is set to 14.0.

[<3> Section 2.2.2.4.1:](#) When the <settings:EnableOutboundSMS> element is set to 1 and the MS-ASProtocolVersion header is set to 14.0, the <settings:PhoneNumber> element is required to have a value. Under these conditions, if the <settings:PhoneNumber> element does not have a value, a <settings>Status> value of 5 is returned by the server.

[<4> Section 2.2.2.4.2:](#) When the <settings:EnableOutboundSMS> element is set to 1 and the MS-ASProtocolVersion header is set to 14.0, the <settings:PhoneNumber> element is required to have a value. Under these conditions, if the <settings:PhoneNumber> element does not have a value, a <settings>Status> value of 5 is returned by the server.

[<5> Section 3.1.4.1:](#) When the MS-ASProtocolVersion header is set to 14.0, synchronization of SMS items is only supported in the following folders: Inbox, Outbox, and Sent Items.

[<6> Section 3.1.4.2:](#) When the MS-ASProtocolVersion header is set to 14.0, synchronization of SMS items is only supported in the following folders: **Inbox**, **Outbox**, and **Sent Items**.

## 7 Change Tracking

This section identifies changes that were made to the [MS-ASMS] protocol document between the May 2010 and August 2010 releases. Changes are classified as New, Major, Minor, Editorial, or No change.

The revision class **New** means that a new document is being released.

The revision class **Major** means that the technical content in the document was significantly revised. Major changes affect protocol interoperability or implementation. Examples of major changes are:

- A document revision that incorporates changes to interoperability requirements or functionality.
- An extensive rewrite, addition, or deletion of major portions of content.
- The removal of a document from the documentation set.
- Changes made for template compliance.

The revision class **Minor** means that the meaning of the technical content was clarified. Minor changes do not affect protocol interoperability or implementation. Examples of minor changes are updates to clarify ambiguity at the sentence, paragraph, or table level.

The revision class **Editorial** means that the language and formatting in the technical content was changed. Editorial changes apply to grammatical, formatting, and style issues.

The revision class **No change** means that no new technical or language changes were introduced. The technical content of the document is identical to the last released version, but minor editorial and formatting changes, as well as updates to the header and footer information, and to the revision summary, may have been made.

Major and minor changes can be described further using the following change types:

- New content added.
- Content updated.
- Content removed.
- New product behavior note added.
- Product behavior note updated.
- Product behavior note removed.
- New protocol syntax added.
- Protocol syntax updated.
- Protocol syntax removed.
- New content added due to protocol revision.
- Content updated due to protocol revision.
- Content removed due to protocol revision.
- New protocol syntax added due to protocol revision.



- Protocol syntax updated due to protocol revision.
- Protocol syntax removed due to protocol revision.
- New content added for template compliance.
- Content updated for template compliance.
- Content removed for template compliance.
- Obsolete document removed.

Editorial changes are always classified with the change type "Editorially updated."

Some important terms used in the change type descriptions are defined as follows:

- **Protocol syntax** refers to data elements (such as packets, structures, enumerations, and methods) as well as interfaces.
- **Protocol revision** refers to changes made to a protocol that affect the bits that are sent over the wire.

The changes made to this document are listed in the following table. For more information, please contact [protocol@microsoft.com](mailto:protocol@microsoft.com).

Section	Tracking number (if applicable) and description	Major change (Y or N)	Change type
<a href="#">1.1 Glossary</a>	55142 Added "XML namespace" to the external glossary list.	N	Content update.
<a href="#">1.1 Glossary</a>	55557 Added "message" and "XML schema definition (XSD)" to the external glossary list.	N	Content update.
<a href="#">1.1 Glossary</a>	56912 Removed the terms "Coordinated Universal Time (UTC)" and "MIME" from the list of terms defined in [MS-OXGLOS].	N	Content update.
<a href="#">1.2.1 Normative References</a>	55751 Moved [MS-OXGLOS] from Normative References section to Informative References section.	N	Content update.
<a href="#">1.2.1 Normative References</a>	55142 Added new reference [XMLNS].	N	Content update.
<a href="#">1.2.1 Normative References</a>	55691 Added new reference [XMLSCHEMA1].	N	Content update.
<a href="#">1.2.1 Normative References</a>	57655 Added [MS-ASCON] as a normative reference.	N	Content update.
<a href="#">1.4 Relationship to Other</a>	55557 Removed "and complex types" from the	N	Content update.

Section	Tracking number (if applicable) and description	Major change (Y or N)	Change type
<a href="#">Protocols</a>	second paragraph. Removed the statement about the Email code page.		
<a href="#">2.2 Message Syntax</a>	55557 Revised the second paragraph to specify the protocol in terms of XML elements, not complex types. Clarified the namespaces that the protocol uses. Updated the XSDs.	N	Content update.
<a href="#">2.2.1 Namespaces</a>	55142 New section.	Y	New content added for template compliance.
<a href="#">2.2.2 Elements</a>	55557 Reformatted names of elements and added the namespace prefix to each element name. Added section references. Revised the first two paragraphs to clarify what elements are used by the protocol. Deleted the table containing the Email elements.	N	Content update.
<a href="#">2.2.2 Elements</a>	55482 Added a product behavior note stating the differences in Sync response messages for SMS deletes.	N	New product behavior note added.
<a href="#">2.2.2.1 SMS Class</a>	55557 Added general details about SMS class elements.	N	Content update.
<a href="#">2.2.2.1.1 From</a>	55557 Reformatted names of elements.	N	Content update.
<a href="#">2.2.2.1.2 DateReceived</a>	55557 Reformatted names of elements.	N	Content update.
<a href="#">2.2.2.1.2 DateReceived</a>	55207 Changed "date/time" to "dateTime" for consistency with [MS-ASDTYPE].	N	Content update.
<a href="#">2.2.2.1.3 To</a>	55557 Reformatted names of elements.	N	Content update.
<a href="#">2.2.2.1.4 Other Elements</a>	55557 Added new section to specify other SMS class elements.	N	Content update.
<a href="#">2.2.2.2 GetItemEstimate Command Elements</a>	55557 Added new section.	Y	New content added.
<a href="#">2.2.2.2.1 airsnc:Class</a>	55557 Reformatted names of elements and added the	N	Content update.

Section	Tracking number (if applicable) and description	Major change (Y or N)	Change type
	namespace prefix to each element name. Removed "or the Sync command request" from the first paragraph. Added namespace prefix to title.		
<a href="#">2.2.2.3 Sync Command Elements</a>	55557 Added new section.	Y	New content added.
<a href="#">2.2.2.3.1 airsinc:Class</a>	55557 Reformatted names of elements and added the namespace prefix to each element name. Added paragraph to specify the <Class> element as a child of <Options>. Added namespace prefix to title.	N	Content update.
<a href="#">2.2.2.3.1 airsinc:Class</a>	55482 Added a product behavior note stating that <airsinc:Class> and <airsinc>Delete> are not returned when the MS-ASProtocolVersion header is set to 14.0.	N	New product behavior note added.
<a href="#">2.2.2.4 Settings Command Elements</a>	55557 Added new section.	Y	New content added.
<a href="#">2.2.2.4.1 settings:EnableOutboundSMS</a>	55557 Reformatted names of elements and added the namespace prefix to each element name. Changed the title from DeviceInformation.Set.EnableOutboundSMS to settings:EnableOutboundSMS.	N	Content update.
<a href="#">2.2.2.4.1 settings:EnableOutboundSMS</a>	54344 Updated the details about the relationship between <settings:EnableOutboundSMS> and <settings:PhoneNumber>.	Y	Content update.
<a href="#">2.2.2.4.2 settings:PhoneNumber</a>	55557 Reformatted names of elements and added the namespace prefix to each element name. Changed the title from "DeviceInformation.Set.PhoneNumber" to "settings:PhoneNumber".	N	Content update.
<a href="#">2.2.2.4.2 settings:PhoneNumber</a>	54344 Updated the details about the relationship between <settings:EnableOutboundSMS> and <settings:PhoneNumber>.	Y	Content update.
<a href="#">3.1.1 Abstract Data Model</a>	55557 Changed wording in the definition of SMS class from "A set of complex types and elements ..." to "A set of elements ...".	N	Content update.

Section	Tracking number (if applicable) and description	Major change (Y or N)	Change type
<a href="#">3.1.4.1 Synchronizing SMS Items</a>	56011 Added a product behavior note stating that only a subset of folders are supported for SMS synchronization when the MS-ASProtocolVersion header is set to 14.0.	Y	New product behavior note added.
<a href="#">3.1.4.1.1 Options</a>	55557 Reformatted names of elements and added the namespace prefix to each element name.	N	Content update.
<a href="#">3.1.4.1.1.1 Sticky Options</a>	55557 Reformatted names of elements and added the namespace prefix to each element name.	N	Content update.
<a href="#">3.1.4.1.1.1 Sticky Options</a>	55326 Changed "an XML block" to "an <Options> block" in the description of sticky options.	N	Content update.
<a href="#">3.1.4.1.1.2 Filtering</a>	55557 Reformatted names of elements and added the namespace prefix to each element name.	N	Content update.
<a href="#">3.1.4.1.2 Making Changes Involving SMS Items</a>	55557 Reformatted names of elements and added the namespace prefix to each element name. Changed wording to refer to elements as "elements" and not "complex type" or "type".	N	Content update.
<a href="#">3.1.4.2 Estimating the Number of Changes</a>	55557 Reformatted names of elements and added the namespace prefix to each element name. Changed wording from "complex type" or "type" to "element" when referring to a parent element.	N	Content update.
<a href="#">3.1.4.2 Estimating the Number of Changes</a>	56011 Added a product behavior endnote stating that only a subset of folders are supported for SMS synchronization when the MS-ASProtocolVersion header is set to 14.0.	Y	New product behavior note added.
<a href="#">3.1.4.3 Provisioning for Relay of Outbound SMS Messages</a>	55557 Reformatted names of elements and added the namespace prefix to each element name. Deleted duplicate reference link to section 2.2.2.2.3.	N	Content update.
<a href="#">3.1.5.1 Sending Outbound SMS Messages</a>	55557 Reformatted names of elements and added the namespace prefix to each element name. Changed "type" to "element" when referring to elements.	N	Content update.
<a href="#">3.2.1</a>	55557 Clarified that the SMS class is a set of	N	Content

<b>Section</b>	<b>Tracking number (if applicable) and description</b>	<b>Major change (Y or N)</b>	<b>Change type</b>
<a href="#">Abstract Data Model</a>	elements.		update.
<a href="#">3.2.5.1 Processing a Sync Request</a>	55557 Reformatted names of elements and added the namespace prefix to each element name. Changed wording "complex type" and "type" to "element" when referring to elements.	N	Content update.
<a href="#">3.2.5.2 Processing a GetItemEstimate Request</a>	55557 Reformatted names of elements and added the namespace prefix to each element name.	N	Content update.
<a href="#">3.2.5.3 Processing a Settings Request</a>	55557 Reformatted names of elements and added the namespace prefix to each element name.	N	Content update.
<a href="#">4.1 Synchronizing E-Mail Items and SMS Items</a>	55142 Removed namespace definitions for namespaces not used in the example. Updated namespace prefixes for consistency.	N	Content update.
<a href="#">4.2 Synchronizing Only SMS Items</a>	55142 Removed namespace definitions for namespaces not used in the example. Updated namespace prefixes for consistency.	N	Content update.
<a href="#">4.3 SMS Message Added by the Server</a>	55142 Updated namespaces and namespace prefixes for consistency.	N	Content update.
<a href="#">6 Appendix A: Product Behavior</a>	56663 Removed "Beta" from Exchange Server 2010 SP1 product name.	N	Content update.
2.2.1 Complex Types	55557 Removed the "Complex Types" section.	Y	Content removed.

## 8 Index

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